

## NIST Internal Report NIST IR 8438

## Twenty-Fifth Annual Report on Federal Agency Use of Voluntary Consensus Standards and Conformity Assessment

Nathalie Rioux

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U.S. Department of Commerce *Gina M. Raimondo, Secretary* 

National Institute of Standards and Technology Laurie E. Locascio, NIST Director and Under Secretary of Commerce for Standards and Technology NIST IR 8438 January 2023

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Annually since 1997, the U.S. Department of Commerce (DOC) provides a report to the Office of Management and Budget (OMB) summarizing federal agency use of government unique standards (GUS) used in lieu of voluntary consensus standards (VCS) during the previous fiscal year (FY) as required by Section 12(d)(3) of Public Law 104-113, the "National Technology Transfer and Advancement Act of 1995" (NTTAA). By implementing the NTTAA and OMB Circular A-119 "Federal Participation in the Development and Use of Voluntary Consensus Standards and in Conformity Assessment Activities" (Circular A-119), agencies minimize their reliance on GUS by using VCS whenever possible and help to achieve the following goals:

- reduce costs and regulatory burdens,
- provide incentives and opportunities that encourage growth of U.S. enterprises,
- realize benefits from public-private collaboration in standards setting.

This FY 2021 summary, prepared by the National Institute of Standards and Technology (NIST), compiles annual reports provided by the 22 agencies listed in Appendix A. For these reports, agencies were asked to document any new use of GUS in lieu of VCS during FY 2021 and provide a rationale for each new use. Agencies additionally were asked to list any rescinded GUS in lieu of VCS during the past fiscal year and to briefly describe their activities undertaken to carry out provisions described in Circular A-119. Individual agency reports may be found at https://www.nist.gov/standardsgov/nttaa-reports.

VCS are defined in OMB Circular A-119 Sections 2d-e as standards developed via a process incorporating openness, balance, due process, an appeals process, and a consensus process. GUS, defined in OMB Circular A-119 Section 2c, are standards developed by and for use by the Federal Government that do not follow the process used in developing VCS.

For FY 2021, federal agencies did not report any new GUS used in lieu of VCS, nor did federal agencies rescind any GUS used in lieu of VCS.

#### Summary

In FY 2021, the 22 federal agencies that reported did not add or rescind any GUS in lieu of VCS, leaving a total of 80 previously reported GUS in lieu of VCS still in use. This analysis does not reflect the use of standards by the Department of Defense (DoD) or the National Aeronautics and Space Administration (NASA) as they report their use of GUS on a categorical basis via a different reporting mechanism. Agencies demonstrate the effectiveness of the NTTAA and Circular A-119 by their continuous review of opportunities to rescind GUS in favor of using VCS, and their involvement with the private sector through the VCS process. These activities suggest that federal agencies are cognizant of the benefits of meeting their mission needs by actively seeking to use VCS developed by the private sector.

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In accordance with its coordination role as defined in the NTTAA and OMB A-119, NIST continues to assist federal agencies and their stakeholders by providing standards and conformity assessment information, program support, and guidance. NIST hosts <a href="http://standards.gov">http://standards.gov</a>, which offers ongoing practical guidance and information needed by agencies to implement the NTTAA successfully and report standards activities as required by the NTTAA and OMB Circular A-119. This report fulfills the annual reporting requirements of both the NTTAA and OMB Circular A-119.

#### Appendix A: FY 2021 Federal Agencies Reporting per OMB Circular A-119

Access Board (ACCESS)

Consumer Product Safety Commission (CPSC)

Department of Agriculture (USDA)

Department of Commerce (DOC)

Department of Defense (DoD)\*

Department of Energy (DOE)

Department of Health and Human Services (HHS)

Department of Homeland Security (DHS)

Department of Housing and Urban Development (HUD)

Department of the Interior (DOI)

Department of Justice (DOJ)

Department of Labor (DOL)

Department of State (DOS)

Department of Transportation (DOT)

Environmental Protection Agency (EPA)

Federal Communications Commission (FCC)

Federal Trade Commission (FTC)

General Services Administration (GSA)

Government Publishing Office (GPO)

National Aeronautics and Space Administration (NASA)\*

National Archives and Records Administration (NARA)

Nuclear Regulatory Commission (NRC)

<sup>\*</sup> Agencies reporting on a categorical basis per OMB Circular A-119, Section 11.