

# 1 A pyroelectric detector-based method for low 2 uncertainty spectral irradiance and radiance 3 responsivity calibrations in the infrared using 4 tunable lasers

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9 **Abstract:** The standard uncertainty of detector-based radiance and irradiance responsivity  
10 calibrations in the short-wave infrared (SWIR) traditionally has been limited to around 1 % or  
11 higher by the poor spatial uniformity of detectors used to transfer the scale from radiant power.  
12 Pyroelectric detectors offer a solution that avoids the spatial uniformity uncertainty, but also  
13 introduces additional complications due to alternating current (AC) measurement techniques.  
14 Herein, a new method for low uncertainty irradiance responsivity calibrations in the SWIR is  
15 presented. An absolute spectral irradiance responsivity scale was placed on two pyroelectric  
16 detectors (PED) at wavelengths,  $\lambda$ , from 500 nm to 3400 nm. The total combined uncertainty  
17 ( $k=1$ ) was  $\approx 0.28$  % ( $> 1000$  nm), 0.44 % (900 nm), and 0.36 % ( $\approx 950$  nm and  $< 900$  nm) for  
18 PED #1 and 0.34 % ( $> 1000$  nm), 0.48 % (900 nm), and 0.42 % ( $\approx 950$  nm and  $< 900$  nm) for  
19 PED #2. This was done by utilizing a demodulation technique to digitally analyze the time-  
20 dependent, AC, waveforms, which obviates the use of lock-in amplifiers and avoids associated  
21 additional uncertainty components.

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## 24 1. Introduction

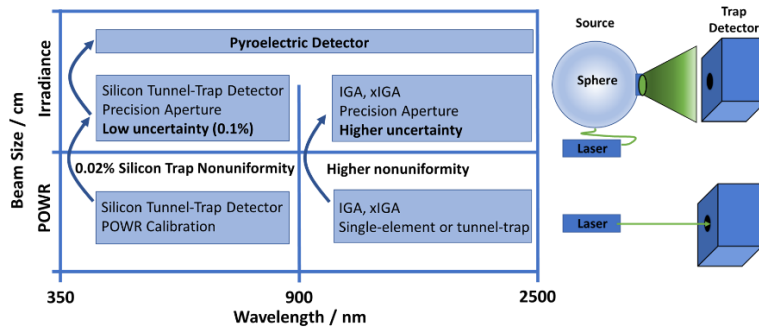
25 Absolute radiometric measurements are important for a wide range of applications within  
26 defined spectral regions [1,2]. Associated uncertainties are extremely important as  
27 measurements from space-based remote sensing instruments, for example, can occur over a  
28 long-time scale (tens of years) [3]. Lower uncertainties mean that trends in data products can  
29 be determined in shorter periods of time [4]. Ultimately, these data products rely on reference  
30 measurements and calibration scales to determine the measurand of interest, typically radiance  
31 or irradiance, with high accuracy and precision. Historically, the lowest uncertainty  
32 measurements occur in the visible where high-quality silicon transmission trap detectors are  
33 available as reference standards. Lowering of the uncertainty to equivalent levels in the short-  
34 wave infrared has proven more problematic.

35 A method for calibrating instruments directly in irradiance or radiance mode using high  
36 power, narrow bandwidth lasers and has achieved low uncertainty at the  $\approx 0.1$  % level or less.  
37 At National Institute of Standards and Technology (NIST) the facility for this is called SIRCUS  
38 (Spectral Irradiance and Radiance Calibrations using Uniform Sources) [5–7]. Low  
39 uncertainties are achieved by traceability to the NIST Primary Optical Watt Radiometer  
40 (POWR) [8] and the NIST Aperture Area Measurement Facility [9]. In short, a transfer  
41 standard silicon trap detector equipped with a precision aperture is calibrated for power  
42 responsivity by a cryogenic radiometer with a narrow-band, continuous wave (cw), laser in an  
43 underfilled-aperture configuration. Irradiance responsivity, required when the detector is used  
44 in an overfilled-aperture configuration, is therefore determined from the measured aperture  
45 area. It can then be transferred to other detectors using SIRCUS, where the uncertainty is

46 limited by the uniformity of the transfer detector. This method works well in the silicon detector  
 47 region, where highly uniform tunnel-trap detectors are available.

48 In the short-wave infrared (SWIR), Germanium (Ge), indium gallium arsenide (InGaAs),  
 49 or extended-InGaAs (ex-InGaAs) detectors are typical. These detectors utilize either single-  
 50 element or trap designs, but do not have sufficient uniformity to do an equivalent transfer with  
 51 such low uncertainty [10–12]. Sphere detectors have also been used to combat spatial  
 52 uniformity issues but have additional limitations due to low throughput and scale instability  
 53 due to sphere degradation [13–15,5]. Pyroelectric detectors offer another potential solution to  
 54 the spatial uniformity problem where an irradiance scale traceable to POWER in the SWIR can  
 55 be achieved with uncertainties approaching those obtained in the silicon spectral region.

56 Fig. 1 depicts the calibration graphically as a function of wavelength and light source beam  
 57 diameter (left side) and pictorially for overfilled (irradiance) and underfilled (POWER) detector  
 58 aperture configurations (right side). In the lower left quadrant, a power calibration is conducted  
 59 in the silicon region on a reference detector using a small diameter laser source. With a  
 60 precision aperture the *irradiance* responsivity is known from the aperture area, which  
 61 corresponds to moving up to the top-left quadrant in Fig. 1, and the uncertainty depends on the  
 62 detector non-uniformity. The same process can be repeated for InGaAs or ex-InGaAs detectors  
 63 (Fig. 1, left, above 900 nm), except none exist with non-uniformity low enough to allow 0.1 %  
 64 level uncertainties as is the case in the silicon range with tunnel-trap detectors.



65

66 Fig. 1. Representation of responsivity calibration as a function of source beam size (Y-axis)  
 67 and wavelength (X-axis) along with a depiction of the illumination geometry (right-side).

68 Several features of pyroelectric detectors allow circumvention of the detector non-  
 69 uniformity problem. One, being thermal detectors, black-coated pyroelectric detectors have  
 70 power or irradiance responsivity that is nearly spectrally flat [16]. Measurement of the spectral  
 71 absorptance yields an independent determination of the responsivity curve. Two, the absorptive  
 72 black coatings have low reflectance and negligible transmission. Therefore, the relative spectral  
 73 responsivity is proportional to the relative spectral absorptance, which in turn can be  
 74 determined by  $A(\lambda) = 1 - R(\lambda) - T(\lambda)$ , where  $R(\lambda)$ ,  $T(\lambda)$ , and  $A(\lambda)$  are the wavelength ( $\lambda$ )  
 75 dependent reflectance, transmittance, and absorptance, respectively. Three, the broadband  
 76 responsivity allows an irradiance responsivity scale transfer (at the SIRCUS facility, top left  
 77 arrow in Fig. 1) against a silicon tunnel trap detector at one or more tie point wavelengths.  
 78 Using the tie point absolute irradiance responsivity, the absorptance curve  $A(\lambda)$  provides the  
 79 relative spectral part of the absolute spectral irradiance responsivity scale across its entire  
 80 measured wavelength range.

81 Use of the pyroelectric detector circumvents problems with detector non-uniformity but  
 82 also requires modifications from the usual calibration technique. Foremost, it requires use of  
 83 an optical chopper to generate an alternating current (AC) signal. This is usually combined with  
 84 use of a lock-in amplifier to analyze the quasi-square wave signal. However, use of a lock-in  
 85 amplifier also confounds the calibration because the measured signal is not the peak-to-peak  
 86 signal of the input quasi-square waveform (i.e. is not equivalent to the direct current (DC)

87 signal, which is needed for absolute radiometric measurements) but instead is only derived  
88 from the amplitude of the first sine component [17]. The measured lock-in amplifier signal  
89 (which is root mean square voltage,  $V_{\text{rms}}$ ) requires a factor of about 0.45 to return the peak-to-  
90 peak signal but for low uncertainty requires independent calibration and adds an additional step  
91 to the overall calibration chain. Furthermore, transient features in the waveform signal, such as  
92 detector rise times or power stabilizer settling times, will cause additional errors that are  
93 dependent on specific experimental configurations. Lastly, multiple lock-in amplifiers would  
94 be needed to complete the calibration for the detector under test (DUT), reference, and the  
95 monitor signals. Instead of a lock-in amplifier, a digital-to-analog converter can be used to  
96 collect and process the entire time-dependent waveform. In this way, the waveform can be  
97 directly analyzed to remove unwanted transient features and directly measure the peak-to-peak,  
98 DC, signals.

99 Pyroelectric detectors have been previously used for similar calibration methods using  
100 monochromator-based light sources [18]. Two significant advancements are reported for laser-  
101 based methods. One, an irradiance responsivity calibration scale is established in the SWIR on  
102 a pyroelectric detector with low uncertainty approaching that which is possible in the silicon  
103 range using laser-based sources. This is done by measuring the directional-hemispherical  
104 reflectance of a *witness sample* detector and performing an irradiance responsivity calibration  
105 on a *real* detector at selected tie points against a silicon tunnel trap detector. Two, the  
106 pyroelectric detector signal is utilized in chopped, AC mode, without using a lock-in amplifier.

## 107 **2. Experimental<sup>a</sup>**

### 108 *2.1 Pyroelectric detectors and witness sample*

109 The pyroelectric detectors (PED #1 and PED #2) were both purchased from a commercial  
110 source (Gentec-eo Model SDX-1005) but were manufactured from separate batches. Each  
111 detector has an internal current-to-voltage converter that operates at a fixed gain and has a 5  
112 mm diameter active area. The detectors were equipped with a circular aperture having a  
113 nominal area of 9.62 mm<sup>2</sup> and an SM1 lens tube with 1.27 cm length. Black spray paint was  
114 used to coat the lens tube and reduce internal reflections.

115 The witness detector consisted of a 9 mm diameter pyroelectric “detector” specifically  
116 manufactured as a witness sample in an identical manner and as part of the same batch as  
117 PED #1. It has the same black coating on the same type of pyroelectric element with the same  
118 gold coating on the back of the detector element but is mounted in its housing in a way that  
119 provides complete hemispherical access to the front surface, enabling it to be placed optimally  
120 against an integrating sphere for complete collection of the diffuse reflected light. It has no  
121 electrical connections and so is not a real detector but is otherwise optically identical to a real  
122 detector. There were two such witness sample detectors. Photographs of a witness sample  
123 detector along with the actual detectors PED #1 and PED #2 are shown in the supporting  
124 information, Fig. S1

### 125 *2.2 Reflectance Measurements*

126 Reflectance measurements of the pyroelectric detector witness samples were performed in two  
127 wavelength ranges; from 500 nm to 2500 nm (VNIR) and from 800 nm to 3400 nm (SWIR)  
128 using separate instruments (See supporting information, Fig. S2, for raw data scans). Spectral  
129 directional hemispherical reflectance was measured in both ranges. For the former range a  
130 spectrophotometer was used with an Integrating Sphere Assembly operating in reflectance

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<sup>a</sup> Certain commercial equipment or materials are identified in this paper to adequately specify the experimental procedures. In no case does the identification imply recommendation or endorsement by NIST, nor does it imply that the materials or equipment identified are necessarily the best available for the purpose.

131 mode [19,20]. This measurement included any specular components of the reflectance. Each  
132 witness sample detector was spectrally scanned multiple times and averaged. For the latter  
133 range, a Fourier transform spectrometer was used in near-infrared (NIR) mode with a resolution  
134 of  $16 \text{ cm}^{-1}$  [21,22]. Each witness sample was measured on two occasions and on each occasion  
135 the measurement was repeated 30 times over 4 h and averaged. Specular components to the  
136 reflectance were found to be negligible within the expanded uncertainty via a separate  
137 measurement. The final reflectance spectrum  $R(\lambda)$  was obtained by combining the results from  
138 the VNIR and SWIR measurements at 1000 nm and averaging the spectra from the two witness  
139 samples. This spectrum was then converted to absorptance using  $A(\lambda) = 1 - R(\lambda) - T(\lambda)$ , with  
140  $T(\lambda) = 0$ , and fit to a sigmoidal function.

### 141 2.3 SIRCUS method

142 The NIST SIRCUS facility has been described previously [5,6]. Briefly, the system consists of  
143 a Lambertian source generated by coupling a tunable laser to an integrating sphere (12-inch  
144 diameter, Spectralon coated, with a 2-inch diameter aperture) via an optical fiber. A detector-  
145 based substitution method is used, typically in DC mode using an optical shutter for signal and  
146 background measurements. First, the irradiance of the source is measured using a reference  
147 detector. Then, the source is observed by the device under test (DUT) to determine its irradiance  
148 (or, by a geometric factor, radiance) responsivity. In each case, the detector measurement  
149 planes were aligned with the source aperture plane by back-reflection of an alignment laser.  
150 Inverse square law measurements allow for the irradiance of the source to be known at the DUT  
151 reference plane by determination of the detector positions.

152 In the wavelength range 350 nm to 900 nm, the reference detector is a silicon tunnel-trap  
153 detector that has been calibrated by the NIST Primary Optical Watt Radiometer (POWR) [8]  
154 for power responsivity in an under-filled configuration and equipped with a precision aperture  
155 calibrated by the NIST aperture area facility [9]. Each measurement is also ratioed to a  
156 corresponding measurement of a monitor photodiode directly mounted to the sphere to account  
157 for any radiant flux changes between measurements. Finally, the laser system also consists of  
158 components for measuring the wavelength with a wavemeter (with 0.005 nm accuracy and  
159 0.001 nm resolution), power stabilization (5 kHz bandwidth with 200:1 noise reduction at 1 Hz  
160 and 0.03 % long-term stability), fiber coupling, and speckle reduction (utilizing a bare fiber  
161 patch cable submerged in a sonicator bath modulated at 20 kHz). A set of continuous wave  
162 lasers (dye laser and a home-built titanium:sapphire laser) were used to cover the wavelength  
163 range for measuring the irradiance responsivity of the pyroelectric detectors at the various tie  
164 points.

165 Two changes have been made to accommodate use of the pyroelectric detector with  
166 chopped source modulation. First, a chopper was added to the optical laser path to generate a  
167 pulsed, AC, waveform signal. The signal was chopped at 10 Hz modulation frequency, well  
168 below the 3 dB responsivity roll-off at 100 Hz for the pyroelectric detector [23]. Modulation  
169 frequencies of 10.5 Hz or 9 Hz did not yield significantly different results. Second, data was  
170 acquired using a multifunction I/O device (National Instruments model NI USB-6211) with an  
171 analog-to-digital converter (ADC) with 16-bit resolution and 250 kHz maximum sampling rate.  
172 Signals from the detector (reference or DUT), monitor photodiode, and chopper reference were  
173 simultaneously recorded into separate analog input channels as the time-dependent waveform.  
174 The waveforms were then demodulated using a digital signal processing algorithm in real time  
175 (see Results, Section B, below) to determine the response of each detector. Note that lock-in  
176 amplifiers were avoided in this last step since that would have led to additional uncertainties  
177 associated with the non-ideal square wave shape of the waveforms.

### 178 2.4 Inverse square law measurements

179 At several different Z-positions of the integrating sphere source along the optical axis, the  
180 detector and monitor voltages were recorded to yield a relative irradiance for the reference trap

181 and DUTs (pyroelectric detectors). The extended-source version of the  $1/Z^2$  law for on-axis  
182 irradiance (inverse square law) was fit to the resultant data to yield the Z-position of the detector  
183 aperture plane. From the Z-position encoder reading used in the irradiance calibration  
184 measurements and the detector Z-position of the detector from the radiometric  $1/Z^2$  law fit, the  
185 actual detector measurement plane to sphere aperture distance in millimeters was determined  
186 for the DUT and reference detectors.

## 187 *2.5 Data Acquisition and Analysis*

188 Irradiance data was acquired using an automation program to control the position of the  
189 integrating sphere source on an XYZ translation stage and record detector signals from the  
190 ADC. Each detector (DUT or reference) was aligned sequentially to the optical axis of the  
191 integrating sphere using the motorized XY translation. The time-dependent waveforms of the  
192 chopped signals from the detectors were measured by the ADC module, along with the  
193 simultaneously recorded monitor signal, in separate analog input channels (differential mode).  
194 The data was demodulated after each square waveform collection to give the DC signal as the  
195 difference between the average peak and valley signals for each cycle in the waveform for both  
196 the detector and the monitor, after removal of any transient features, to generate a nearly ideal  
197 square waveform. This resulted in an array of DC signals for both the DUT and monitor, which  
198 were then ratioed. The chopping frequency was 10 Hz while the data acquisition was completed  
199 at 10 kHz sampling rate. Note that the analysis method results in rejection of 1 cycle in the  
200 waveform as explained in more detail in Section 3.2, below.

201 Statistics for the measured ratio (DUT/monitor) were determined from the number of cycles  
202 in a single waveform collection or by repeating several short waveform collections. For the  
203 irradiance responsivity measurements of the pyroelectric detectors, waveforms of 10 s duration  
204 were collected and repeated 180 times to yield the average ratio and percent standard deviation  
205 of the mean. For the inverse square law measurements, the number of repeats was varied  
206 depending on the measured signal magnitude ranging from 9 repeats to 150 repeats when the  
207 sphere was at the largest distance position. Scans for the reference trap detector were repeated  
208 10 times, where fewer repeats were required to achieve the desired measurement standard  
209 deviation due to the higher signal-to-noise possible with this detector.

## 210 **3. Results and Discussion**

211 The calibration chain to determine the pyroelectric detector irradiance responsivity in the SWIR  
212 from approximately 500 nm to 3400 nm is shown in Figure 2. The calibration consisted of  
213 combining two independent sets of measurements. Reflectance measurements of the witness  
214 sample detectors determined the relative spectral responsivity, via the absorptance, over the  
215 entire spectral range of interest. SIRCUS calibrations then set the absolute irradiance  
216 responsivity scale. First, a reference standard silicon tunnel-trap detector with a high-precision  
217 aperture was directly calibrated by the NIST POWR in an underfilled configuration (radiant  
218 power responsivity, Fig. 2, Step 1). The spatial uniformity of the silicon tunnel-trap detector  
219 and the measured aperture area enables this detector to serve as an irradiance reference standard  
220 at SIRCUS, as is usual [5,6]. Next, the pyroelectric detectors were calibrated on SIRCUS in  
221 overfilled mode (irradiance responsivity, Fig. 2, Step 2a) against the reference standard silicon  
222 detector at several tie points (600 nm to 900 nm) within the silicon detector responsivity range.  
223 Finally, these pyroelectric detector absolute irradiance responsivity measurements were used  
224 to tie the relative spectral irradiance over the full spectral range to the absolute irradiance  
225 responsivity scale (Fig. 2, Step 3). Details of these steps in the calibration chain follow.

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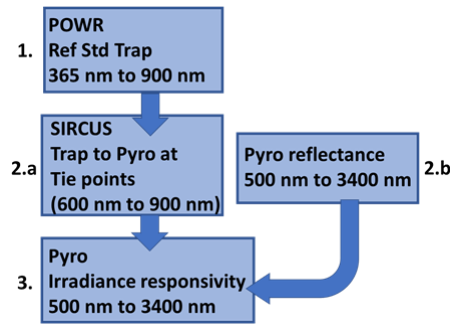
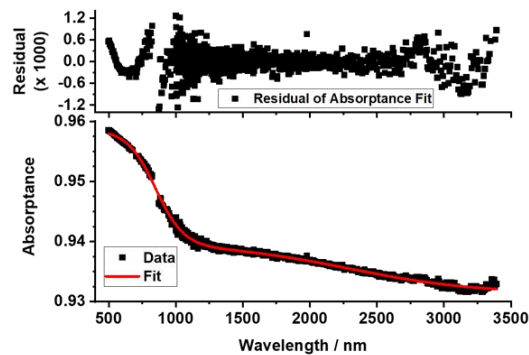


Fig 2. Block diagram of the calibration chain for the irradiance responsivity scale of the two pyroelectric detectors in the SWIR spectral range.

### 3.1 Witness Sample Reflectance

Directional hemispherical diffuse reflectance and specular reflectance were measured for the two witness sample pyroelectric detectors in the range 500 nm to 3400 nm (Fig. S2, supporting information). Two separate instruments were used to cover the full spectral range, and the spectra were combined at 1000 nm. A single curve was then obtained by averaging the spectra for the two witness samples. Fig. 3 (bottom) shows the absorbance spectrum determined this way and by using equation 1, where the transmittance was negligible.



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Fig 3. Fit of a double (2 term) sigmoidal function (Equation 1) to the absorbance data of the pyroelectric detector witness sample (bottom) along with the residuals of the fit scaled by a factor of 1000 (top).

Towards generating a smooth standard irradiance responsivity curve in the SWIR, a fitting analysis was completed for the witness sample absorbance spectra. Several fitting functions were considered, including multi-order polynomial, multi-peak Gaussian, and a sigmoidal function. All three types produced reasonable results with a coefficient of determination ( $R^2$ ) greater than 0.99 but significant deviations were observed at the edges of the spectrum and the former two functions required an inordinate number of terms. Additionally, the multi-peak fitting analysis failed to converge and was not reproducible due to arbitrary selection of peak positions. Ultimately, the broad featureless nature of the reflectance spectrum worked well with the double sigmoidal type (bi-dose response) function shown in equation 1, where  $A_1$ ,  $A_2$ ,  $p$ ,  $h_1$ ,  $h_2$ ,  $x_{0,1}$  and  $x_{0,2}$  are fitting parameters,  $y$  is the absorbance, and  $x$  is the wavelength.

$$y = A_1 + (A_2 - A_1) \left[ \frac{p}{1 + 10^{(x_{0,1} - x)h_1}} + \frac{1 - p}{1 + 10^{(x_{0,2} - x)h_2}} \right] \quad (1)$$

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The results of the sigmoidal fit to the absorbance spectra data are shown in Table 1 as well as in Figure 3 as the solid red line (bottom) and fit residuals (top). Aside from the slight

252 deviations below 800 nm and above 3300 nm the sigmoidal function fit quite well across the  
 253 spectral range and through the shoulder region at 1000 nm. Despite the slight deviations, the  
 254 residuals are all below 0.12 %. A histogram shows the residuals are less than 0.1 % for 99 %  
 255 of the points and less than 0.05 % for 90 % of the points (see supporting information Fig. S3).  
 256 Most importantly, the region above  $\approx 1000$  nm, where silicon detectors have limited usefulness,  
 257 clearly shows residuals at less than the 0.1 % level, which is a target for achieving uncertainty  
 258 competitive with typical irradiance responsivity measurement techniques in the silicon range.  
 259 The fit also allows a smooth curve to be generated, where the measurement noise and fit  
 260 residuals are folded in as an uncertainty component to the irradiance responsivity curve, as  
 261 described below.

262 **Table 1: Results of the fit of equation 2 to the witness sample pyroelectric detector absorptance data**

$A_1$	$0.93131 \pm 1.5 \times 10^{-4}$
$A_2$	$0.95878 \pm 1.0 \times 10^{-4}$
$x_{0,1}$	$849.3 \pm 1.9$ nm
$x_{0,2}$	$2298 \pm 15$ nm
$h_1$	$-0.00414 \pm 5 \times 10^{-5}$ / nm
$h_2$	$-9.1 \times 10^{-4} \pm 4 \times 10^{-5}$ / nm
$p$	$0.696 \pm 0.008$
Reduced Chi-Squared	$9.6 \times 10^{-8}$
$R^2$	0.996

### 263 3.2 Irradiance Data Acquisition and Analysis

264 Irradiance responsivity measurements were made in SIRCUS for the pyroelectric detectors  
 265 (PED #1 and PED #2) using the silicon tunnel trap detector as the reference standard. A silicon  
 266 photodiode was used as a monitor detector on the SIRCUS integrating sphere, as usual.  
 267 Examples of the raw data waveforms are shown in Fig. 4. The top panel of Fig. 4 shows an  
 268 expanded view of the waveform for the reference detector and the simultaneously recorded  
 269 sphere monitor detector. Here, typical time-dependent effects can be observed. The spike on  
 270 the falling edge of the monitor curve arises due to power stabilization (where the monitor signal  
 271 is used in the feedback control loop) and the effects of detector pre-amplifier time-constants  
 272 can be clearly seen in the rising and falling edges of the red curve. Even though speckle  
 273 reduction techniques are used during the measurement, slight oscillations are also observed.  
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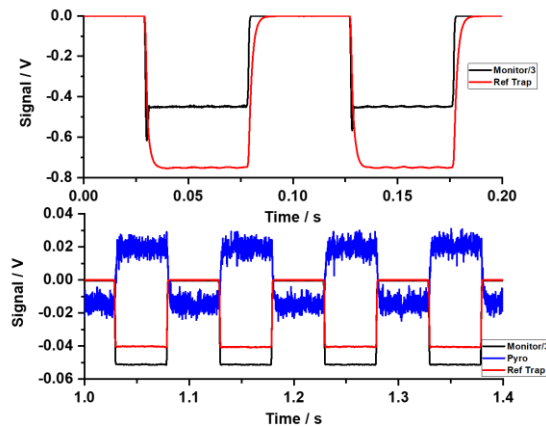


Fig. 4. Representative 10 Hz waveform responses of the irradiance at  $\approx 715$  nm as measured by the silicon trap and one pyroelectric detector using the ADC at an acquisition rate of 10 kHz. Top: trap (red) and monitor photodiode (black) detector waveforms showing transient and temporal effects such as power stabilization, time constant and speckle. Bottom: Typical waveforms collected from the pyroelectric (blue), trap (red), and monitor photodiode (black) detectors in irradiance responsivity measurements.

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284 The bottom panel shows example measurements including the pyroelectric detector under  
285 typical conditions, where a power stabilizer wasn't used in this example. A main advantage of  
286 using the analog-to-digital converter versus the lock-in amplifier is that the transient regions of  
287 the waveform could be removed. This was done by indexing the monitor signal waveform for  
288 a threshold value given by the average of the highest and lowest 20 % of values in the waveform  
289 array (loop through each value in the monitor waveform array comparing adjacent values to the  
290 threshold value. The loop index for which the waveform value is greater than the threshold and  
291 the preceding value is less than the threshold gives the index of the threshold value in the rising  
292 and falling edges). Index values for the threshold signal were determined only for the monitor  
293 but are equivalent for the irradiance detectors as the waveforms are in-phase as shown by Fig. 4.  
294 About 15 ms of values on both sides of the threshold index were then removed from each  
295 detector waveform to give a pure square wave signal with instantaneous rising and falling  
296 edges. Due to the slow drift in the background level of the pyroelectric detector, the DC signal  
297 was determined as the difference between the average peak signal and the average signal of the  
298 two adjacent valley signals, which results in rejection of 1 cycle of the square waveform signal  
299 from the analysis. The DC signals were then ratioed to the simultaneously measured monitor  
300 signal for the pyroelectric detector and tunnel-trap reference detector.

301 Statistics were determined by repeating 10 s duration waveform collections 180 times  
302 (30 min collection times). A single long-time waveform collection (several minutes) was also  
303 measured, and an Allan variance analysis was completed to show that each cycle provided an  
304 independent measurement of the DC signal. Therefore, improved measurement uncertainties  
305 were obtained by taking the standard deviation of the mean. Even with the low signal-to-noise  
306 exhibited by the pyroelectric detector, the measurement technique could isolate small signals  
307 without the use of a lock-in amplifier. The pyroelectric detector signal shown in Fig. 4 has a  
308 signal-to-noise of  $\approx 4$  but signals as small as 1 mV in the  $\approx 10$  mV noise were possible to detect  
309 with reasonable measurement standard deviation of the mean (tenths of a percent) even with  
310 short collection times of 1 min or less. It is notable that one major benefit of using a lock-in  
311 amplifier is also exhibited by this technique, namely small, modulated, signals can be extracted  
312 from high noise, while avoiding absolute calibration related problems associated with use of a  
313 lock-in amplifier (i.e. calibration of the lock-in amplifier signal to the DC signal as noted in the  
314 introduction section, above).



315 **3.3 Irradiance Measurements**

316 Absolute spectral irradiance responsivity of the pyroelectric detectors,  $I_{DUT}(\lambda)$  was determined  
 317 by the measurement equation, equation 2, where  $I_{trap}(\lambda)$  is the known irradiance responsivity of  
 318 the tunnel-trap detector (in units of A cm<sup>2</sup>/W),  $S_{trap}$  is the signal measured by the trap of the  
 319 source irradiance,  $S_{DUT}$  is the signal of the pyroelectric detector, and  $S_{mon}$  is the sphere monitor  
 320 signal measured simultaneously with either the trap detector or the pyroelectric detector  
 321 (DUT) [6]. All measured signals,  $S$ , are in units of volts and determined from the waveform  
 322 data as describe above in section B. The pyroelectric detector has an internal amplifier that  
 323 operates with a fixed gain. Therefore, the irradiance responsivity for the pyroelectric detectors  
 324 must have units of V cm<sup>2</sup>/W and this is converted from the gain setting from the trap  
 325 transimpedance amplifier, which was  $1 \times 10^4$  V/A (i.e.  $S_{trap}[V] = i_{trap}[A] \times G[V/A]$ , where  $i_{trap}$  is  
 326 the trap photocurrent,  $G$  is the trap transimpedance preamplifier gain, and the units are in the  
 327 square brackets).  
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$$I_{DUT}(\lambda) = \frac{I_{Trap}(\lambda)(S_{DUT}/S_{Mon,DUT})}{(S_{Trap}/S_{Mon,Trap}/G_{trap})CF} \quad (2)$$

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$$CF = \frac{r_s^2 + r_{d,trap}^2 + d_{trap}^2}{r_s^2 + r_{d,trap}^2 + d_{DUT}^2} \quad (3)$$

330 The last factor in equation 2,  $CF$ , is a correction factor that accounts for the difference in  
 331 the source distance (working distance) for the trap reference detector and DUT detectors (PED  
 332 #1 and PED #2) [6]. The correction factor  $CF$ , equation 3, converts the irradiance of the sphere  
 333 source measured by the trap at the trap reference plane to the irradiance of the sphere source  
 334 measured by the trap at the DUT reference plane. An extended source geometry is used to  
 335 calculate the correction factor, where  $r_s$  and  $r_d$  are the known radii for the source sphere and  
 336 trap detector apertures, respectively, and  $d$  is the distance between the source and either the trap  
 337 detector or DUTs. Under typical conditions the working distances are much greater than the  
 338 aperture radii, so the correction factor and any associated uncertainty is dominated by the  
 339 distance.

340 Working distances were determined radiometrically using the inverse square law for an  
 341 extended source geometry. These results are shown in Fig. 5 for the reference trap detector and  
 342 PED #1 (For PED #2 the results are shown in the supporting information, Fig. S4). Here, the  
 343 irradiance is measured as the signal measured by each detector relative to the simultaneously  
 344 measured monitor signal ( $S/S_{mon}$  as determined from the modulated waveform as described in  
 345 Section B, above) as a function of the sphere source position. The inverse square law for an  
 346 extended source geometry is shown in Equation 4, where  $y$  is the relative irradiance,  $m_1$  is a  
 347 fitting constant,  $M_0$  is the sphere position (independent variable),  $m_2$  is the position of zero  
 348 offset for the detector (i.e. the fixed position of the detector on the sphere z-axis translation  
 349 scale),  $r_s$  is the sphere aperture radius, and  $r_d$  is the detector aperture radius.

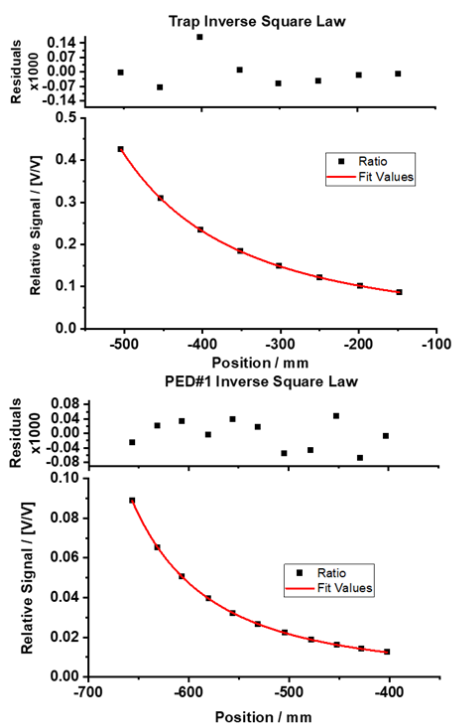


Fig. 5. Inverse square law measurements for the tunnel trap detector (top) and the pyroelectric detector PED #1 (bottom) at 715 nm. Working distances were determined from the fit of equation 4 (red line) to the relative irradiance data ( $S/S_{\text{mon}}$ , black squares) as a function of sphere source position. Residuals to the fit are shown at the top of each subpanel.

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A fit of equation 4 to the relative irradiance data is shown in Fig. 5 as the solid red line along with the fit residuals (top portion of each subpanel). The results of the fit for all three detectors are also summarized in Table 2, where  $m_1$  and  $m_2$  are fitting parameters and the aperture radii are known constants. For all three detectors the fit quality is good. The residuals are approximately 3 orders of magnitude smaller than the base measurements and show there is no obvious bias or offset. Another indication is the  $R^2$ -value, which is 1 for the trap detector and PED #1 but was less than 1 for PED #2.

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$$y = \frac{m_1}{((M_0 - m_2)^2 + r_s^2 + r_d^2)} \quad (4)$$

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The main result from the inverse square law fit is the value of  $m_2$  for each detector, which determines the working distance from the sphere source position used in the irradiance responsivity measurements (see below) and allows calculation of the irradiance correction factor (equation 3). An important contributor to the overall uncertainty of the irradiance responsivity measurement is the fitting uncertainty obtained for  $m_2$ . The fitting uncertainty achieved for these pyroelectric detectors is somewhat larger compared to conventional semiconductor-based devices, probably due to the small signal-to-noise possible with the pyroelectric detectors. Some improvements could probably be made if longer measurement times were used during the inverse square law measurements. Still, the fitting uncertainty of 0.04 % for PED #1 and 0.12 % for PED #2 shows that it is possible for this method to become competitive with conventional methods of absolute irradiance responsivity calibrations.

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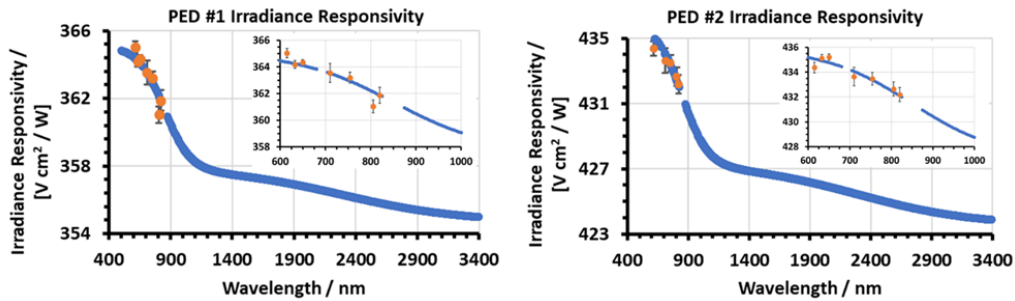
Table 2: Results of the fit of equation 4 to the inverse square law measurements for the trap detector and pyroelectric detectors

Parameter	Trap		PED #1		PED #2	
	Value	Fitting Uncertainty	Value	Fitting Uncertainty	Value	Fitting Uncertainty
$m_1 / \text{mm}^2$	36210	23.8	2022.5	2.84	2420.6	9.81
$m_2 / \text{mm}$	-794.8	0.112	-805.2	0.126	-806.8	0.369
$R^2$	1	N.A.	1	N.A.	0.9999	N.A.
$d / \text{mm}$	291.3	0.038 %	301.6	0.042 %	303.2	0.12 %

375 NOTE 1: The working distance,  $d$ , of each detector used in the irradiance responsivity measurements for PED #1 and  
376 PED #2 is determined from the sphere source position ( $z = -503.56 \text{ mm}$ ) and from the detector position,  $m_2$ , on the Z-  
377 axis translation stage.

378 NOTE 2: N.A. means Not Applicable

379 With the detector positions known, the absolute irradiance responsivity calibration for  
380 PED #1 and PED #2 was completed at select tie point wavelengths with the sphere source  
381 positioned at  $-503.56 \text{ mm}$  on the z-axis scale. The results of the calibration using equations 2  
382 and 3 are shown as the orange tie points in Fig. 6 for both pyroelectric detectors where the error  
383 bars represent the standard deviation of the mean for the irradiance responsivity measurement.  
384 These results are overlaid with the absorptance spectra fit curve (blue points) determined in  
385 section A, above, after converting to the irradiance responsivity scale. This was done according  
386 to equation 5, where  $I(\lambda)$  and  $R(\lambda)$  are the spectral irradiance responsivity and spectral  
387 reflectance, respectively, and the absorptance at the tie point wavelength,  $1-R(\lambda_{\text{tie point}})$ , was  
388 interpolated from the curve that was fit to the absorptance data (Fig. 3, red curve). Equation 5  
389 divides the absorptance spectrum by a constant equivalent to the ratio of the absorptance to the  
390 irradiance responsivity at the chosen tie point wavelength. As mentioned earlier, this method  
391 assumes that the spectral irradiance responsivity is proportional to the absorptance spectrum,  
392 and that the relative absorptance spectra of the witness detectors are representative of that for  
393 PED #1 and PED #2 The inset graphs shown in Fig. 6 support these assumptions, where the  
394 variation in the irradiance responsivity with tie point wavelength follows closely the shape of  
395 the absorptance curve.



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Fig. 6. Irradiance responsivity of pyroelectric detector #1 (left) and pyroelectric detector #2 (right) determined from witness sample absorptance (blue) and irradiance responsivity at tie points (orange) from a silicon trap detector. Inset: Shows an expanded view of the tie point region between 600 nm and 1000 nm, where the error bars indicate the measurement percent standard deviation of the mean.

$$I_{\text{DUT}}(\lambda) = \left[ \frac{(1 - R(\lambda))}{1 - R(\lambda_{\text{tie point}})} \right] I_{\text{trap}}(\lambda_{\text{tie point}}) \quad (5)$$

402 For the data shown in Fig. 6 any of the tie points can be reasonably chosen such that it falls  
403 within the range between 500 nm and 900 nm where the silicon tunnel trap detector can be used  
404 with the lowest uncertainty. Choosing any tie point simply shifts the curve by a constant factor

405 that would force the irradiance responsivity through the chosen tie point value. Alternatively,  
 406 because the irradiance responsivity is proportional to the absorbance, the average ratio  
 407 (irradiance responsivity to absorbance, i.e.  $I_{trap}(\lambda_{tie\ point})/(1-R(\lambda_{tie\ point}))$ ) at the tie point  
 408 wavelengths was used. This was done for the data in Figure 6 where the average ratio was  
 409  $380.85\text{ V cm}^2/\text{W} \pm 0.15\%$  and  $454.76\text{ V cm}^2/\text{W} \pm 0.09\%$  for PED #1 and PED #2, respectively,  
 410 where the error is the percent standard deviation. By using all the tie points that were measured  
 411 the overall uncertainty was expanded by the percent standard deviation of the constant factor  
 412 arising from each tie point.

413 The total uncertainty budget is shown in Table 3 for both pyroelectric detectors as the  
 414 relative standard uncertainty. Most of the typical SIRCUS calibration uncertainty components  
 415 are comparatively small and included here for completeness. For example, the aperture areas  
 416 (sphere and detector), amplifier gain (for the trap reference detector), wavelength, and geometry  
 417 alignment only make minor contributions to the overall uncertainty. The irradiance calibration  
 418 of the reference standard trap detector was completed directly from POWR with standard  
 419 relative uncertainty of 0.05 %. If a working standard trap detector had been used this uncertainty  
 420 component would be slightly higher at approximately 0.1 % which is the uncertainty associated  
 421 from transferring the trap irradiance responsivity scale from the reference standard to the  
 422 working standard on SIRCUS.

423 The major contributions to the uncertainty here arise from two parts, the irradiance  
 424 measurement standard deviation from the pyroelectric detectors (due to the low signal-to-noise)  
 425 and the uncertainty associated with the reflectance measurements. Low signal-to-noise not only  
 426 increases the uncertainty in the irradiance responsivity calibration measurement, but also in  
 427 determination of the distance correction factor in the inverse square law measurements. For the  
 428 absorbance, the uncertainty comes from several main components. One, the uncertainty of the  
 429 reflectance measurements from the individual witness samples ranged from 0.1 % to 0.36 %  
 430 depending on the wavelength while, two, the point-to-point percent difference between the two  
 431 witness samples was 0.05 % to 0.13 %. Fig. 7 shows the wavelength dependence of the  
 432 uncertainty arising from the absorbance components as well as the wavelength dependence of  
 433 the overall irradiance responsivity uncertainty ( $k=1$ ). To generate a generalized responsivity  
 434 curve, the reflectance data was fit as described above in Results/Discussion Section A. An  
 435 additional uncertainty component was included to account for the quality of the fit to the  
 436 experimental data and arises from the residuals to the fit. A relative contribution of 0.1 % was  
 437 set for this component as most of the points in the wavelength range of interest have a residual  
 438 of this value or less. Differences in the overall uncertainty magnitude for PED #1 and PED #2  
 439 largely arise from the difference in the distance uncertainty for the two pyroelectric detectors  
 440 that resulted from the inverse square law measurements but are also somewhat offset by the  
 441 percent standard deviation of the absorbance to irradiance responsivity ratio at the different tie  
 442 points.

443 Table 3: Uncertainty Budget for Absolute Spectral Irradiance Responsivity of the Pyroelectric Detectors

Uncertainty Component	Relative Standard Uncertainty ( $k=1$ ) / %	
	PED #1	PED #2
Irradiance Cal. Of Reference trap detector	0.05	0.05
Percent St.Dev of ratio tie points <sup>1</sup>	0.15	0.09
Distance <sup>2</sup>	0.114	0.256
Geometry Alignment	0.05	0.05
Amplifier Gain	N.A.	N.A.

Sphere Aperture	N.A.	N.A.
Reference detector Aperture	0.02	0.02
DUT Aperture (pyro)	N.A.	N.A.
Wavelength	0.01	0.01
Absorptance Percent St.Dev (Wavelength dependent)	0.1 to 0.36	0.1 to 0.36
Absorptance Fit Residual	0.1	0.1
Witness sample Absorptance %Difference (WL dependent)	0.05 to 0.13	0.05 to 0.13
<b>Total Combined Uncertainty (<math>k=1</math>)</b>	<b>0.28 (&gt; 1000 nm) 0.36 (<math>\approx</math> 950 nm and &lt; 900 nm) 0.44 (900 nm)</b>	<b>0.34 (&gt; 1000 nm) 0.42 (<math>\approx</math> 950 nm and &lt; 900 nm) 0.48 (900 nm)</b>

444 NOTE 1: Refers to the percent standard deviation from determining the ratio of irradiance responsivity to absorptance  
445 at each tie point.

446 NOTE 2: The distance uncertainty comprises the root-mean squared (RMS) percent error of the distance percent error  
447 for both the pyroelectric detector and the reference trap detector. The correction factor to the irradiance depends on the  
448 distance squared, which also results in an extra factor of 2 contribution to the irradiance responsivity uncertainty from  
449 the distance uncertainty.

450 It is also important to mention the wavelength dependence of the uncertainty across the  
451 wide spectral range covered by this irradiance scale. The major components giving rise to the  
452 wavelength dependence are from the uncertainty of the absorptance measurements and the  
453 slight point-to-point differences between the two witness samples. Fig. 7, top, shows the  
454 wavelength dependence of these uncertainty components while Fig. 7 bottom shows the overall  
455 uncertainty ( $k=1$ ). The overall uncertainty has basically the same wavelength dependence as  
456 the absorptance uncertainty indicating this is the major contributing factor. The highest  
457 uncertainties occur around 900 nm with somewhat lower uncertainty of around 0.36 % and  
458 0.41% for PED #1 and PED #2, respectively, below 900 nm and around 950 nm. Below  
459 approximately 950 nm, the lowest uncertainty calibrations directly from silicon trap detectors  
460 are available. The most significant results are for the range above approximately 950 nm. Above  
461 1000 nm the uncertainties are lowest for both pyroelectric detectors and only slightly increase  
462 above 3000 nm (0.27 % to 0.29 % for PED #1 and 0.33 % to 0.35 % for PED #2), which is  
463 significantly lower than previously achieved in this range using conventional InGaAs or ex-  
464 InGaAs detectors. Although the uncertainties around 950 nm are somewhat higher, they are  
465 still competitive with conventional detectors.

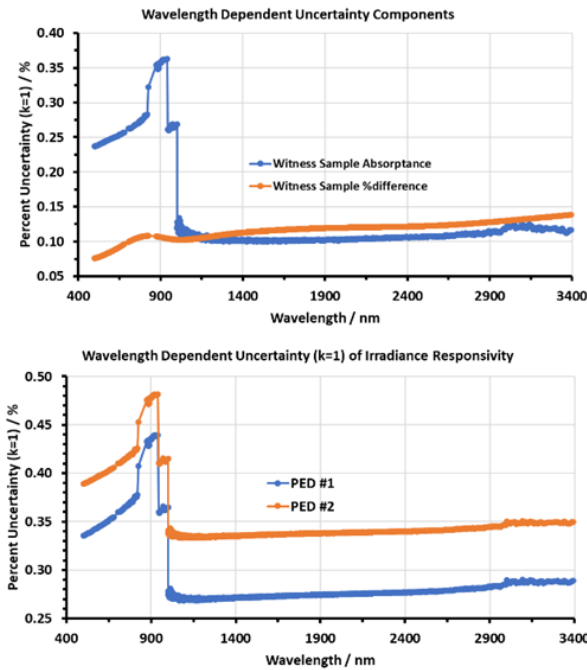


Fig. 7. Wavelength dependence of uncertainty components arising from the absorbance spectra (top) and the overall wavelength dependent uncertainty at  $k=1$  (bottom) for the two pyroelectric detectors

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470 Overall, the absolute irradiance responsivity of the two pyroelectric detectors above  
471 1000 nm was found to be  $\approx 0.28\%$  and  $\approx 0.34\%$  for PED #1 and PED #2 respectively. Of  
472 course, there are some unquantifiable sources of uncertainty, especially concerning the use of  
473 a witness sample for reflectance measurements. First, only two witness samples were used to  
474 set the reproducibility of the reflectance. More samples are needed to establish statistical  
475 significance more clearly. Second, there is some chance the witness sample may not necessarily  
476 be equivalent to the absorptive layer in the real detectors PED #1 and PED #2. More certainty  
477 would be achieved if hemispherical reflectance measurements could be made on the real  
478 detectors, but this was prohibitive due to the detector housing. Furthermore, there is additional  
479 unquantifiable uncertainty for PED #2 for which the absorptive layer was not part of the same  
480 batch as the witness samples. Lastly, it should also be noted that the uncertainty budget, Table  
481 3, does not include environmental effects on both the reference detector and the pyroelectric  
482 detectors. No evaluations of instrument performance characteristics such as temperature  
483 dependence, response linearity or temporal stability were performed.

#### 484 4. Conclusions

485 An irradiance responsivity calibration was placed onto two pyroelectric detectors, utilizing a  
486 method that avoids a lock-in amplifier and the associated additional uncertainty components.  
487 The responsivity scale for each detector was established at standard uncertainty ( $k=1$ ) of  
488  $\approx 0.28\%$  ( $> 1000$  nm),  $0.44\%$  (900 nm), and  $0.36\%$  ( $\approx 950$  nm and  $< 900$  nm) for PED #1 and  
489  $0.34\%$  ( $> 1000$  nm),  $0.48\%$  (900 nm), and  $0.42\%$  ( $\approx 950$  nm and  $< 900$  nm) for PED #2,  
490 covering the wavelength range 500 nm to 3400 nm. The uncertainties achieved are significantly  
491 lower than any known to be reported for irradiance responsivity calibrations above 1000 nm  
492 using laser-based techniques. Typically, InGaAs and extended-InGaAs detectors are used in  
493 the SWIR range but the main concern with these devices is the low spatial uniformity which  
494 leads to high uncertainty when the irradiance responsivity scale is transferred from power

495 responsivity measurements. In addition, extended-InGaAs detectors are further limited due to  
496 low throughput, when an input sphere is used, and low temporal stability. Although InGaAs  
497 detectors have shown high enough spatial uniformity to be competitive with the technique  
498 described here at the 0.5 % level, extended-InGaAs detectors are still limited to several percent  
499 uncertainties or higher [13,5]. Therefore, the method described here offers significant  
500 improvement for extending the scale farther into the short-wave infrared from 900 nm to greater  
501 than 3000 nm with low uncertainties.

502 While less than 0.35 % ( $k=1$ ) uncertainties were achieved in this report above 1000 nm,  
503 there still exists several opportunities for improvement and potential to achieve uncertainties  
504 approaching the 0.1 % level possible in the silicon range with trap detectors. There are two  
505 main sources which limited the uncertainty. One is the low signal-to-noise possible with low-  
506 NEP pyroelectric detectors. Two is the uncertainty associated with the reflectance  
507 measurements.

508 One way to offset the low signal-to-noise would be to average for longer time during the  
509 irradiance measurements (for both the inverse square law and responsivity measurements).  
510 Thirty-minute collections were used here for the irradiance responsivity measurements, but  
511 some improvements may have been possible for the fit uncertainty in the inverse square law  
512 measurements if more time-consuming scans had been completed. A second way would be to  
513 consider utilizing a collimated beam to increase the signal at the pyroelectric detector  
514 measurement plane. This would require some additional modifications to the irradiance  
515 measurements and the inverse square law would not be applicable, but the requirements on the  
516 distance measurements would also be less strict because the irradiance in a collimated beam  
517 will change less for a given error between the reference and DUT detector measurement planes.  
518 Overall, improvements to the signal-to-noise would not only give smaller measurement  
519 standard deviations but also allow for larger working distances to be used thereby reducing the  
520 uncertainty in the irradiance responsivity arising from the distance correction factor.

521 For the reflectance measurement uncertainty, there are also several factors to consider. One  
522 is that reflectance measurements on the actual pyroelectric detectors would eliminate  
523 uncertainty associated with various witness samples. Limitations in the detector housing and  
524 reflectance measurement geometry made such measurements not feasible for this work.  
525 Secondly, the reflectance of the black coating on each pyroelectric detector was found to be on  
526 the order of 5 %. This leads to a factor of  $\approx 20$  reduction in uncertainty when converted to  
527 absorptance, which for this study was around 0.1 % to 0.4 %. An improvement in this  
528 uncertainty could be made if a pyroelectric detector designed with an adsorptive coating with  
529 higher absorptance and lower reflectance was used [24].

530 In total, the pyroelectric detectors offer high potential for extension of irradiance/radiance  
531 responsivity scales further into the SWIR with low uncertainties on par with what is possible  
532 in the silicon range. Due to the broad spectral responsivity of the pyroelectric detectors, it is  
533 possible to conduct a scale transfer step from a silicon trap detector. This allows circumvention  
534 of the spatial nonuniformity problems detrimental to typical detectors when irradiance  
535 responsivity is determined from power responsivity (POWR) and aperture area. Nonetheless,  
536 there is still progress to be made towards achieving the lowest possible uncertainties with this  
537 method and further work to implement the improvements just described is currently underway.

## 538 **5. Back Matter**

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### 544 **Disclosures**

545 The authors declare no conflicts of interest.

## 546 Data Availability

547 Data underlying the results presented in this paper are not publicly available at this time but  
548 may be obtained from the authors upon reasonable request.

## 549 Supplemental Document

550 See Supplement 1 for supporting content.

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