



Hitting the Bounds of Chemistry: Limits and Tradeoffs for Low-GWP Refrigerants

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Outline/Motivation

- Industry needs low-GWP refrigerants
- What properties do we want in a refrigerant?
- What is the best that we can do?
 - what are the thermodynamic limits to performance?
 - how do current fluids compare?
- What other molecules are out there?
 - properties from molecular structure
 - simulation of candidate fluids & tradeoffs
- Is that all there is, really?

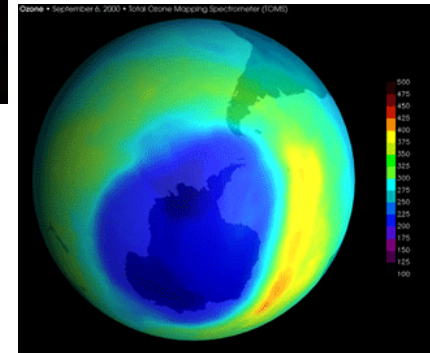
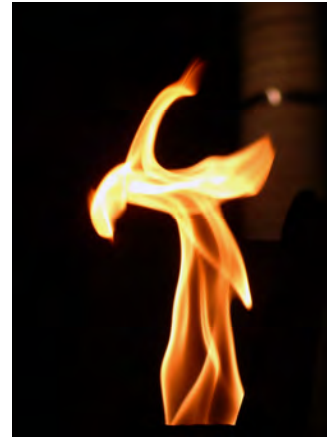
→ Can We Do Better? ←

What Properties are Important?

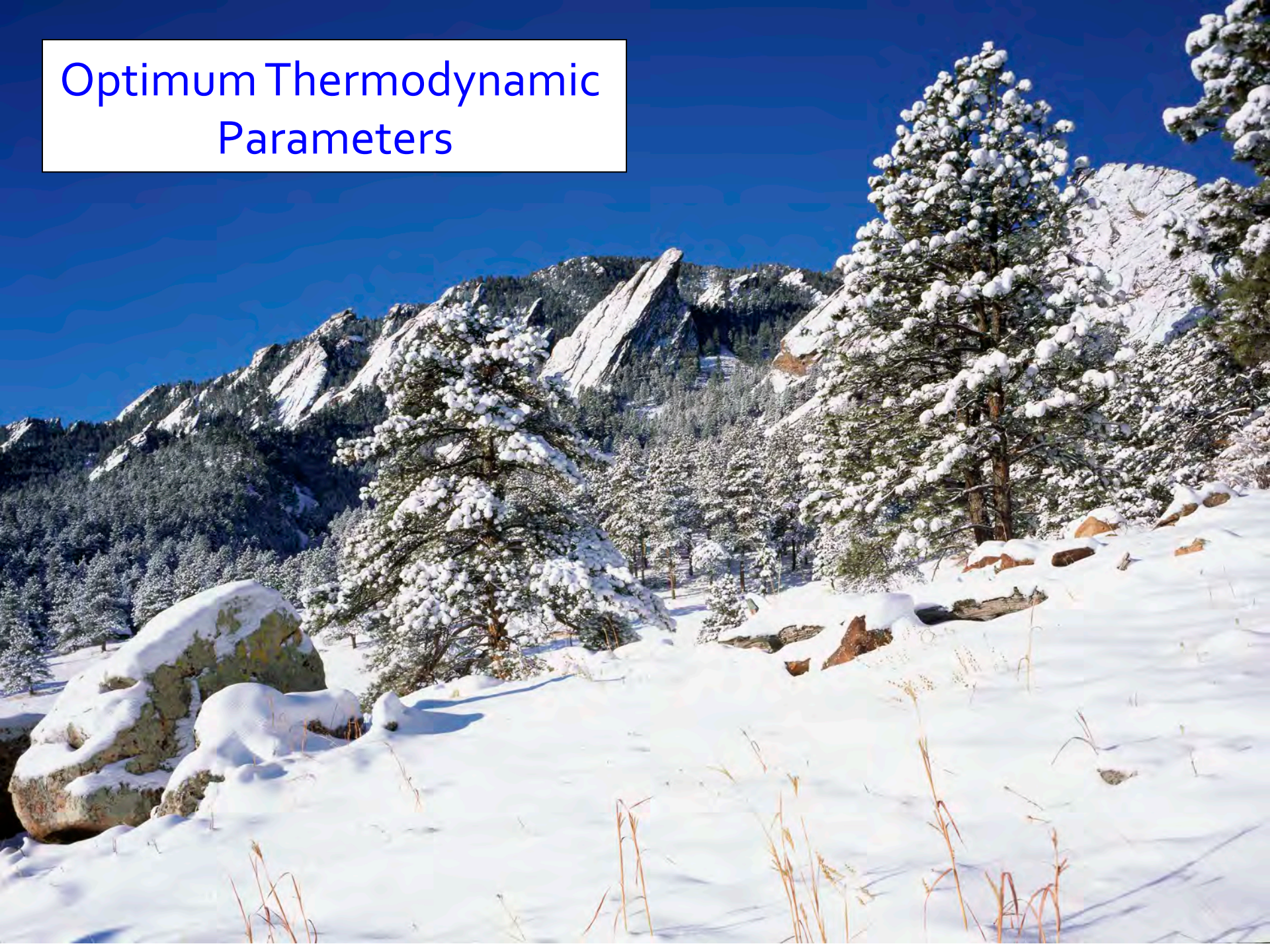
- Safety
 - ↳ toxicity (acute and chronic)
 - ↳ flammability*
- Environmental
 - ↳ ozone depletion potential (ODP)
 - ↳ green house warming potential (GWP)*
 - ↳ atmospheric life (impacts ODP & GWP)*
- Materials
 - ↳ compatibility with metals, seals, etc.
 - ↳ lubricant
 - ↳ stability (hydrolysis, polymerization, etc.)
- Performance
 - ↳ thermodynamic properties**
 - ↳ transport properties

** focus of this project

* secondary objective



Optimum Thermodynamic Parameters



Optimal Thermodynamic Parameters

Optimize for what application?

→ medium & high pressure refrigeration
in positive-displacement compressors.

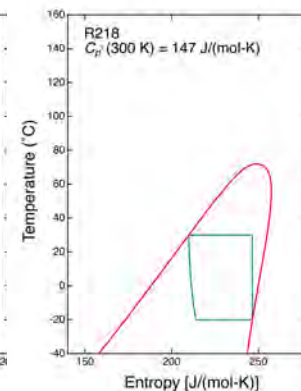
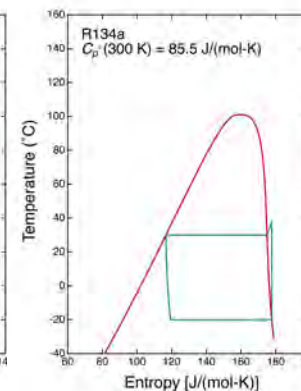
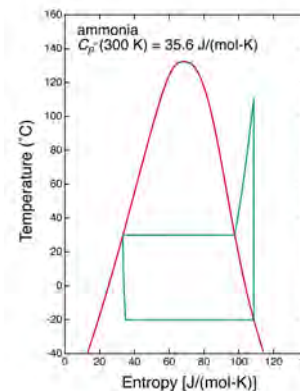
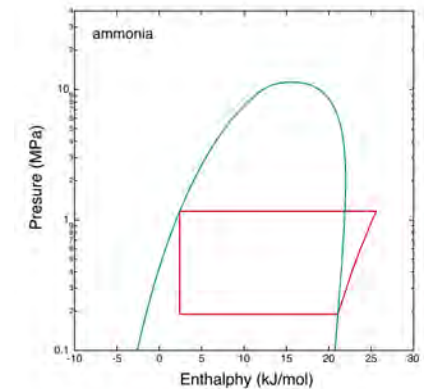
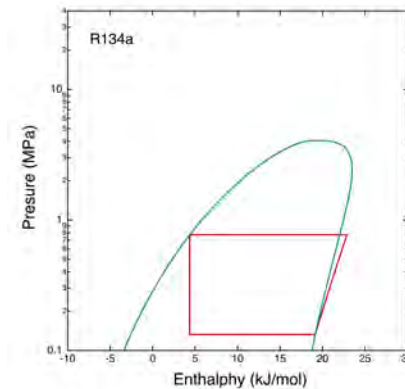
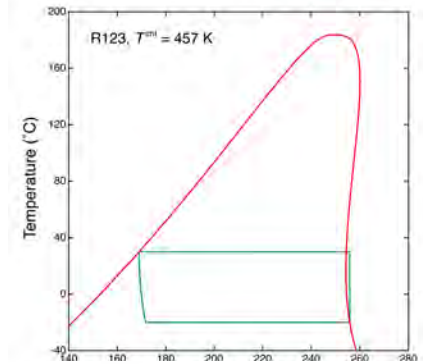
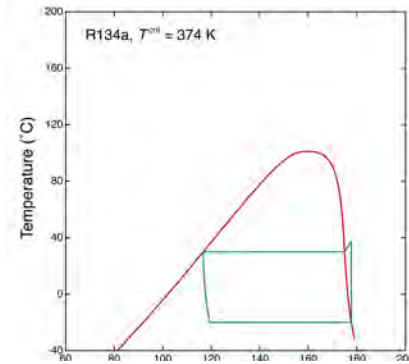
Optimize: COP? Capacity?

How to determine?

- Simulate known fluids, infer optimal parameters
- BUT:
 - limited number of fluids
 - what are we missing?
 - could we do better?

—OR—

- Model directly in terms of fundamental parameters



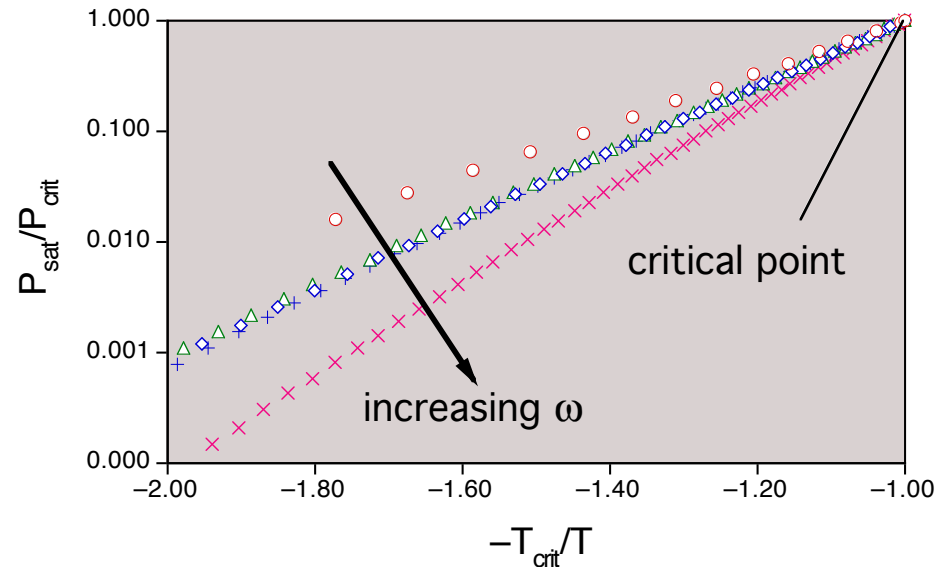
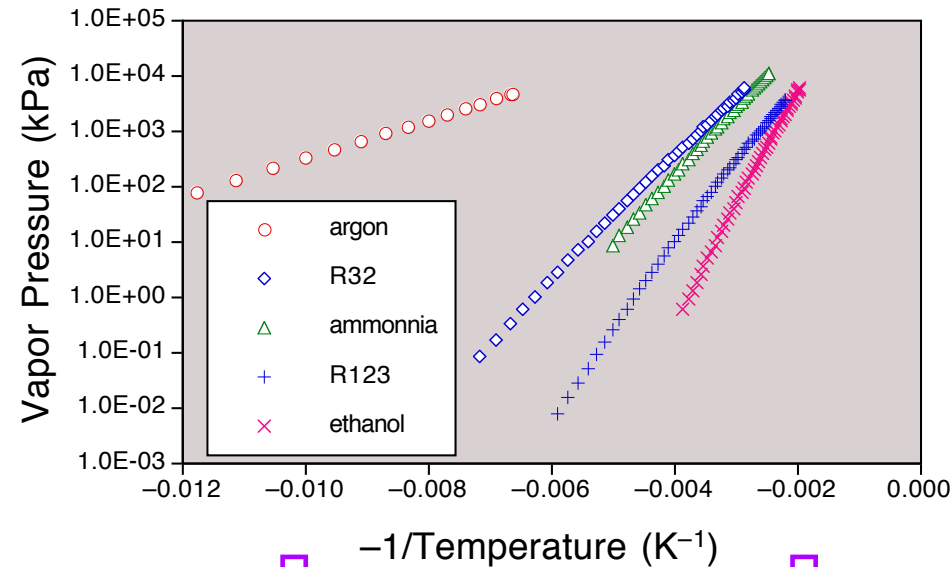
Thermo Properties by Extended Corresponding States

Concept: Thermodynamic properties are similar when scaled by critical parameters

$$T_{\text{crit}}, \rho_{\text{crit}}$$

- Combine with heat capacity in limit of zero pressure C_p°
- Refine with additional parameters
- All possible fluids expressed in term of ~ 10 parameters

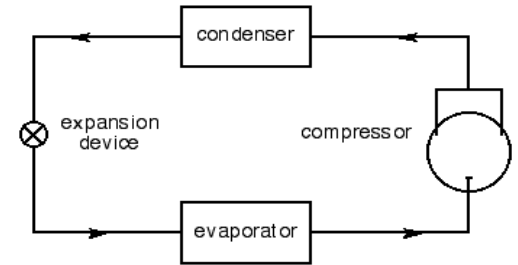
➔ Amenable to optimization ➔



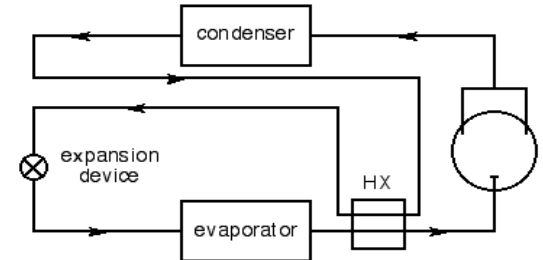
Cycle Analysis

- Modify NIST CYLE-D model
- Investigate variety of cycles:
 - ➔ ideal vapor compression cycle
 - ➔ internal LL/SL heat exchanger
 - ➔ single-stage flash economizer
 - ➔ work recovery device
- Define what is possible
 - ➔ explore “thermodynamic space” with evolutionary algorithms in terms of ECS parameters
- Define optimum thermodynamic parameters for the applications:
 - ➔ cooling ($T_{\text{evap}} = 10 \text{ }^\circ\text{C}$, $T_{\text{cond}} = 40 \text{ }^\circ\text{C}$)
 - ➔ heating ($T_{\text{evap}} = -10 \text{ }^\circ\text{C}$, $T_{\text{cond}} = 30 \text{ }^\circ\text{C}$)
 - ➔ refrigeration ($T_{\text{evap}} = -20 \text{ }^\circ\text{C}$, $T_{\text{cond}} = 30 \text{ }^\circ\text{C}$)

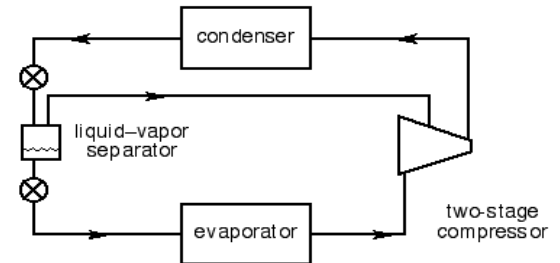
(a) simple vapor compression cycle (baseline)



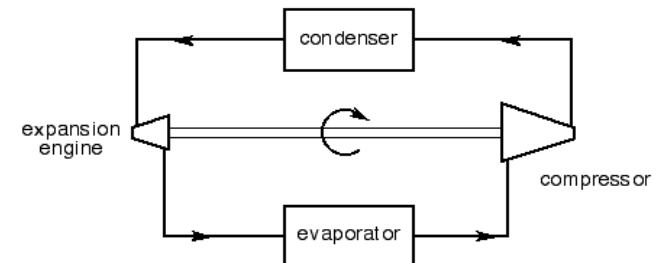
(b) liquid-line/suction-line HX



(c) flash economizer

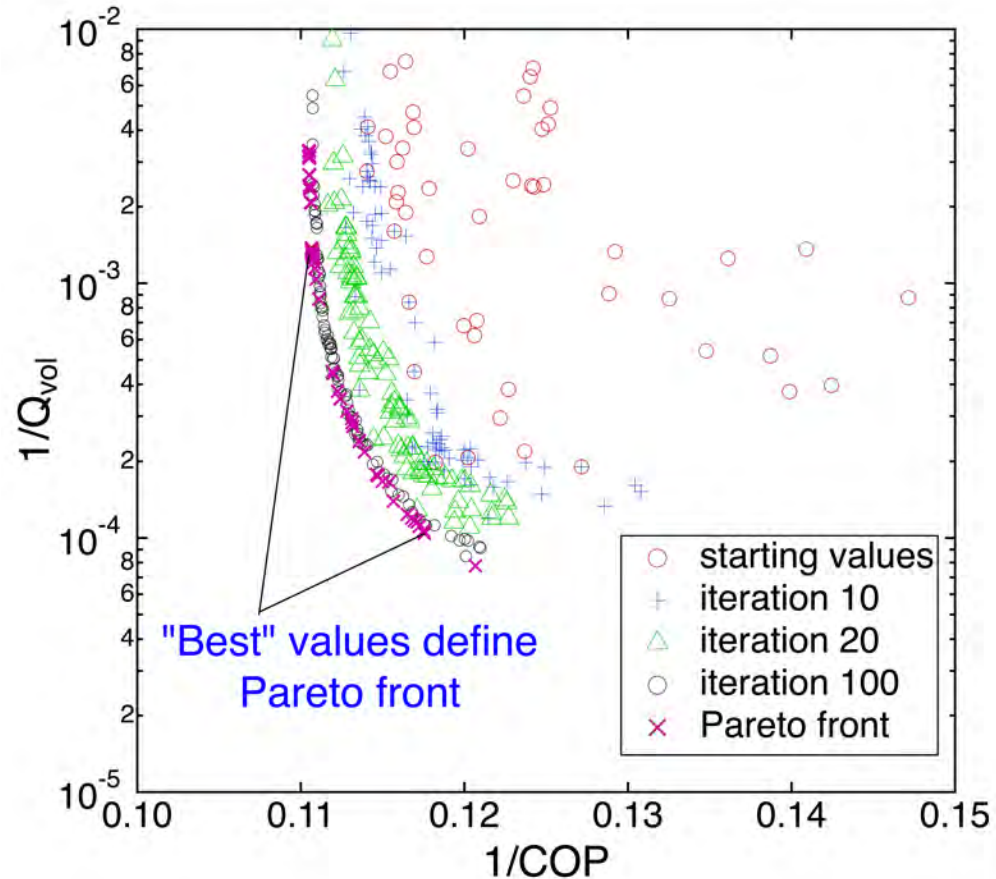


(d) work recovery



Optimization (Exploration of Thermodynamic Space)

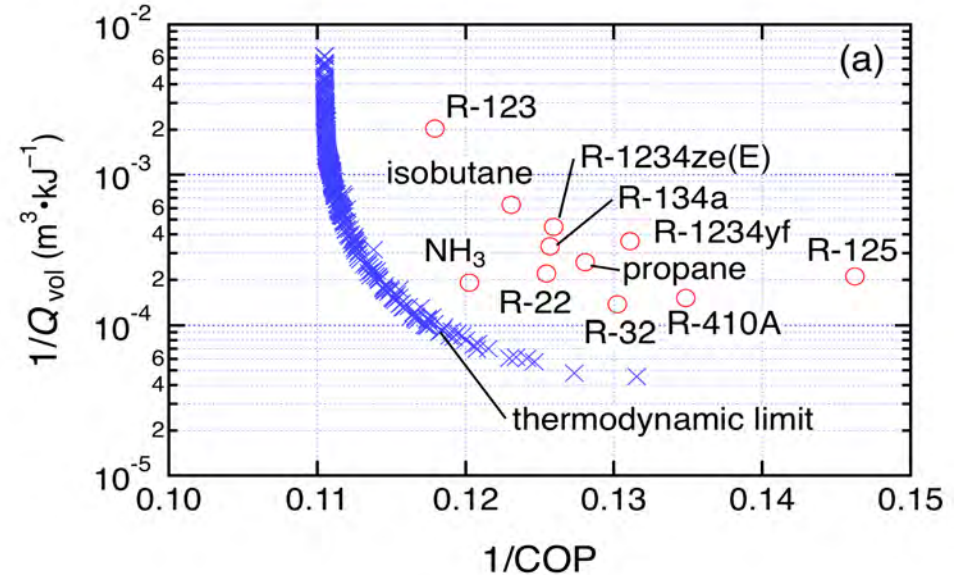
- Hypothetical fluids defined by sets of ECS parameters
- Starting “generation” of fluids randomly selected
- Compute COP and Q_{vol}
- Evolutionary algorithm selects “children” for next generation (*i.e.*, iteration)
- Random parameters also used
- 100,000 hypothetical fluids for each cycle/application
- “Best” COP/ Q_{vol} pairs define the Pareto front



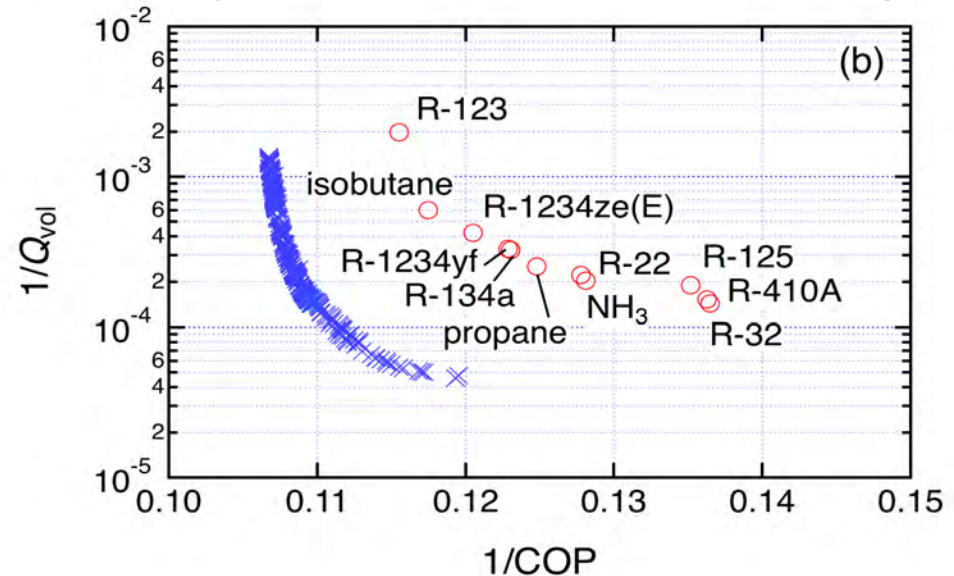
Cycle Simulations—Results

- Simulations yield clear Pareto front
- Current fluids well away from Pareto front
 - ↪ better fluids at least *allowed* by thermodynamics
- Cycle with internal HX:
 - ↪ Pareto front (thermo limit) shows better performance
 - ↪ effect for real fluids varies

Baseline cycle



Cycle with LL/SL heat exchange



Results—Parameters Along Pareto Front

Simple cycle (& economizer cycle):

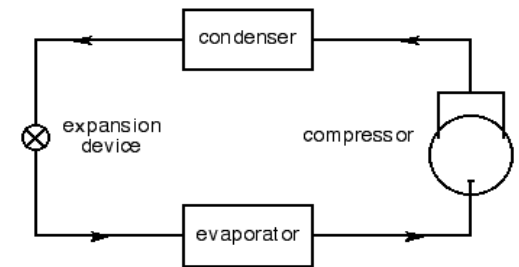
- Critical temperature: efficiency/capacity tradeoff
- Critical pressure: high values optimal
- Vapor heat capacity (molar basis): low values optimal
- Acentric factor (slope of vapor pressure curve): low values optimal

Cycle w/ LL-SL HX (or work recovery):

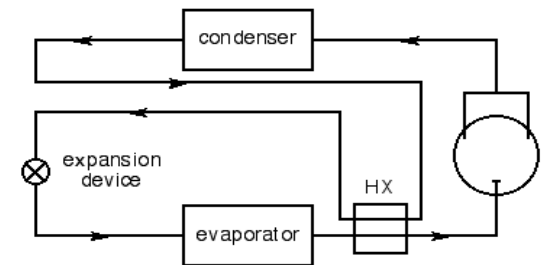
Same as above, except:

- Vapor heat capacity: moderate to high values optimal

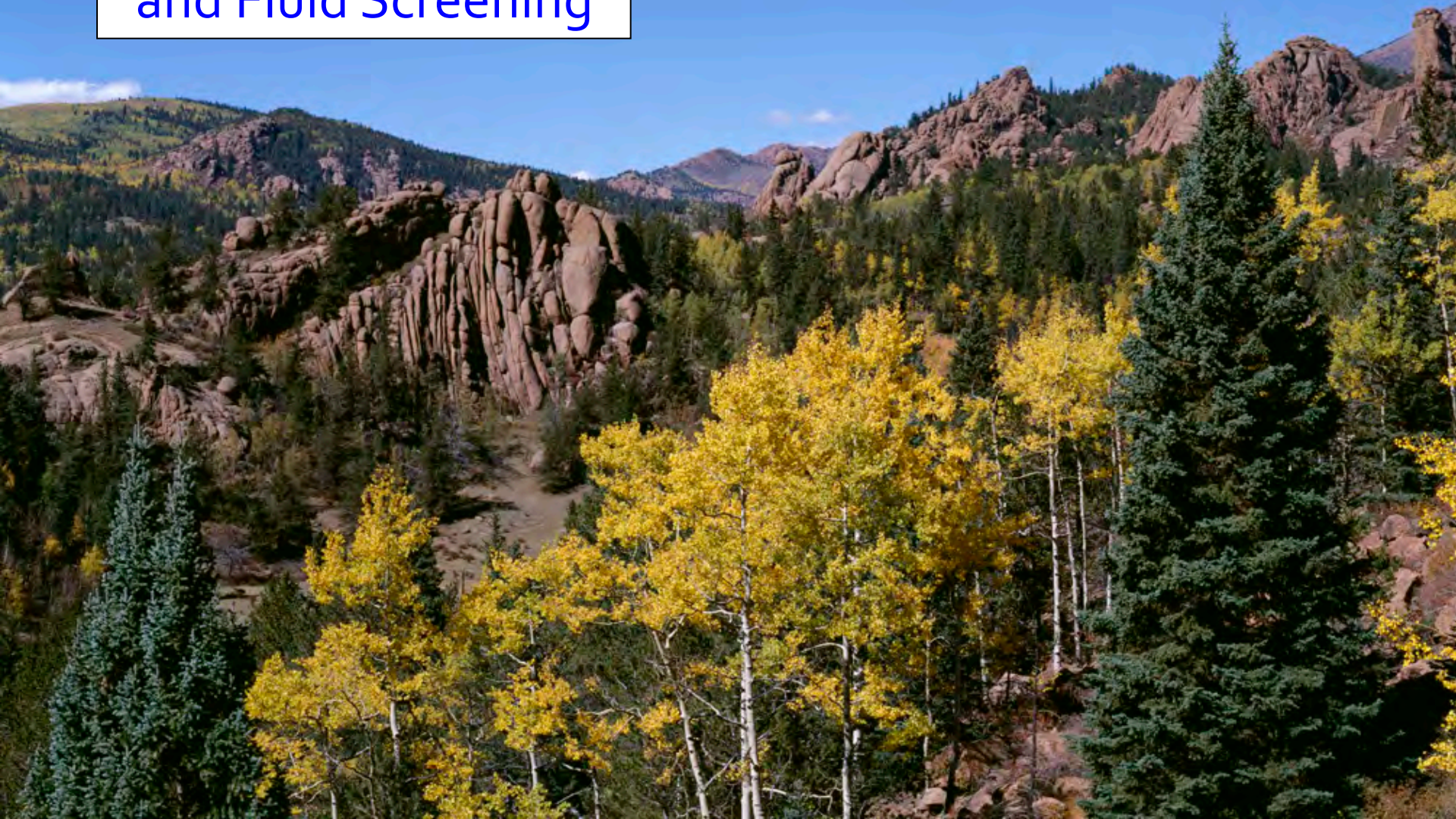
(a) simple vapor compression cycle (baseline)



(b) liquid-line/suction-line HX

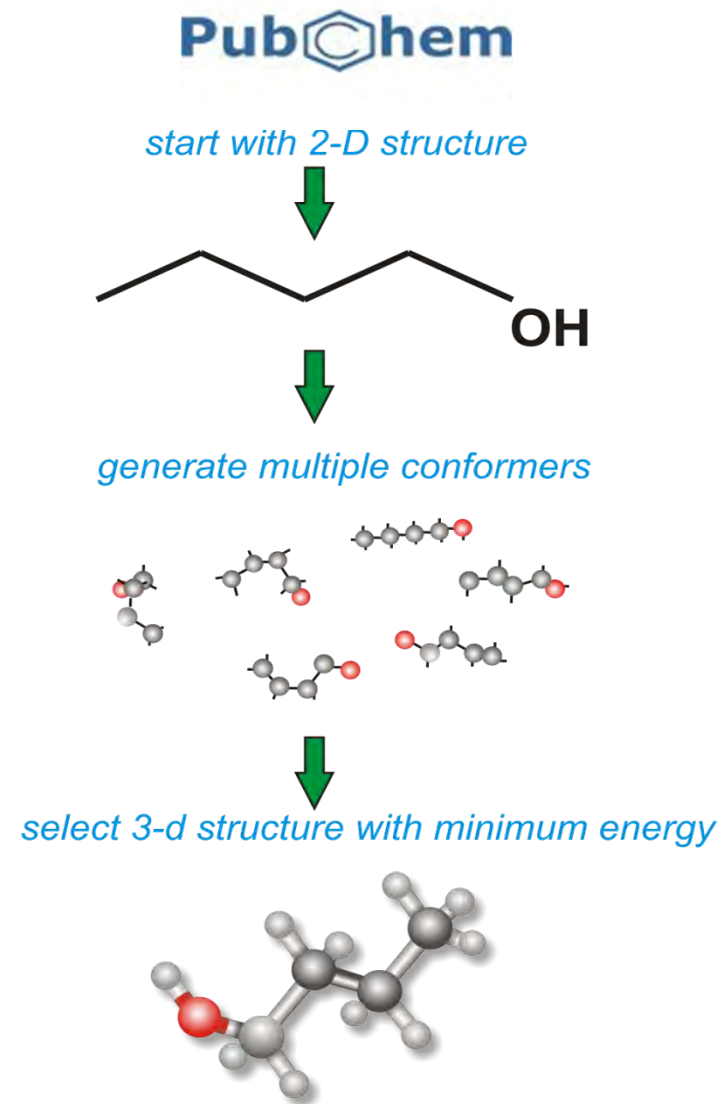


Properties From
Molecular Structure
and Fluid Screening



Fluid Screening

- Starting list: 100,000,000 compounds in PubChem data base (U.S. NIH)
- ≤ 15 atoms in molecule
only C, H, N, O, S, F, Cl, Br
- Generate 3-D structure using quantum-mechanical methods
- All other properties follow from structure
- T^{crit} , $T^{\text{crit}}/p^{\text{crit}}$, ω correlated with “descriptors” (e.g., molecular volume, charge surface density, “carbon types,” “molecular distance edges”)



(First) Fluid Screening (2012)

- Starting list: 100,000,000 compounds in PubChem
- ≤ 15 atoms in molecule
only C, H, N, O, S, F, Cl, Br
- $GWP_{100} < 200$
 - NIST estimation method of Kazakov, *et al.* (2012)
- Toxicity screen
 - markers/groups compiled by Lagorce, *et al.* (2008)
- Flammability: $LFL > 0.1 \text{ kg/m}^3$
 - NIST estimation method of Kazakov, *et al.* (2012)
- Critical temperature: $300 \text{ K} < T^{\text{crit}} < 550 \text{ K}$
 - NIST estimation method of Kazakov, *et al.* (2010)
- Stability: screen out problematic groups
(*e.g.*, peroxides, 3-member rings)

The logo for PubChem, featuring the word "PubChem" in a blue sans-serif font. The letter "C" is replaced by a blue hexagonal shape with a white circle inside, representing a benzene ring.

Remaining
Fluid Count

56,203

52,265

30,135

20,277

1728

1234

(2nd) Fluid Screening (2015)

- Starting list: 198,000,000 compounds in PubChem
 - ≤ 18 atoms in molecule
only C, H, N, O, S, F, Cl, Br
 - $GWP_{100} < 1000$
 - ↳ NIST estimation method of Kazakov, *et al.* (2012)
 - Flammability screen ↳ **dropped**
 - Critical temperature: $320 \text{ K} < T^{\text{crit}} < 420 \text{ K}$
 - ↳ NIST estimation method of Kazakov, *et al.* (2015)
 - Toxicity screen ↳ **examine “manually”**
 - Stability screen ↳ **examine “manually”**
- ↳ Ensure that we did not eliminate viable candidates (flammable or moderate GWP might be acceptable)



Remaining
Fluid Count

184,000

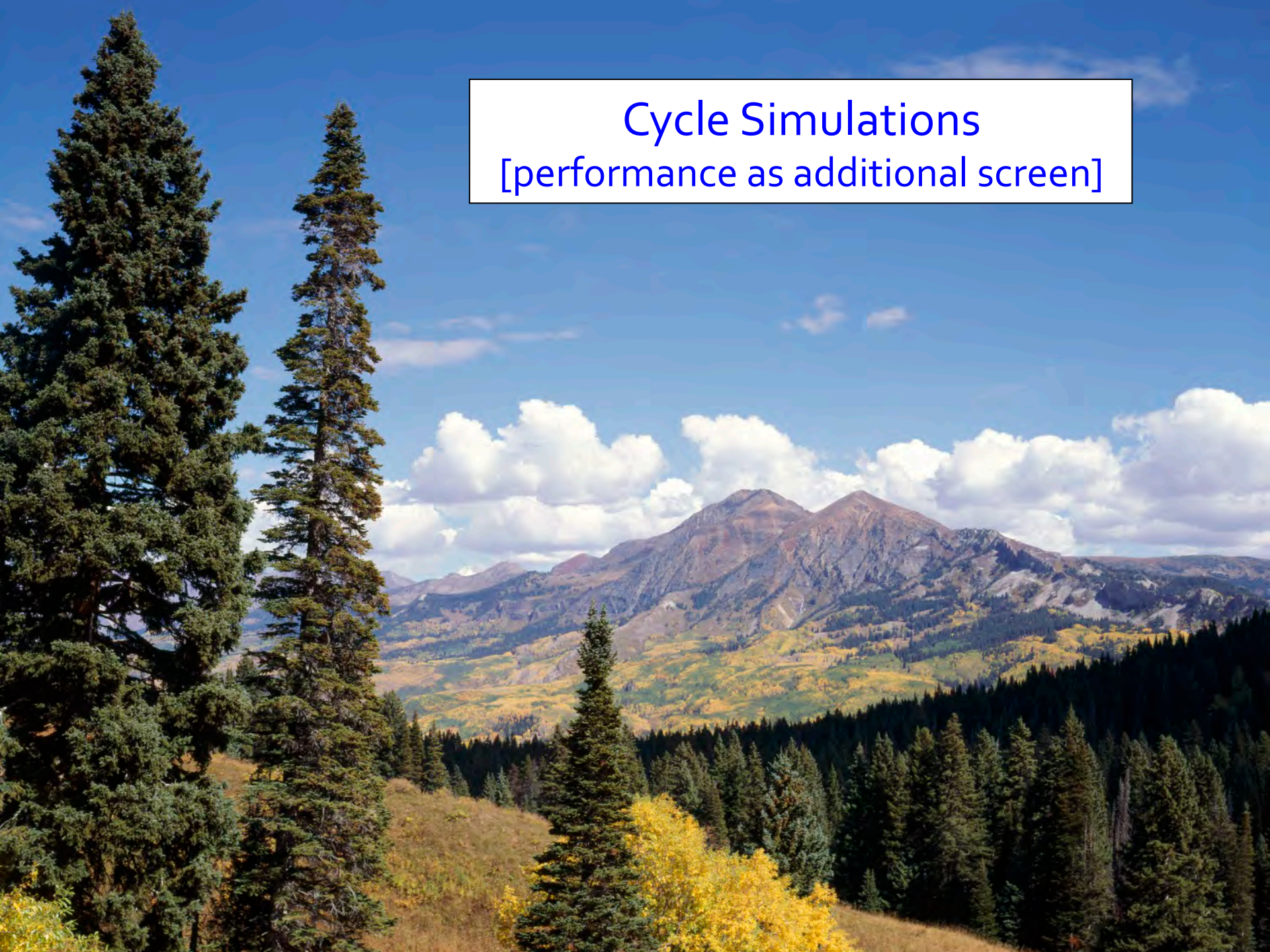
172,000

163

Screening of the DIPPR Database

- Starting list: 2,278 compounds
 - industrial fluids (*i.e.*, available)
 - wide range of properties included (T^{crit} , p^{crit} , etc.)
- Screen on critical temperature
(no other filters applied)
- 59 fluids with $300 \text{ K} < T^{\text{crit}} < 400 \text{ K}$
 - 26 also in PubChem screen, of the 33 remaining:
 - 17 CFCs and HCFCs (ODP and high GWP)
 - 8 HCl, HBr, etc. eliminated in 1st PubChem screen
- 8 fluids with elements other than C, H, N, O, S, F, Cl, Br, including:
radon (radioactive); arsine (AsH_3 , toxic & pyrophoric)
phosphine (PF_5 , toxic); silanes (flammable & toxic)
- ↪ Original list of elements valid



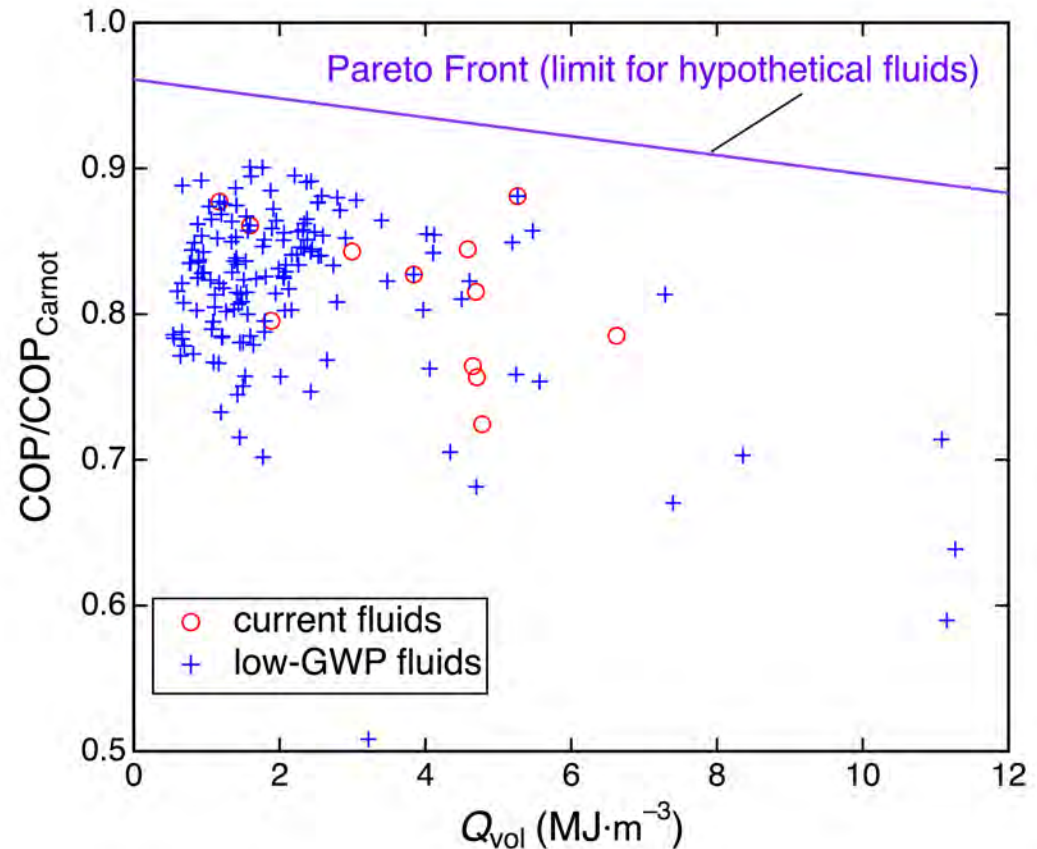


Cycle Simulations

[performance as additional screen]

Cycle Simulations—Ideal Cycle

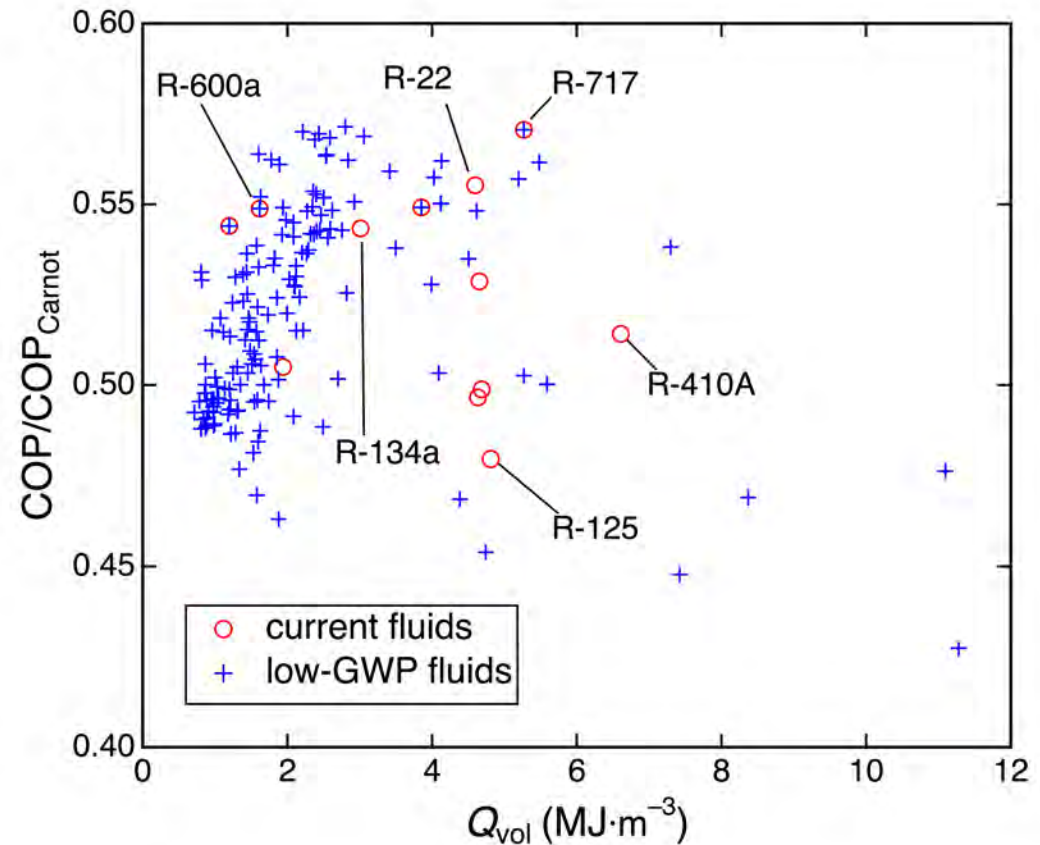
- Simple cycle
- Air-conditioning application:
 $T_{\text{evap}} = 10^\circ\text{C}$, $T_{\text{cond}} = 40^\circ\text{C}$
- 100 % compressor efficiency
- Zero pressure drops
- Plot $\text{COP}/\text{COP}_{\text{Carnot}}$ for
163 candidates
- Low-GWP slightly lower COP
- Few candidates w/ high Q_{vol}



Cooling: $T_{\text{evap}} = 10^\circ\text{C}$, $T_{\text{cond}} = 40^\circ\text{C}$

Cycle Simulations—Compressor Efficiency & Pressure Drop

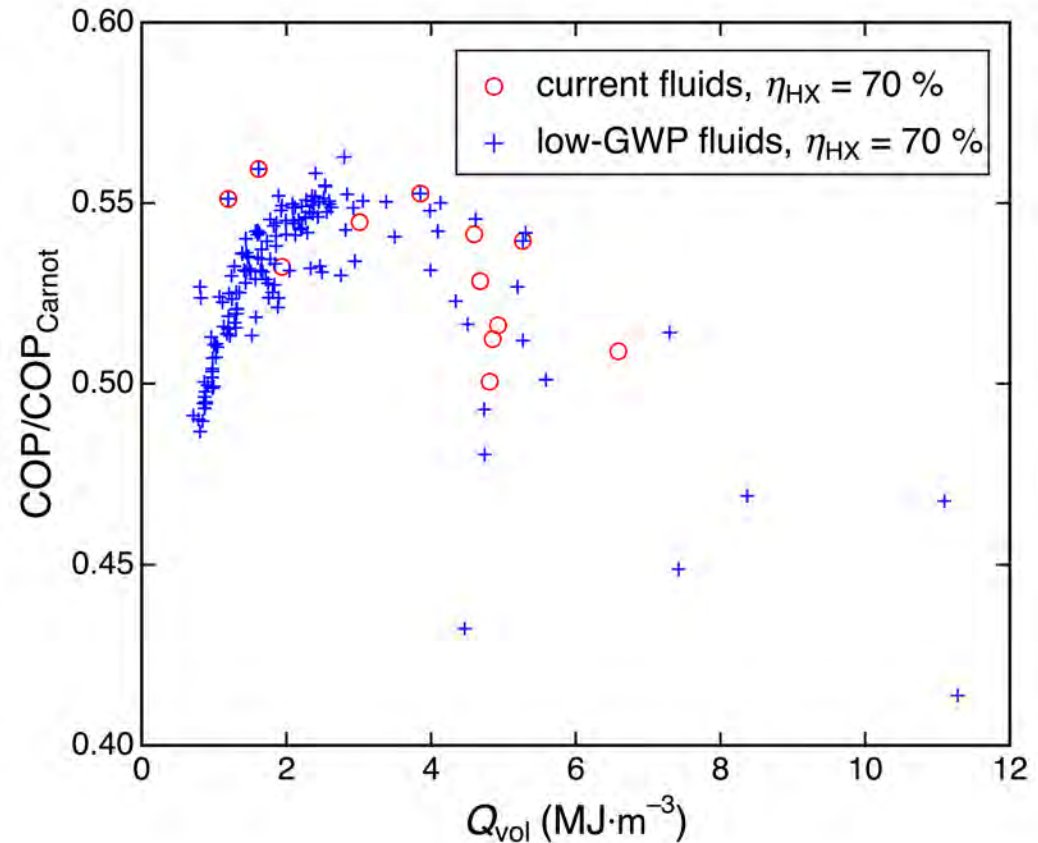
- Simple cycle
- Compressor efficiency $\approx 70\%$
 $f(p_{\text{cond}}/p_{\text{evap}})$
- Pressure drops = $f(\text{fluid})$
- Slightly larger penalty for low-capacity fluids
- COP vs. Q_{vol} tradeoff:
➔ Not! ⬅
- ➔ Pareto optimization:
high p^{crit} , low C_p°
- ➔ real fluids:
as molecular complexity \uparrow
 $T^{\text{crit}} \uparrow$, $p^{\text{crit}} \downarrow$, $C_p^{\circ} \uparrow$



Cooling: $T_{\text{evap}} = 10\text{ }^{\circ}\text{C}$, $T_{\text{cond}} = 40\text{ }^{\circ}\text{C}$

Cycle Simulations—LL/SL HX (70 %)

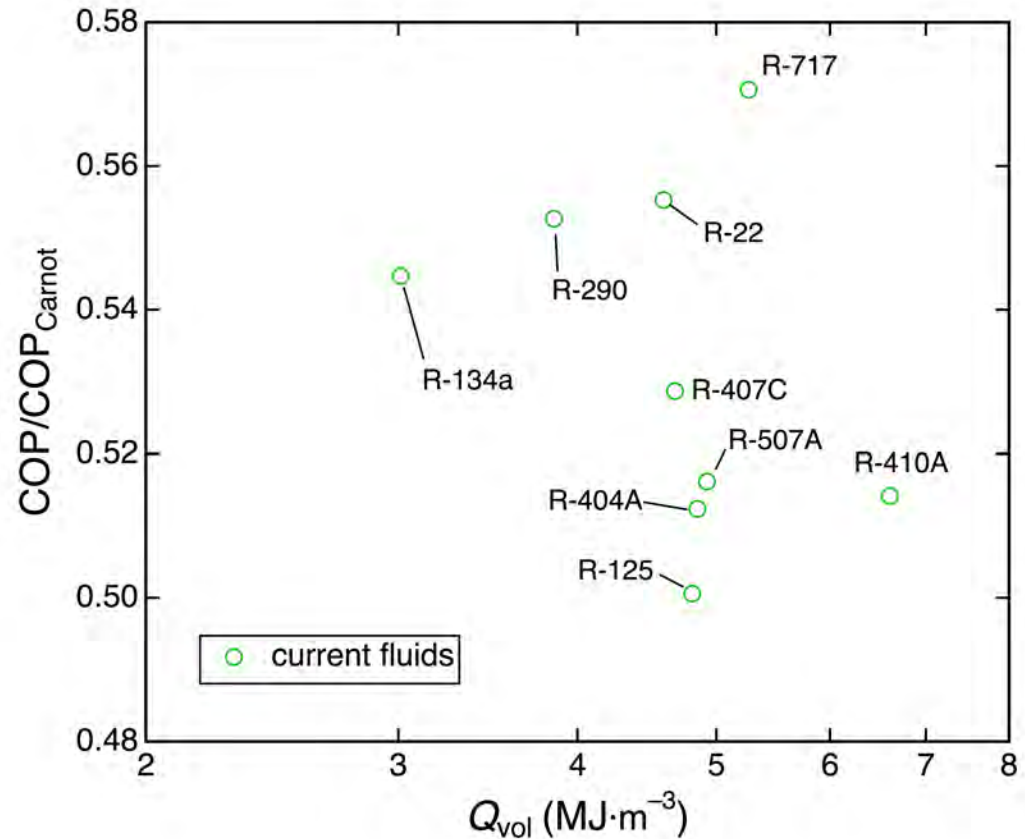
- Cycle with liquid-line/suction-line heat exchanger with 70 % effectiveness
- Compressor efficiency $\approx 70\%$
 $f(p_{\text{cond}}/p_{\text{evap}})$
- Pressure drops = $f(\text{fluid})$
- Most fluids benefit
 ↪ but effect varies
- Significantly less scatter
- Max in Q_{vol} at $\sim 2.5 \text{ MJ/m}^3$



Cooling: $T_{\text{evap}} = 10\text{ }^{\circ}\text{C}$, $T_{\text{cond}} = 40\text{ }^{\circ}\text{C}$

Cycle Simulations—“Current” Fluids

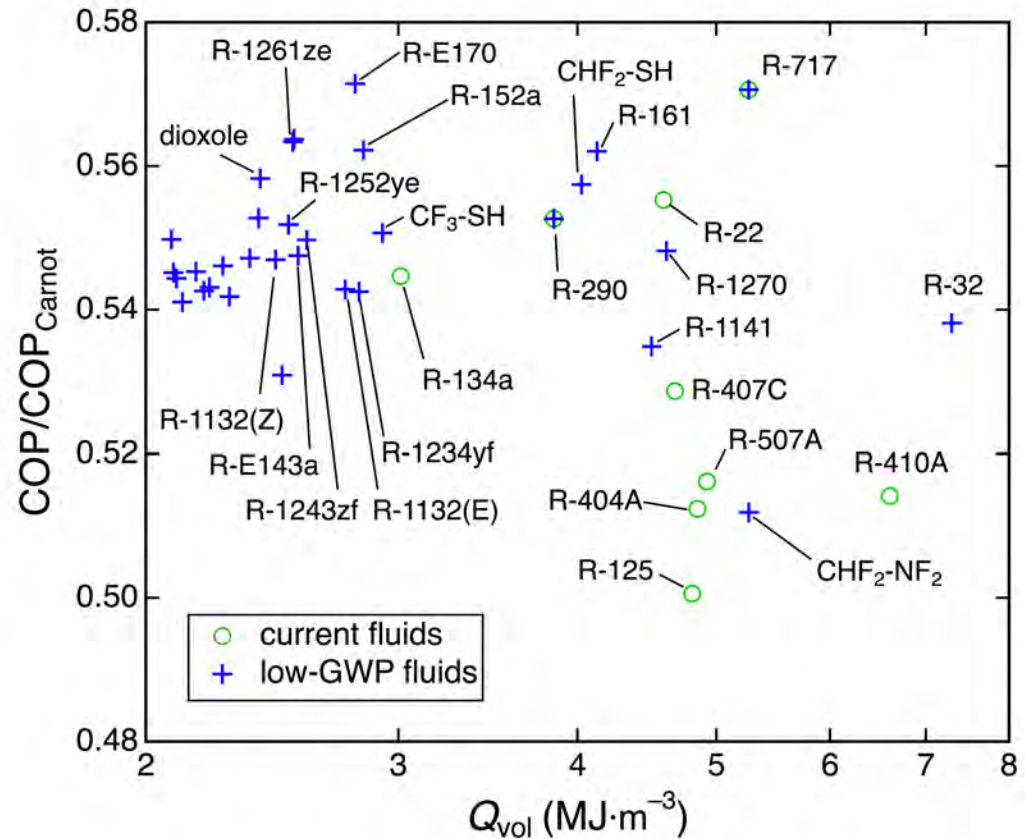
- As above except:
 - only higher-COP fluids
 - log-scale for Q_{vol}
 - limit range of Q_{vol}
- LL/SL-HX *if* COP improved (otherwise simple cycle)
- Current fluids for comparison



Cooling: $T_{\text{evap}} = 10 \text{ }^\circ\text{C}$, $T_{\text{cond}} = 40 \text{ }^\circ\text{C}$

Cycle Simulations—"Best" Low-GWP Fluids

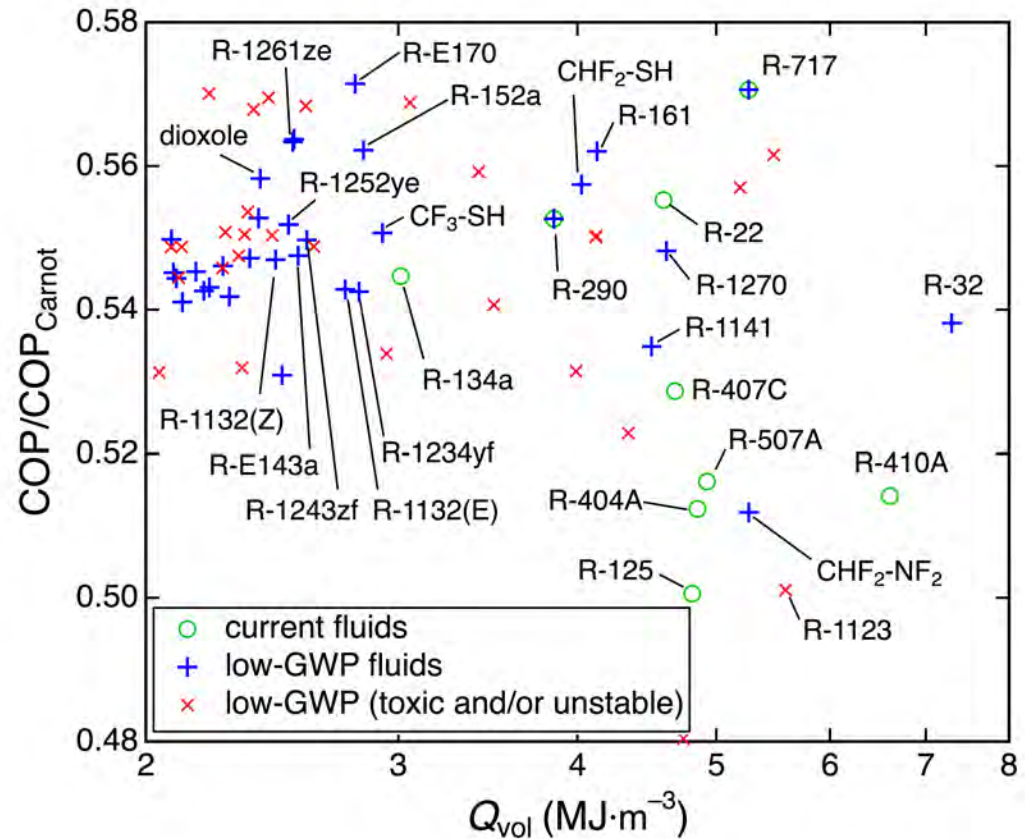
- R-717 (ammonia) and R-E170 (dimethylether): highest COPs
- Few candidates with $Q_{vol} \sim Q_{vol}$ (R410A or R22)
 - ↳ all flammable or slightly flam.
- 4 with $Q_{vol} > 8 \text{ MJ/m}^3$
 - ↳ but low COP, flammable: R-41, acetylene, O=N-OF, 1,2-difluoroethyne [unstable]
- More with $(4 < Q_{vol} < 5) \text{ MJ/m}^3$:
 - R-717 (ammonia)
 - R-1270 (propylene)
 - R-290 (propane)
- And $(2.4 < Q_{vol} < 2.7) \text{ MJ/m}^3$:
 - R-152a, several HFOs



Cooling: $T_{evap} = 10 \text{ }^\circ\text{C}$, $T_{cond} = 40 \text{ }^\circ\text{C}$

Cycle Simulations—Also Toxic/Unstable Fluids

- As above except:
 - only higher-COP fluids
 - log-scale for Q_{vol}
 - limit range of Q_{vol}
- LL/SL-HX *if* COP improved (otherwise simple cycle)
- Distribution of toxic/unstable fluids similar to “viable” candidates



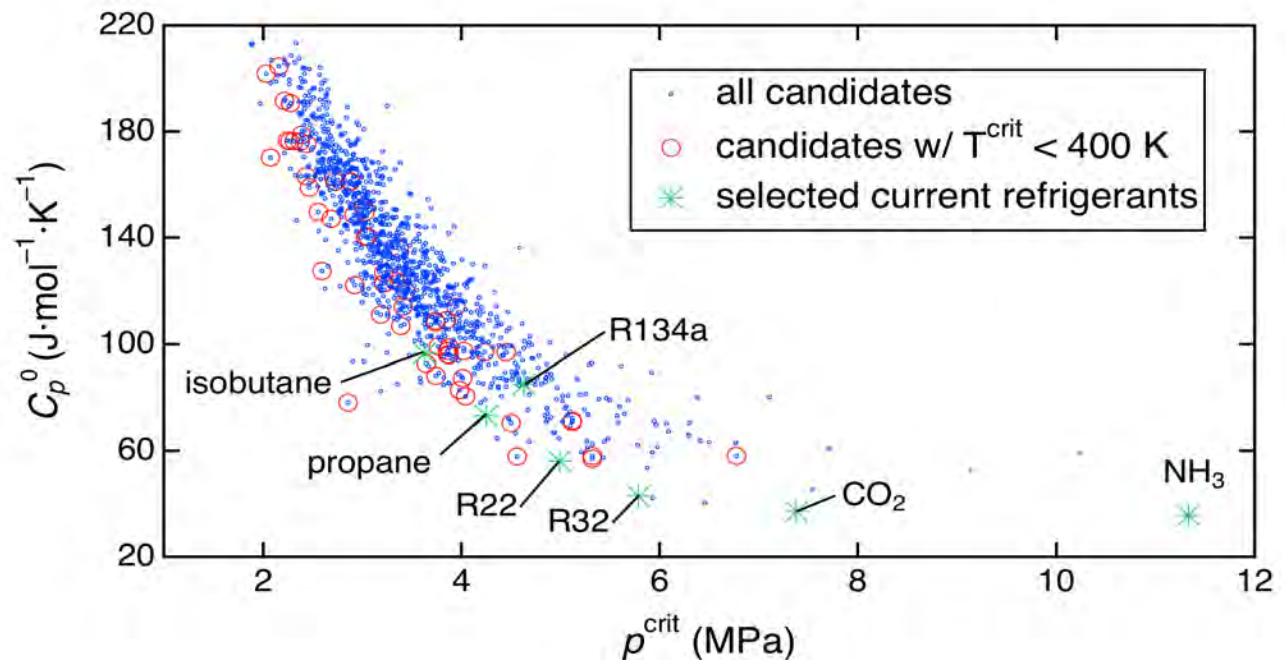
Cooling: $T_{evap} = 10 \text{ }^\circ\text{C}$, $T_{cond} = 40 \text{ }^\circ\text{C}$

Is that all there is,
Really?



Tradeoffs—Chemical Reality

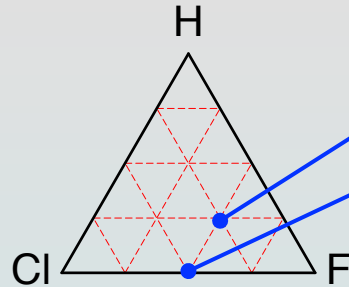
- Cycle analysis:
 - ↳ high p^{crit} , low C_p^0 optimal for simple cycle
- High p^{crit} , low C_p^0 sparsely populated by real fluids
- Thermodynamics *allows* better fluids, but *chemistry does not cooperate!*



Constraints on Molecular Structure (1)

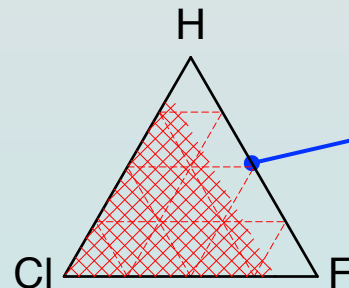
Why are the low-GWP fluids more complex?

- “Anything goes”:
1 carbon:



R22 ($T_{\text{NBP}} = -41\text{ }^{\circ}\text{C}$, GWP = 1760)
R12 ($T_{\text{NBP}} = -30\text{ }^{\circ}\text{C}$, GWP = 10200)
→ also ozone-depleting

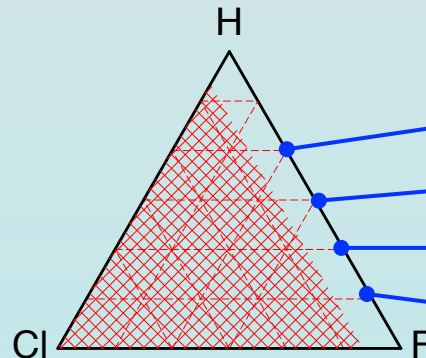
- ODP constraint:
1 carbon:



R32* ($T_{\text{NBP}} = -52\text{ }^{\circ}\text{C}$, GWP = 677)

* flammable

- 2 carbons:



R152a* ($T_{\text{NBP}} = -24\text{ }^{\circ}\text{C}$, GWP = 138)

R143a* ($T_{\text{NBP}} = -47\text{ }^{\circ}\text{C}$, GWP = 4800)

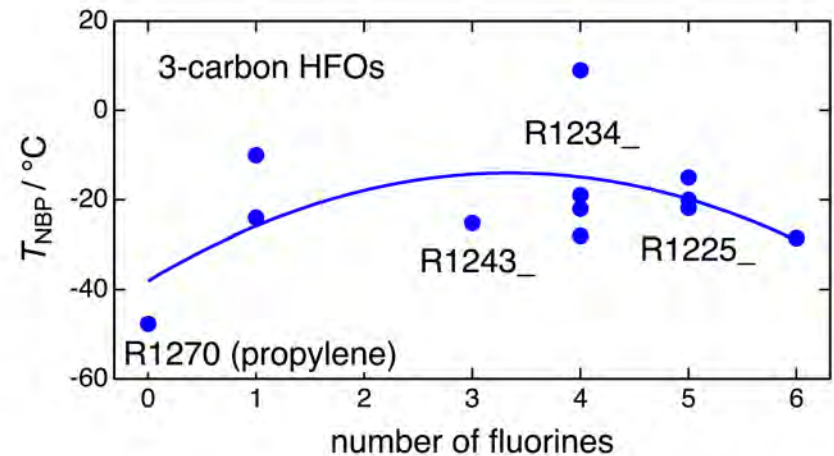
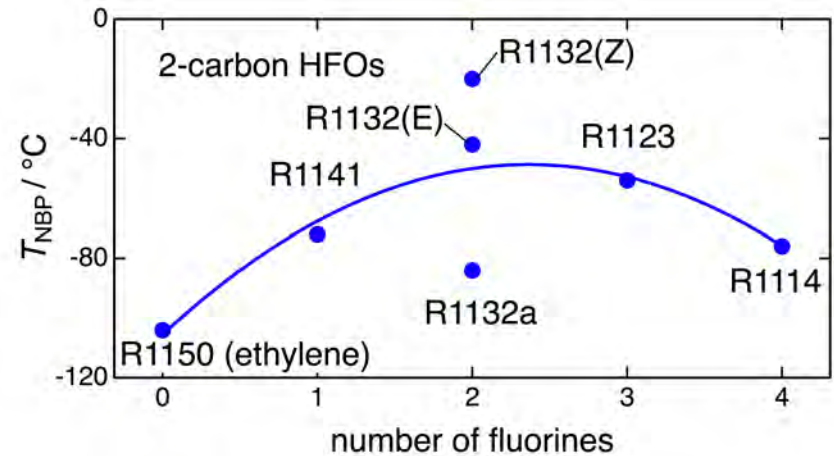
R134a ($T_{\text{NBP}} = -26\text{ }^{\circ}\text{C}$, GWP = 1300)

R125 ($T_{\text{NBP}} = -48\text{ }^{\circ}\text{C}$, GWP = 3170)

Constraints on Molecular Structure (2)

Low-GWP further constrains choices

- HFCs R32 & R152a
 - flammable, moderate GWP
 - Carbon-carbon double bond (HFOs):
 - reactive with OH, low GWP
- 2 carbons:
low boiling point for most
R1132(E), R1132(Z) ???
others toxic or unstable
- 3 carbons:
boiling point in good range
R1234yf, other R1234 isomers
with higher boiling points
others are somewhat toxic
or flammable

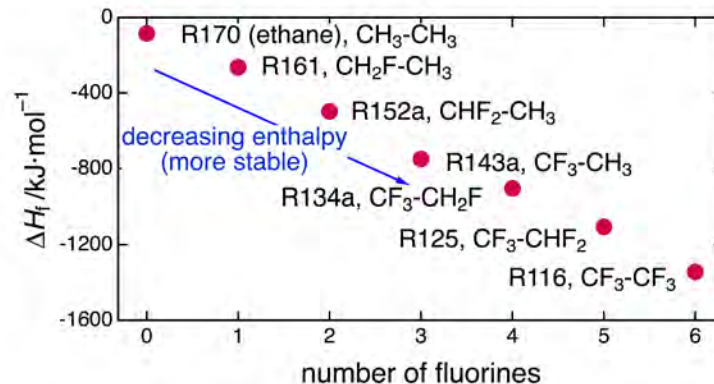


Why Fluorine?

Replacing H with F reduces flammability
But how?

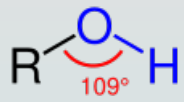
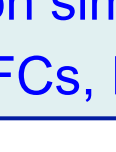
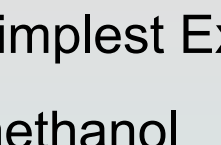
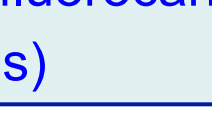


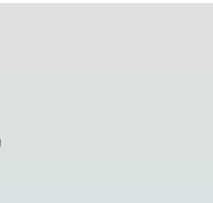
- Combustion is reaction with oxygen, with release of heat
- Reactions proceed if Gibbs energy is reduced
(*i.e.*, products have lower energy [are more stable] than reactants)
 $G = H - TS$;
if: $[(H - TS)_{\text{products}} - (H - TS)_{\text{reactants}}] < 0 \rightarrow$ reaction is favored
enthalpy term is (usually) dominant
 \rightarrow reaction proceeds if heat is released (ignoring kinetics)
- Carbon–fluorine bond is strongest (most stable) single bond

Enthalpy of formation
for ethane-series
(2-carbon) HFCs



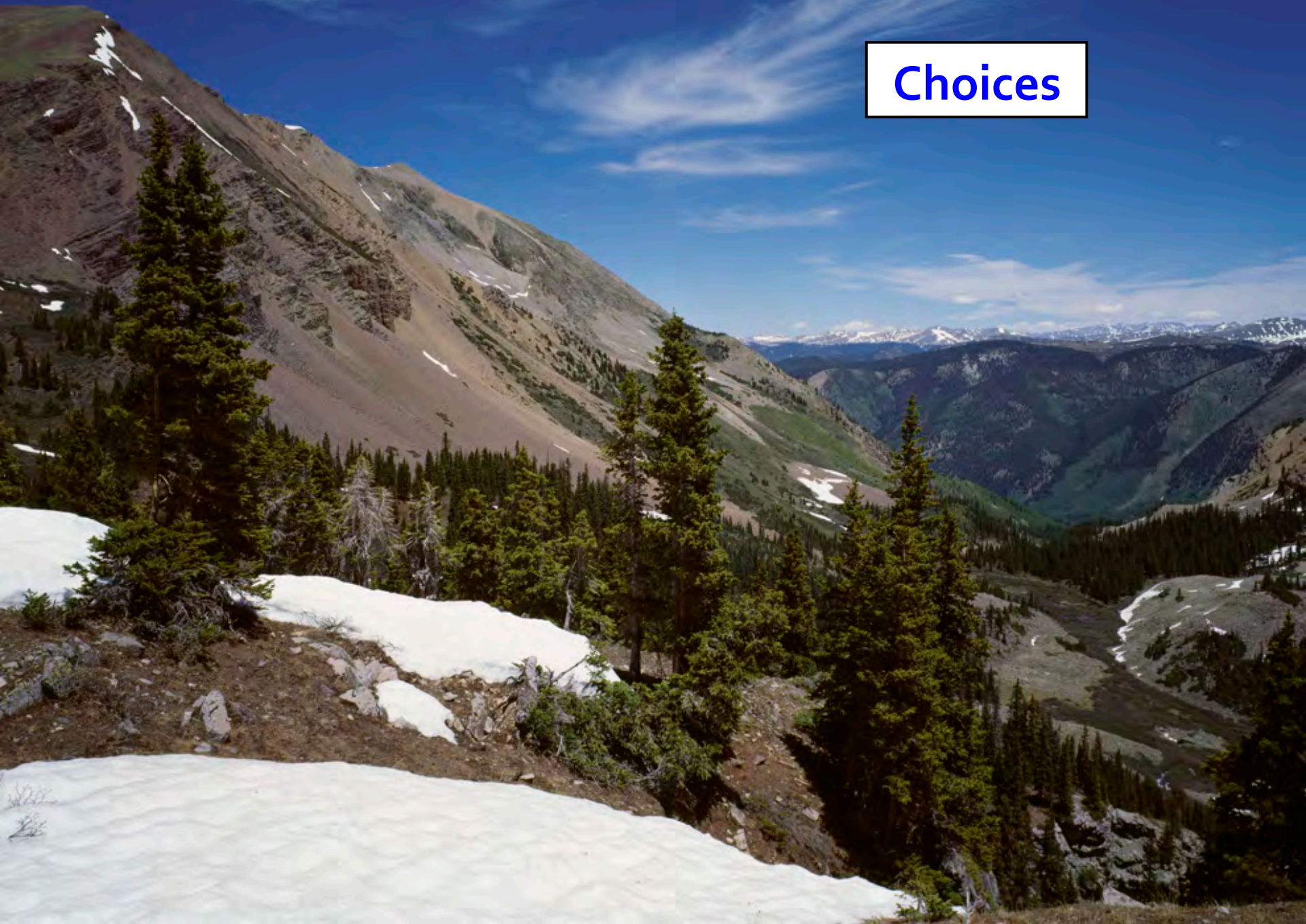
Other Functional Groups?

Why the focus on simple fluorocarbons?
(HFCs, HFOs)

Name	Functional Group	Simplest Example	$T_{\text{NBP}}/^{\circ}\text{C}$
alcohol		methanol	65
ether		dimethylether	-25
aldehyde		acetaldehyde	20
ketone		acetone	56
thiol		methylmercaptan	6
thioether		dimethylsulfide	38
amine		ammonia	-33

● Included in PubChem screening

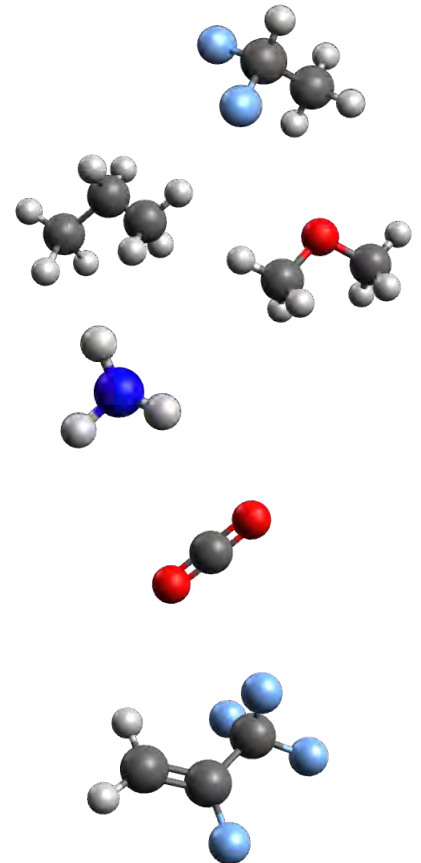
Choices



So What are the Choices (1)?

Medium & high pressure refrigerants in
positive-displacement compressors:
21 candidates identified in paper

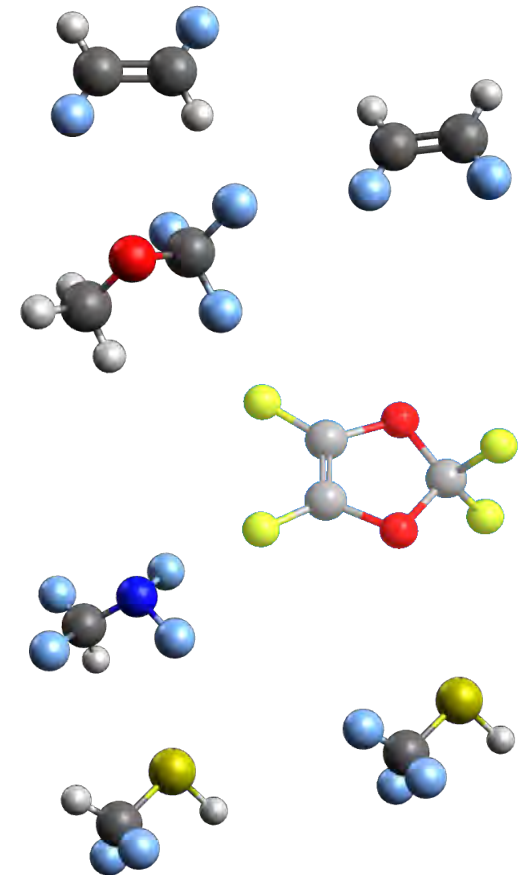
- HFCs: R-32, R-152a, R-41, R-161
 - ↳ familiar, but low-GWP are flammable
- HCs (hydrocarbons) & dimethylether
 - ↳ flammable, ASHRAE class A3
- NH₃ (ammonia)
 - ↳ excellent thermodynamics, ASHRAE B2L
- CO₂ (carbon dioxide)
 - ↳ ASHRAE A1
 - ↳ high operating pressures, supercritical cycle (not simulated here)
- HFOs (hydrofluoroolefins)
 - ↳ e.g., R-1234yf (ASHRAE A2L)
 - ↳ but others more flammable or somewhat toxic



So What are the Choices (2)?

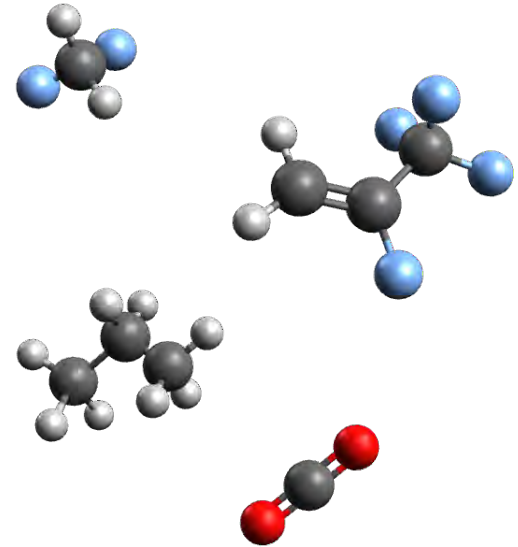
“Novel” compounds identified

- HFOs:
 - ↳ R-1132(E) & R-1132(Z): flammable
- Fluorinated oxygenates
 - ↳ R-E143a: GWP = 523
 - ↳ 2,2,4,5-tetrafluoro-1,3-dioxole
cyclic ether with C-C double bond
unknown hazards, could it be made?
- Nitrogen or sulfur containing:
 - ↳ long shots (toxicity, corrosivity, odor)



So What are the Choices (3)?—Blends

- Use blends to:
 - ↳ adjust properties
 - ↳ azeotropes can give higher Q_{vol} than pures
 - ↳ allow use of additional components (flammable or moderately toxic?)
- HFO + HFC:
 - ↳ proposed and currently under test
- HFO + HFO
- Blends with hydrocarbons:
 - ↳ likely to be flammable if HC > 5 %
- Blends with CO₂:
 - ↳ adjust properties (raise pressure & Q_{vol})



Discussion

Refrigerant “Generations”

[J. Calm, *Int. J. Refrig.* **31**:1123 (2008)]

- 1st: “whatever worked” (CO₂, SO₂, NH₃, HC ...)
- 2nd: “safety & durability” (CFCs, HCFCs)
- 3rd: “ozone protection” (HFCs, resurgence of CO₂, NH₃, HC)
- 4th: “global warming concerns” (HFOs ...)

Will there be a fifth Generation?

→ No! ←

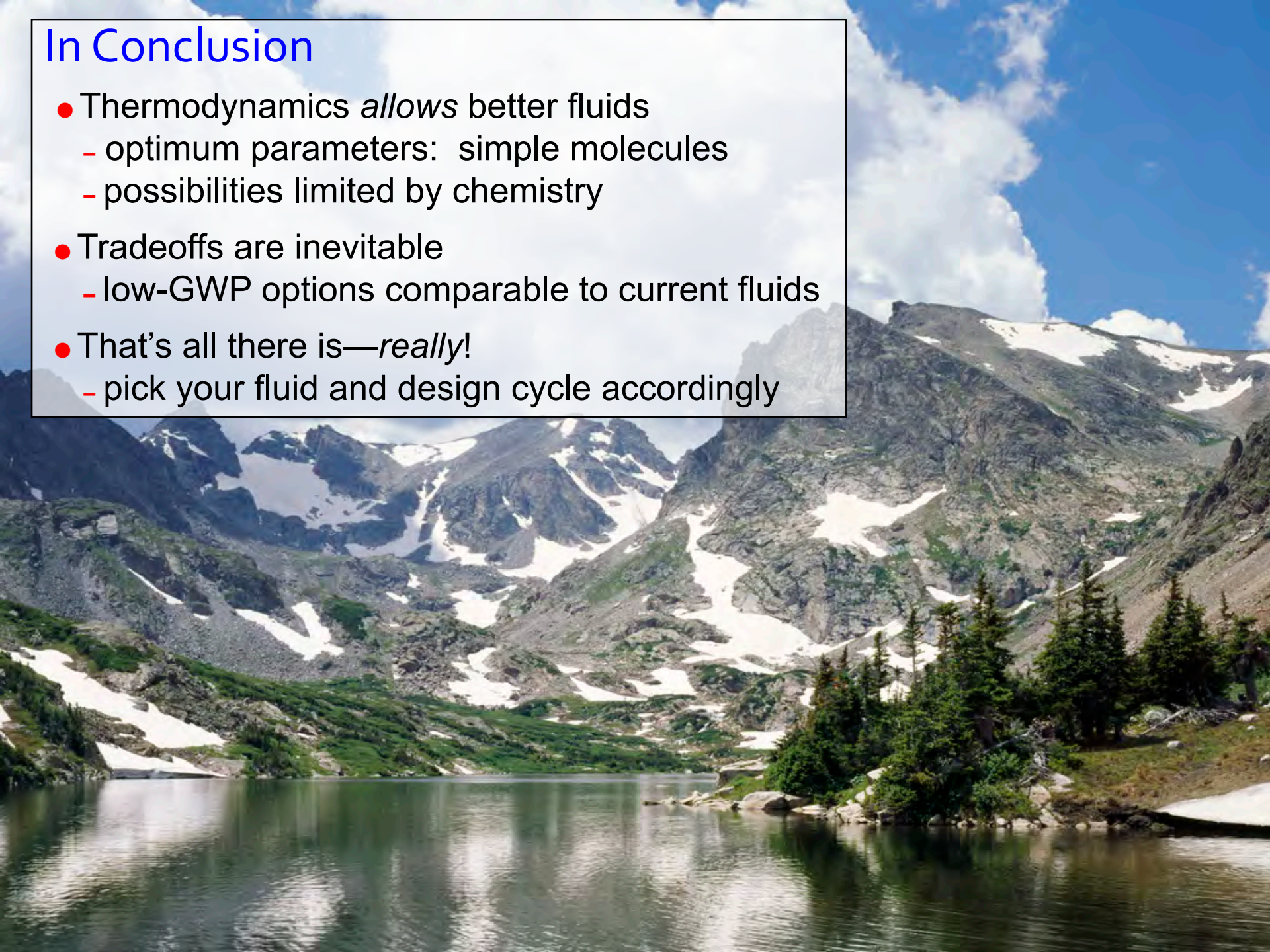
- All of the candidates are on the table
- We have hit the bounds allowed by chemistry

A Paradigm Change in Refrigerant Selection?

- No longer just: thermodynamics,
engineering,
economics
- But also: public acceptance & public relations
- Not: find the fluid that fits your cycle/application
- But: select a fluid based on your comfort with
GWP, flammability, “natural vs. synthetic” ...
— and —
Design the cycle accordingly

In Conclusion

- Thermodynamics *allows* better fluids
 - optimum parameters: simple molecules
 - possibilities limited by chemistry
- Tradeoffs are inevitable
 - low-GWP options comparable to current fluids
- That's all there is—*really!*
 - pick your fluid and design cycle accordingly



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Thank You!
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