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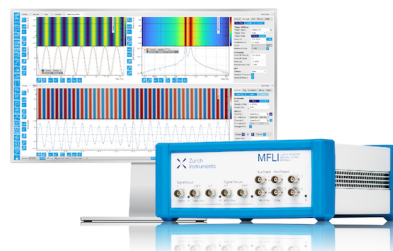
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## ABSTRACT

In recent years, the implementation of thin-film Ta has led to improved coherence times in superconducting circuits. Efforts to further optimize this materials set have become a focus of the subfield of materials for superconducting quantum computing. It has been previously hypothesized that grain size could be correlated with device performance. In this work, we perform a comparative grain size experiment with  $\alpha$ -Ta on c axis sapphire. Our evaluation methods include both room-temperature chemical and structural characterization and cryogenic microwave measurements, and we report no statistical difference in device performance between smaller- and larger-grain-size devices with grain sizes of 924 and 1700 nm<sup>2</sup>, respectively. These findings suggest that grain size is not correlated with loss in the parameter regime of interest for Ta grown on c axis sapphire, narrowing the parameter space for optimization of this materials set.

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## I. INTRODUCTION

Superconducting qubits are a promising avenue for scalable quantum computing devices due to their high-fidelity operations.<sup>1–4</sup> Recent advances in qubit design, packaging, and control have shrunk the gap toward their practical use.<sup>5,6</sup> Still, dielectric losses due to bulk substrates, surface oxides, and amorphous or defect-ridden material interfaces limit the coherence of superconducting qubits and ancillary devices.<sup>7–9</sup> Microscopically, material loss is largely associated with the excitation of two-level systems (TLSs) that dominate microwave losses in the technologically relevant range of low temperatures and single-photon numbers.<sup>10,11</sup> Material engineering has been identified as a leading route for improvement of superconducting qubit coherence by reducing the effect of TLS.<sup>12</sup>

Recent works demonstrate improved qubit performance when  $\alpha$ -phase tantalum (Ta) replaces niobium (Nb) as the superconducting

thin film base layer for device fabrication.<sup>7,13</sup> These findings are further supported by loss measurements of superconducting microwave resonators<sup>8,14–16</sup> and it is believed that the loss reduction is afforded by the simple oxide structure of the Ta film surface.<sup>7</sup> Further evidence for this is suggested by recent work on the capping of Nb films with Ta for improved qubit performance.<sup>17</sup>

A detailed materials study of Nb-based qubits links the bulk properties of the polycrystalline films to qubit losses.<sup>18</sup> Smaller crystalline grain sizes were found to correlate with increased qubit losses, which could arise from TLS present at the subsurface grain boundary oxides in Nb films.<sup>18</sup> Hence, the grain size of the superconducting base layer has recently been debated as a promising process parameter to further minimize microwave losses in Ta films. Moreover, controlled A/B-testing studies would be desirable to firmly establish this relation and it remains unknown whether

grain size effects on microwave losses extend to resonators based on Ta films, whose surface and subsurface oxide structure differs from that of Nb films.

The goal of this work is to probe the relationship between grain size and microwave losses for  $\alpha$ -Ta films grown on *c* axis sapphire, a substrate commonly used for Ta growth.<sup>7,14</sup> To this end, we perform microwave loss measurements of coplanar waveguide resonators made from magnetron-sputtered  $\alpha$ -Ta films with large- and small-grain sizes. We compare the losses of both types of films across 30 resonators from multiple chips and report no statistical difference between the performance of films with small and larger grain sizes. In combination with results from the chemical and crystallographic thin film characterizations, our observations indicate that grain size does not play a significant role in microwave losses for  $\alpha$ -Ta films across the tested parameter regime.

## II. Ta GROWTH AND CHARACTERIZATION

Ta films of nominal 200 nm thickness were deposited on *c* axis sapphire wafers (2 in. diameter, 550  $\mu$ m thickness, from Hefei Keijing Materials Technology) using dc magnetron sputtering. Prior to deposition, the as-purchased substrates were cleaned via ultrasonication in acetone, isopropanol, and de-ionized water for 5 min each and blown dry with nitrogen of purity 4N. To deposit thin films with different grain sizes, two different substrate temperatures  $T = 400^\circ\text{C}$  (sample label “SGS” or “smaller grain size”) and  $T = 500^\circ\text{C}$  (sample label “LGS” or “larger grain size”) during deposition were chosen, while other deposition parameters (background pressure  $\leq 1 \times 10^{-7}$  Torr, argon pressure 3 mTorr, deposition power 150 W, deposition rate 3.6 nm/min) were not changed. The deposition was carried out without the use of a seed layer. A  $T = 600^\circ\text{C}$  sample was also grown, but no increase in grain size was detected, so this sample is not included in the detailed film comparison.

The large-scale diffraction spectrum of the films [Fig. 1(a) inset] is dominated by a set of two peaks, which can be associated with diffraction at the [110] and [220] planes of the  $\alpha$ -Ta[220] phase. A comparably small diffraction signal, which rises just above the background signal, is detected at  $2\theta \approx 33.7^\circ$  that can be associated with the [002]-diffraction of the tantalum  $\beta$ -phase. Our observations indicate the Ta films prepared for this study predominantly nucleate in the  $\alpha$ -Ta phase. This finding is consistent with previous reports on 200 nm thick  $\alpha$ -Ta films on *c* axis sapphire, which were deposited under comparable conditions.<sup>7</sup> The close-up view of the  $\alpha$ -Ta[110] peaks for the “SGS2” and “LGS2” samples is shown in the main panel of Fig. 1(a). The diffraction peak of the “LGS2” sample ( $\sigma = 0.4^\circ$ ) has a smaller full-width-half-maximum  $\sigma$  compared to that of the “SGS2” sample ( $\sigma = 0.5^\circ$ ). While this observation is indicative of a larger average grain size in the “LGS2” sample, we note that the Scherrer equation is less suited to quantitatively analyze the grain size in this case, owing to the grain shape anisotropy and significant grain size variations (see AFM measurements below). We further observed a small deviation in the [110]-diffraction angle both between the “SGS2” ( $2\theta = 38.1^\circ$ ) and “LGS2” ( $2\theta = 38.3^\circ$ ) sample, as well as with respect to the nominal bulk value ( $2\theta = 38.505^\circ$ ). This can be attributed to the

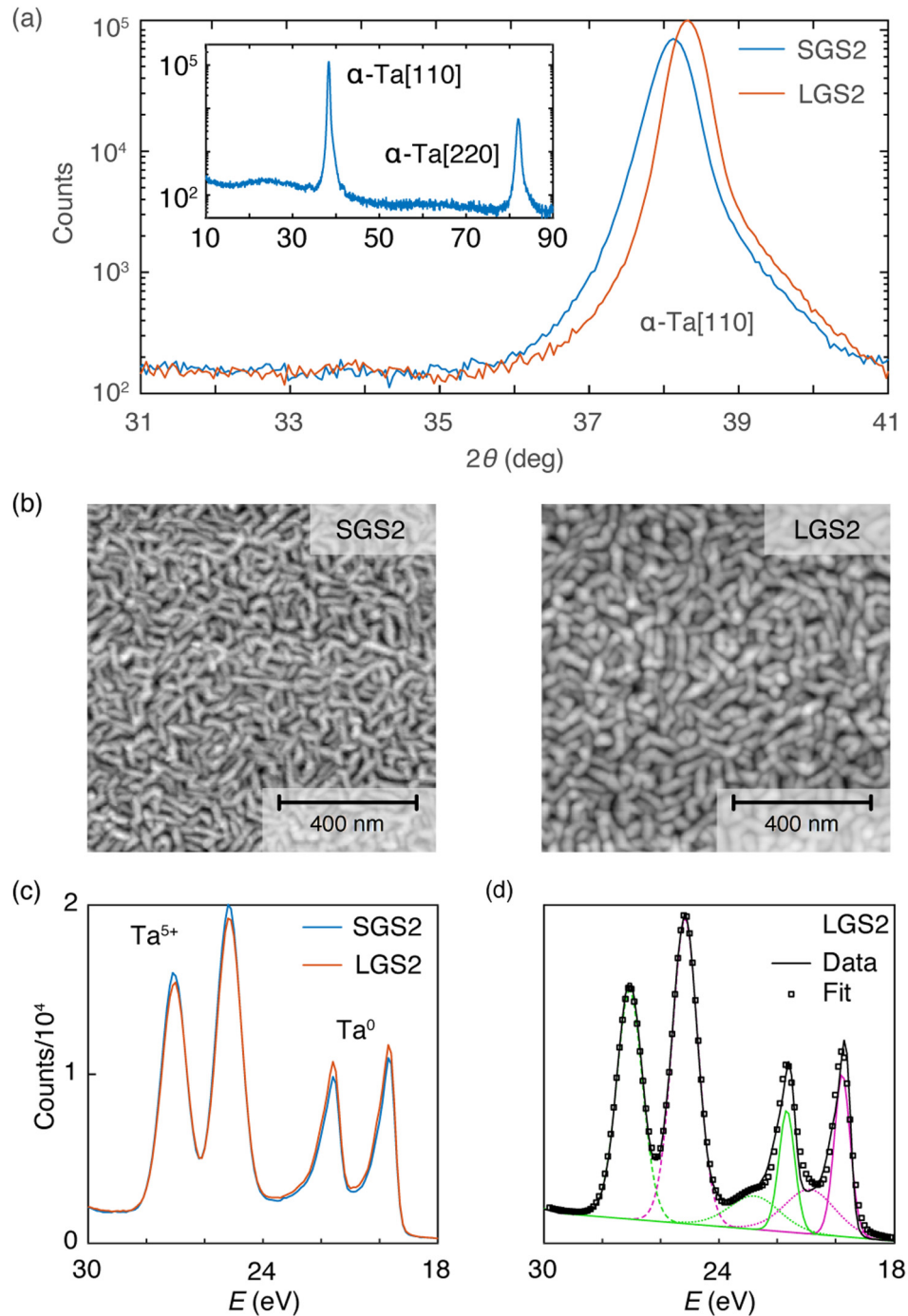
presence of strain in the thin film structure, which appears slightly more pronounced in the “SGS2” sample.

To characterize the crystalline grain size of the Ta films deposited at different substrate temperatures, we carried out atomic force microscopy (AFM) measurements (tapping mode). The resulting AFM topographies for samples “SGS2” and “LGS2” are shown in Fig. 1(b). Both topographies are characterized by elongated crystalline grains oriented along the hexagonal basal plane of the sapphire surface, consistent with previous reports.<sup>14</sup> Moreover, the grains of “LGS2” exhibit a visibly larger grain size area  $G$  than those of “SGS2,” consistent with our expectations in light of the substrate temperatures during deposition. To quantify these grain size differences, we applied a watershed algorithm<sup>19</sup> to determine  $G$ , which is an average across several  $1 \mu\text{m}^2$  surface areas per sample and several samples for each deposition condition. This approach was previously applied to quantify grain sizes of Nb films.<sup>18</sup> We obtain  $G = (924 \pm 51) \text{ nm}^2$  for the “SGS2” and  $G = (1700 \pm 29) \text{ nm}^2$  for the “LGS2” sample, respectively. Interestingly, the average grain size  $G = (1732 \pm 92) \text{ nm}^2$  of samples deposited at a substrate temperature  $T = 600^\circ\text{C}$  is comparable to that of the  $T = 500^\circ\text{C}$  deposition.

To detect the possible influence of the crystalline grain size on the surface oxide structure, we performed x-ray photoelectron spectroscopy (XPS) measurements (Kratos Ultra DLD; x-ray source: Al  $K\alpha$  line  $E = 1486.6 \text{ eV}$ ) on the “SGS2” and “LGS2” samples. We note that these samples did not undergo surface treatment to remove native surface oxides prior to XPS measurements. The resulting XPS spectra in Fig. 1(c) show the photo-electron count as a function of the electron binding energy for the Ta-4f core level. The spectra are dominated by a four peak structure, which is predominantly composed of the spin-orbit split  $\text{Ta}^0$  and  $\text{Ta}^{5+}$  doublets that can be assigned to the metallic Ta bulk and  $\text{Ta}_2\text{O}_5$  at the film surface, respectively.<sup>20,21</sup>

We quantify the relative contributions of the different Ta oxidation states to the observed XPS spectra by applying a least-squares fit based on Gaussian profiles. We find a three doublet structure composed of six Gaussians, as shown in Fig. 1(d), can most accurately describe these spectra. The additional third doublet exhibits a core-level shift of  $\approx 1.1 \text{ eV}$  and can be assigned to the  $\text{Ta}^{3+}$  oxidation state of the  $\text{Ta}_2\text{O}_3$  suboxide.<sup>21</sup> The resulting relative contributions of Ta,  $\text{Ta}^{3+}$ , and  $\text{Ta}^{5+}$  obtained from these fits are shown in Table I and reveal a near identical chemical structure of the tantalum film surface for both samples. This is consistent with their almost identical XPS spectra [cf. Fig. 1(c)]. The relative spectral weight of the  $\text{Ta}^0$  and  $\text{Ta}^{5+}$  peaks at the given incident x-ray energy is in close agreement with that found in previous XPS studies of tantalum films and indicates a surface oxide thickness of approximately 2 nm.<sup>7</sup>

In addition to structural and chemical analyses, we measured the electrical resistance  $R$  as a function of temperature  $T$  (see the supplementary material for  $R$  vs  $T$  data). We extracted the residual resistance ratio (RRR) and superconducting transition temperature  $T_c$  of the two films (Table II). We compare this result with the Nb study by Premkumar *et al.*, where a reduction in the grain size by a factor of two corresponded to a similar reduction in RRR, and to a lesser extent, a reduction in  $T_c$ , attributed to oxides forming in the grain boundaries of the smallest grain size Nb films.<sup>18</sup> In contrast,



**FIG. 1.** Structural and chemical characterization of Ta films. (a) X-ray diffraction spectra of  $2\theta$ -scans for measurements of the “SGS2” and “LGS2” samples. The inset displays the corresponding spectrum for the “LGS2” sample over a larger angle ( $\Theta$ ) range. The detected diffraction peaks are labeled with the corresponding Miller indices of the  $\alpha$ -Ta phase. (b) Atomic force microscopy topographies of the “SGS2” (left) and “LGS2” (right) sample surfaces. (c) Electron binding energy spectra of the Ta 4f core level obtained from x-ray photoelectron spectroscopy measurements at the surface of the “SGS2” and “LGS2” samples. The dominant Ta oxidation states are indicated. (d) Least squares fit (open squares) to an XPS spectrum (solid black line) recorded at the surface of the LGS2 sample. Contributions to the spectrum by the Ta  $4f_{5/2}$  (magenta color) and Ta  $4f_{7/2}$  (green color) core levels of Ta,  $\text{Ta}^{3+}$  (dotted lines), and  $\text{Ta}^{5+}$  (dashed lines) were modeled by using Gaussian profiles.

**TABLE I.** Relative atomic concentration of different tantalum oxidation states in the “SGS2” and “LGS2” samples as obtained from fits to the XPS spectra.

Oxidation state	Smaller grain size Atomic %	Larger grain size Atomic %
Ta <sup>0</sup>	18	20
Ta <sup>3+</sup>	17	18
Ta <sup>5+</sup>	65	62

here we see no significant difference in  $T_c$  and a small decrease in RRR.

### III. DEVICE DESIGN AND FABRICATION

All devices are coplanar waveguide resonators fabricated using the same designs as reported by Kopas *et al.*<sup>22</sup> Nominally identical designs and fabrication procedures were used for all samples. Prior to etching, the samples were cleaned via ultrasonication in toluene, acetone, methanol, and isopropanol, then patterned using optical lithography and AZ-P4330-RS photoresist. The films were etched in a single 4 min CF<sub>4</sub>/N<sub>2</sub> inductively coupled plasma—reactive ion etch (Panasonic E640). Since the Ta films were deposited on sapphire substrates, the etches did not produce any trenching into the substrate. After etching, the resist was submerged in AZ 300 T stripper at 80 °C for 1 h. After stripping, the samples were diced and again cleaned ultrasonically in toluene, acetone, methanol, and isopropanol before being wire bonded for cryogenic microwave measurement. Optical images of the resonators are shown in Fig. 2.

Inverse coupling quality factors,  $1/Q_c$ , of the fabricated resonators are presented in supplementary material Table 1 and range from  $1.18 \times 10^{-6}$  to  $6.61 \times 10^{-6}$  across all devices. This is a larger spread of values with a trend toward smaller coupling factors than the simulated  $1/Q_c$  values of these designs, which ranged from  $1.95 \times 10^{-6}$  to  $2.02 \times 10^{-6}$ .<sup>22</sup> This variation is likely due to a slight over etch of the devices during fabrication, which is congruent with a thinner measured conductor width than the lithography designs used (design:  $6 \mu\text{m}$ , measured:  $5.5 \mu\text{m}$ ).

### IV. CRYOGENIC MICROWAVE MEASUREMENT

We perform transmission measurements on CPW resonators mounted to the mixing chamber (MXC) plate of a FormFactor (formerly Janis) JDry 250 dilution refrigerator (DR) at a mixing chamber temperature of  $\sim 10$  mK using a Keysight PNA N5222B vector network analyzer (VNA). The input power is varied over ten

**TABLE II.** Mean parameter values in A/B grain size comparison.

	Smaller grain size	Larger grain size
Grain area (nm <sup>2</sup> )	924 ± 51	1700 ± 29
$F\delta_{\text{TLS}}^0$ ( $\times 10^{-6}$ )	2.19 ± 0.07	2.17 ± 0.03
RRR	2.584 ± 0.001	2.895 ± 0.001
$T_c$ (K)	4.063 ± 0.005	4.056 ± 0.004

orders of magnitude in estimated photon power to accurately extract the dominant two-level system (TLS) loss.<sup>23</sup>

Nominally identical gold-plated oxygen-free high conductivity copper sample boxes house the resonator chips and are mounted to a plate perpendicular to the MXC plate with additional mu-metal shielding surrounding all samples. Two Radiall R583 six-way microwave switches allow multiple samples to be measured on the same pair of coaxial input and output lines.

The transmission data for each resonator ( $S_{21}$  of the two-port S-parameter matrix measured by the VNA) are first normalized with a circle fit<sup>24</sup> and then fit to a diameter-corrected asymmetric Lorentzian model of the form<sup>25</sup>

$$S_{21}(f) = 1 - \frac{(Q_l / Q_c) e^{i\phi}}{1 + 2iQ_l \frac{f-f_0}{f_0}}, \quad (1)$$

$$Q_i^{-1} = Q_l^{-1} - \text{Re}\{\hat{Q}_c^{-1}\}, \quad (2)$$

$$\hat{Q}_c^{-1} = Q_c^{-1} e^{i\phi}, \quad (3)$$

where  $f_0$  is the resonance frequency,  $\phi$  is the asymmetry angle,  $Q_c$  is the coupling quality factor,  $Q_l$  is the loaded quality factor, and  $Q_i$  is the internal quality factor. These parameters are fit with their corresponding 95% confidence intervals from a least squares fitting routine.<sup>26</sup> A secondary fit of the loss  $\delta = Q_i^{-1}$  as a function of average number of photons ( $\langle n_{\text{ph}} \rangle$ ) and fixed temperature  $T$  follows from the sum of the TLS loss contribution  $\delta_{\text{TLS}}$  and an offset term  $1/Q_{\text{HP}}$  that accounts for power-independent losses dominating at higher powers,<sup>11</sup>

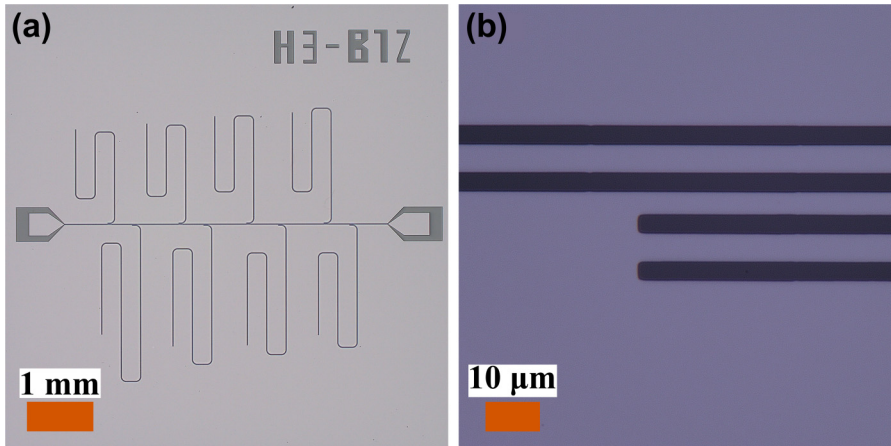
$$\delta(\langle n_{\text{ph}} \rangle, T) = \delta_{\text{TLS}}(\langle n_{\text{ph}} \rangle, T) + 1/Q_{\text{HP}}, \quad (4)$$

$$\delta_{\text{TLS}}(\langle n_{\text{ph}} \rangle, T) = F\delta_{\text{TLS}}^0 \frac{\tanh\left(\frac{\hbar\omega_0}{2k_B T}\right)}{\left(1 + \frac{\langle n_{\text{ph}} \rangle}{n_c}\right)^\beta}, \quad (5)$$

where  $n_c$  is the critical photon number at which TLS saturate at low powers,  $\omega_0 = 2\pi f_0$  is the angular resonance frequency,  $\beta$  is an exponent interpolating between the non-interacting TLS model  $\beta = 1/2$  and interacting TLS model  $\beta < 1/2$ ,<sup>23,27,28</sup>  $\delta_{\text{TLS}}^0$  is the intrinsic TLS loss,  $F$  is the geometry-dependent filling factor,  $\hbar$  is the reduced Planck constant, and  $k_B$  is the Boltzmann constant.

In Fig. 3, we plot the loss power dependence for all larger and smaller grain size devices used in this work. The high power losses are subtracted to emphasize the similar power dependence (line shape) and low power loss (TLS saturation loss) between the two samples, without the confounding factor of high-power losses which are known to be caused by a myriad of sources external to the device materials.<sup>11</sup> Figure 4 further highlights this point, as the medians from the box and whisker plots of the intrinsic TLS losses for the larger and smaller grain size Ta films coincide with one another and their respective histograms give similar variances.





**FIG. 2.** Optical microscope images of coplanar waveguide resonators. (a) Full chip image of a representative chip. All circuits measured contain eight resonators with identical couplers. (b) Close up of feedline and resonator base. Conductor width is  $5.5\ \mu\text{m}$  and gap is  $3.8\ \mu\text{m}$ .

## V. LITERATURE COMPARISON

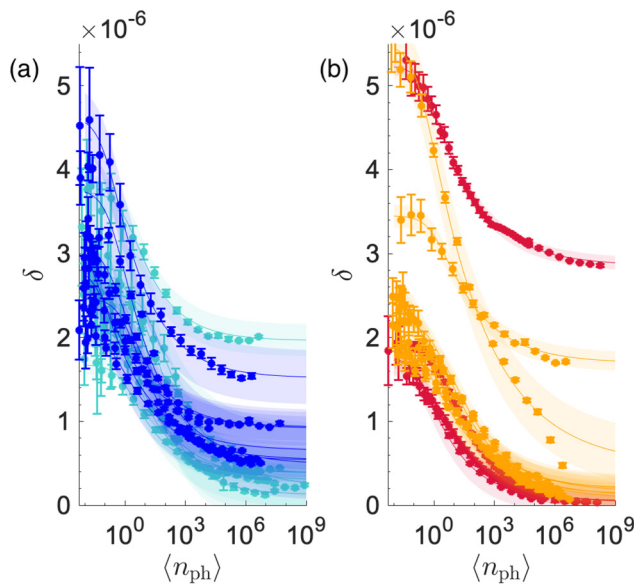
Mean parameter values for the two resonator populations are summarized in Table II. Though our small-geometry resonators are very sensitive to TLS losses from surfaces and interfaces, we see no statistical difference in TLS loss between the small-grain and large-grain devices, despite the difference in grain size (with the large grain size devices being almost twice as big in area). This difference in grain size is similar to that seen in Nb films in Ref. 18, where a difference in grain size of a factor of two was correlated with a

difference in qubit  $T_1$  of almost two, and a difference in resonator TLS loss was also detected.

We refer to recent studies, especially the work by Lozano *et al.*,<sup>16</sup> to estimate the number of devices required to adequately sample the device-to-device variation in  $F\delta_{\text{TLS}}^0$ . With more than ten devices of each grain size, we exceed the number of devices for each variation (choice of control parameter value) in Refs. 8,14,16, and 29.

The median values of the losses for the untreated Ta resonators on silicon in Ref. 16 are comparable to the large- and small-grain size median losses reported in Fig. 4(a).

Figure 5 shows that the difference between the large- and small-grain size losses in this study is imperceptible on the same scale as other A/B comparisons, e.g., Refs. 14 and 16



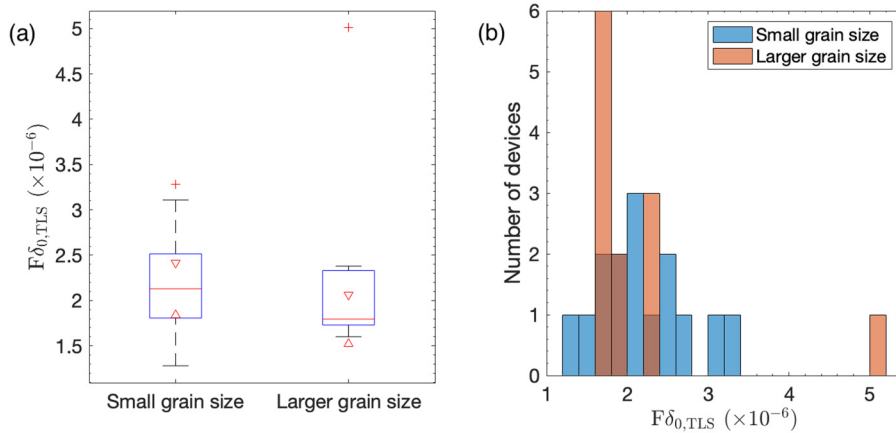
**FIG. 3.** Resonator loss power curves with small-grain size (left) and large-grain size (right). Total loss  $\delta$  as a function of average number of photons in the cavity  $\langle n_{\text{ph}} \rangle$  for all devices measured in this work: SGS1 (light blue), SGS2 (blue), LGS1 (red), and LGS2 (orange) resonators. Lines denote best fits to the TLS model [Eq. (4)]. 95% confidence intervals for Lorentzian fits to each data point are given, as well as the prediction interval for each TLS-curve fit.

## VI. DISCUSSION

An enduring hypothesis in the superconducting qubit community has been that larger grain size in superconducting thin films is an indication of improved device performance. The simple and stable oxide structure of Ta differs from that of Nb, where Premkumar *et al.*<sup>18</sup> reported that smaller grain size films exhibited higher concentrations of suboxides in interface regions, resulting in measurably higher losses in their Nb resonators. In this study, we show that smaller grain size does not induce significant low-power loss in Ta thin films grown between 400 and 500 C on c axis sapphire.

Microwave measurements of low-power loss suggest that there is no statistically significant difference between the intrinsic TLS losses of the two grain size Ta thin films. Chemical and structural analysis supports this interpretation, as the surface chemistry obtained by XPS is nearly identical for the two films. This distinguishes densely packed Ta films with their simple  $\text{Ta}_2\text{O}_5$  surface oxide structure<sup>7</sup> from Nb films<sup>18</sup> for which subsurface grain boundary oxides contribute a grain size dependent TLS channel.

Following this train of thought, we expect qubits and resonators fabricated from Ta films to exhibit more uniform microwave losses than those fabricated from Nb. At the same time, non-negligible concentrations of  $\text{Ta}^{3+}$  species found in our XPS measurements indicate the presence of  $\text{Ta}_2\text{O}_3$  suboxides at the Ta



**FIG. 4.** TLS loss in Ta on c axis sapphire superconducting microwave resonators with smaller and larger grain size. Left: Box and whiskers comparison, indicating median values (red line) and 95% confidence interval of median (red triangles), with outliers shown as crosses. Right: Histogram of TLS loss for all devices in this experiment.

metal-Ta<sub>2</sub>O<sub>5</sub> interface consistent with a recent report.<sup>30</sup> Interestingly, we also detect practical limits within which to tune the grain size of [110]-oriented  $\alpha$ -Ta films deposited on *c* axis sapphire: Deposition at substrate temperatures below 400 °C favors the formation of the unwanted  $\beta$ -phase<sup>31,32</sup> whereas grain size does not respond to an increase of substrate temperature in excess of 500 °C in our study. Thus, it would be interesting to explore other substrates or sapphire surface orientations to promote larger grain sizes up to the formation of single-crystalline Ta films.

On the other hand, our study suggests more sophisticated materials engineering efforts that focus on the reduction of TLS

losses at the immediate metal-air surface rather than on the optimization of bulk properties, such as grain size, are required to further reduce microwave losses below those reported in this and other recent studies.<sup>8,14,16,29</sup> These efforts will benefit from targeted A/B testing studies, such as is presented here, to address the vast materials and processing parameter space in order to maximize state-of-the-art superconducting qubit performance.

**VII. CONCLUSION**

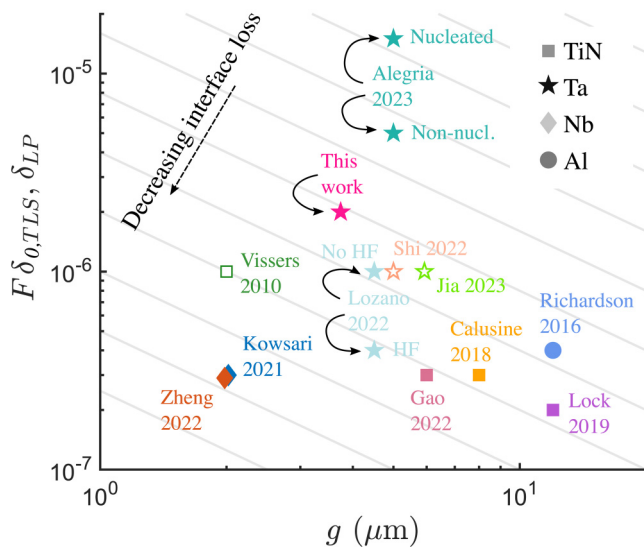
We performed millikelvin microwave transmission measurements of  $\alpha$ -phase Ta microwave resonators with both larger- and smaller-grain size sputter-deposited on *c* axis sapphire at two different growth temperatures. Structural and chemical analysis reveal that the films differ only in their grain size and not in their surface oxide types and concentrations, and crystal structure. The extracted intrinsic TLS losses show no statistical difference between the two film types, suggesting that, in this materials regime, grain size does not significantly affect millikelvin, ultralow power dielectric loss. We encourage future A/B experimentation to continue to reduce the fabrication parameter space and to identify correlations between other room-temperature materials characterization parameters and low-power, low-temperature microwave performance of devices.

**SUPPLEMENTARY MATERIAL**

See the supplementary material for more information on the 600°C deposited sample, as well as 4–8 GHz wide scans of the microwave background of each measured chip, a table of all extracted resonator parameters, further detail on the microwave setup, superconducting thin film resistances as a function of temperature, and details of *T<sub>c</sub>* and RRR measurements.

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**FIG. 5.** State-of-the-art literature comparison of TLS ( $F\delta_{0,TLs}^0$ ) and low-power ( $\delta_{LP}$ ) loss values in CPW resonators. Filling factor *F* is estimated by plotting loss as a function of CPW gap width *g*. Gray lines denote lines of constant interface loss. Filled symbols denote TLS loss values, while empty symbols represent low-power loss (TLS loss values unavailable).

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## AUTHOR DECLARATIONS

### Conflict of Interest

The authors have no conflicts to disclose.

### Disclaimer

Certain commercial instruments are identified to specify the experimental study adequately. This does not imply endorsement by NIST or that the instruments are the best available for the purpose.

### Author Contributions

S.G.J., N.M., and K.W.L. contributed equally to this work.

**Sarah Garcia Jones:** Investigation (lead); Writing – review & editing (supporting). **Nicholas Materise:** Investigation (equal); Software (equal); Writing – original draft (equal); Writing – review & editing (equal). **Ka Wun Leung:** Investigation (lead). **Joel C. Weber:** Investigation (supporting); Methodology (supporting). **Brian D. Isakov:** Writing – review & editing (supporting). **Xi Chen:** Investigation (lead). **Jiangchang Zheng:** Investigation (supporting). **András Gyenis:** Funding acquisition (equal); Investigation (equal); Writing – review & editing (supporting). **Berthold Jaeck:** Conceptualization (equal); Formal analysis (equal); Funding acquisition (equal); Methodology (lead); Visualization (equal); Writing – review & editing (lead). **Corey Rae H. McRae:** Conceptualization (equal); Data curation (equal); Formal analysis (equal); Funding acquisition (equal); Methodology (equal); Project administration (equal); Software (equal); Supervision (equal); Visualization (equal); Writing – review & editing (equal).

### DATA AVAILABILITY

The data that support the findings of this study are openly available at <https://zenodo.org/record/8161535>.<sup>33</sup>

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