# Prospects for atomic clocks based on large ion crystals 

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#### Abstract

We investigate the feasibility of precision frequency metrology with large ion crystals. For clock candidates with a negative differential static polarizability, we show that micromotion effects should not impede the performance of the clock. Using $\mathrm{Lu}^{+}$as a specific example, we show that quadrupole shifts due to the electric fields from neighboring ions do not significantly affect clock performance. We also show that effects from the tensor polarizability can be effectively managed with a compensation laser at least for a small number of ions ( $\lesssim 10^{3}$ ). These results provide new possibilities for ion-based atomic clocks, allowing them to achieve stability levels comparable to neutral atoms in optical lattices and a viable path to greater levels of accuracy.


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## I. INTRODUCTION

The realization of accurate, stable frequency references has enabled important advances in science and technology. Well-known examples include the Global Positioning System, and tests of fundamental physical theories. Increasing levels of accuracy and stability continue to be made with atomic clocks based on optical transitions in isolated atoms [1-9]. By now a number of groups have demonstrated superior performance over the current cesium frequency standards with the best clocks to date having inaccuracy at the $10^{-18}$ level [1,2]. For the past decade, single ion clocks have held a leading position. However, in recent years, advances in laser stability have allowed neutral atoms to take advantage of large numbers of atoms giving superior performance in stability while maintaining some of the best accuracies [10]. Ion-based clocks have been limited to single atoms predominately due to the fact that trap influences such as micromotion are difficult to control for multiple ions. Indeed, for the $\mathrm{Al}^{+}$ clock, micromotion is a dominant factor in the overall error budget [1]. We note that consideration has been given to clocks based on small strings of less than 10 ions stored in linear radio-frequency (rf) traps [11].

Micromotion is caused by the rf drive used to confine the ion. It causes two correlated effects: the rapid oscillatory motion at the rf frequency $\Omega$ gives a second-order Doppler shift, and the electric field driving the motion induces an ac Stark shift proportional to $\Delta \alpha$ where $\Delta \alpha=\alpha_{e}-\alpha_{g}$ is the differential static scalar polarizability. In 1998 [12], it was noted that, when $\Delta \alpha<0$, these two effects could be made to exactly cancel for a well-chosen value of $\Omega$. This value, which we refer to here as the magic rf frequency in analogy with the magic wavelength for optical lattices, depends only on the properties of the atom. The existence of such a magic rf frequency gives rise to the important question of what happens in a large ion crystal where micromotion effects can be very pronounced at the edges of the crystal. If the cancellation is

[^0]maintained, then precision frequency metrology with large ion crystals is potentially feasible.

In this paper, we show that dominant higher-order micromotion effects can also be mitigated, and that clock operation would not be affected by micromotion. For atoms with an electronic angular momentum $J>\frac{1}{2}$, we show that quadrupole shifts due to the electric fields from neighboring ions do not significantly affect clock performance. We also show that shifts arising from the tensor polarizability can be effectively compensated with an additional laser field. Together, these results show that ions having $\Delta \alpha<0$ can reap the benefits of large numbers of ions, just as neutral atoms can in optical lattices. We illustrate our analysis using ${ }^{176} \mathrm{Lu}^{+}$as a concrete example [13], but the ideas can be readily adapted to any other ion with $\Delta \alpha<0$.

## II. MICROMOTION

We start by writing the rf and static electric field potentials in the form

$$
\begin{equation*}
\phi_{\mathrm{rf}}=\frac{m \Omega \omega_{z}}{2 q} \mathbf{r}^{T} \Lambda_{\mathrm{rf}} \mathbf{r} \cos \Omega t, \quad \phi_{\mathrm{s}}=\frac{m \omega_{z}^{2}}{2 q} \mathbf{r}^{T} \Lambda_{\mathrm{s}} \mathbf{r} \tag{1}
\end{equation*}
$$

where $\omega_{z}$ is one of the pseudopotential oscillation frequencies, $\Omega$ is the $\operatorname{rf}$ drive frequency, and $m$ and $q$ are the mass and charge of the ion, respectively. The matrices $\Lambda_{\mathrm{rf}}$ and $\Lambda_{\mathrm{s}}$ determine the curvatures of the potentials and, in general, we may choose $\Lambda_{\mathrm{rf}}$ to be diagonal. Defined in this way, the pseudopotential approximation is

$$
\begin{equation*}
V(\mathbf{r})=\frac{1}{2} m \omega_{z}^{2} \mathbf{r}^{T}\left(\Lambda_{\mathrm{s}}+\frac{1}{2} \Lambda_{\mathrm{rf}}^{2}\right) \mathbf{r} \tag{2}
\end{equation*}
$$

If we scale time by $2 / \Omega$ and length by

$$
l=\left(\frac{q^{2}}{4 \pi \epsilon_{0} m \omega_{z}^{2}}\right)^{1 / 3}
$$

then the equations of motion (e.o.m.) are given by

$$
\begin{equation*}
\ddot{\mathbf{r}}_{i}+\left(\epsilon^{2} \Lambda_{\mathrm{s}}+2 \epsilon \Lambda_{\mathrm{rf}} \cos 2 t\right) \mathbf{r}_{i}-\epsilon^{2} \sum_{j \neq i} \frac{\mathbf{r}_{i j}}{r_{i j}^{3}}=0 \tag{3}
\end{equation*}
$$

where $\epsilon=2 \omega_{z} / \Omega$. Following the treatment in Ref. [14] we assume a stable $\pi$-periodic crystal solution exists, which may be expressed as a Fourier expansion

$$
\begin{equation*}
\mathbf{r}_{i}^{\pi}(t)=\sum_{n=-\infty}^{n=\infty} \mathbf{R}_{2 n, i} e^{2 \mathrm{int}} \tag{4}
\end{equation*}
$$

In this form, $\mathbf{R}_{0, i}$ is the time-averaged position of the $i$ th ion. Substituting this expansion into the e.o.m. and using a Taylor series expansion for the Coulomb term about $\mathbf{R}_{0, i j}=\mathbf{R}_{0, i}-$ $\mathbf{R}_{0, j}$ we can obtain an infinite set of coupled equations for $\mathbf{R}_{2 n, i}$ representing the Fourier expansion of the e.o.m. Defining

$$
\begin{equation*}
\mathbf{F}_{i j}=\frac{\mathbf{R}_{0, i j}}{\left|\mathbf{R}_{0, i j}\right|^{3}}, \quad Q_{i j}=-\frac{3 \mathbf{R}_{0, i j} \mathbf{R}_{0, i j}^{T}-\left|\mathbf{R}_{0, i j}\right|^{2}}{\left|\mathbf{R}_{0, i j}\right|^{5}}, \tag{5}
\end{equation*}
$$

the first two Fourier equations are given by

$$
\begin{equation*}
\epsilon^{2} \Lambda_{\mathrm{s}} \mathbf{R}_{0, i}+2 \epsilon \Lambda_{\mathrm{rf}} \mathbf{R}_{2, i}-\epsilon^{2} \sum_{j \neq i} \mathbf{F}_{i j}=0 \tag{6}
\end{equation*}
$$

and

$$
\begin{gather*}
\left(\epsilon^{2} \Lambda_{\mathrm{s}}-4 \mathbb{I}\right) \mathbf{R}_{2, i}+\epsilon \Lambda_{\mathrm{rf}}\left(\mathbf{R}_{0, i}+\mathbf{R}_{4, i}\right) \\
-\epsilon^{2} \sum_{j \neq i} Q_{i j}\left(\mathbf{R}_{2, i}-\mathbf{R}_{2, j}\right)=0 . \tag{7}
\end{gather*}
$$

To lowest order, Eq. (7) gives

$$
\begin{equation*}
\mathbf{R}_{2, i}=\frac{\epsilon}{4} \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i} \tag{8}
\end{equation*}
$$

which expresses the fact that the micromotion amplitude is directly proportional to the rf electric field at the position of the ion. With this approximation, the fractional shift of a clock transition is given by

$$
\begin{equation*}
\frac{\Delta v_{i}}{v}=-\left(\frac{\omega_{z} l}{2 c}\right)^{2}\left[1+\frac{\Delta \alpha}{h v}\left(\frac{m \Omega c}{q}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{2} \mathbf{R}_{0, i} \tag{9}
\end{equation*}
$$

For $\Delta \alpha<0$, this leads to a magic rf drive frequency defined by

$$
\begin{equation*}
\Omega_{0}=\frac{q}{m c} \sqrt{\frac{h v}{-\Delta \alpha}} \tag{10}
\end{equation*}
$$

at which micromotion shifts cancel as first pointed out in Ref. [12]. For one ion, Eq. (9) is sufficient for even the very best clocks [1,3,4]. However, for large ion crystals, higher-order corrections should be considered. To this purpose, we first note that the $n=2$ Fourier component of the e.o.m. is

$$
\begin{equation*}
\mathbf{R}_{4, i}=\frac{\epsilon}{16} \Lambda_{\mathrm{rf}} \mathbf{R}_{2, i} \tag{11}
\end{equation*}
$$

to lowest order. Substitution into Eq. (7) then gives

$$
\begin{align*}
& {\left[\epsilon^{2}\left(\Lambda_{\mathrm{s}}+\frac{1}{16} \Lambda_{\mathrm{rf}}^{2}\right)-4 \mathbb{I}\right] \mathbf{R}_{2, i}+\epsilon \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i}} \\
& \quad-\epsilon^{2} \sum_{j \neq i} Q_{i j}\left(\mathbf{R}_{2, i}-\mathbf{R}_{2, j}\right)=0 . \tag{12}
\end{align*}
$$

Using the fact that $\left(\mathbb{I}-\epsilon^{2} A\right)^{-1} \approx \mathbb{I}+\epsilon^{2} A$, we can solve for $\mathbf{R}_{2, i}$ to get

$$
\begin{align*}
\mathbf{R}_{2, i}= & \frac{1}{4}\left[\mathbb{I}+\frac{\epsilon^{2}}{4}\left(\Lambda_{\mathrm{s}}+\frac{1}{16} \Lambda_{\mathrm{rf}}^{2}\right)\right] \epsilon \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i} \\
& -\frac{\epsilon^{3}}{16} \sum_{j \neq i} Q_{i j} \Lambda_{\mathrm{rf}}\left(\mathbf{R}_{0, i}-\mathbf{R}_{0, j}\right) \tag{13}
\end{align*}
$$

The term on the second line of Eq. (13) is the coupling of the micromotion amplitudes of each ion through the Coulomb interaction. Physically it arises from a distortion of the spacecharge potential due to differential micromotion amplitudes between ions, which provides an effective rf electric field in addition to the trap drive. This effective electric field also provides an additional ac Stark shift.

The electric field at the $i$ th ion due to the space charge is

$$
\begin{equation*}
\mathbf{E}_{i}=\frac{m \omega_{z}^{2} l}{q} \sum_{j \neq i}\left[\mathbf{F}_{i j}+2 Q_{i j}\left(\mathbf{R}_{2, i}-\mathbf{R}_{2, j}\right) \cos (2 t)\right] \tag{14}
\end{equation*}
$$

Using Eq. (8) and defining

$$
\begin{equation*}
\mathbf{W}_{0, i}=\sum_{j \neq i} Q_{i j} \Lambda_{\mathrm{rf}}\left(\mathbf{R}_{0, i}-\mathbf{R}_{0, j}\right) \tag{15}
\end{equation*}
$$

the amplitude of the net rf electric field on the $i$ th ion is then

$$
\begin{equation*}
\mathbf{E}_{i, \mathrm{rf}}=-\frac{m \omega_{z} \Omega l}{q}\left(\Lambda_{\mathrm{rf}} \mathbf{R}_{0, i}-\frac{\epsilon^{2}}{4} \mathbf{W}_{0, i}\right) \tag{16}
\end{equation*}
$$

Using Eqs. (13) and (16) and noting that $\left(\mathbb{I}+\epsilon^{2} A\right)^{2} \approx$ $\mathbb{I}+2 \epsilon^{2} A$ the fractional frequency shift of a clock transition due to terms oscillating at the rf drive frequency is then

$$
\begin{align*}
\frac{\Delta v_{i}}{v}= & -\left(\frac{\omega_{z} l}{2 c}\right)^{2}\left\{\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{2} \mathbf{R}_{0, i}\right. \\
& -\frac{\epsilon^{2}}{2}\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}} \mathbf{W}_{0, i} \\
& \left.+\frac{\epsilon^{2}}{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}\left(\Lambda_{\mathrm{s}}+\frac{1}{16} \Lambda_{\mathrm{rf}}^{2}\right) \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i}\right\} \tag{17}
\end{align*}
$$

To the same order of approximation, we must include a dc stark shift from the space charge and a time dilation shift from the higher harmonic $\mathbf{R}_{4, i}$. These can be added independently, which follows from the orthogonality of the Fourier components. At equilibrium, the sum of all dc fields on the $i$ th ion exactly balances the pseudopotential force from the rf field so we have

$$
\begin{equation*}
\mathbf{E}_{i, \mathrm{dc}}=\frac{1}{2} \frac{m \omega_{z}^{2} l}{q} \Lambda_{\mathrm{rf}}^{2} \mathbf{R}_{0, i} \tag{18}
\end{equation*}
$$

This gives a fractional frequency shift

$$
\begin{align*}
\frac{\Delta v_{i}}{v} & =-\frac{\Delta \alpha}{2 h v}\left(\frac{m \omega_{z}^{2} l}{2 q}\right)^{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{4} \mathbf{R}_{0, i} \\
& =\frac{\epsilon^{2}}{8}\left(\frac{\omega_{z} l}{2 c}\right)^{2}\left(\frac{\Omega}{\Omega_{0}}\right)^{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{4} \mathbf{R}_{0, i} \tag{19}
\end{align*}
$$

and the time dilation shift from $\mathbf{R}_{4, i}$ is

$$
\begin{equation*}
\frac{\Delta v_{i}}{v}=-\frac{\epsilon^{2}}{64}\left(\frac{\omega_{z} l}{2 c}\right)^{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{4} \mathbf{R}_{0, i} \tag{20}
\end{equation*}
$$

The shifts given in Eqs. (19) and (20) can then be added to Eq. (17) to give the total shift correct to $O\left(\epsilon^{2}\right)$.

In addition to a fractional frequency shift, micromotion also gives rise to an effective frequency modulation of the probe, a fact that can be used to detect micromotion [12]. The effect reduces the probe coupling to $J_{0}(\beta)$ where $J_{0}$ is the first-order Bessel function and the modulation index is given by $\beta=$ $2 l \mathbf{k} \cdot \mathbf{R}_{2, i}$. Because the micromotion amplitude can be very large for ions far removed from the zero point of the rf field [12], the ability of the probe to drive the clock transition can be significantly diminished. This can be avoided by probing along the rf null axis of a linear Paul trap, for which there is very little micromotion.

For the linear Paul trap, we can write

$$
\Lambda_{\mathrm{rf}}=\left(\begin{array}{ccc}
a & 0 & 0  \tag{21}\\
0 & -a & 0 \\
0 & 0 & 0
\end{array}\right), \quad \Lambda_{\mathrm{s}}=\left(\begin{array}{ccc}
-\frac{1}{2}+\delta & 0 & 0 \\
0 & -\frac{1}{2}-\delta & 0 \\
0 & 0 & 1
\end{array}\right)
$$

where $a$ determines the strength of the rf confinement relative to the dc field. The parameter $\delta$ determines the asymmetry in the transverse dimension and can be tuned arbitrarily by appropriate choice of biasing voltages. Writing $\Lambda_{\mathrm{rf}}=a \Lambda$, the total shift from Eqs. (17), (19), and (20) can be written

$$
\begin{align*}
\frac{\Delta v_{i}}{v}= & -\left(\frac{a \omega_{z} l}{2 c}\right)^{2}\left\{\left[\lambda_{0}-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \lambda_{1} \mathbf{R}_{0, i}^{T} \Lambda^{2} \mathbf{R}_{0, i}\right. \\
& \left.+\frac{\delta \epsilon^{2}}{2} \mathbf{R}_{0, i}^{T} \Lambda \mathbf{R}_{0, i}-\frac{\epsilon^{2}}{2 a}\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda \mathbf{W}_{0, i}\right\} \tag{22}
\end{align*}
$$

where the $\lambda_{k}$ are given by

$$
\begin{equation*}
\lambda_{0}=1-\frac{16+5 a^{2}}{32} \frac{\epsilon^{2}}{2 \lambda_{1}} \quad \text { and } \quad \lambda_{1}=1+\frac{a^{2} \epsilon^{2}}{8} \tag{23}
\end{equation*}
$$

The first term of Eq. (22) is zero for $\Omega=\Omega_{0} \sqrt{\lambda_{0}}$ and this can be viewed as a correction to the magic rf frequency given by Eq. (10). It also applies to the single ion case even though this term includes the shift due to the dc component of the space charge. This is because it is the space charge that provides the static electric field necessary to displace an ion to the equilibrium position $\mathbf{R}_{0, i}$. The second term also applies to the single ion case and is only present when the transverse confinement is nondegenerate. The third term is only applicable to the many ion case as it is a consequence of the induced rf field from the oscillating space charge.

When probing a clock transition, micromotion will give rise to an inhomogeneous broadening of the line and a shift of the line center. Since the first term in Eq. (22) can be tuned to zero, the inhomogeneous broadening is limited only by the remaining terms and the degree of broadening is determined by the size of the crystal. The second term is suppressed for small $\delta$ and, at $\Omega=\Omega_{0} \sqrt{\lambda_{0}}$, the final term scales as $\epsilon^{4}$. If we can neglect the inhomogeneous broadening, the shift of the line
center is then determined by the average of Eq. (22). For large crystals, we can assume the density of ions is approximately constant and the average of Eq. (22) can be taken as an integral over a continuum. The shift of the clock transition then scales as $N^{2 / 3}$, where $N$ is the number ions and the scale factor depends on the geometry of the trap [15]. This leads to a further modification to the magic rf drive frequency at which the scale factor vanishes. In a practical application we would simply vary the number of ions and tune the variation of the clock frequency to zero by adjustment of $\Omega$.

For reasons to be discussed in the next section, it is advantageous to take a spherically symmetric trap for which $a=\sqrt{3}$ and $\delta=0$. In the spherically symmetric case, an analytic approximation for $\mathbf{W}_{0, i}$ can be obtained. By taking the continuum limit with a constant density of ions, we can approximate the sum in Eq. (15) by an integral and we obtain

$$
\begin{equation*}
\mathbf{W}_{0, i} \approx-\frac{1}{5} \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i} \tag{24}
\end{equation*}
$$

In this case, the fractional frequency shift takes the simple form

$$
\begin{equation*}
\frac{\Delta v_{i}}{v}=-\left(\frac{a \omega_{z} l}{2 c}\right)^{2}\left[\lambda_{0}^{\prime}-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \lambda_{1}^{\prime} \mathbf{R}_{0, i}^{T} \Lambda^{2} \mathbf{R}_{0, i} \tag{25}
\end{equation*}
$$

where the $\lambda_{k}^{\prime}$ are given by

$$
\begin{equation*}
\lambda_{0}^{\prime}=1-\frac{31}{64} \frac{\epsilon^{2}}{\lambda_{1}^{\prime}} \quad \text { and } \quad \lambda_{1}^{\prime}=1+\frac{19}{40} \epsilon^{2} \tag{26}
\end{equation*}
$$

Note that $\lambda_{0}-\lambda_{0}^{\prime} \sim O\left(\epsilon^{4}\right)$, indicating that the oscillating space charge has no significant affect to the accuracy of the treatment given.

At this point, it is useful to illustrate our analysis with an example. We simulate the distribution of ions by integrating the e.o.m. in the pseudopotential approximation including a small damping term to anneal the initial state. For the initial state, we use multilayered Mackay icosahedra [16] with a random offset to each particle coordinate of about $10 \%$ of the initial minimum particle spacing. With $N=100$ to 5000 ions we were able to confirm the results given in Ref. [15]. In particular, to a good approximation we have

$$
\begin{equation*}
\left\langle\mathbf{R}_{0, i}^{T} \Lambda^{2} \mathbf{R}_{0, i}\right\rangle=\frac{2}{5} N^{2 / 3}-0.3964 \tag{27}
\end{equation*}
$$

For the ion properties we use ${ }^{176} \mathrm{Lu}^{+}$which has a level structure as illustrated in Fig. 1. For ${ }^{176} \mathrm{Lu}^{+}$, the estimated value of the differential static scalar polarizability is $\Delta \alpha=$ -2.19 a.u. [17], ${ }^{1}$ giving a magic rf frequency of $\Omega_{0} \approx$ $2 \pi \times 23.2 \mathrm{MHz}$ [18]. We take $\omega_{z}=2 \pi \times 200 \mathrm{kHz}$ which, for ${ }^{176} \mathrm{Lu}^{+}$, gives $l=7.94 \mu \mathrm{~m}$. So, for the parameters given, we have

$$
\begin{equation*}
\left(\frac{a \omega_{z} l}{2 c}\right)^{2} \approx 8.3 \times 10^{-16}, \quad \epsilon^{2} \approx 3.0 \times 10^{-4} \tag{28}
\end{equation*}
$$

With $\Omega=\Omega_{0}$ and $N=5000$ ions, the higher-order terms result in a broadening of $3.3 \times 10^{-17}$ and an average shift of $1.4 \times 10^{-17}$. These values would scale as $N^{2 / 3}$. When $\Omega=$

[^1]

FIG. 1. (a) Level structure of ${ }^{176} \mathrm{Lu}^{+}$, which has a nuclear spin $I=7$, showing the clock transition at 848 nm and the cooling and detection transition at 646 nm . A laser at 615 nm provides a magic wavelength to compensate tensor polarizability shifts from the rf field. (b) Transitions needed for hyperfine averaging. (c) Transitions needed to effectively realize the transitions in (b) due to constraints on the quantization axis (see Sec. III B).
$\Omega_{0} \sqrt{\lambda_{0}^{\prime}}$ the average shift vanishes and this is only a $7 \times 10^{-5}$ fractional change to the rf drive frequency. Comparison of the clock frequency at different numbers would therefore provide an accurate assessment of $\Omega$ and $\Delta \alpha$.

From the above analysis it would appear micromotion would not limit the accuracy that can be achieved. Moreover, the small broadening effects would not affect achievable stability in the foreseeable future. As the crystal size increases, so do the demands on the pointing stability of the probe but, for $10^{4}$ ions and an angular misalignment of $0.1^{\circ}$, the Rabi frequency for the outermost ions would be diminished by just $3 \times 10^{-4}$.

Our analysis has only considered the scalar polarizability. Levels with $J=\frac{1}{2}$ have a vector polarizability, but this is only relevant for circular polarizations. For candidates such as $\mathrm{Lu}^{+}$, which have clock states with $J>\frac{1}{2}$, we must also consider effects arising from the quadrupole moment and the tensor polarizability.

## III. CONSIDERATIONS FOR J > $\frac{1}{2}$

In Ref. [13], Barrett showed that averaging over transitions to all hyperfine states of a fixed $m_{F} \leqslant I-J$ cancels dominant magnetic field effects and quadrupole shifts whenever the nuclear spin $I$ is at least as large as $J$. This averaging, which we shall refer to as hyperfine averaging, is very general and applies to any perturbation that can be described by a rank $k>0$ tensor operator that does not depend on $I$. Hence, we need only consider the inhomogeneous broadening arising from such interactions. The following considerations can also be readily adapted for candidates with $I=0$, such as ${ }^{88} \mathrm{Sr}^{+}$, for example [4], which utilize averaging over all $m_{J}$.

## A. Quadrupole shifts

Since the quadrupole shift from the dc trapping field is fixed, it does not contribute to any broadening and so we


FIG. 2. (Color online) Distribution of quadrupole shifts for 5000 ions in a spherically symmetric trap. Initial starting distributions are a multilayered Mackay icosahedra (left) and body-centered-cubic lattice (right).
only consider the quadrupole fields arising from the space charge. Since the quadrupole field from neighboring ions falls off cubicly with distance, the quadrupole field experienced by an ion is due mostly to its local environment. Except for ions near to the edge of the crystal, we can anticipate that the local environment is essentially the same for each ion and the resulting quadruple shift is relatively homogeneous. Indeed, for sufficiently large numbers, it is known that a crystal of long-range order forms $[15,19,20]$ and the limiting structure is that of a body-centered-cubic (bcc) lattice. In this regime, the quadrupole shift would be constant for the bulk of the crystal and by symmetry it would be zero.

The tensor describing the quadrupole field for the $i$ th ion due to all other ions is given by

$$
\begin{equation*}
\nabla \mathbf{E}_{i}^{(2)}=\frac{m \omega_{z}^{2}}{e} Q_{i}=\frac{m \omega_{z}^{2}}{e} \sum_{j \neq i} Q_{i j} \tag{29}
\end{equation*}
$$

where $Q_{i j}$ is given in Eq. (5). Itano [21] has derived the quadrupole shift for a general orientation of the quantization axis relative to the coordinate system for which $Q_{i}$ is given. This shift factors into a geometric term, a state-dependent scale factor $C_{F, m_{F}}$ on the order of unity, and an overall scale factor quantifying the size of the shift. The geometrical factor is given by

$$
\begin{align*}
& \frac{Q_{z z}}{4}\left(3 \cos ^{2} \beta-1\right)+\frac{1}{2} \sin (2 \beta)\left(Q_{x z} \cos \alpha+Q_{y z} \sin \alpha\right) \\
& \quad+\frac{1}{4} \sin ^{2} \beta\left[\left(Q_{x x}-Q_{y y}\right) \cos (2 \alpha)+2 Q_{x y} \sin (2 \alpha)\right] \tag{30}
\end{align*}
$$

where we have used the Euler angle definitions in Ref. [21] and dropped the subscript $i$ for convenience. For the $m_{F}=0$ states of the ${ }^{3} D_{1}$ level of ${ }^{176} \mathrm{Lu}^{+}$, the state-dependent scale factors are $-\frac{2}{5}, 1,-\frac{3}{5}$ for the $F=6,7,8$ hyperfine levels, respectively. In the calculations that follow, we omit this factor. The overall scale factor depends on the quadrupole moment. For the estimated value of $-1.3 e a_{0}^{2}$ [17], its size is $-1.3 m \omega_{z}^{2} a_{0}^{2} \approx h \times 2.5 \mathrm{~Hz}$ where $a_{0}$ is the Bohr radius.

In Fig. 2, we plot the distribution of quadrupole shifts for $N=5000$ for a spherically symmetric trap. As in Ref. [15], we do see a dependence of the final crystal configuration on the initial condition for larger $N$. Configurations starting from a bcc lattice tend to stay in this configuration with some
rounding near the boundary of the crystal. Hence, we give the distribution of quadrupole shifts for two types of initial conditions: a multilayered Mackay icosahedra applicable to smaller numbers of ions, and a bcc lattice applicable in the limit of large $N$. The distribution on the left is more applicable to smaller numbers as considered here and is approximately Gaussian with a standard deviation of 0.078 Hz . The distribution does not depend on $N$ and we have verified that there is no significant dependence on the field orientation as expected from the spherical symmetry. This level of the broadening should not be an issue even for the very best lasers available today.

The distribution on the right is applicable to larger numbers of ions. However, crystals of long-range order have been reported for smaller numbers [19]. Comparing the two distributions, we clearly see the effect of the bcc-lattice component giving the expected peaking of the distribution near zero. This distribution does have a dependence on the orientation of the $B$ field and we have oriented the field along one of the axes of the interior bcc structure which coincides with the trap axis. ${ }^{2}$ We would expect the dependence on the orientation of the $B$ field to diminish for larger numbers as the bcc component becomes much more prominent.

The distribution of quadrupole shifts depends only on the geometry of the crystal and not on its overall size, at least for the range of numbers we have explored. Prolate ellipsoidal crystals in more conventional linear Paul traps, in which the transverse confinement is much stronger than the axial confinement, have a much broader distribution of quadrupole shifts. Moreover, the width of the distribution depends on the orientation of the trap relative to the quantization axis as may be expected. For these reasons, we have restricted our attention to a spherical geometry.

## B. Tensor polarizability

The tensor polarizability also gives rise to a shift of the clock frequency from the rf fields. As shown in Refs. [21,22], this contribution is given by

$$
\begin{equation*}
\frac{\delta v}{v}=-\frac{C_{F, m_{F}}}{4} \frac{\alpha_{2, J}}{h v}\left\langle 3 E_{z}^{2}-E^{2}\right\rangle, \tag{31}
\end{equation*}
$$

where $C_{F, m_{F}}$ is a state-dependent scale factor identical to those for the quadrupole shift, $\langle\ldots\rangle$ indicates a time average over one cycle of the oscillating field, and the tensor polarizability $\alpha_{2, J}$ is in general frequency dependent. Since the rf frequency is small relative to any optical frequency of interest, we can use the dc value of $\alpha_{2, J} \approx-5.0$ a.u. for the tensor polarizability [17]. If the quantization axis is aligned along the trap axis, then $E_{z}=0$ and the shift has the same form as discussed for the scalar polarizability. In general, due to the $F$-dependent scale factors, we cannot simply modify the scalar polarizability to account for the effect. ${ }^{3}$ Since Eq. (31) applies at all frequencies, we can use a laser field to reduce the broadening that arises. We also note that the constraint on the quantization axis forces

[^2]

FIG. 3. (Color online) Distribution of shifts due to the tensor polarizability for $N=1000$ ions. Distribution on the right has been compensated using a doughnut Laguerre-Gauss beam with a waist of $100 l \approx 800 \mu \mathrm{~m}$. We have used the same spherical geometry as in the previous sections.
us to realize $m_{F}=0$ to $m_{F}=0$ transitions as an average over $m_{F}= \pm 1$ to $m_{F}=0$ as illustrated in Fig. 1. This introduces further averaging to that discussed in Ref. [13] but does not affect any of the points considered here.

Use of a laser to reduce the broadening arising from the tensor polarizability requires the spatial dependence of the beam to match the spatial variation of the rf field and the use of a magic wavelength at which the dynamic differential scalar polarizability of the clock transition is zero. For $\mathrm{Lu}^{+}$, such a wavelength can be found for a laser tuned between the ${ }^{3} D_{1}$ to ${ }^{3} P_{0}$ and ${ }^{3} D_{1}$ to ${ }^{3} P_{1}$ transitions. From matrix elements given in Ref. [23], we find a magic wavelength at $\approx 615 \mathrm{~nm}$ with $\alpha_{2, J} \approx 100$ a.u. The sign of $\alpha_{2, J}$ relative to the dc value requires the use of a doughnut mode [24] which, to lowest order, has an intensity profile that has the same quadratic dependence on the distance from the trap axis as the $E^{2}$ amplitude of the rf field. In this case, off-resonant scattering from the compensation beam is completely determined by the amount of compensation needed. For a crystal of $10^{4}$ ions, we estimate a scattering rate $\approx 0.005 \mathrm{~s}^{-1}$ for the outermost ions. Hence, for $\mathrm{Lu}^{+}$, off-resonant scattering will not limit this approach. A more practical limit would be the mode matching of the laser profile to the spatial variation of the rf field. But, we emphasize that the mode matching need only be sufficient to reduce the broadening since hyperfine averaging eliminates any residual shift.

In Fig. 3, we illustrate the compensation of broadening for $N=1000$ ions using a doughnut Laguerre-Gauss beam with a waist of $100 l \approx 800 \mu \mathrm{~m}$ with the same spherical trap geometry used in the previous sections. Due to the fact that the width of the broadening scales as $N^{2 / 3}$ it would likely become impractical to go beyond $N=1000$ ions. This assessment is based on due consideration of demonstrated mode purity of higher-order Laguerre-Gauss beams [24], and realistic constraints on beam size and intensity stabilization.

Determining the level of compensation and separating this from considerations of the scalar polarizability may be experimentally challenging. For ${ }^{176} \mathrm{Lu}^{+}, \Delta \alpha$ can be determined independently. The combined effect of $\Delta \alpha$ and $\alpha_{2}$ is given by the shift

$$
\begin{equation*}
\Delta v=-\frac{1}{2}\left(\Delta \alpha-\frac{C_{F, m_{F}}}{2} \alpha_{2}\right) E^{2} \tag{32}
\end{equation*}
$$

TABLE I. Summary of systematic shifts for $\mathrm{Lu}^{+}$after hyperfine averaging.

| Effect | Shift $\left(10^{-18}\right)$ |
| :--- | :---: |
| Blackbody radiation @ 300 K | 53.3 |
| Secular Doppler | -0.05 |
| Micromotion | 0 |
| Quadrupole shifts | 0 |
| Tensor polarizability shifts | 0 |
| Quadratic Zeeman @ $10 \mu \mathrm{~T}$ | -1.4 |
| Probe ac Stark $(200 \mathrm{~ms} \pi$ pulse $)$ | $<50$ |

Since $\Delta \alpha-\frac{C_{F, 0}}{2} \alpha_{2}<0$ for $F=6$ and 8 , a magic rf frequency can be found for each transition to these states which includes $\alpha_{2}$. As outlined in Sec. II, clock operation on a single transition would allow an accurate determination of $\Delta \alpha-\frac{C_{F, 0}}{2} \alpha_{2}$ for $F=6$ and 8 . From these, $\Delta \alpha$ and $\alpha_{2}$ can be inferred along with the magic rf frequency associated with $\Delta \alpha$ alone. Any residual broadening or number variation could then be attributed to improper compensation of the tensor polarizability.

## IV. PROSPECTS FOR $\mathrm{Lu}^{+}$

As a clock candidate, $\mathrm{Lu}^{+}$has a number of favorable properties leading to low systematic shifts which are summarized in Table I. The blackbody radiation shift at 300 K is based on the current estimate of the differential static polarizability of $\Delta \alpha=-2.19$ a.u. [17]. The second-order Doppler shift due to residual secular thermal motion assumes Doppler limited cooling on the 646 nm transition. This has a linewidth of $\Gamma=2 \pi \times 2.45 \mathrm{MHz}$ providing one of the lowest Doppler cooling limits amongst the ions and yet sufficiently large to allow a collection of $>5$ photons $/ \mathrm{ms}$ per ion during detection. Hyperfine averaging cancels dominate Zeeman shifts leaving only a residual quadratic shift of $\approx 5 \mathrm{~Hz} / \mathrm{mT}^{2}$ due to coupling to the ${ }^{3} D_{2}$ fine-structure level [13]. The shift given in the table assumes an operating field of $10 \mu \mathrm{~T}$. Finally, ac Stark shifts from the probe laser are based on current estimates of the dynamic polarizabilities at the clock frequency ( $\Delta \alpha_{0}=$ -18.12 a.u. and $\alpha_{2}=-12.44$ a.u.), and a lifetime of 62 h [17]. The inequality given in the table applies to all six transitions involved in the hyperfine averaging as indicated in Fig. 1(c).

We can expect much of the ac Stark shift from the clock laser to be eliminated by hyper-Ramsey spectroscopy $[25,26]$. Thus, a temperature inaccuracy of $1^{\circ}$ at room temperature would permit fractional inaccuracies below $10^{-18}$. Within a cryogenic environment, we can also anticipate inaccuracies beyond $10^{-19}$.

As discussed in Sec. III B, we can expect to be limited in practice to $N \approx 1000$ ions. For these many ions, if we combine the quadrupole shifts and the compensated shifts from the tensor polarizability, we obtain a reasonably symmetric distribution which can be roughly approximated to a Gaussian with a standard deviation of about 0.1 Hz . With the actual distribution, a simulated Ramsey experiment with a $T_{m}=1 \mathrm{~s}$ free precession time yields an $80 \%$ contrast in the Ramsey fringes. For $\mathrm{Lu}^{+}$state preparation and detection can be expected to take $\sim 1 \%$ of the total interrogation time and
hence the Dick effect [27] should not have a significant role. Neglecting the slight loss in fringe contrast gives an estimated projection noise limited stability $[8,28]$ of

$$
\begin{equation*}
\sigma(\tau)=\frac{1}{2 \pi v_{0} \sqrt{N T_{m} \tau}} \approx \frac{1.5 \times 10^{-17}}{\sqrt{\tau}} \tag{33}
\end{equation*}
$$

With a stability given by Eq. (33), measurement of the clock frequency at levels of $10^{-18}$ could be achieved within $\approx 5 \mathrm{~min}$. Assessment of micromotion shifts associated with small inaccuracies of the magic rf frequency does, however, require comparison of clock measurements with different numbers of ions. Integration times of approximately 1 day would permit assessment of the clock at the $2 \times 10^{-19}$ level for 100 ions. Subsequent comparison with 1000 ions would then provide a measurement accuracy of $\Delta \alpha$ and the magic rf frequency at the $10^{-6}$ level. Using micromotion shifts to determine $\Delta \alpha$ has been demonstrated with a single ion [29]. With many ions, the sensitivity of this approach is substantially improved.

## V. ALTERNATIVE TRAPS

Our approach has focused on linear Paul traps. Other approaches may be feasible such as multipole traps or Penning traps. In multipole traps, low numbers of ions initially populate a single one-dimensional ring of ions that can hold up to several tens of ions. By symmetry quadrupole shifts and shifts from the tensor polarizability are practically constant for all ions. As more ions are added, more rings form. For more than two rings, the shifts split into multiple values that can be separated at the Hertz level. Thus, it would be difficult to go beyond a few $10^{2}$ ions by this approach. Nevertheless, this could be achieved with very little broadening, thus allowing for much longer Ramsey times.

Penning traps have been used to confine and control very large numbers of ions [20]. Ion confinement in a Penning trap is due to the ion crystal rotation through a large uniform magnetic field. In a Penning trap, the combined fractional frequency shift is

$$
\begin{equation*}
\frac{\Delta v}{v}=-\frac{1}{2}\left(\frac{\omega_{r}}{c}\right)^{2}\left[1+\frac{\Delta \alpha}{h v}\left(\frac{m \omega_{r} c}{e}\right)^{2}\right] \rho^{2} \tag{34}
\end{equation*}
$$

where $\rho$ is the cylindrical radius of an ion in the crystal and $\omega_{r}$ is the rotation frequency of the crystal. This then leads to a magic rotation frequency analogous to the magic rf frequency for the rf Paul trap. For the Penning trap, there are no higherorder corrections so the second-order Doppler and polarization compensation should work very well, but there are constraints on $\omega_{r}$ due to available magnetic fields. Specifically, the rotation frequency is bounded by the cyclotron frequency $\Omega_{c}=e B / m$ [30]. This leads to the constraint

$$
\begin{equation*}
B>\sqrt{\frac{h v}{-c^{2} \Delta \alpha}} \tag{35}
\end{equation*}
$$

Unfortunately, this favors a large polarizability and small clock frequency. Even a clock frequency as low as $10^{14} \mathrm{~Hz}$ and a differential polarizability $\Delta \alpha=-100$ requires $B>22 \mathrm{~T}$.

## VI. CONCLUSION

We have shown that ions with a negative differential static polarizability should allow high-precision metrology on large ion crystals. More specifically, we have shown that micromotion does not give rise to any significant inhomogeneous broadening and that higher-order frequency shifts can be managed through adjustment of the magic rf frequency. For clock candidates that support a quadrupole moment, we have shown that spherically symmetric traps show very little broadening due to quadrupole shifts induced by neighboring ions and this broadening is not dependent on the size of the crystal. We have also shown that broadening arising from the tensor polarizability can be compensated by a laser field, at least for smaller numbers of ions $(\lesssim 1000)$. This will extend the advantage of using large numbers to ion candidates having $\Delta \alpha<0$, an advantage that has allowed neutral atoms to surpass the performance of single ion standards. In the case of ${ }^{176} \mathrm{Lu}^{+}$, this approach could outperform the current state of the art by an order of magnitude in both stability and accuracy.

We have not included effects due to anharmonicities of the trapping fields as these effects are design dependent. However, the framework we have used follows that given in Ref. [14] and should allow such effects to be included given a particular design. The effect will be to give a spatial dependence to $\Lambda_{\mathrm{s}}$ and $\Lambda_{\mathrm{rf}}$. But, the influence of $\Lambda_{\mathrm{s}}$ only appears to second order in $\epsilon$ and we have shown that these effects do not contribute significantly under most circumstances. Furthermore, the spatial dependence of $\Lambda_{\mathrm{rf}}$ in Eq. (8) would not change the lowest-order equation for the magic rf frequency. Hence, we believe that the main effect of anharmonicity will be to affect the higher-order terms only. This would introduce a small amount of broadening and not change the general conclusions we have made here. We may anticipate a variation in crystal density giving further broadening due to quadrupole shifts, but this would likely only be significant for highly anharmonic confinement.

We have also not considered magnetic field inhomogeneities as these are again design dependent. Magnetic fields arising from currents induced by the trap driving field [1] can be expected to have a significant spatial variation giving rise to
a broadening through the quadratic Zeeman shift of the clock states. Static field inhomogeneities would also give rise to additional broadening through the linear Zeeman effect. Thus, candidates with small $B$-field sensitivities would be desirable, but this is true of any clock. Moreover, it may be possible in a specific implementation to compensate any significant broadening with additional fields as we have shown for tensor polarizability effects.

Although the importance of $\Delta \alpha<0$ was pointed out over 15 years ago [12], it has not played a significant role in the development of ion-based atomic clocks. This is perhaps due to the scarcity of candidates having this property. To our knowledge, there are eight candidates that have been reported in the literature: $\mathrm{B}^{+}$[31], $\mathrm{Ca}^{+}$[31], $\mathrm{Sr}^{+}$[31], $\mathrm{Ba}^{+}$ [32], $\mathrm{Ra}^{+}$[32], $\mathrm{Er}^{2+}$ [18], $\mathrm{Tm}^{3+}$ [18], and $\mathrm{Lu}^{+}$[18]. Of these candidates, $\mathrm{B}^{+}$is the only candidate with a $J=0$ to $J=0$ clock transition for which quadrupole and tensor polarizability restrictions do not apply. However, the magic rf frequency for $\mathrm{B}^{+}$is $\approx 800 \mathrm{MHz}$ which may be technically challenging to implement. Moreover, the only cooling and detection channel available is the ${ }^{1} S_{0}$ to ${ }^{1} P_{1}$ at 137 nm .

From the alkaline-earth-metal, $\mathrm{Ba}^{+}$is an interesting possibility. For ${ }^{137} \mathrm{Ba}^{+}$, there are a number of states of the $D_{3 / 2}$ level with $C_{F, m_{F}}=0$. Hence, quadrupole and tensor polarizability considerations would not apply. Since these are the main limitations to working with large numbers, very high levels of stability could be possible with this ion. It is hoped that this discussion spurs interest in finding new candidate transitions with $\Delta \alpha<0$.

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## Erratum: Prospects for atomic clocks based on large ion crystals [Phys. Rev. A 92, 032108 (2015)]

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In our discussion of higher-order micromotion effects, we neglected contributions from higher Fourier components in Eq. (14) and mistakenly evaluated a dc term to balance the pseudopotential in Eq. (18). Since the only force that acts on an ion is the electric field that causes the Stark shift, the shift is proportional to $\langle\ddot{r}\rangle$ and hence the dc term does not contribute. This changes Eq. (17) to

$$
\begin{align*}
\frac{\Delta \nu_{i}}{v}= & -\left(\frac{\omega_{z} l}{2 c}\right)^{2}\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right]\left\{\mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{2} \mathbf{R}_{0, i}-\frac{\epsilon^{2}}{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}} \mathbf{W}_{0, i}+\frac{\epsilon^{2}}{2} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}\left(\Lambda_{\mathrm{s}}+\frac{1}{16} \Lambda_{\mathrm{rf}}^{2}\right) \Lambda_{\mathrm{rf}} \mathbf{R}_{0, i}+\frac{\epsilon^{2}}{16} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{4} \mathbf{R}_{0, i}\right\} \\
& +\left(\frac{\omega_{z} l}{2 c}\right)^{2} \frac{3 \epsilon^{2}}{64} \mathbf{R}_{0, i}^{T} \Lambda_{\mathrm{rf}}^{4} \mathbf{R}_{0, i} \tag{17}
\end{align*}
$$

and eliminates the need for Eqs. (18) and (19). Consequently Eq. (22) becomes

$$
\begin{equation*}
\frac{\Delta \nu_{i}}{v}=-\left(\frac{a \omega_{z} l}{2 c}\right)^{2}\left\{\left[\lambda_{0}-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \lambda_{1} \mathbf{R}_{0, i}^{T} \Lambda^{2} \mathbf{R}_{0, i}-\frac{\epsilon^{2}}{2 a}\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda \mathbf{W}_{0, i}+\frac{\epsilon^{2} \delta}{2}\left[1-\left(\frac{\Omega}{\Omega_{0}}\right)^{2}\right] \mathbf{R}_{0, i}^{T} \Lambda \mathbf{R}_{0, i}\right\} \tag{22}
\end{equation*}
$$

with Eq. (23) changed to

$$
\begin{equation*}
\lambda_{0}=1-\frac{3 a^{2}}{64} \frac{\epsilon^{2}}{\lambda_{1}} \quad \text { and } \quad \lambda_{1}=1+\frac{3 a^{2}-8}{32} \epsilon^{2} . \tag{23}
\end{equation*}
$$

This diminishes the effect of transverse asymmetry, $\delta$.
For the symmetric case, Eq. (25) does not change but the constants in Eq. (26) are changed to

$$
\begin{equation*}
\lambda_{0}^{\prime}=1-\frac{9}{64} \frac{\epsilon^{2}}{\lambda_{1}^{\prime}} \quad \text { and } \quad \lambda_{1}^{\prime}=1+\frac{21}{160} \epsilon^{2} \tag{26}
\end{equation*}
$$

These changes do not affect the general discussions or conclusions of the paper.
We thank Georgy Kazakov, who is preparing a manuscript that will include an independent calculation, for bringing this to our attention.


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[^1]:    ${ }^{1}$ Throughout we use atomic units for polarizabilities. Conversion to S.I. units is via the scale factor $4 \pi \epsilon_{0} a_{0}^{3}$ where $a_{0}$ is the Bohr radius.

[^2]:    ${ }^{2}$ The alignment of the crystal here is by construction of the initial condition.
    ${ }^{3}$ For a single transition, if $\alpha_{2, J}$ was sufficiently small, it could be incorporated into the definition of $\Delta \alpha$.

