

Using CO₂ as a Ventilation Clue

Dustin Poppendieck, PhD
National Institute of Standards and Technology

Disclaimer

- *Certain guidance is identified in this presentation. Such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the method identified is necessarily the best available for the purpose.*

Goal: Find Poorly (and fix) Ventilated Classrooms

Transmission of SARS-CoV-2 from inhalation of virus in the air farther than six feet from an infectious source can occur

With increasing distance from the source, the role of inhalation likewise increases. Although infections through inhalation at distances greater than six feet from an infectious source are less likely than at closer distances, the phenomenon has been repeatedly documented under certain preventable circumstances.¹⁰⁻²¹ These transmission events have involved the presence of an infectious person exhaling virus indoors for an extended time (more than 15 minutes and in some cases hours) leading to virus concentrations in the air space sufficient to transmit infections to people more than 6 feet away, and in some cases to people who have passed through that space soon after the infectious person left. Per published reports, factors that increase the risk of SARS-CoV-2 infection under these circumstances include:

- **Enclosed spaces with inadequate ventilation or air handling** within which the concentration of exhaled respiratory fluids, especially very fine droplets and aerosol particles, can build-up in the air space.

<https://www.cdc.gov/coronavirus/2019-ncov/science/science-briefs/sars-cov-2-transmission.html>

Using CO₂ as a Ventilation Clue



Full Access

Association between substandard classroom ventilation rates and students' academic achievement

U. Haverinen-Shaughnessy, D. J. Moschandreas, R. J. Shaughnessy

First published: 24 August 2010 | <https://doi.org/10.1111/j.1600-0668.2010.00686.x> | Citations: 133

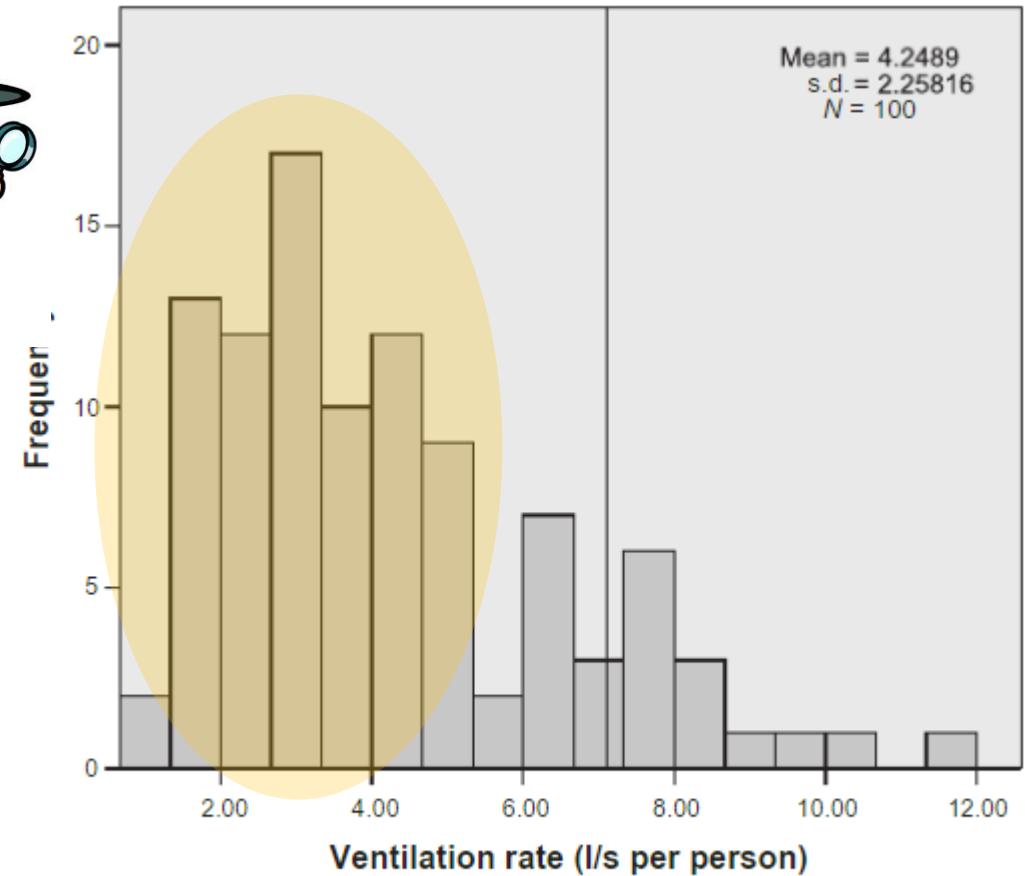


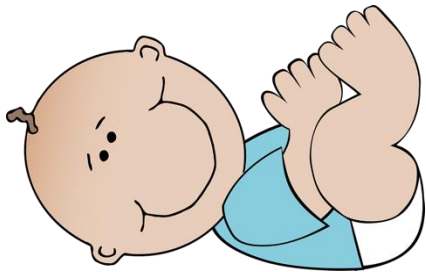
Fig. 1 Ventilation rate distribution (vertical line corresponds to ASHRAE recommended minimum)

Carbon dioxide generation rates for building occupants

A. Persily✉, L. de Jonge

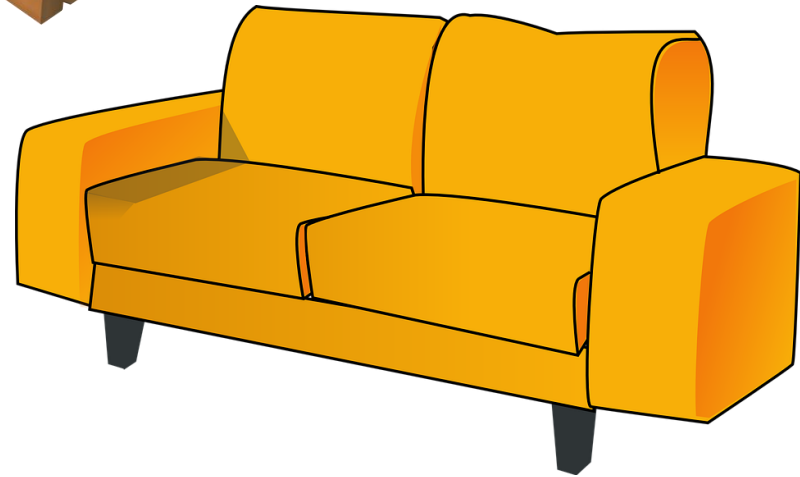
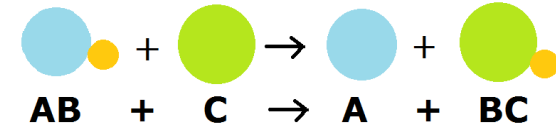
First published: 20 March 2017 | <https://doi.org/10.1111/ina.12383> | Citations: 90

Where does CO₂ come from?



Are we measuring Indoor Air Quality?

$\text{CO}_2 \neq \text{IAQ}$





Consumer Grade CO₂ Monitors

- Non-Dispersive Infrared (NDIR) monitors are calibrated to other chemicals (not CO₂), accuracy is questionable
- NDIR monitors accurate to 50 ppm or $\pm 2\%$ of reading
 - A reading of 900 ppm_v could be 850 ppm_v or 950 ppm_v
 - Response is typically linear
- Auto-calibrating algorithms used by CO₂ monitors
 - Must be exposed to air without CO₂ source once a week for ~6 hours

No Other CO₂ Sources



What can we do with CO₂ readings from a classroom?



- Relative risk
 - **Rebreathed Fraction**
- Ventilation Assessment
 - Maximum Daily Concentration
 - Air Change Rate

Rebreathed Fraction



Full Access

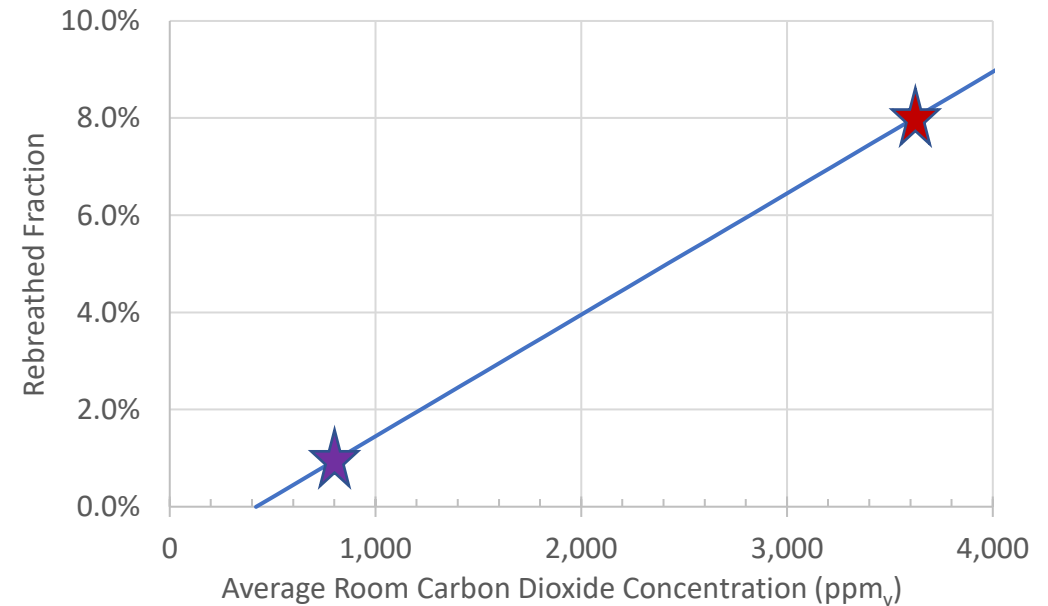
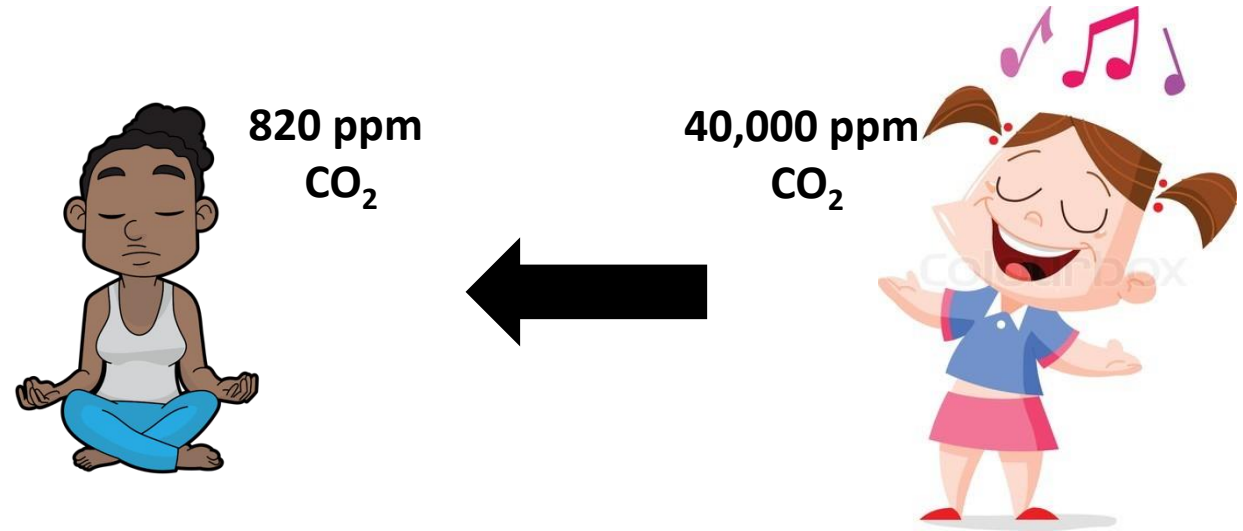
Risk of indoor airborne infection transmission estimated from carbon dioxide concentration

S. N. Rudnick, D. K. Milton

First published: 24 October 2003 | <https://doi.org/10.1034/j.1600-0668.2003.00189.x> | Citations: 143

$$\text{Rebreathed Fraction} = \frac{(C_{\text{average indoor}} - C_{\text{outside}})}{C_{\text{breath}}}$$

Consumer-grade sensors report concentrations in ppm_v . SI units are $\mu\text{g m}^{-3}$. $1000 \text{ ppm}_v \text{ CO}_2 = 929 \mu\text{g CO}_2 \text{ m}^{-3}$ at 25°C and 1 atm .



What can we do with CO₂ readings from a classroom?



- Relative risk
 - Rebreathed Fraction
- Ventilation Assessment
 - **Maximum Daily Concentration**
 - **Normal OCCUPANCY!**
 - Air Change Rate
 - Unoccupied!




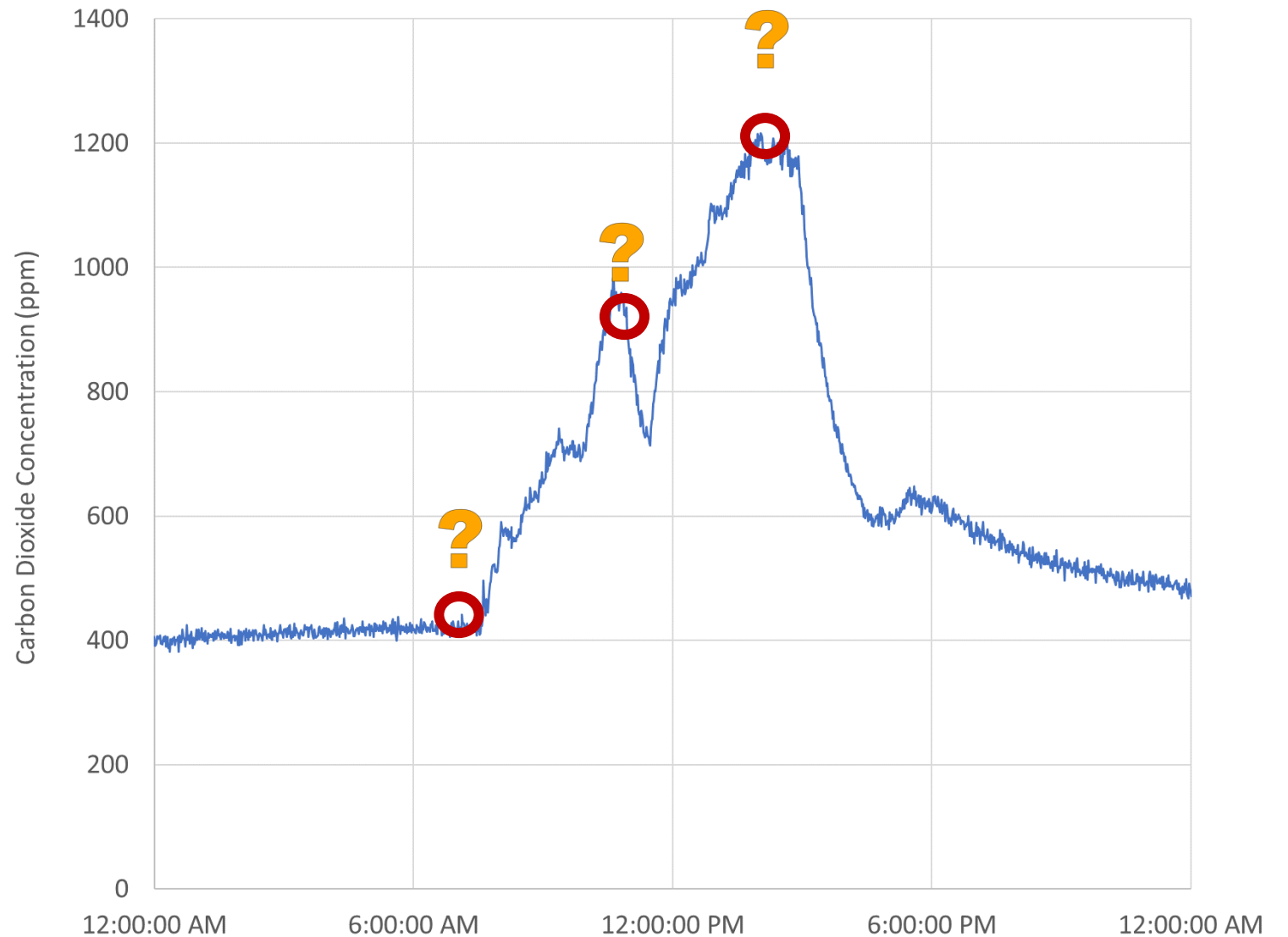
Daily CO₂ measurements

- Outside
 - Measure outside at beginning and end of day
 - Values should be 400 ppm_v to 500 ppm_v, if not use indoor-outdoor concentration difference.
- Occupied Space
 - No other CO₂ sources
 - No CO₂ removal equipment
- Location
 - Near center of room
 - No breathing on it
 - Not directly beneath ventilation vents/open windows
- Log data for full day per room
 - At minimum the last hour room is fully occupied in a day
- Measure more than one day/weather event/season

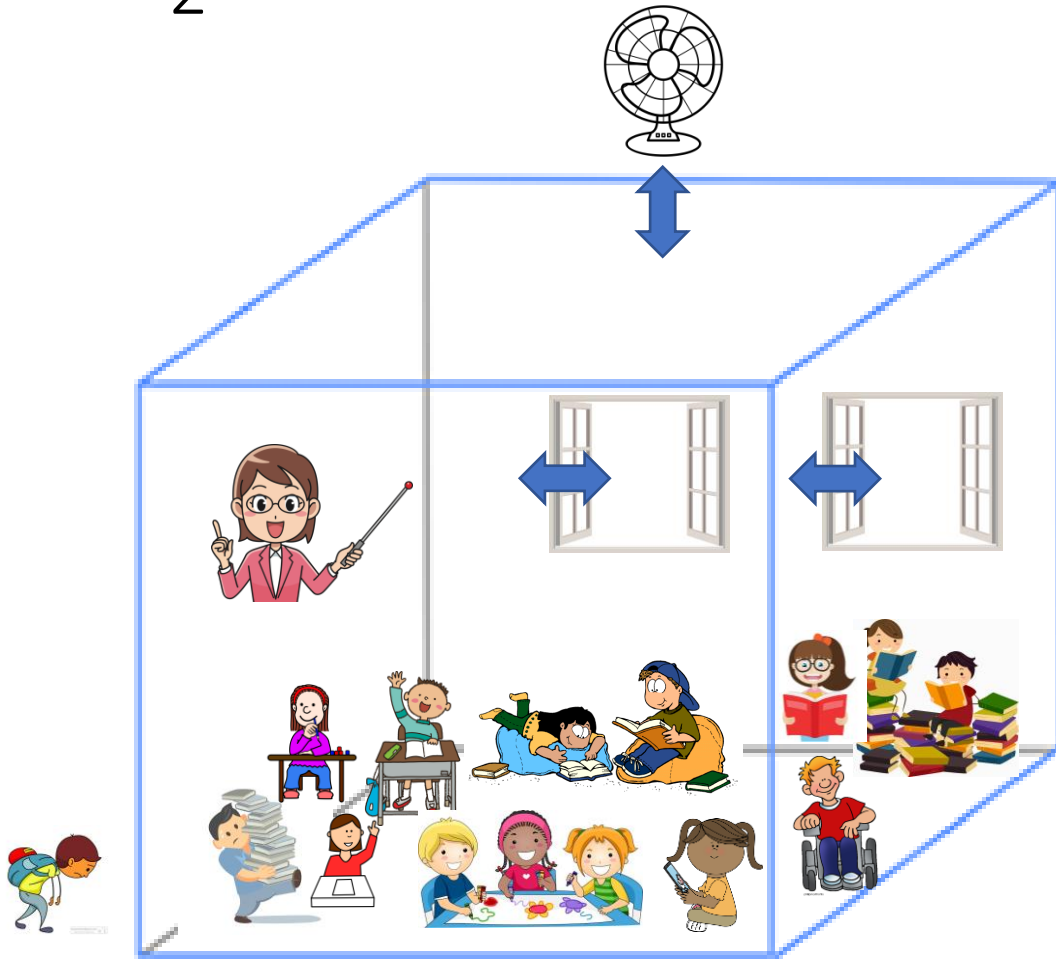
Example Classroom CO₂ Data



900 ppm_v 

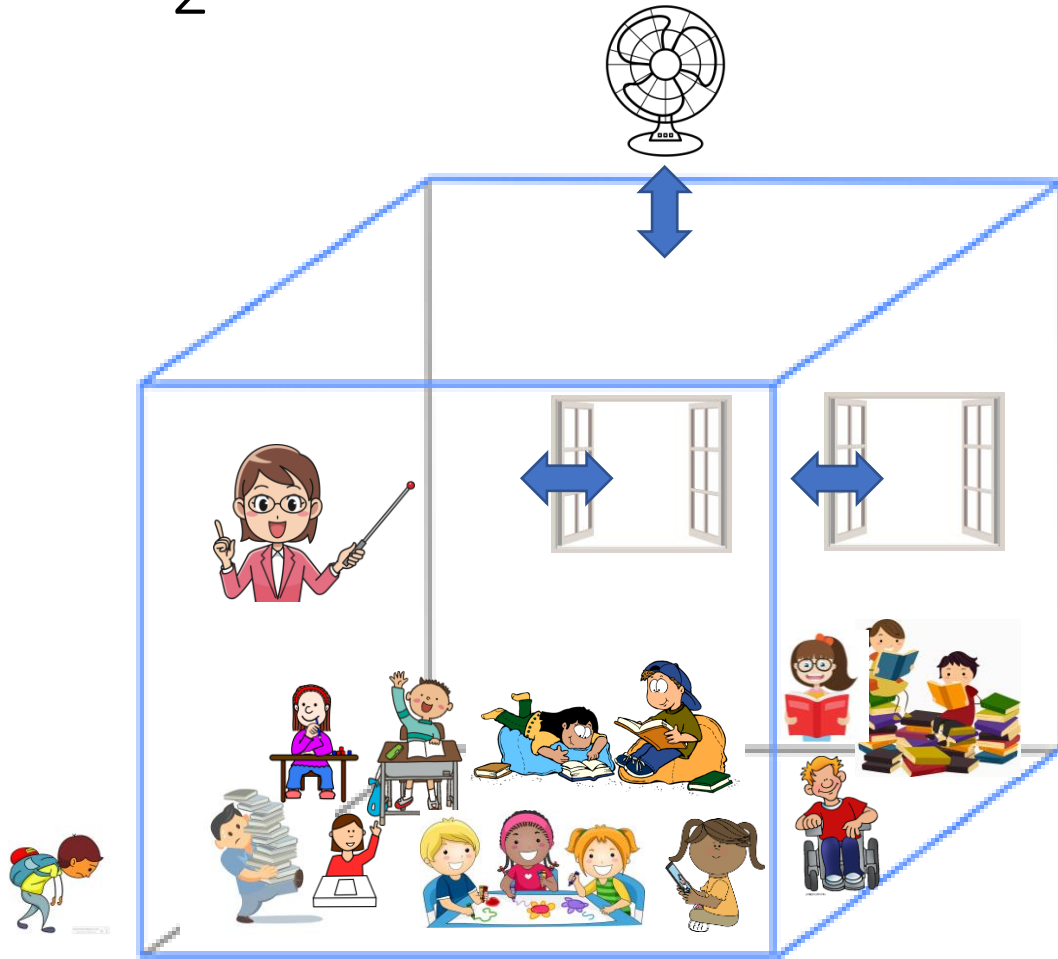


What can we do with occupied classroom CO₂ data?



- CO₂ concentration in room is a function of:
 - Size of room
 - Ventilation rate
 - Students/teachers
 - Number
 - Sex
 - Age
 - Weight
 - Activity level

What can we do with occupied classroom CO₂ data?



- Assumptions

- Parameters assumed to be constant
 - Not always true. Reasonable approximation for elementary schools
 - High maximum daily readings can indicate problem ventilation spaces even if not constant
- All air entering room is at outside CO₂ concentrations (not adjacent rooms)
 - True for portable classrooms
 - Not true for classrooms in buildings, which will underpredict/overpredict classroom CO₂ concentrations.

What can we do with occupied classroom CO₂ data?



Primary Ventilation per Person: 7.4 sL/s

Alternate Ventilation per Person: 5 sL/s

Initial Indoor CO₂ Concentration: 420 ppm

Outdoor CO₂ Concentration: 420 ppm

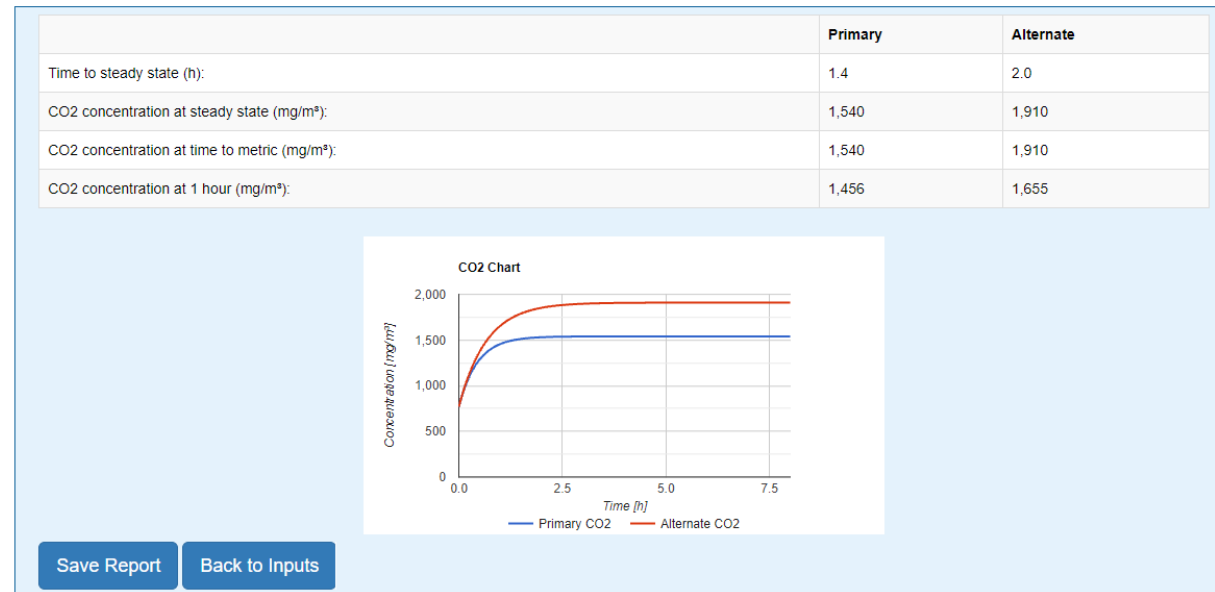
Ceiling Height: 3 m

Occupant Density: 25 #/100 m²

Time to Metric: 6 h

Occupants

Number of Occupants	Sex	Mass (kg)	Age Group	Activity Level (met)
1	F	70	30 to 59	2.5
12	M	23	3 to 9	1.5
12	F	23	3 to 9	1.5



What can we do with occupied classroom CO₂ data?



Primary Ventilation per Person: 7.4 sL/s

Alternate Ventilation per Person: 5 sL/s

Initial Indoor CO2 Concentration: 420 ppm

Outdoor CO2 Concentration: 420

Ceiling Height: 3 m

Occupant Density: 25 #/100 m²

Time to Metric: 6 h

Occupants

Number of Occupants	Sex	Mass (kg)	Age Group	Activity Level (met)
1	F	70	30 to 59	2.5
12	M	23	3 to 9	1.5
12	F	23	3 to 9	1.5

What can we do with occupied classroom CO₂ data?



Primary Ventilation per Person: 7.4 sL/s

Alternate Ventilation per Person: 5 sL/s

Initial Indoor CO₂ Concentration: 420 ppm

Outdoor CO₂ Concentration: 420 ppm

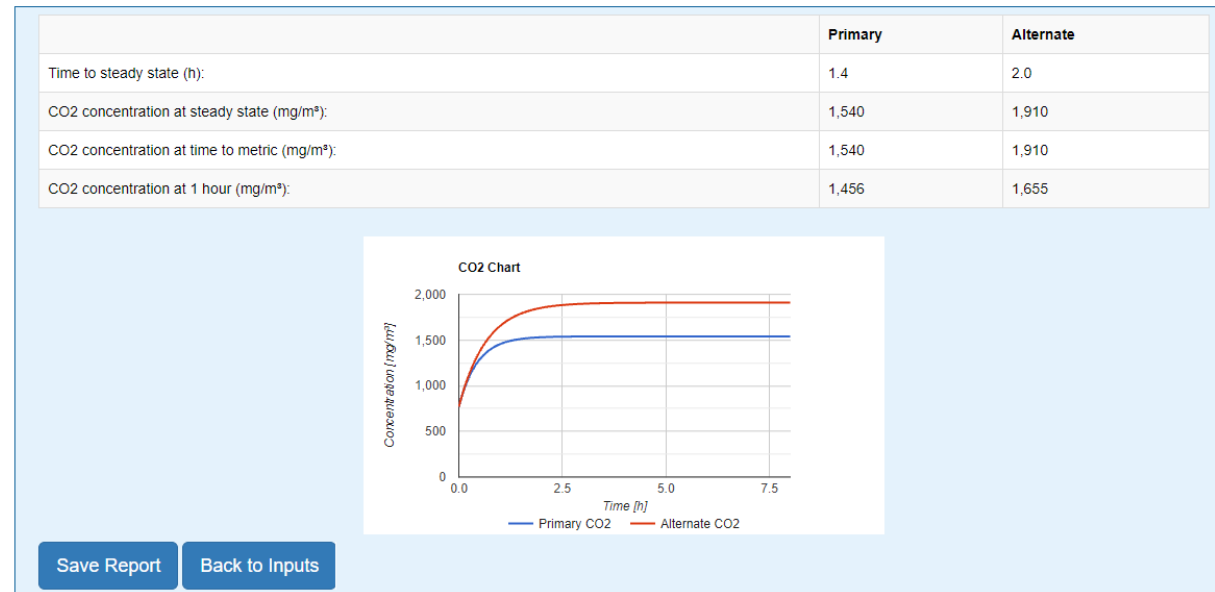
Ceiling Height: 3 m

Occupant Density: 25 #/100 m²

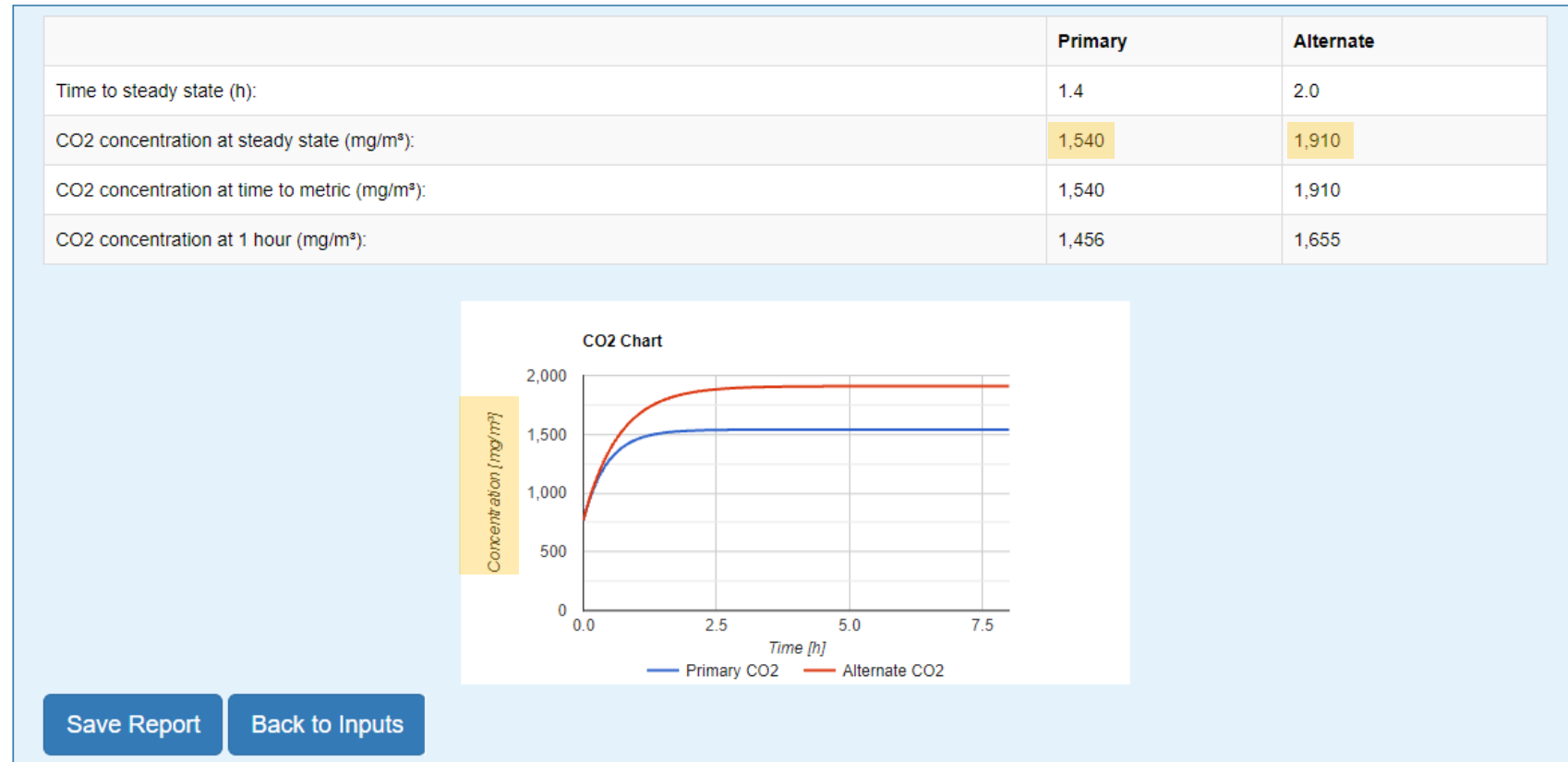
Time to Metric: 6 h

Occupants

Number of Occupants	Sex	Mass (kg)	Age Group	Activity Level (met)
1	F	70	30 to 59	2.5
12	M	23	3 to 9	1.5
12	F	23	3 to 9	1.5

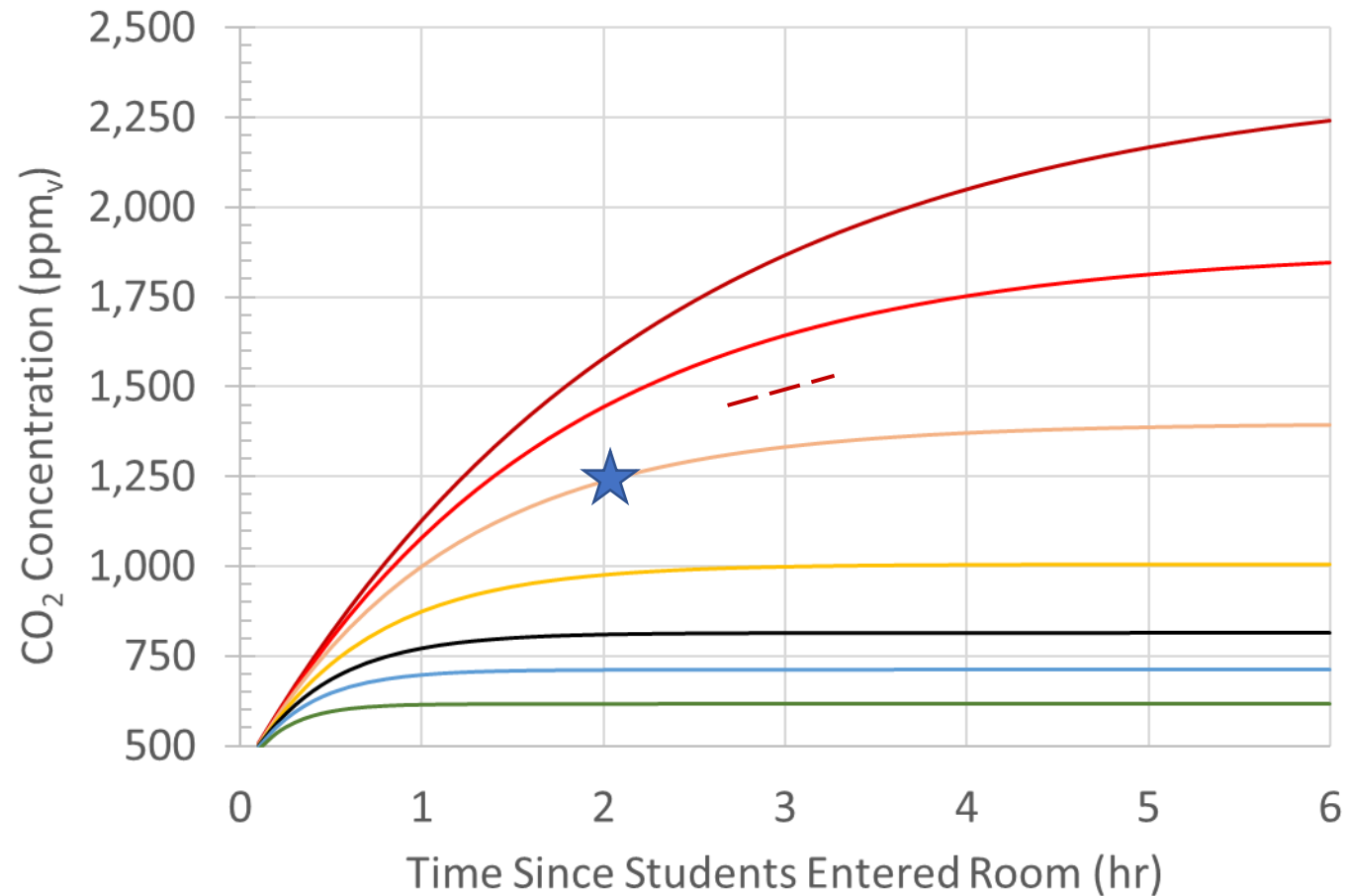


What can we do with occupied classroom CO₂ data?



For CO₂ at 25 °C and 1 atm:
1 mg m⁻³ = 0.53 ppm_v

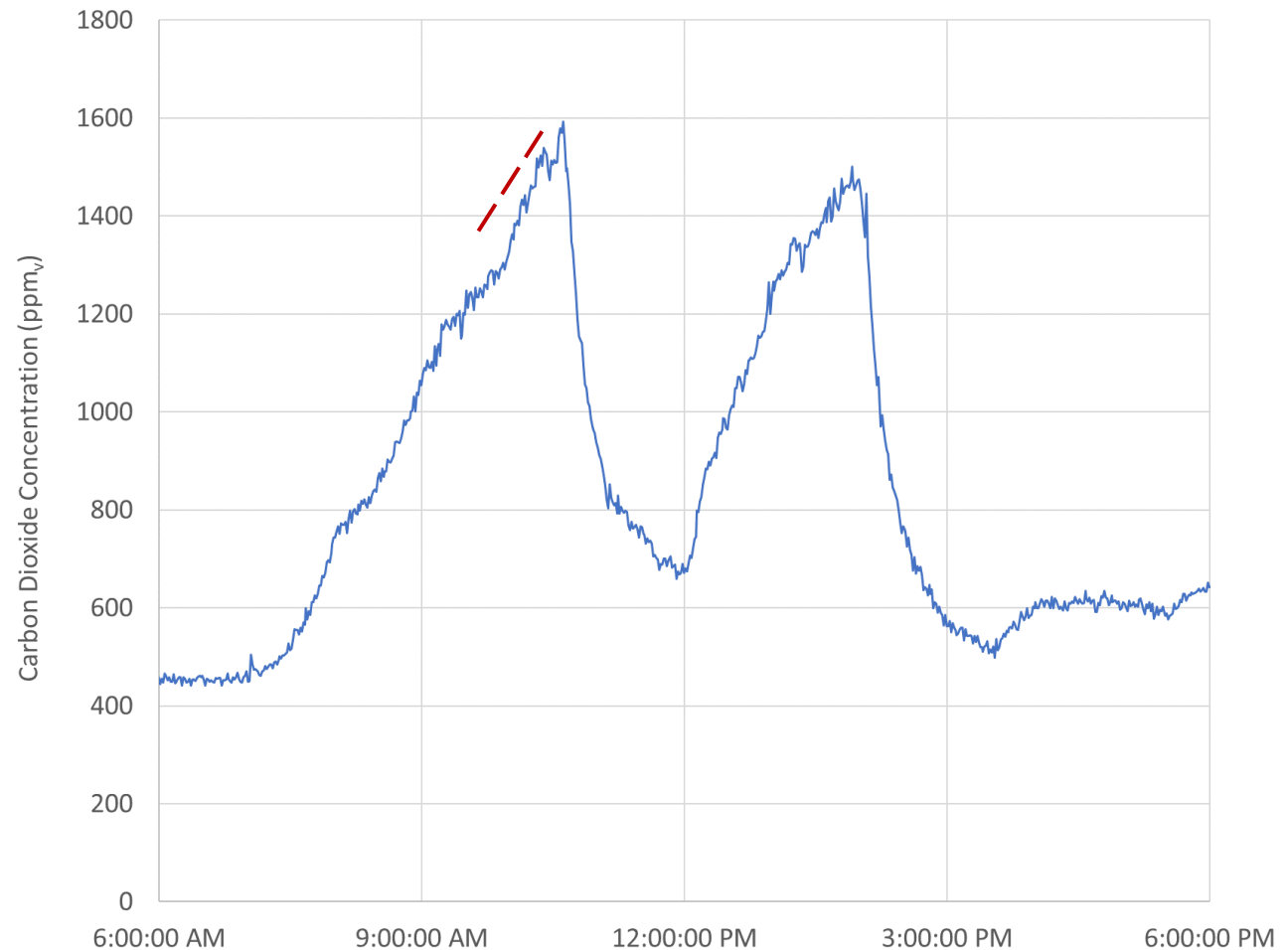
What can we do with occupied classroom CO₂ data?



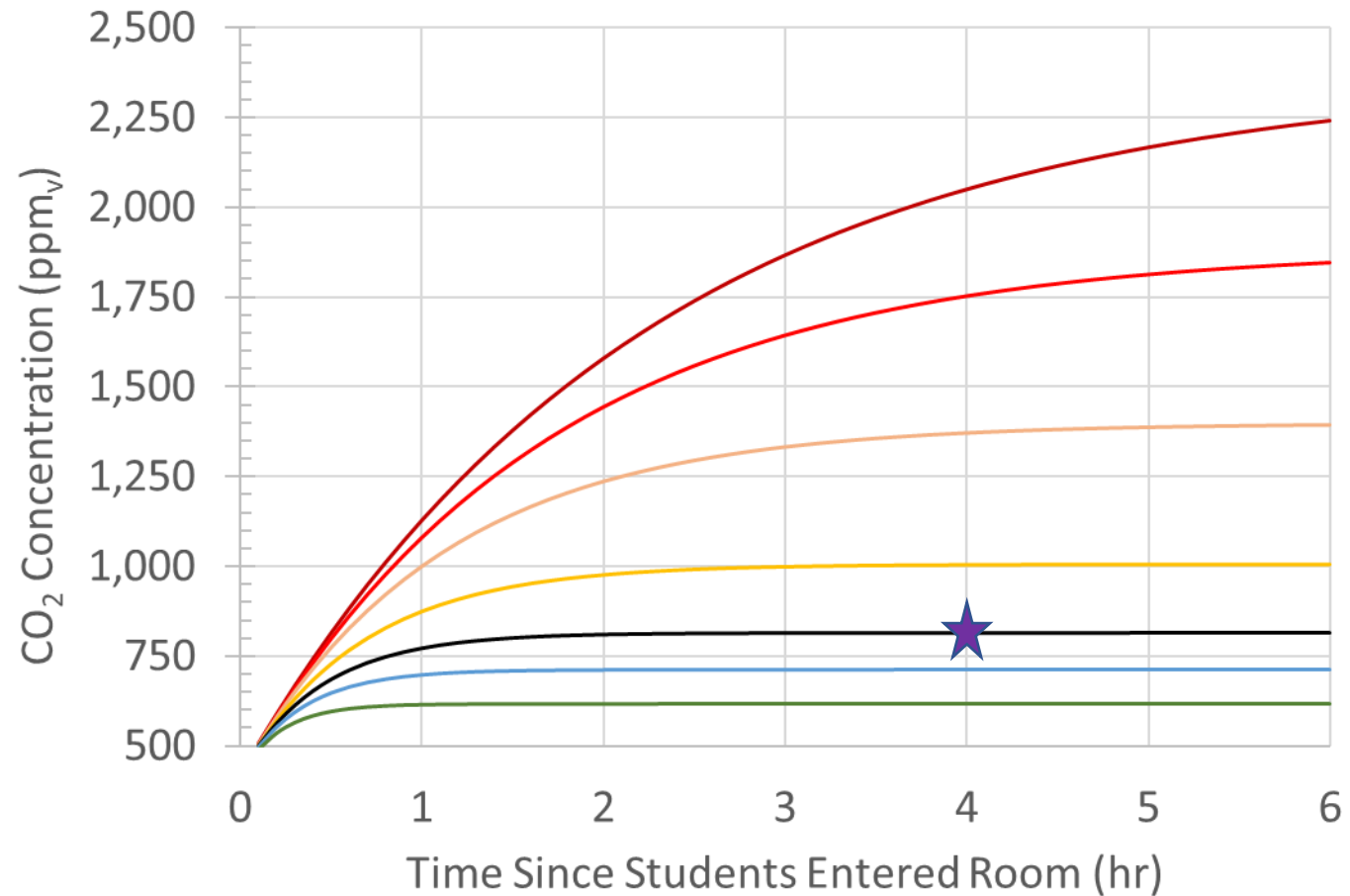
↑
Lower
Ventilation
Rate

Higher
Ventilation
Rate
↓

What can we do with occupied classroom CO₂ data?



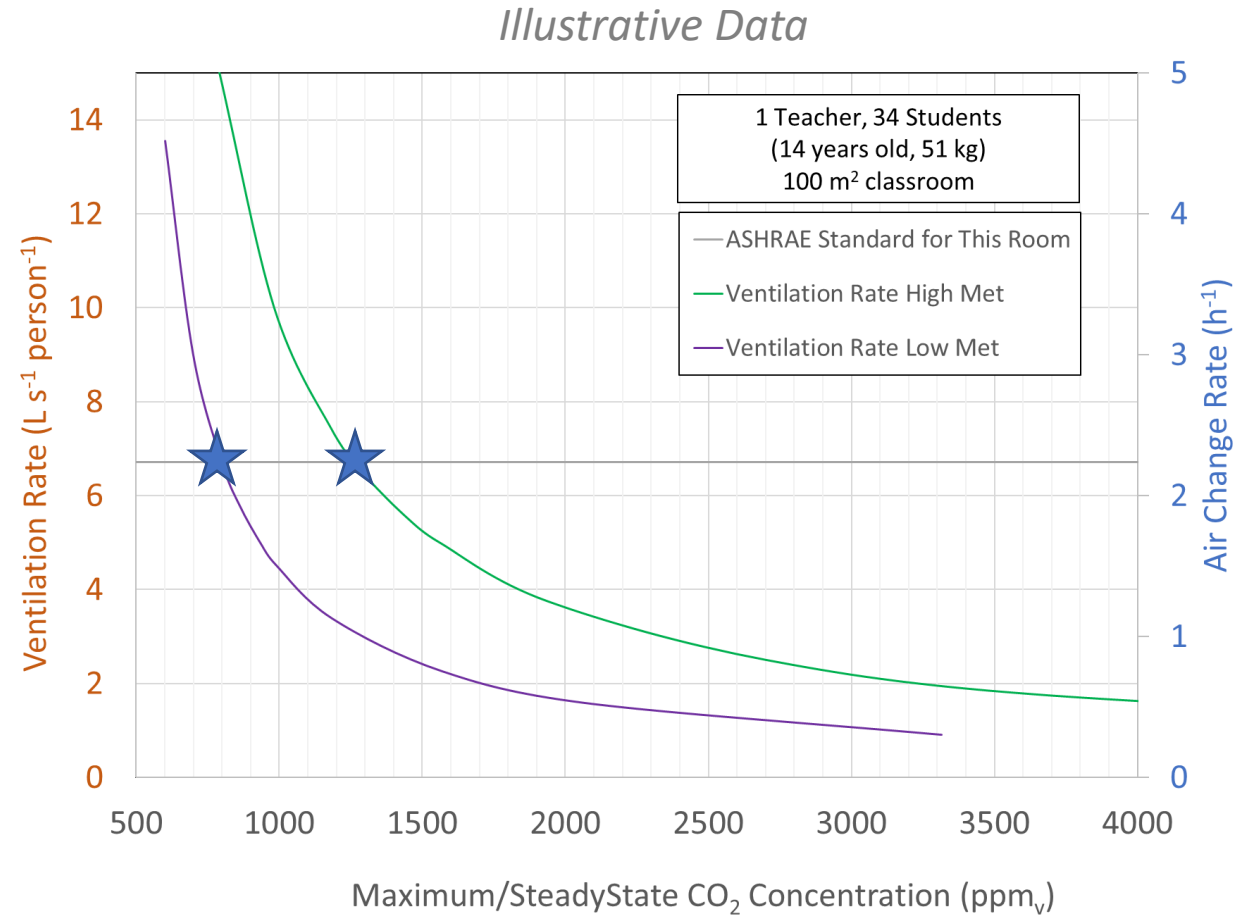
What can we do with occupied classroom CO₂ data?



↑
Lower
Ventilation
Rate

Higher
Ventilation
Rate
↓

What can we do with occupied classroom CO₂ data?



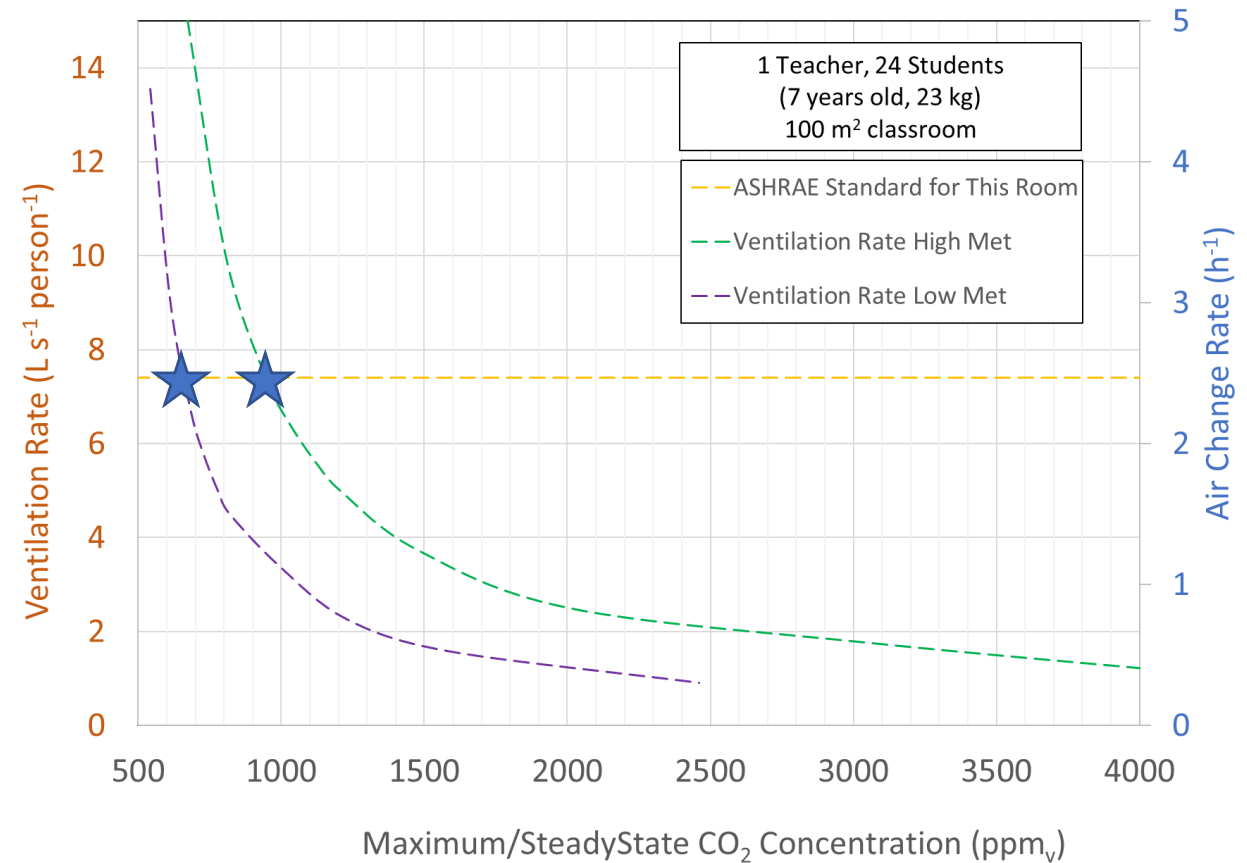
*High = Teacher @ 3 met, Students @ 2 met

Low = Teacher @ 2 met, Students @ 1 met

What can we do with occupied classroom CO₂ data?



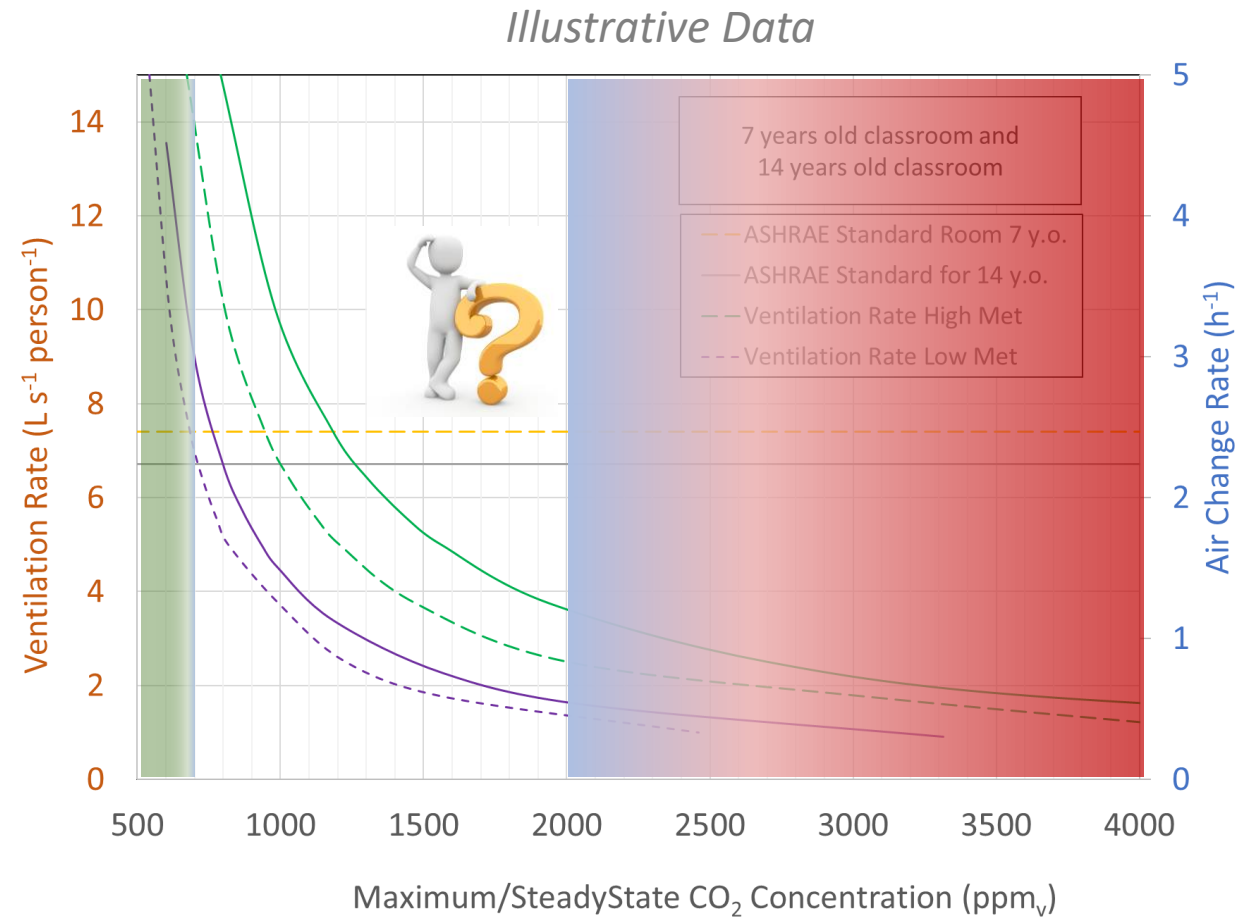
Illustrative Data



*High = Teacher 3 met, Students 2 met




Low = Teacher 2 met, Students 1 met

What can we do with occupied classroom CO₂ data?



*High = Teacher 3 met, Students 2 met
Low = Teacher 2 met, Students 1 met

CO₂ under Normal Ventilation

Space	Occupancy	Ventilation Rate (L/s/person)	Outdoor Air Change Rate (h ⁻¹)	Steady State or Mean Peak CO ₂ Concentration ppm _v	Reference
Idealized Classroom Meeting ASHRAE Standards (5- to 8-year-olds)	24 students 1 instructor	7.4	~2.6 ^a	970 ^b	 <p>The 16th Conference of the International Society of Indoor Air Quality & Climate ONLINE From November 1, 2020 Paper ID ABS-0446</p> <p>Development and Application of an Indoor Carbon Dioxide Metric</p> <p>Andrew Persily*, Brian Polidoro</p> <p>National Institute of Standards and Technology, Gaithersburg, USA</p>
Idealized Classroom Meeting ASHRAE Standards (>9 year-olds)	34 students 1 instructor	6.7	N/A	1320 ^b	 <p>The 16th Conference of the International Society of Indoor Air Quality & Climate ONLINE From November 1, 2020 Paper ID ABS-0446</p> <p>Development and Application of an Indoor Carbon Dioxide Metric</p> <p>Andrew Persily*, Brian Polidoro</p> <p>National Institute of Standards and Technology, Gaithersburg, USA</p>
10 Actual California Classrooms	N/A	2.6 – 7.1	N/A	1,140 - 2,380	 <p>Original Article Free Access </p> <p>Association of classroom ventilation with reduced illness absence: a prospective study in California elementary schools</p> <p>M. J. Mendell, E. A. Eliseeva, M. M. Davies, M. Spears, A. Lobscheid, W. J. Fisk, M. G. Apte</p> <p>First published: 19 March 2013 https://doi.org/10.1111/ina.12042 Citations: 99</p>

Consumer-grade sensors report concentrations in ppm_v. SI units are µg m⁻³. 1000 ppm_v CO₂ = 929 µg CO₂ m⁻³ at 25 °C and 1 atm.

^aClassroom volume values from Ng et. al. 2020
https://tsapps.nist.gov/publication/get_pdf.cfm?pub_id=930986

^bAssuming outdoors 420 ppm_v

Pandemic Ventilation Guidelines

Ventilation

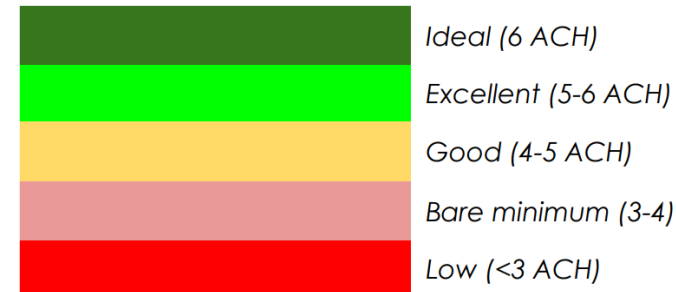
It is of utmost importance that building ventilation is managed in accordance with the recommendations. As the virus is spread through aerosols, ventilation plays a crucial role in reducing the infection risk. It allows for indoor air renewal of classrooms and reduces the presence of these aerosols, which may be contaminated with COVID-19 by an infected person present in the room.

The CO₂ content of the air should, ideally, not exceed **900 ppm** and under no circumstances should it exceed the standard of **1200 ppm**. To achieve this objective, key actions must be taken. These actions are described in the document "Practical recommendations for monitoring ventilation and air quality in COVID-19" prepared by the Ventilation Task Force of the COVID-19 Commissariat.



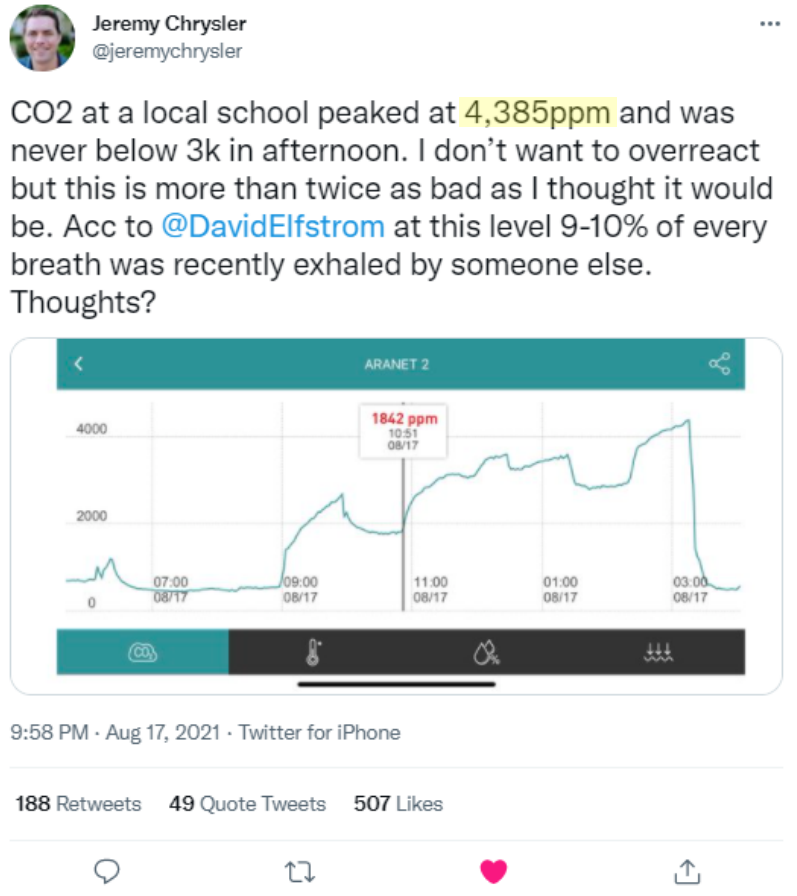
<https://www.info-coronavirus.be/en/ventilation/>

TARGET IS AT LEAST 5 TOTAL AIR CHANGES PER HOUR



<https://schools.forhealth.org/wp-content/uploads/sites/19/2020/08/Harvard-Healthy-Buildings-program-How-to-assess-classroom-ventilation-08-28-2020.pdf>

We found a poorly ventilated classroom, now what?



- Check Equipment:
 - Faulty damper, fan, sensor
- Check Room Use:
 - Room is not being used as designed (e.g. 35 students instead of 20)
- Search:
 - Other CO₂ sources?


What can we do with CO₂ readings from a classroom?

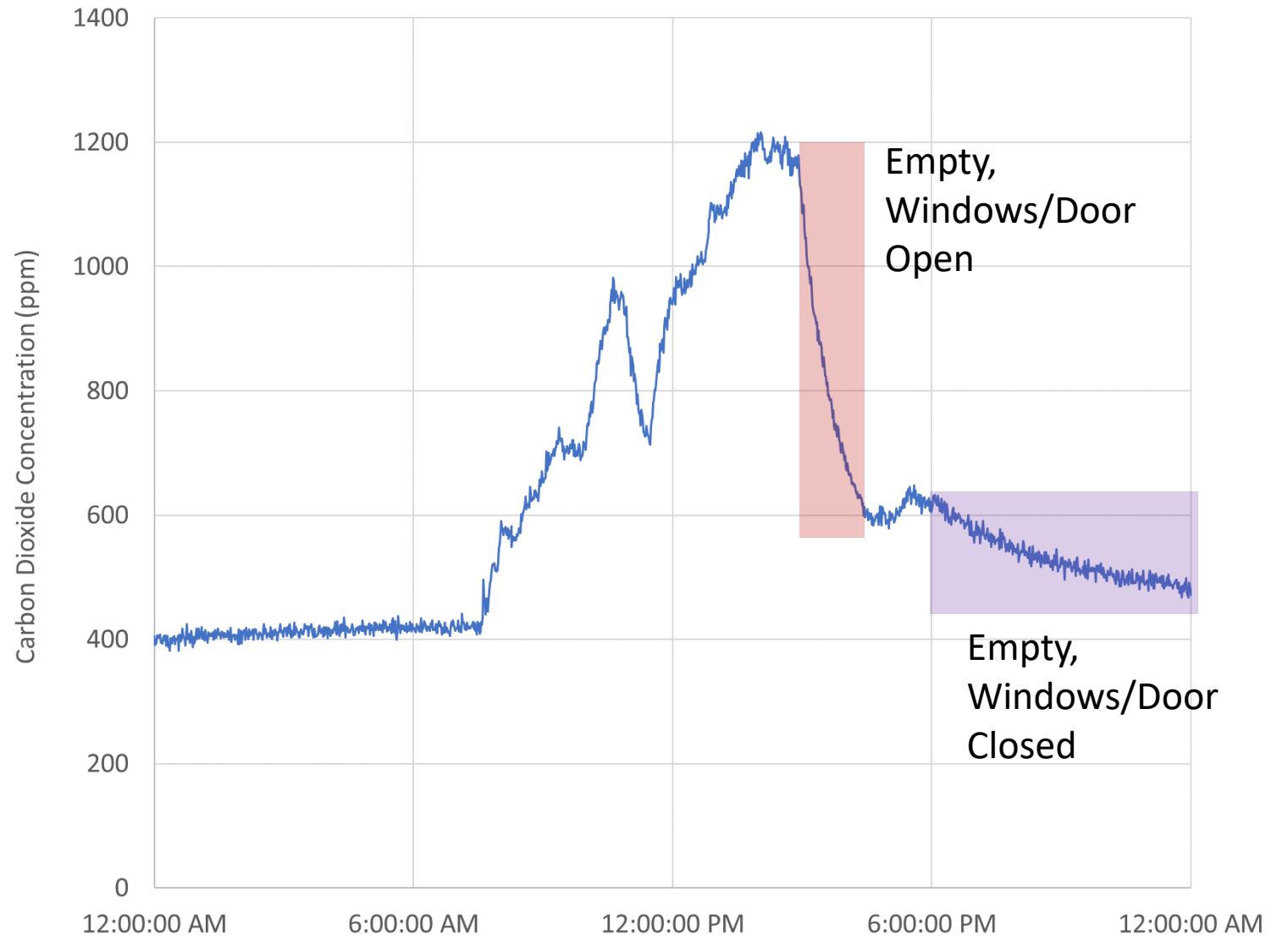


- Relative risk
 - Rebreathed Fraction
- Ventilation Assessment
 - Maximum Daily Concentration
 - Normal OCCUPANCY!
 - **Air Change Rate Estimate**
 - **Unoccupied!**

Example Classroom CO₂ Data



1200 ppm_v 



Fun Math & Curve Fit =>

CO₂ Decay Rate = **ESTIMATED** Air Change Rate

U Substitution

$$\frac{\partial C}{\partial t} = \lambda C_o - \lambda C + \frac{E}{V}$$

$$\frac{\partial C}{\lambda C_o - \lambda C + \frac{E}{V}} = \partial t \quad u = -\lambda C_o + \lambda C - \frac{E}{V}$$

$$\int \frac{\partial u}{\lambda(-u)} = \int \partial t \Rightarrow \int \frac{\partial u}{u} = -\lambda \int \partial t \quad \partial u = \lambda \partial C$$

$$\ln(u) = -\lambda t \quad \frac{\partial u}{\lambda} = \partial C$$

$$\ln\left(\lambda C - \lambda C_o - \frac{E}{V}\right) \Big|_{t=0}^t = -\lambda t \Big|_0^t$$

$$\ln\left(\frac{\lambda C - \lambda C_o - \frac{E}{V}}{\lambda C_{t=0} - \lambda C_o - \frac{E}{V}}\right) = -\lambda t$$

$$\frac{\lambda C - \lambda C_o - \frac{E}{V}}{\lambda C_{t=0} - \lambda C_o - \frac{E}{V}} = e^{-\lambda t}$$

$$\lambda C - \lambda C_o - \frac{E}{V} = \left(\lambda C_{t=0} - \lambda C_o - \frac{E}{V}\right) e^{-\lambda t}$$

$$\lambda C = \left(\lambda C_{t=0} - \lambda C_o - \frac{E}{V}\right) e^{-\lambda t} + \lambda C_o + \frac{E}{V}$$

$$C = \left(C_{t=0} - C_o - \frac{E}{\lambda V}\right) e^{-\lambda t} + C_o + \frac{E}{\lambda V}$$

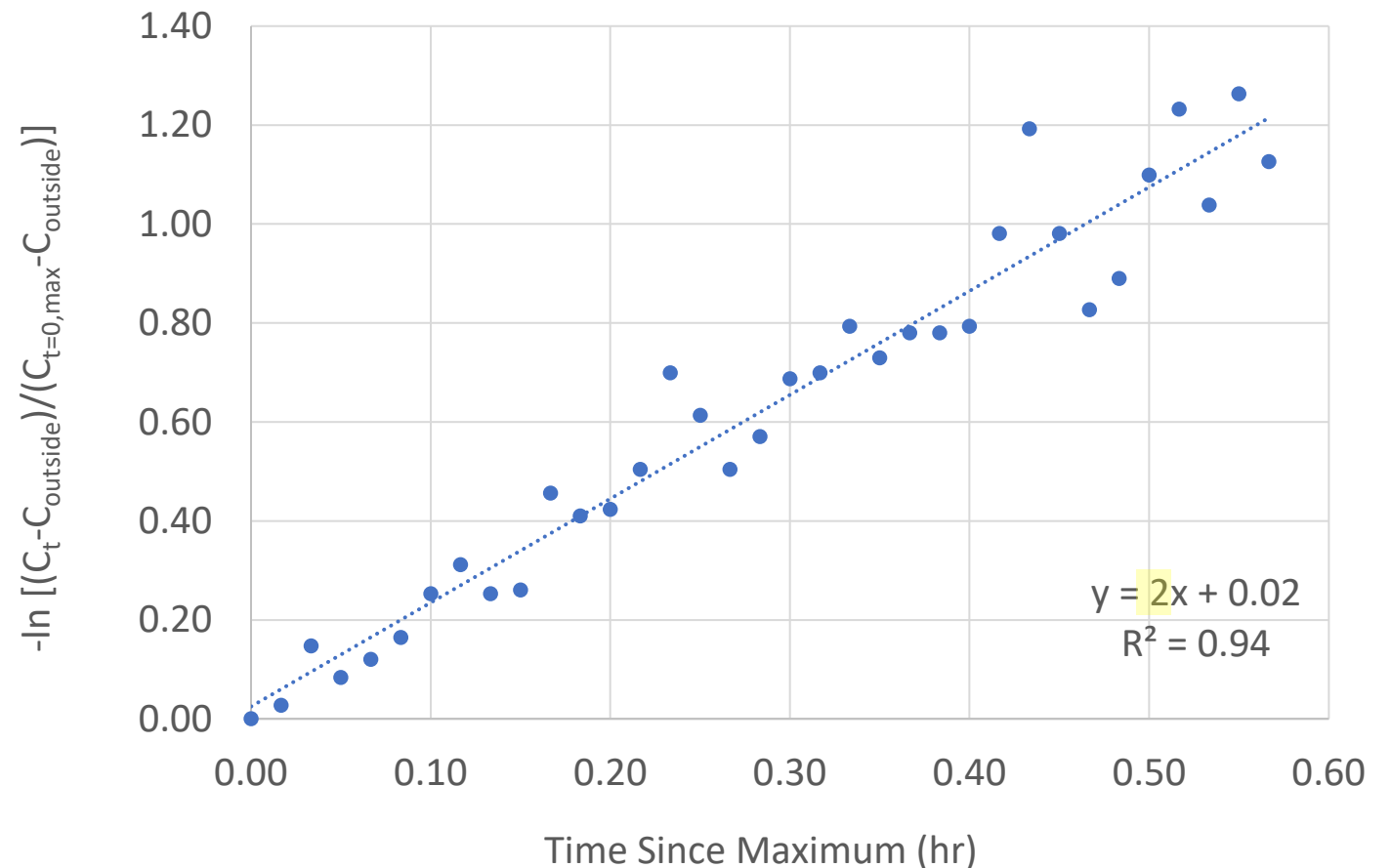
$$C = C_{t=0} e^{-\lambda t} + \left(-C_o - \frac{E}{\lambda V}\right) e^{-\lambda t} + C_o + \frac{E}{\lambda V}$$

$$C = C_{t=0} e^{-\lambda t} + \left(C_o - C_o e^{-\lambda t} + \frac{E}{\lambda V} - \frac{E}{\lambda V} e^{-\lambda t}\right)$$

$$C = C_{t=0} e^{-\lambda t} + C_o (1 - e^{-\lambda t}) + \frac{E}{\lambda V} (1 - e^{-\lambda t})$$

$$C = C_{t=0} e^{-\lambda t} + \left(C_o + \frac{E}{\lambda V}\right) (1 - e^{-\lambda t})$$

$$-\ln \left[\frac{(C_t - C_{outside})}{(C_{t=0, \max} - C_{outside})} \right] \text{ vs. } t$$



Fun Math & Curve Fit =>

CO₂ Decay Rate = **ESTIMATED** Air Change Rate

U Substitution

$$\frac{\partial C}{\partial t} = \lambda C_o - \lambda C + \frac{E}{V}$$

$$\frac{\partial C}{\lambda C_o - \lambda C + \frac{E}{V}} = \partial t \quad u = -\lambda C_o + \lambda C - \frac{E}{V}$$

$$\int \frac{\partial u}{\lambda(-u)} = \int \partial t \Rightarrow \int \frac{\partial u}{u} = -\lambda \int \partial t \quad \partial u = \lambda \partial C$$

$$\ln(u) = -\lambda t \quad \frac{\partial u}{\lambda} = \partial C$$

$$\ln\left(\lambda C - \lambda C_o - \frac{E}{V}\right) \Big|_{t=0}^t = -\lambda t \Big|_0^t$$

$$\ln\left(\frac{\lambda C - \lambda C_o - \frac{E}{V}}{\lambda C_{i=0} - \lambda C_o - \frac{E}{V}}\right) = -\lambda t$$

$$\frac{\lambda C - \lambda C_o - \frac{E}{V}}{\lambda C_{i=0} - \lambda C_o - \frac{E}{V}} = e^{-\lambda t}$$

$$\lambda C - \lambda C_o - \frac{E}{V} = \left(\lambda C_{i=0} - \lambda C_o - \frac{E}{V}\right) e^{-\lambda t}$$

$$\lambda C = \left(\lambda C_{i=0} - \lambda C_o - \frac{E}{V}\right) e^{-\lambda t} + \lambda C_o + \frac{E}{V}$$

$$C = \left(C_{i=0} - C_o - \frac{E}{\lambda V}\right) e^{-\lambda t} + C_o + \frac{E}{\lambda V}$$

$$C = C_{i=0} e^{-\lambda t} + \left(-C_o - \frac{E}{\lambda V}\right) e^{-\lambda t} + C_o + \frac{E}{\lambda V}$$

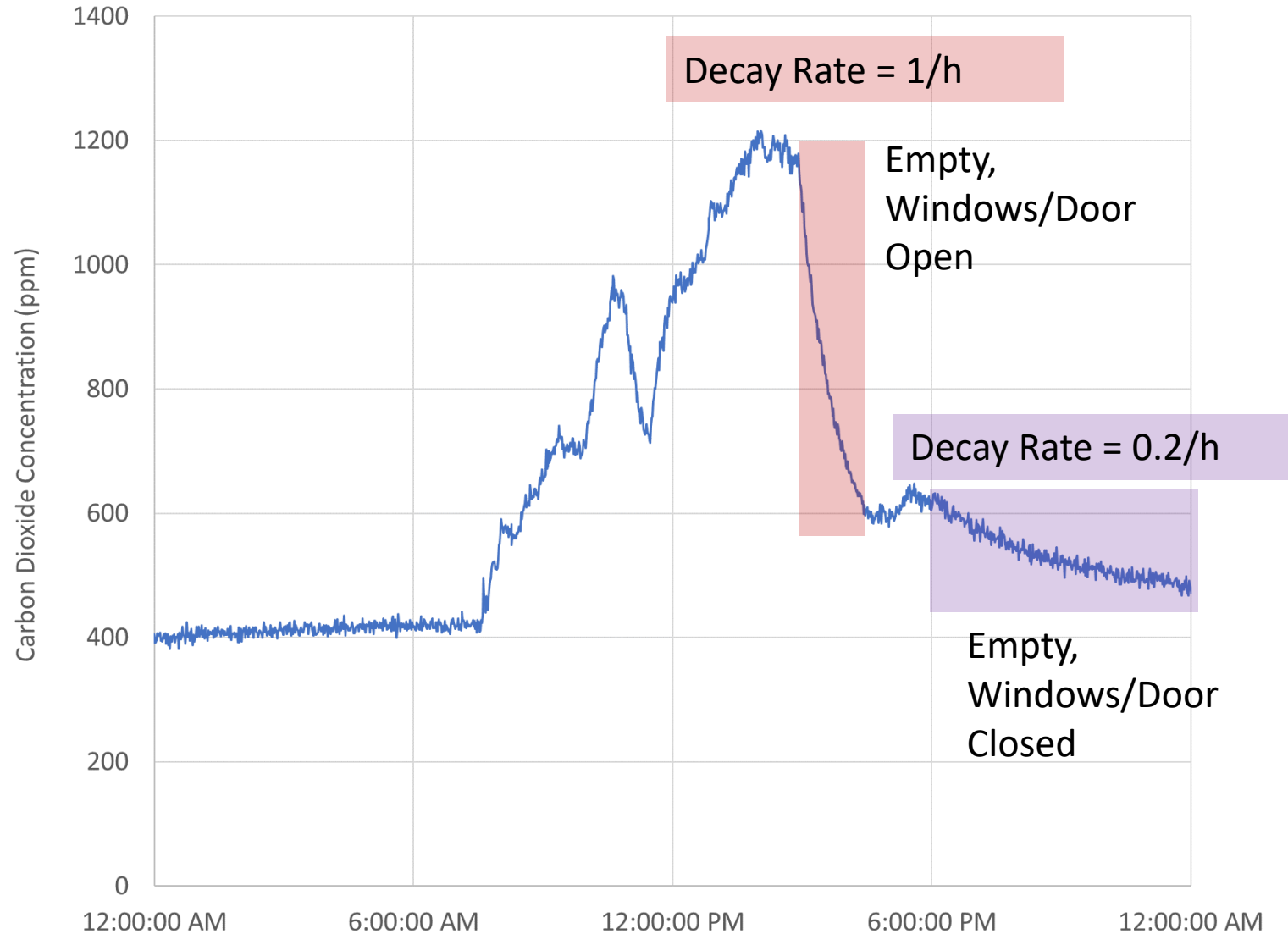
$$C = C_{i=0} e^{-\lambda t} + \left(C_o - C_o e^{-\lambda t} + \frac{E}{\lambda V} - \frac{E}{\lambda V} e^{-\lambda t}\right)$$

$$C = C_{i=0} e^{-\lambda t} + C_o (1 - e^{-\lambda t}) + \frac{E}{\lambda V} (1 - e^{-\lambda t})$$

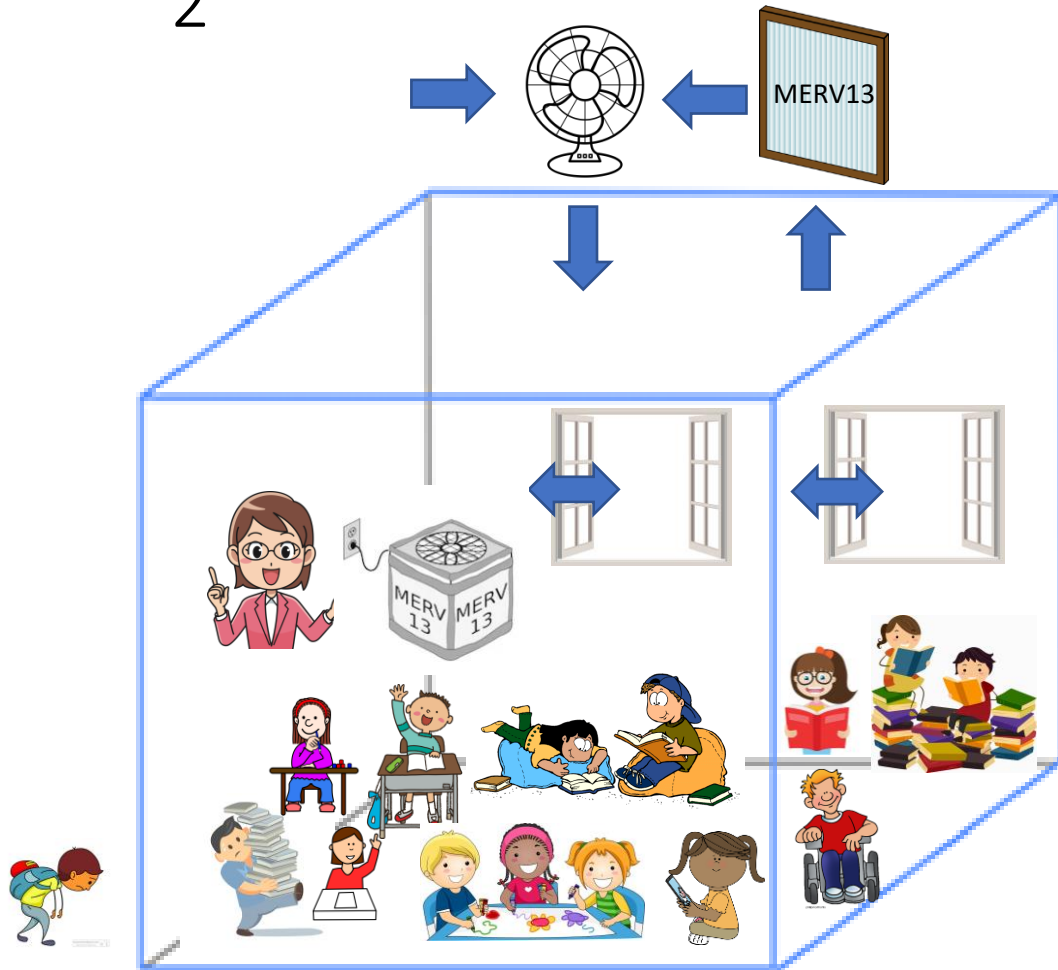
$$C = C_{i=0} e^{-\lambda t} + \left(C_o + \frac{E}{\lambda V}\right) (1 - e^{-\lambda t})$$

- The curve fit will introduce uncertainty.
- Air change rates can change by up to a factor of 2-10 depending on the weather and building operation
- CO₂ decay rates assumes all air entering the space comes from outside, rather than hallways/other classrooms.
- This method assumes the entire building has a uniform concentration and all sources are out of the building during the decay phase. This is likely not true in schools/classrooms.
- Some systems may change ventilation when they sense the occupant thermal load has left the room
- High air change rates do not guarantee healthy indoor air

Example Classroom CO₂ Data



What can we do with occupied classroom CO₂ data?



- Effective particle decay rate in classroom (1/h)
- Sometimes called:
 - Effective air change rate (eACH) for particles

Ventilation

+ Portable Air Filtration

+ HVAC Filtration

eACH

What can we do with occupied classroom CO₂ data?

- Effective particle decay rate in classroom (1/h)
- Sometimes called:
 - Effective air change rate (eACH) for particles

Ventilation

$$a \left(\frac{(L)}{(S)(Person)} \right) \left(\frac{1}{Volume (m^3)} \right) (\#People) \left(\frac{m^3}{1000L} \right) \left(\frac{3600s}{h} \right) = x \frac{1}{h}$$

+ Portable Air Filtration

$$b \left(\frac{(CADR)ft^3}{min} \right) \left(\frac{1}{Volume (ft^3)} \right) \left(\frac{60 min}{h} \right) = y \frac{1}{h}$$

+ HVAC Filtration

$$c \left(\frac{ft^3}{min} \right) \left(\frac{1}{Volume (ft^3)} \right) \left(\frac{60 min}{h} \right) = z \frac{1}{h}$$

eACH

$$= x \frac{1}{h} + y \frac{1}{h} + z \frac{1}{h}$$

Don't forget the buses!



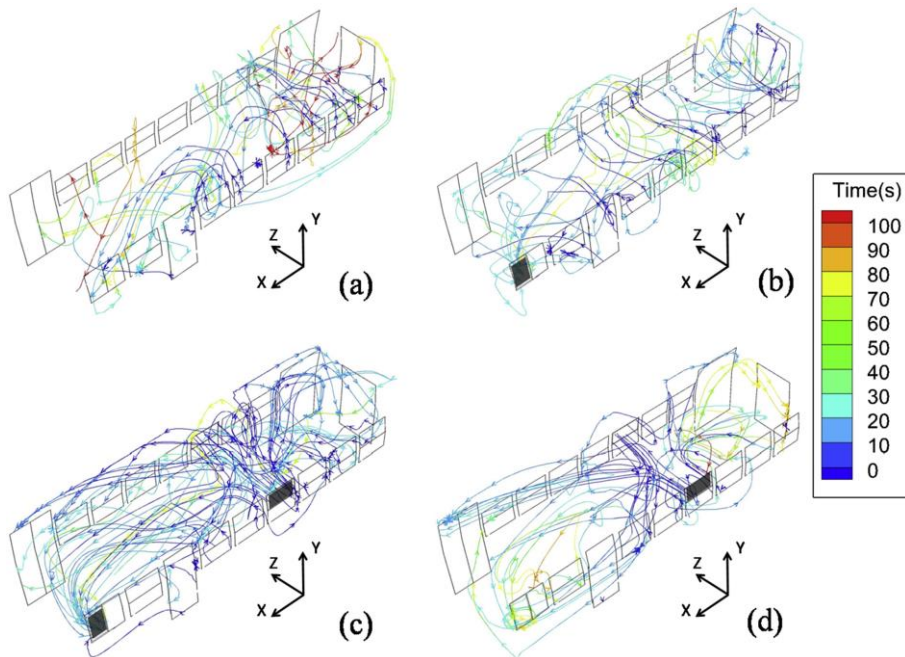
Atmospheric Environment
Volume 167, October 2017, Pages 434-443



Effects of the window openings on the micro-environmental condition in a school bus

Fei Li ^a, Eon S. Lee ^b, Bin Zhou ^a, Junjie Liu ^c, Yifang Zhu ^b

[Show more](#) ✓



- “At minimum fully open the front two windows and the second to last two windows.”

Bottom Lines of CO₂ Concentration Measurements in Classrooms:



- Varies with
 - Occupants
 - Time and season
- Actionable data
 - **High values:**
 - Implement remedial actions with building operators
 - **Low values:**
 - Not a guarantee the space is safe
 - Continue to monitor and inspect
- Can build trust between occupants and building operators
- Ancillary benefits of higher classroom ventilation



Thank you