

1 **Field Ion Emission in an Atom Probe Microscope Triggered**
2 **by Femtosecond-Pulsed Coherent Extreme Ultraviolet**
3 **Light[‡]**

4 Short Title: Field Ion Emission using Extreme Ultraviolet Light

5

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20 **Abstract**

21 This paper describes initial experimental results from an extreme ultraviolet (EUV) radiation-
22 pulsed atom probe microscope. Femtosecond-pulsed coherent extreme ultraviolet radiation of 29.6
23 nm wavelength (41.85 eV photon energy), obtained through high harmonic generation in an Ar-
24 filled hollow capillary waveguide, successfully triggers controlled field ion emission from the apex
25 of amorphous SiO₂ specimens. The calculated composition is stoichiometric within the error of
26 the measurement and effectively invariant of the specimen base temperature in the range 25 K to
27 150 K. Photon energies available in the EUV band are significantly higher than those currently
28 used in state-of-the-art near ultraviolet laser-pulsed atom probe, which enables the possibility of
29 additional ionization and desorption pathways. Pulsed coherent EUV light is a new and potential
30 alternative to near-ultraviolet radiation for atom probe tomography.

31

32 **Key words:** atom probe tomography, field ion emission, EUV, femtosecond pulse

33

34 **Introduction**

35 In modern three-dimensional (3D) laser-pulsed atom probe tomography (APT), a pulsed laser
36 (typically near ultraviolet (NUV); $300 \text{ nm} \leq \lambda \leq 400 \text{ nm}$ (ISO-21348, 2013)) incident on an
37 electrically biased, needle-shaped specimen provides a thermal transient to overcome the
38 activation energy barrier to field ion evaporation (Kellogg, 1987; Kelly & Miller, 2007; Gault et
39 al., 2012; Larson et al., 2013; Kelly et al., 2014; Miller & Forbes, 2014; Lefebvre-Ulrikson et al.,
40 2016). In addition to metallic specimens, which are highly absorbing in the NUV band, NUV laser-
41 pulsed 3D APT (hereafter simply referred to as NUV APT) is also routinely used on materials that
42 weakly absorb or are essentially transparent at those wavelengths in the bulk. For example, SiO₂,

43 diamond, yttria-stabilized zirconia, Al_2O_3 , and MgO have optical bandgaps above the typical NUV
44 photon energy of ≈ 3.5 eV, but have been successfully examined in the bulk using laser-pulsed
45 APT (Larson et al., 2008; Schirhagl et al., 2015; Ohkubo et al., 2009; Marquis et al., 2010; Stiller
46 et al., 2013). The mechanism of high-field sub-gap photon absorption as it pertains to NUV APT
47 may vary depending on the material and experimental conditions. Several schemes have been
48 proposed (Marquis et al., 2010; Chen et al., 2011; Mazumder et al., 2010; Silaeva et al., 2013,
49 2014; Vella, 2013), e.g. photo-generated holes at the sample surface, band bending and metal-like
50 behavior resulting from the high applied field, Franz-Keldysh effects, multi-photon effects, or gap
51 states introduced by vacancies, interstitials, or surface amorphization from the sample preparation
52 process itself.

53
54 Following the successful initial demonstration of photon-stimulated field ionization using a 300
55 nm dye laser in 1976 (Tsong et al., 1976), the pulsed-laser imaging atom probe instrument
56 developed by Kellogg and Tsong in 1980 (Kellogg & Tsong, 1980; Tsong et al., 1982) employed
57 a 337 nm nitrogen laser and demonstrated photon-assisted field ion evaporation of both metals and
58 semiconductors. The first commercial laser-pulsed atom probe field ion microscope (Cerezo et al.,
59 1984) followed in 1984 and used 532 nm light from a frequency doubled Nd:YAG system.
60 Throughout the late 1980s and 1990s further developments in laser-pulsed APT slowed due to the
61 difficulty and expense involved in integrating the unreliable pulsed laser technology available at
62 the time (Gault et al., 2006; Bunton et al., 2007; Kelly & Miller, 2007; Lefebvre-Ulrikson et al.,
63 2016). However, in the early 2000s both pulsed laser and 3D atom probe technology and hardware
64 improved to a point that several groups renewed the idea of photon-assisted field ion evaporation
65 for 3D APT (Deconihout et al., 2004; Gault et al., 2006; Cerezo et al., 2006; Bunton et al., 2007).

66 Early modern laser-pulsed 3D APT instruments used lasers with fundamental wavelengths in the
67 infrared (IR; ranging from $\lambda = 1064$ nm down to $\lambda = 780$ nm); these subsequently evolved over
68 time to use frequency doubled visible ($\lambda = 532$ nm or $\lambda = 515$ nm) and now frequency tripled (or
69 even quadrupled) NUV and mid-ultraviolet wavelengths ($\lambda = 355$ nm to $\lambda = 258$ nm) (Renaud et
70 al., 2006; Cerezo et al., 2006; Gault et al., 2006; Bunton et al., 2007; Deconihout et al., 2007;
71 Stender et al., 2007; Vurpillot et al., 2009; Houard et al., 2010; Bunton et al., 2010; Schlesiger et
72 al., 2010; Hono et al., 2011). Each of these changes was a logical progression driven by the
73 observation that APT data quality improved as laser wavelength decreased (Santhanagopalan et
74 al., 2015; Hono et al., 2011; Gault et al., 2011) due to both increased absorption and a concomitant
75 shallower absorption depth, which leads to faster cooling. Such improvements include increasingly
76 narrower peaks/higher mass resolution, the ability to analyze a much larger class of materials
77 including wide band gap semiconductors and insulators, and improved spatial resolution.

78
79 Although NUV APT is generally effective for analyzing many materials, numerous artifacts can
80 lead to quantitative compositional errors in the resulting mass spectrum. Such artifacts include
81 peak broadening, peak splitting, and persistent evaporation (in the form of so-called “thermal tails”)
82 (Kelly et al., 2014; Miller et al., 2012; Johnson et al., 2013; Larson et al., 2013; Lefebvre-Ulrikson
83 et al., 2016). The origin of many of these sources of error lies in the fact that laser-pulsing of field
84 evaporation is a thermally-activated process (Kelly et al., 2014; Tsong, 1984; Cerezo et al., 2006;
85 Bunton et al., 2007; Vurpillot et al., 2009; Houard et al., 2010). In laser-pulsed APT, peak
86 broadening and thermal tails arise from persistent or delayed evaporation as the specimen volume
87 remains at elevated temperature and continues to emit ions after the laser pulse has passed. Peak
88 splitting can occur when more than one cooling mechanism is operating simultaneously (Vella et

89 al., 2011). Artifacts from the thermal process can also be found in the form of increased propensity
90 for molecular cluster ion evaporation, which can lead to degraded spatial resolution (Blum et al.,
91 2016), isobaric overlaps in the mass spectrum, increased multiple hits, or the formation of
92 undetected neutral species from in-flight cluster ion dissociation (Gault et al., 2016).

93

94 Therefore, given the persistent and still numerous sources of thermal artifacts in NUV laser-pulsed
95 APT mass spectral data, there is incentive to explore even shorter wavelengths such as those in the
96 extreme ultraviolet (EUV; $\lambda=124$ nm to 12 nm, $E_{\text{photon}}=10$ eV to 100 eV) (ISO-21348, 2013).
97 Unlike in the visible or NUV bands, absorption would be virtually assured in nearly any material
98 and within a very shallow depth (Gullikson, 2009). Since the EUV photon energy could be chosen
99 to exceed the bandgap or optical absorption edge of the material to be analyzed, it would be directly
100 absorbed at the surface without any requirement of surface defects, band bending, Franz-Keldysh
101 effects, multi-photon effects, or hole generation. In addition to the possibility of thermal pulsing
102 leading to field evaporation, the much higher photon energies available in the EUV band may more
103 readily promote ionization and desorption pathways that are not routinely observed in NUV APT,
104 involving interband transitions, resonant excitations, and charge transfer via tunneling (Tsong et
105 al., 1976; Tsong, 1978, 1984; Tsong & Kinkus, 1984; Kellogg, 1987; Menand & Kingham, 1985;
106 Nishigaki et al., 1979; Menand et al., 1984). Most significantly and uniquely, since the EUV
107 photon energy can be chosen so that it is above the ionization potential of any element, there are
108 additional athermal ionization mechanisms that are simply not available for most materials when
109 using NUV wavelengths. These include, for example, direct photoionization (defined here as
110 ionization through ejection of a “free” photoelectron above the vacuum level), impact ionization,
111 or ionization through Auger core-hole decay (Knotek & Feibelman, 1978; Knotek et al., 1979).

112 For metals, surface ions created through athermal processes are expected to be rapidly neutralized
113 by conduction band electrons before desorption can occur (Tsong et al., 1976; Viswanathan et al.,
114 1979; Tsong, 1984; Lichtman & Shapira, 1978). Therefore, athermal ionization mechanisms would
115 most likely be operative in materials with longer surface ion lifetimes, such as semiconductors and
116 insulators.

117

118 Picosecond-pulsed EUV radiation from a synchrotron source has been used to study photon-
119 assisted field ionization, photon-assisted field desorption, and field-assisted photodesorption (also
120 known as field-assisted photon stimulated desorption) of various adsorbed gas species on or above
121 a field emitter surface (Weigmann et al., 1984; Drachsel et al., 1985; Jaenicke et al., 1986;
122 Weigmann et al., 1986; Drachsel et al., 1987; Jaenicke et al., 1987; Jaenicke, Ciszewski,
123 Dosselmann, Drachsel, J. Block, et al., 1988; Jaenicke, Ciszewski, Dosselmann, Drachsel, J.H.
124 Block, et al., 1988). Collectively, for photon energies in the EUV band, ionization is attributed to
125 “direct particle-particle interactions” or “quantum excitation” processes, i.e. athermal ionization
126 mechanisms. There is no evidence in previous studies of EUV photon-assisted field evaporation
127 or field-assisted photodesorption *of the substrate atoms themselves*, which is a requirement to
128 perform atom probe tomography. In this paper, we describe the first reported EUV radiation-pulsed
129 atom probe microscope and demonstrate pulsed EUV radiation-assisted field ion emission of
130 substrate atoms in amorphous SiO₂ specimens.

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134

135 **Materials and Methods**

136 **Specimens**

137 APT specimens were made from a GE124 fused quartz (amorphous SiO₂) sample (Ted Pella,
138 Redding, CA)[†] and prepared by focused ion beam (FIB) wedge lift-out techniques (Thompson et
139 al., 2007; Miller et al., 2007; Prosa & Larson, 2017). Extracted pieces of the sample material were
140 attached to the posts of Si TEM half-grids (Dune Sciences, Eugene, OR) and sharpened into a
141 needle-like shape by FIB annular milling. The specimens were shaped such that the apex of the
142 needle was at least 50 μm above the substrate. The needle height was selected in order to avoid
143 spurious EUV-induced ion emission from blade edges lower down the shank and to account for
144 the large physical EUV spot size of ≈ 50 μm at the specimen location.

145

146 **Instrument**

147 The EUV atom probe instrument (Figure 1), described in detail elsewhere (Chiaramonti et al.,
148 2019), consists of a LEAP 3000X-Si (CAMECA Instruments, Madison, WI) straight flight path
149 local electrode atom probe microscope interfaced to a XUUS coherent EUV source (KM Labs,
150 Boulder, CO) driven by a Wyvern (KM Labs, Boulder, CO) ultrafast Ti:sapphire laser by means
151 of a vacuum manifold designed in-house. Briefly, the EUV photons are generated as follows: the
152 Ti:sapphire driving laser produces 35 fs, 1.2 mJ pulses at a repetition rate of 10 kHz and a center
153 wavelength of ≈ 800 nm. A fraction of these pulses (500 μJ) are coaxially focused into an Ar-
154 filled hollow capillary waveguide and drive high harmonic generation (HHG) of the gas medium

[†] Commercial equipment, instruments, or materials are identified only in order to adequately specify certain procedures. In no case does such identification imply recommendation or endorsement by the National Institute of Standards and Technology, nor does it imply that the products identified are necessarily the best available for the purpose.

155 via nonlinear interactions that have been described at length elsewhere (Rundquist et al., 1998). In
156 our system, the EUV pulse width is assumed to be less than 10 femtoseconds based on the
157 measured output of similarly configured systems (Miaja-Avila et al., 2006). As EUV light is
158 attenuated in air, the EUV beam path is necessarily under vacuum. Most of the co-propagating 800
159 nm driving laser light is removed using two “rejector” optics fabricated from Si wafers mounted
160 at approximately Brewster’s angle for the driving laser wavelength. Two normal incidence
161 multilayer mirrors mounted on piezoelectric linear actuator motors set up in a “Z” configuration
162 are used to focus and steer the EUV light into the analysis chamber of the atom probe. Multilayer
163 mirror optics work on the principle of interference and act as a band pass filter. As such, the
164 multilayer mirrors were designed to pass a high intensity harmonic with high efficiency. In this
165 case, the central peak for the reflectivity curve of the multilayer mirrors is at 41.85 eV (29.6 nm;
166 the 27th harmonic of the fundamental), with a bandwidth of approximately 2.4 eV. A thin Al filter
167 (200 nm) removes any residual co-propagating 800 nm light before the EUV beam enters the APT
168 analysis chamber. The EUV light is polarized parallel to the long axis of the needle-shaped
169 specimen and was not varied. The EUV pulse energy is 0.5 pJ and was measured at the entrance
170 to the APT analysis chamber using an EUV-sensitive photodiode. The trigger signal for the time
171 of flight (TOF) measurement is from a fast photodiode placed inside the amplifier laser.

172

173 **Data Collection and Analysis**

174 Table 1 details the acquisition parameters for the data presented here. The APT specimen base
175 temperatures were varied between 25 K and 150 K at set point values of 25 K, 50 K, 125 K, and
176 150 K. The pressure in the analytical chamber varied with the specimen base temperature and
177 ranged from $\approx 6 \times 10^{-9}$ Pa (5×10^{-11} Torr) at 25 K to $\approx 1 \times 10^{-8}$ Pa (1×10^{-10} Torr) at 150 K. For the data

178 shown here, two different specimens were used, however, this data is representative of multiple
179 experiments conducted on many different samples. Specimen A was used for the 25 K and 150 K
180 experiments and Specimen B was used for the 50 K and 125 K experiments. Data were collected
181 at constant voltage, therefore, the evaporation rate was free to fluctuate. The ideal standing voltage
182 was determined for each specimen by *maximizing the apparent evaporation rate of specimen ions*,
183 and was accomplished by monitoring the rate of ^{16}O ion detection. Notably, once the detection rate
184 for specimen ions was maximized, further increases in voltage only increased the time-independent
185 background signal (DC field ionization). The flight path was 90 mm. To facilitate comparison, a
186 subset of each spectrum containing 10^5 counts was taken from the middle of each run and was
187 used to calculate the composition.

188
189 Voltage and bowl corrections as well as mass calibration were performed using commercially
190 available atom probe reconstruction and analysis software (CAMECA Instruments IVAS 3.6.10a).
191 Peak ranging, local range-assisted background subtraction, and composition calculations were
192 performed using in-house developed mass spectrum analysis programs (Blanchard et al., 2018) in
193 order to more readily calculate the statistical error as described below. To achieve consistent noise
194 averaging across the mass spectrum, the peak ranging was carried out by first converting the mass-
195 to-charge-state ratio spectrum into an equivalent TOF spectrum, similar to the fictitious time
196 domain described by Johnson et al. (Johnson et al., 2013) The bin width in the TOF spectrum was
197 chosen to be the equivalent of a bin width of 0.005 Da at 20.0 Da in the original mass
198 spectrum. Time-independent background is approximately constant in the TOF domain, at least
199 over the range of mass-to-charge-state-ratio of interest; it is easily identified and subtracted. The
200 local background was estimated using a power law fit to a band of bins preceding the peak. This

201 is effectively equivalent to the “local range assist” method provided in IVAS. Peak range start and
202 end limits were placed at the locations where the local-background-corrected spectrum count had
203 decreased to less than 10 % of the peak height, where the peak height is defined as the count in the
204 highest-count bin within the range. After finding the peak range limits in the proxy TOF spectrum,
205 those peak range start and end values were converted back into equivalent mass-to-charge-state
206 ratios. In the case of peaks for which the corrected peak height was similar to the noise level, range
207 limits were set manually.

208
209 The uncertainty in the global time-independent background is calculated from the standard
210 deviation of the counts in the region of TOF space used. The root mean square (RMS) error of the
211 time-dependent local background fit in the pre-peak region was used as an estimate of the
212 uncertainty in the projected local background in each bin within the peak range. In cases where
213 the RMS error was smaller than the global background uncertainty, the global background
214 uncertainty was used instead. Linear propagation of error (Taylor & Kuyatt, 1994) was used to
215 find the uncertainty in the number of counts in each bin and that error was further propagated to
216 determine the uncertainty in composition. Uncertainty values of 2σ are reported here. Note that
217 the covariance term in the error propagation is non-zero for this composition calculation.

218

219 **Results**

220 Mass spectra representative of repeated measurements across multiple samples for specimen base
221 temperatures in the range between 25 K and 150 K are shown in Figure 2. Peak assignments in the
222 mass spectra were generally straightforward except for a few ambiguities. Many of the ambiguous
223 assignments have been encountered and discussed in previous studies of SiO₂ (Kellogg, 1982;

224 Gruber et al., 2009; Duguay et al., 2010; Gilbert et al., 2011; Talbot et al., 2013; Kwak et al., 2017)
225 and other oxides. Based on a combination of measured isotopic ratios, accuracy and plausibility in
226 measured stoichiometry, and consistency when compared with our NUV data and the literature
227 (Bachhav et al., 2013; Kinno et al., 2014), the peak at 16 Da is assigned to $^{16}\text{O}^+$, the peaks at 17
228 Da and 18 Da are assigned to chamber-related $^{16}\text{O}^1\text{H}_n^+$ (and therefore are not included in the
229 composition measurement), and the peak at 32 Da to $^{16}\text{O}_2^+$. The same peak assignments were used
230 for all of the specimens across all of the base temperatures.

231
232 The collection of peaks from 28 Da to 29 Da including the small broad peak centered at ~ 28.6 Da
233 do not fit the typically observed isotopic profile of Si^+ (Figure 3). In fact, the shape and intensity
234 distribution of the peaks in the region between 28 Da and 30 Da inclusive indicate that those peaks
235 most likely contain fractional contributions from Si^+ , Si_2^{++} , and SiO_2^{++} , as well as their related
236 hydrides. Similarly, the peaks near 60 Da do not fit the profile of SiO_2^+ alone; they most likely
237 contain contributions from SiO_2^+ , Si_2^+ , and their related hydrides. Peak decomposition in both
238 of these regions for this data was inconclusive due to the low number of counts contained in those
239 peaks after background subtraction. Of course a stoichiometric result can be obtained using a priori
240 knowledge of the expected composition through peak decomposition and fractional peak
241 assignments, however, this was not considered to be a rigorous method that is well supported by
242 these data. Therefore, to account for this increased uncertainty due to the peak ranging model, two
243 different peak assignments (Table 2) were made in order to cover the widest possible range of
244 oxygen-rich or oxygen-deficient composition that the data could possibly support. Ranging in this
245 manner means that the true measured composition must lie within these bounds. This avoids bias
246 for or against oxygen deficiency or Si hydrides and/or Si dimers. Objectively, there is no
247 information in these mass spectral data that would favor one set of peak assignments over the other.

248 Composition measured using the two different peak ranging models as a function of the base
249 temperature is enumerated in Table 3. For comparison, we note that composition measurements
250 using the same range files with the local range-assisted background subtraction model embedded
251 in IVAS are within 1σ of those determined using the in-house software.

252

253 **Discussion**

254

255 These data establish that EUV photons of $\lambda = 29.6$ nm ($E = 41.85$ eV) can trigger time-correlated,
256 ion emission of SiO₂ surface atoms in this dielectric material. Further, it demonstrates that there is
257 no obvious trend in the measured oxygen concentration, presence or absence of peaks, or multiple
258 hit counts as a function of the base temperature, particular specimen, standing voltage, or ion
259 detection rate as varied here. There is also not a statistically significant deviation from the expected
260 stoichiometry in the temperature range 25 K to 150 K.

261

262 For EUV pulsing, both the time-independent background signal and the signal-to-noise ratio are
263 effectively constant and independent of the base temperature. Independence of ion detection rate
264 as a function of the base temperature has been observed previously in MgO, but only at very high
265 fields (Silaeva et al., 2014). This may be a satisfactory explanation, as the presence of Si⁺⁺⁺ in the
266 mass spectra indicate that these data were collected in a high field condition. However, the
267 temperature independence of the time-independent background signal (See Figure S1,
268 Supplementary Information) is surprising and unexpected, especially when considering that the
269 background pressure in the chamber increases by nearly an order of magnitude between 25 K and
270 150 K. This suggests that the time-independent signal is not dominated by DC field evaporation
271 or DC field ionization (these would be thermally activated), nor from ionization of chamber gasses

272 far from the specimen (rate would be expected to increase with the background pressure, which
273 increases with the base temperature). The source of the athermal noise observed in this EUV data
274 is not yet understood. One possibility is the interaction of the EUV beam with the local electrode
275 or chamber walls, however, further work is required to unambiguously identify the source of the
276 background.

277

278 The presence and relative peak heights of the H_n^{x+} species do show a trend with temperature,
279 however this is expected since the formation of H_n^{x+} cluster ions on field emitter surfaces is a
280 temperature-dependent surface chemical reaction (Ernst & Block, 1983). The total H_n^{x+} signal in
281 the EUV data is high. In fact, in the EUV data the H_n^{x+} peak heights are always larger than the
282 specimen ion peak heights. A large H_n^{x+} signal could come from EUV ionization of H along the
283 shank, however, a contribution from the 10 kHz pulse repetition rate or even from the specimen
284 itself cannot be ruled out. For example, if H adsorption is time dependent, a slower repetition rate
285 allows more time for H to absorb and subsequently field evaporate or get directly photolyzed by
286 the EUV light.

287

288 The combined effects of the target evaporation rate, temperature, and net surface electric field on
289 the measured oxygen concentration in oxides are complicated and appear to be strongly influenced
290 by the particular material of interest. Depending on e.g. the dielectric constant, thermal
291 conductivity, available valence states for the cation species, and optical absorption of NUV
292 wavelengths, sometimes the experimental parameters can be varied to find a condition which will
293 return the nominal expected oxygen concentration. However, for a great number of other oxide
294 materials, NUV APT will systematically underestimate the nominal oxygen concentration,

295 sometimes no matter how the experiment is performed (Laiginhas et al., 2015; Santhanagopalan
296 et al., 2015; Schreiber et al., 2014; Bachhav et al., 2013; Gin et al., 2013; Mancini et al., 2014;
297 Schreiber et al., 2020). There is a paucity of literature providing systematic study of the effect of
298 base temperature on the measured composition in oxides. The available results show that for some
299 materials such as Al_2O_3 , Fe_3O_4 , and Fe_2O_3 , higher base temperatures lead to higher measured
300 oxygen concentrations (Schreiber et al., 2020; Diercks & Gorman, 2016), whereas for SiO_2 , the
301 opposite may be true (Kinno et al., 2014). Note that care must be taken in interpreting the literature
302 for oxides and SiO_2 in particular; indexed mass spectra are not always shown and it is therefore
303 unclear if the peak at 16 Da is assigned to O_2^{++} instead of O^+ , which may artificially increase the
304 measured oxygen concentration. What is clear from the available literature, however, is that
305 measured oxygen concentration generally appears to be dependent on the base temperature for
306 oxides. These EUV APT results demonstrate that there is no clear trend in the measured oxygen
307 concentration as a function of the base temperature and that further, the composition as measured
308 by EUV APT is effectively stoichiometric under the various conditions tested here.

309

310 In trying to understand the mechanism of ion emission using pulsed EUV light, it is useful to
311 estimate to a first degree the maximum thermal energy that could possibly be imparted to the
312 specimen from the pulsed EUV beam used in these experiments. Using conservation of energy
313 arguments, the following simple assumptions are made:

- 314 • The measured pulse energy is 0.5 pJ. The $1/e^2$ beam diameter is 50 μm and has a Gaussian
315 energy distribution. Therefore, the peak EUV fluence (F , energy per unit area) is equal to
316 twice the measured pulse energy divided by the area of the spot $F \approx 5 \times 10^{-8} \text{ J/cm}^2$. The
317 factor of two accounts for the assumed Gaussian intensity distribution.

- 318 • For this simple calculation diffraction will be ignored. We assume this energy is uniformly
319 distributed and absorbed over spot centered on the specimen tip apex.
- 320 • All EUV radiation incident on the model specimen is absorbed and fully thermalized.
- 321 • The SiO₂ apex of the specimen needle absorbs the EUV radiation, is a simple rectangle,
322 and measures 100 nm x 100 nm in cross section.

323 The energy absorbed (E_A) [1] by the cuboidal specimen with cross-sectional area $A = 1 \times 10^4 \text{ nm}^2$
324 is $5 \times 10^{-3} \text{ fJ}$ per pulse. This is approximately equal to only one $E = 41.85 \text{ eV}$ photon incident within
325 100 nm of the specimen apex *per pulse*. For comparison, in NUV laser pulsing at 300 pJ with a
326 $2 \text{ }\mu\text{m}$ spot, there are on the order of 10^6 photons incident on the same area; of course the bulk
327 absorption at that wavelength is significantly less. Applying the above assumptions to the
328 following equation:

$$329 \quad E_A = F * A \quad [1]$$

330 the instantaneous temperature rise ΔT [2] is a function of the absorbed energy, the specific heat
331 capacity (c), the density (ρ), and the volume (v) as follows:

$$332 \quad \Delta T = \frac{E_A}{c\rho v} \quad [2]$$

333 At 50 K, the specific heat capacity of SiO₂ is approximately $c = 0.1 \text{ J/g}\cdot\text{K}$ (Gil et al., 1993; Carini
334 et al., 2016). The density of fused silica at cryogenic temperatures is not well reported. However,
335 due to the very low coefficient of thermal expansion (Berthold et al., 1977), it is reasonable to
336 assume the number is very close to the room temperature value of $\rho = 2.2 \text{ g/cm}^3$ (Eernisse, 1974).
337 If this energy is relaxed thermally in a $100 \text{ nm} \times 100 \text{ nm} \times 100 \text{ nm}$ volume, the temperature rise is
338 approximately equal to 0.02 K; the traditional model of field evaporation by absorption, bulk
339 heating, and thermal cooling could not apply in this case. If the same thermal energy is relaxed in
340 a smaller, nm-sized area localized to only the specimen surface near the apex and measuring 10

341 nm x 10 nm x 10 nm, then the instantaneous temperature increase could potentially equal ≈ 20 K.
342 Field evaporation might be possible, but only at extremely high electric fields. Thus, in this regime,
343 the classic thermal process of bulk heating is unrealistic. However, if absorption was to occur very
344 close to the specimen surface, and if fast electron-phonon decay was confined to the region close
345 to the site of absorption, then a different, nm-scale absorption-localized heating mechanism might
346 in fact be possible. These two heating models are differentiated here using the terms “bulk heating”
347 and “absorption-localized heating.” Therefore, it is difficult to see how EUV APT could proceed
348 through a bulk heating and cooling mechanism as it is currently understood. Further
349 experimentation is required to explore the exact nature of the interaction.

350

351 At first it might seem that the very small potential temperature rise may be counter indicated by
352 the fact that the EUV mass spectra of SiO₂ have tails on the high mass side of the peaks that persist
353 over the range of several Da. However, tails in a TOF mass spectrum can arise from a wide variety
354 of mechanisms. The tails present in laser-pulsed APT data are generally attributed to thermal bulk
355 heating and slow cooling mechanisms (Kelly et al., 2014; Tsong, 1984; Cerezo et al., 2006; Bunton
356 et al., 2007; Vurpillot et al., 2009; Houard et al., 2010); thermal tails are formed when the specimen
357 remains at an elevated temperature and continues to evaporate ions for some time following the
358 initial laser pulse. Tails in voltage (field) pulsed APT data are a result of the fact that the voltage
359 is not a perfect square pulse, and the absolute magnitude varies slightly throughout the duration of
360 the pulse. As a result, any ions evaporated at a (slightly) lower voltage are accelerated to a lower
361 kinetic energy than otherwise would be expected, and so have longer flight times. These are known
362 as critical energy deficits (Tsong et al., 1983, 1984; Tsong & Kinkus, 1984). Critical energy
363 deficits can also manifest in the TOF mass spectra of insulators due to charging (Arnoldi et al.,

364 2014), which results in an effective net decrease of the applied voltage at the specimen apex.
365 Similar to the energy deficits seen in voltage pulsing, the ions evaporated when charging is present
366 will be accelerated with a lower kinetic energy than would be expected from the applied voltage
367 alone, leading to tails. Another physical process that can lead to tails in a TOF mass spectrum is
368 the time-delayed thermal desorption of a surface ion otherwise created through an athermal (e.g.
369 photoexcitation, tunneling, photoionization) process. In other words, the ionization event can be
370 athermal, but desorption may still occur through a thermal process and may not happen
371 simultaneously. The concept of ionization and desorption as discrete activities is well understood
372 for the analogous processes of electron stimulated desorption (ESD) and photon stimulated
373 desorption (PSD), and has been exhaustively verified by both modeling and experiment. The
374 models describing athermal surface ionization and subsequent desorption in ESD and PSD under
375 an applied electric field comprise those by Menzel, Gomer, and Redhead (Menzel, 1983; Menzel
376 & Gomer, 1964; Gomer, 1959; Jaeger et al., 1983; Redhead, 2011), Antoniewicz (Antoniewicz,
377 1980), and Knotek and Feibelman (Knotek & Feibelman, 1978; Knotek et al., 1979). These models
378 predict that the escape probability of a surface ion is field-dependent and has a characteristic time
379 constant. At the highest fields, ionization and desorption are instantaneous. In that case, ion
380 emission times would be on the order of the pulse width, and the resulting mass spectral peak
381 widths would be practically limited by the response of the timing electronics--there would be no
382 tails. However, at moderate fields an energy barrier to desorption of a surface ion has been
383 observed both theoretically and experimentally (Drachsel et al., 1980; Jaenicke et al., 1987;
384 Mazumder et al., 2010). Surface ion lifetimes will be longer in that case, and subsequent desorption
385 must be thermally activated (requires vibration). Desorption under moderate fields can therefore
386 be time delayed, thus leading to tails. Indeed, for strong dielectrics like SiO₂ the net surface field

387 may be moderate, even with a relatively high standing voltage. Other sources of tails could be
388 delayed correlated evaporation or from secondary (impact) ionization resulting from a
389 photoelectron shower, an Auger electron shower, or X-ray stimulated ESD (XESD) (Jaeger et al.,
390 1983). Therefore, for peak tails observed in TOF mass spectral data, persistent heating is only one
391 possible cause; the tails can be the result of myriad other processes. Based on the low fluence of
392 the EUV source used here, a bulk-heating thermal process does not necessarily fit as a satisfactory
393 explanation for the tails present in these data.

394

395 As mentioned previously, there are a handful of possible ionization and desorption mechanisms
396 that could be operating in EUV APT. For completeness, note that ablation is not possible in these
397 experiments as the fluence in the APT experiments is many orders of magnitude less than is
398 typically used for ablation studies (Heinbuch et al., 2008; Kuznetsov et al., 2015). The fixed
399 experimental conditions in this initial configuration (pulse energy, repetition rate) do not allow for
400 a complete mechanistic understanding of the physics underlying EUV radiation-triggered
401 ionization at this time.

402

403 The photon energy alone is sufficient to cause direct ionization of the constituent atoms. The
404 evaporation rate and signal-to-noise ratio are effectively independent of the base temperature, and
405 significantly, the potential maximum *bulk* temperature rise calculated from conservative
406 conservation of energy arguments is negligible. The classic picture of a bulk heating and cooling
407 mechanism, as seen in NUV APT, does not appear to be possible. However, if fast electron-phonon
408 decay is localized very close to the absorption site, and heating is confined to a very small volume
409 at the surface, then temperatures may begin to approach those required for field evaporation.

410 Together these facts suggest that it is reasonable to consider that a different ionization and
411 desorption pathway may be operative in EUV compared to NUV APT for SiO₂. Future work will
412 be aimed at determining the mechanism of EUV radiation-triggered field ion emission. Materials
413 such as MgO, which exhibit large oxygen deficiencies and sensitive temperature dependencies in
414 NUV APT (Mancini et al., 2014), may be better candidates to more fully explore the performance
415 and mechanism of EUV APT.

416

417 **Conclusions**

418 The data presented here unambiguously demonstrate, for the first time, that fs-pulsed coherent
419 EUV light of 29.6 nm wavelength (41.85 eV photon energy) is capable of driving controlled field
420 ion emission of substrate atoms as is required to perform atom probe tomography. Mass spectral
421 data were obtained from SiO₂ specimens at four different base temperatures in the range between
422 25 K and 150 K. The mass spectra show sharp, well-formed peaks of ions of Si, O, Si₂, O₂, SiO,
423 and SiO₂. The measured compositions do not show a significant deviation from the expected
424 stoichiometry, and show no clear trend with base temperature nor evaporation rate. That near
425 stoichiometric measurements were achieved under all explored conditions, even with limited
426 control of parameters, is noteworthy and suggests avenues for further exploration. Basic energy
427 analysis of the current experimental setup indicates that the EUV radiation-assisted field ion
428 emission is not primarily due to traditional bulk heating, however, the exact mechanism is not
429 understood at this time and is the subject of future work.

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431

432

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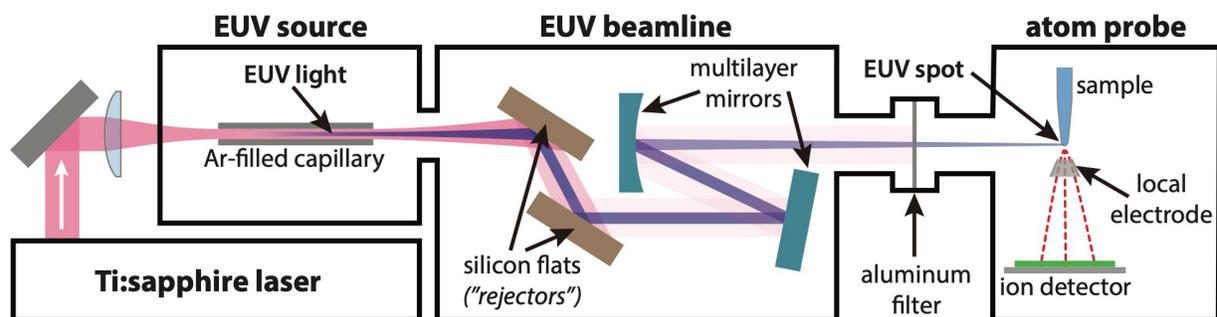
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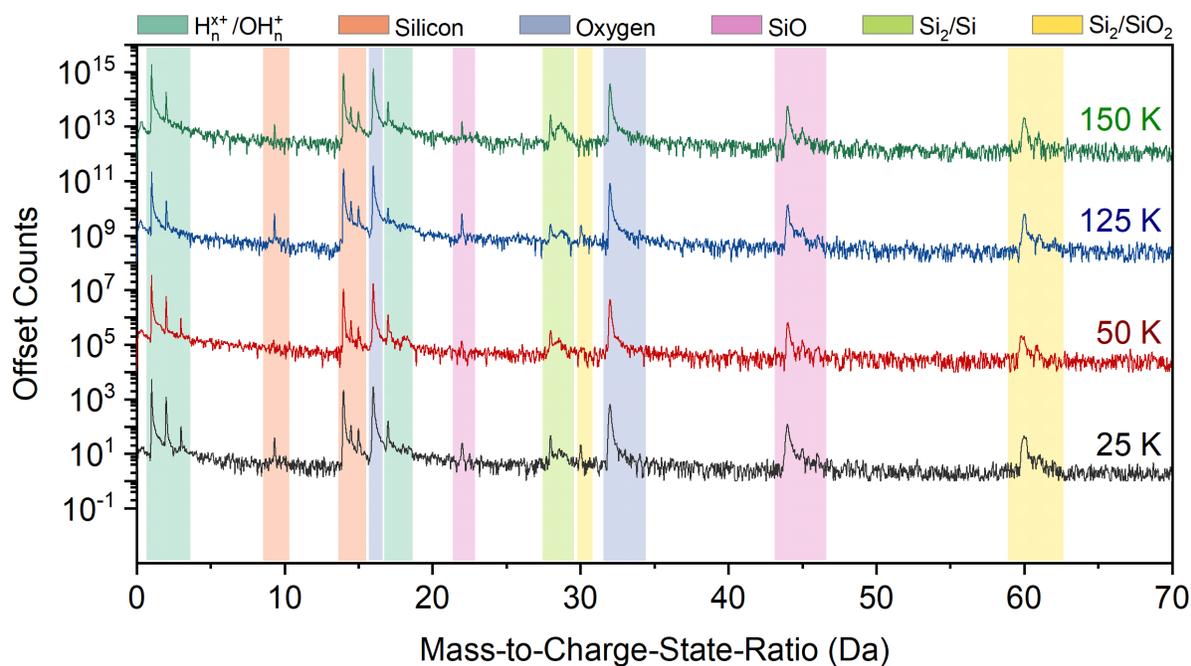
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818

819 **Figure 1:** Schematic drawing of the EUV APT instrument. The Ti:sapphire driving laser outputs 800 nm, 35 fs pulses
 820 at a repetition rate of 10 kHz. These pulses are co-axially focused into an Ar-filled hollow capillary waveguide and
 821 coherent EUV photons are generated by means of high harmonic generation. The resulting EUV pulse width is less
 822 than 10 fs. The 800 nm driving laser and EUV light co-propagate out of the capillary waveguide into a vacuum
 823 manifold beamline. The beamline contains two reflective optics (“rejectors”) that efficiently absorb the 800 nm
 824 fundamental driving laser light while reflecting a significant fraction of the EUV light. The beamline also contains the
 825 multilayer mirror optics, which pass the 27th harmonic of the fundamental (41.85 eV; 29.6 nm) with a bandwidth of \approx
 826 2.4 eV. These mirrors are also used to focus and steer the beam. A 200 nm thick Al filter placed just outside of the
 827 atom probe chamber removes residual driving laser light while transmitting a high fraction of the EUV wavelength.
 828 The pulse energy of the remaining EUV light was measured to be 0.5 pJ just before the beam enters the atom probe
 829 analysis chamber.

830



831

832 **Figure 2:** EUV radiation-triggered mass spectra of amorphous SiO₂ as a function of the base (standing) temperature

833 in the range between 25 K and 150 K. The ranges corresponding to H_n^{x+}/OH_n⁺ species (dark green), silicon (orange),

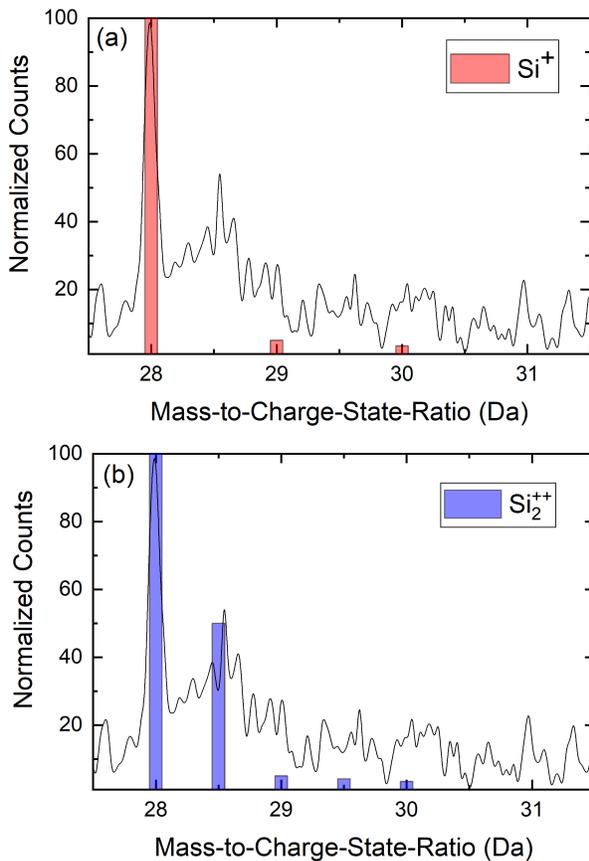
834 oxygen (blue), SiO (purple), Si/Si₂ (light green), and SiO₂/Si₂ (yellow) for various charge states are indicated. The

835 EUV atom probe microscope operates at fixed acquisition conditions of 0.5 pJ pulse energy, 41.85 eV photon energy,

836 and a repetition rate of 10 kHz. To facilitate equal comparison, each spectrum was generated from 10⁵ events. These

837 data have not been background corrected. The display bin size is 0.03 Da.

838



839

840 **Figure 3:** Subset of the 50 K mass spectrum in the range 27.5 Da to 31.5 Da, normalized to the height of the peak at
 841 28 Da. Overlaid on the mass spectrum are graphical representations of the expected natural Si isotopic abundances for
 842 Si⁺ and Si₂⁺⁺ ions. The peaks in this region do not fit the expected isotopic profile of either (a) Si⁺ or (b) Si₂⁺⁺ alone.
 843 Therefore, they likely contain fractional contributions from both species (as well as their related hydrides). Peak
 844 decomposition did not provide statistically significant results due to the low number of counts in that region. Therefore,
 845 two different peak range assignments were used to bracket the range of possible compositions that the data can support:
 846 one maximally oxygen rich, and one maximally oxygen deficient. The true measured composition will necessarily lie
 847 within those bounds and could be determined more accurately given a spectrum with sufficiently high counts.

848

849 **Table 1:** Details of the data collection parameters for the four datasets discussed here. Two different specimens were
850 examined at two different ion detection rates.

| Base Temperature | Analytical Chamber Pressure Torr | Specimen: Order of Data Collection | Ion Detection Rate (ions per 10³ pulses) | Standing Voltage | Multiple Counts % |
|-------------------------|---|---|--|-------------------------|--------------------------|
| 25 K | 5.2E-11 | A:1 | 1.5 | 5.9 kV | 22 |
| 50 K | 6.4E -11 | B:1 | 0.4 | 4.3 kV | 17 |
| 125 K | 9.7E -11 | B:2 | 0.5 | 5.0 kV | 23 |
| 150 K | 1.2E -10 | A:2 | 1.5 | 6.0 kV | 18 |

851

852

853 **Table 2:** Mass spectrum peak assignments in order to obtain the widest range of possible of oxygen concentration that
 854 the data could possibly support. Hydrogen is not usually included when calculating bulk composition in APT; here,
 855 we are only concerned with only Si and O but the hydrides are identified for completeness. The peaks at 17 and 18
 856 Da are expected to come primarily from the chamber and were not used in the calculation of composition.

| | 1 Da to 3 Da | 9 Da | 14 Da | 16 Da | 17 Da, 18 Da | 22 Da | 28 Da to 29 Da | 30 Da | 32 Da | 44 Da | 60 Da |
|--------------------------------------|--------------|------------|-----------|-------|--------------|------------|----------------|----------------|---------|---------|-------------|
| Peak Assignment: Oxygen-Rich | H_n^{x+} | Si^{+++} | Si^{++} | O^+ | OH_n^{x+} | SiO^{++} | Si^+ | SiO_2^{++} | O_2^+ | SiO^+ | SiO_2^+ |
| Peak Assignment: Oxygen-Deficient | H_n^{x+} | Si^{+++} | Si^{++} | O^+ | OH_n^{x+} | SiO^{++} | $Si_2H_n^{++}$ | $Si_2H_n^{++}$ | O_2^+ | SiO^+ | $Si_2H_n^+$ |

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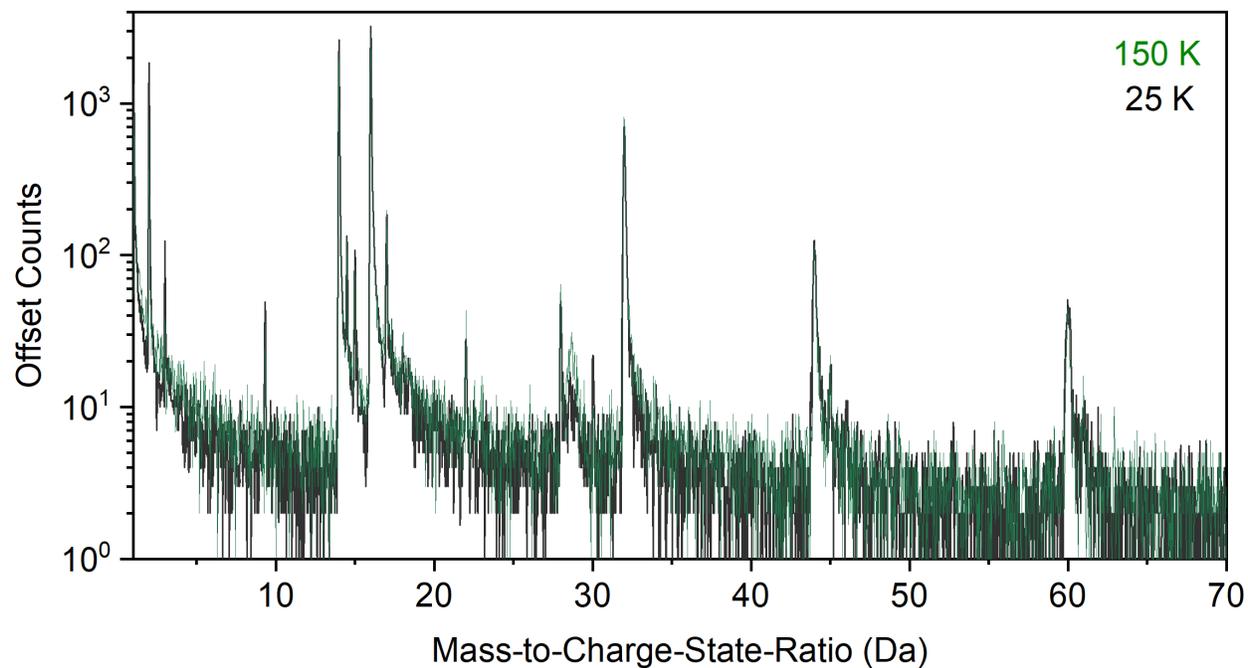
858

859 **Table 3:** Measured composition as a function of the specimen base temperature and peak ranging mode. There is no
 860 obvious trend in the measured composition with the base temperature. Therefore, the average measured composition
 861 and sample standard deviation is listed for each model.

| Base Temperature | Oxygen-Rich Model Composition (at. %) | 2σ Error (at. %) | Oxygen-Deficient Model Composition, (at. %) | 2σ Error (at. %) |
|-----------------------------|--|---|--|---|
| 25 K | $X_{\text{O}} = 68.0, X_{\text{Si}} = 32.0$ | 0.6 | $X_{\text{O}} = 64.4, X_{\text{Si}} 35.6$ | 0.7 |
| 50 K | $X_{\text{O}} = 70.7, X_{\text{Si}} 29.3$ | 0.9 | $X_{\text{O}} = 67.3, X_{\text{Si}} 32.7$ | 1.0 |
| 125 K | $X_{\text{O}} = 65.8, X_{\text{Si}} 34.2$ | 0.6 | $X_{\text{O}} = 62.6, X_{\text{Si}} 37.4$ | 0.7 |
| 150 K | $X_{\text{O}} = 69.3, X_{\text{Si}} 30.7$ | 0.7 | $X_{\text{O}} = 65.6, X_{\text{Si}} 34.4$ | 0.8 |
| | Oxygen-Rich Model Average (at. %) | s (at. %) | Oxygen-Deficient Model Average (at. %) | s (at. %) |
| Oxygen Concentration | $X_{\text{O}} = 68.5$ | 2.1 | $X_{\text{O}} = 65.0$ | 2.0 |

862

863



864
865 Figure S1: Mass spectra at base temperatures of 25 K and 150 K overlaid to demonstrate that the
866 time-independent background signal is independent of the specimen base temperature for these
867 data. If the primary source of time-independent background signal was either field evaporation or
868 field ionization of adsorbed, field adsorbed, or residual chamber gasses, one would expect to see
869 a temperature-dependence since these processes are thermally activated and the base pressure
870 varies by nearly an order of magnitude between 25 K and 150 K.