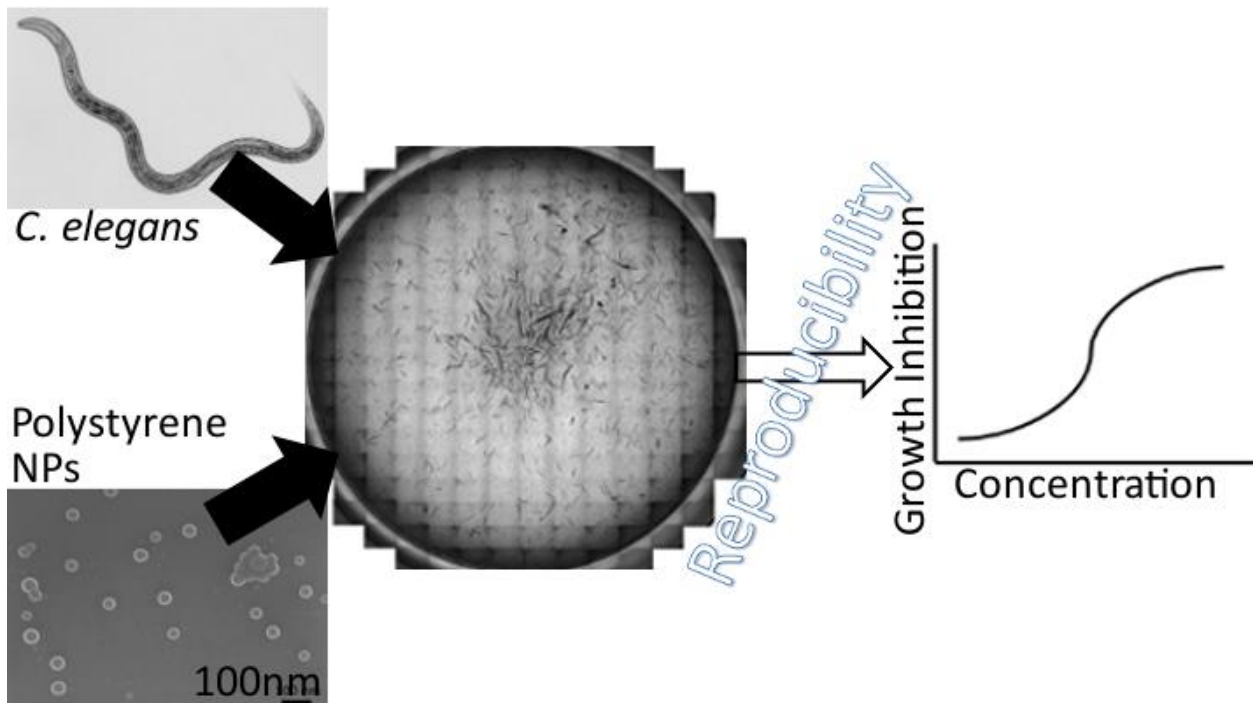


1 Feasibility of using a standardized *Caenorhabditis elegans* toxicity test to assess nanomaterial  
2 toxicity

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8

## 9 Abstract

10 Increasing production and use of engineered nanomaterials (ENMs) has generated widespread  
11 interest in measuring their environmental and human health effects. However, the lack of  
12 standardized methods for these measurements has often led to contradictory results. Our goal  
13 in this study was to examine the feasibility of using a standardized *Caenorhabditis elegans*  
14 growth and reproduction based toxicity test designed for use with dissolved chemicals to assess  
15 ENM toxicity. Sensitivity testing of seven key experimental factors identified by cause-and-  
16 effect analysis revealed that bacterial feed density and plate shaking had significant effects on  
17 growth inhibition by a reference toxicant, benzylcetyldimethylammonium chloride (BAC-C16).  
18 Bacterial density was inversely proportional to experimental EC<sub>50</sub> values, while shaking the  
19 plates during the assay caused a substantial decrease in nematode growth and reproduction in  
20 control nematodes. Other factors such as bacterial viability, organism maintenance, and media

21 type showed minimal effect on the test method. Using this assay with positively charged  
22 polystyrene nanoparticles (PSNPs) revealed that the variability in the PSNP EC<sub>50</sub> values was  
23 larger compared to those of BAC-C16. Additionally, while media type and bacterial viability did  
24 not impact BAC-C16 toxicity, PSNP toxicity differed substantially when these parameters were  
25 changed. PSNPs were more toxic in K<sup>+</sup> medium and S-basal compared to M9 and feeding  
26 nematodes with UV killed *E. coli* decreased toxicity of PSNPs. Test validity with ENMs and  
27 modifications that can be made to adapt the standard *C. elegans* toxicity assay for use with  
28 ENMs are discussed.

## 29 **Introduction**

30 Understanding the impact of increased production and use of engineered nanomaterials  
31 (ENMs) on the environment and human health is essential for sustainable commercialization of  
32 ENMs. Although researchers have been testing the hazards associated with ENMs for over a  
33 decade,<sup>1-3</sup> the lack of standardized methods and difficulties associated with ecotoxicity testing  
34 of ENMs has hindered these efforts and produced inconsistent results.<sup>4,5</sup> For example, while  
35 Ag is a known biocide, the impact of size of Ag ENMs on toxicity is unclear<sup>6</sup> as are the  
36 mechanisms associated with the ENM toxicity.<sup>7</sup> A number of researchers attribute the toxic  
37 effects of Ag ENMs to dissolved Ag<sup>8-10</sup> while others show nanoparticle-specific effects.<sup>11,12</sup>  
38 Differing results among laboratories may be attributed to many factors such as differences in  
39 protocols (i.e., ENM dispersion procedure, exposure duration), differences in ENMs of the same  
40 elemental composition (lot to lot manufacturer variability, size, surface coating, impurities), and  
41 improper controls leading to artifacts associated with ecotoxicity testing of the ENMs.<sup>13</sup> The  
42 choice of test organism and length of the assay also have a considerable impact on resulting  
43 toxicity of ENMs. Very high concentrations (> 500 mg l<sup>-1</sup>) of carbon nanotubes (CNTs) had little  
44 to no effect on fish<sup>14,15</sup> or amphibians,<sup>16</sup> yet much lower concentrations (< 10 mg l<sup>-1</sup>) showed  
45 dose-dependent effects in copepods,<sup>17</sup> *Daphnia magna*,<sup>18,19</sup> and marine mussels.<sup>20</sup>  
46 Additionally, CNT concentrations causing chronic *D. magna* toxicity<sup>19,21</sup> are over an order of  
47 magnitude lower than those for short-term immobilization experiments.<sup>22,23</sup> Chronic assays  
48 that measure sub-lethal endpoints, which are more sensitive to lower, more environmentally  
49 realistic concentrations, are needed to understand potential ecological impacts of ENMs.<sup>24-26</sup>  
50 These chronic assays show effects at orders of magnitude lower concentrations than acute  
51 assays.<sup>27,28</sup>

52 The nematode *Caenorhabditis elegans* (*C. elegans*) is rapidly becoming a model for  
53 environmental and developmental toxicological research.<sup>2,29-33</sup> Nematodes are possibly the  
54 most abundant multicellular organism on the planet and are important organisms in benthic  
55 and soil food webs.<sup>34,35</sup> Therefore, using them as model organisms in toxicological assays  
56 would provide insight into the potential impacts of pollutants on the environment. Additionally,

57 *C. elegans* is well suited to toxicity assays due to its ability to grow and reproduce in soil and  
58 aqueous environments, ease of culture, and importance in soil food webs. In some cases,  
59 toxicity results with *C. elegans* have even correlated well to endpoints in mouse and rat studies,  
60 <sup>36, 37</sup> thus providing important data at a fraction of the effort and cost. *C. elegans* toxicity  
61 measurements can provide a strategy for chemical or ENM screening <sup>2</sup> to facilitate tiered  
62 testing approaches and minimize animal testing.

63 The International Standards Organization (ISO) published a standard toxicity assay with *C.*  
64 *elegans* <sup>38</sup> that allows the assessment of a potential toxin or contaminated environmental  
65 sample on sublethal physiological parameters (growth and reproduction). <sup>39</sup> The method is  
66 designed for use with dissolved chemicals or contaminated soils or sediments and guidance for  
67 using this method with ENMs is not readily available. This is important because ENMs may need  
68 to be dispersed prior to toxicity testing and may agglomerate, settle, and/or dissolve during the  
69 test and substantially change their toxicity. <sup>1, 3, 40</sup> Although the ISO method for *C. elegans*  
70 toxicity testing is available, it appears to only have been used for testing FeOx <sup>41</sup> and, with  
71 modification, for testing TiO<sub>2</sub> ENMs. <sup>42</sup> Other researchers have used a variety of non-  
72 standardized methods that included different media, exposure time, feed density, and  
73 endpoints. <sup>43-46</sup> Unsurprisingly, the studies yielded different findings and the variable conditions  
74 limit direct data comparison among laboratories. Even if the ISO standard method was used  
75 more often, researchers who use the ISO method for nanoecotoxicity testing may make  
76 different ENM-specific modifications, thus leading to additional variability in the results among  
77 different laboratories.

78 The purpose of this study was to assess the feasibility of using the *C. elegans* ISO method with  
79 ENMs by determining the impact of test modifications, that may be required for ENMs, on the  
80 toxicity results. In order to assess what steps of the ISO protocol may have the largest impact  
81 on the assay results, a cause-and-effect (C&E) analysis was performed. <sup>47</sup> This approach, which  
82 is a thought exercise originally developed for quality manufacturing and used for propagation of  
83 error analysis in analytical chemistry, identifies the expected major “causes” of variability and  
84 their “effect” on the assay result. This information was then used to conduct sensitivity testing  
85 of the ISO method by modifying various parameters of the protocol and determining how they  
86 impacted the toxicity results for a positive control. Our hypothesis was that this approach could  
87 provide information on the robustness of the test procedure and reveal which assay steps have  
88 the greatest impact on the variability of the results. These steps could then be modified to  
89 decrease variability or control measurements could be incorporated to more carefully track  
90 these sources of variability. After the sensitivity testing, a plate layout with multiple control  
91 measurements was developed and used to test the toxicity of a model ENM: positively charged  
92 polystyrene nanoparticles (PSNPs). PSNPs were selected because they could be purchased in a  
93 highly concentrated, stable suspension and their toxicity was hypothesized to be predominantly

94 attributable to the positively charged surface coating on the ENMs and not to dissolved ions or  
95 other non-specific nano effects. We then performed similar sensitivity testing with PSNPs to  
96 identify possible artifacts of the assay and evaluate the impacts of changing different  
97 parameters on the assay results with an ENM.

## 98 **Methods**

### 99 Overview of *C. elegans* toxicity assay

100 The duration of the ISO *C. elegans* assay is 96 h, during which time juvenile *C. elegans* mature  
101 into adult hermaphrodites, become gravid, lay eggs, and the eggs hatch into new juveniles. The  
102 test includes a bactericidal positive control, benzylcetyldimethylammonium chloride (BAC-C16),  
103 for which preliminary EC<sub>50</sub> values for growth and reproduction have been established. The  
104 nematodes are fed *Escherichia coli* (OP 50 strain) in a defined bacterial density during the assay.  
105 Procedures for the toxicity assay were followed as detailed in ISO 10872<sup>38</sup> and described in the  
106 supplementary information (Fig. S1). Briefly, 10 first stage juvenile (J1) *C. elegans* were added  
107 to each well of a 12 well plate along with the test material and *E. coli* as a food source. The  
108 plate was incubated at 20 °C for 96 h, at which point the nematodes were killed by heating and  
109 stained. In order to measure nematode length and to determine the number of new young  
110 nematodes, the plates were imaged using light microscopy. Quantitative assessment of growth  
111 and reproduction inhibition were determined based on nematodes in control wells without  
112 added toxicants.

### 113 Cause-and-effect analysis

114 A C&E analysis was conducted to identify which experimental factors may impact the test  
115 results by examining each step of the assay and determining how alterations of the protocol  
116 (that may be helpful for ENM stability) may impact results. The resulting output is a C&E  
117 diagram with main branches that depict steps that are major sources of variability and side  
118 branches that describe sources of variability that contribute to the main branches (Fig. 1 and  
119 Table S1). Information from this analysis was then used to design experiments that  
120 implemented sensitivity testing for quantifying the variability from the components of the C&E  
121 diagram.

### 122 Nematode cultures

123 Wild type, Bristol strain N2 *C. elegans* were obtained from the Caenorhabditis Genetics Center  
124 (CGC, University of Minnesota) and maintained on nematode growth medium (NGM) with *E.*  
125 *coli* as feed. The nematodes were allowed to starve and become dauer larvae, which can  
126 survive for several months without feed. First stage juvenile (J1) *C. elegans* were obtained by

127 plating dauer larvae on a fresh *E. coli* lawn grown overnight on a NGM plate at 37°C and  
128 allowing the plate to incubate at 20 °C for 72 h.

#### 129 Test material preparation

130 Polystyrene nanoparticles (PSNPs) were purchased from Bangs Laboratories (Bangs  
131 Laboratories Inc., Fishers, IN, USA) and were described as amine coated with a diameter of 58  
132 nm. Primary particle size of 200 particles was measured via scanning electron microscopy using  
133 a Zeiss NVision 40 (Zeiss International, Oberkochen, Germany) focused ion beam/scanning  
134 electron microscope operating at 15 kV, and was determined to be 51 nm ± 9 nm (Fig. S2). To  
135 understand the behavior of ENMs in the test media across the duration of the study, a 100 mg l<sup>-1</sup>  
136 suspension of PSNPs was prepared in 50 % S basal, K<sup>+</sup> medium, and M9 (media composition  
137 described in SI) and the particle size was measured via dynamic light scattering (ZetaPALS Zeta  
138 Potential Analyzer, Brookhaven Instruments Corporation, Holtsville, NY) immediately and after  
139 the suspension was placed into a 20 °C incubator after 96 h. BAC-C16 (Acros Organics (97 %  
140 pure), Geel, Belgium) and PSNPs were prepared by diluting the samples in ultrapure water to  
141 produce concentrations twice as much as those used in the assay. The water was vortexed  
142 during addition of PSNPs to help maintain stability of the suspension.<sup>48</sup> Although the ISO  
143 standard suggests testing only a concentration of 15 mg l<sup>-1</sup> of BAC-C16 for routine analysis, a  
144 range of concentrations from 3.5 mg l<sup>-1</sup> to 40.5 mg l<sup>-1</sup> were tested to produce an EC<sub>50</sub> value  
145 during each experiment. To ensure that the PSNP coating or any other dissolved component  
146 that may have remained from synthesis was not causing a toxic effect, an 800 mg l<sup>-1</sup> PSNP  
147 suspension was prepared in water, allowed to settle for 2 h, and then passed through a 0.02 µm  
148 filter. The resulting solution was used as an ENM filtrate control in the toxicity assay.<sup>13, 22</sup> In  
149 order to test all of the concentrations of BAC-C16 as well as PSNPs and controls associated with  
150 the PSNPs, a novel plate design was implemented as illustrated in Fig. S3.

#### 151 *E. coli* preparation

152 A suspension of *E. coli* was prepared prior to the toxicity assay by inoculating 1 l of sterilized  
153 Luria Broth (Miller's LB broth base, Invitrogen, Thermo Fisher Scientific) with 100 µl of a frozen  
154 culture of *E. coli*. This culture was set on a shaker incubator at 37 °C and 15.7 rad s<sup>-1</sup> (150 rpm)  
155 for 17 h. The culture was then transferred into 250 ml polypropylene centrifuge tubes and spun  
156 at 2000 x g (Allegra 25R Centrifuge, Beckman Coulter, Fullerton, CA, USA) and 4 °C for 20 min.  
157 The supernatant was decanted and the bacteria pellets resuspended into 50 ml of M9. This was  
158 repeated two more times. This suspension was then diluted in M9 and measured using a  
159 turbidity meter (HI 88713, Hanna Instruments, Woonsocket, RI, USA), which was calibrated  
160 using four formazin suspensions ranging from 15 formazin absorbance units (FAU) to 2000 FAU.  
161<sup>49</sup> This calibration curve enabled the calculation of the dilution needed to obtain the specified *E.*  
162 *coli* concentration of 1000 FAU set forth in the ISO *C. elegans* protocol.<sup>49</sup> A 5 mg ml<sup>-1</sup> solution of

163 cholesterol (NIST SRM 911c) dissolved in 100 % ethanol was then added to the feed suspension  
164 to achieve a 0.2 % v/v concentration of cholesterol.

#### 165 Toxicity assay

166 The test wells were prepared by adding 500  $\mu$ l of the test material and 500  $\mu$ l of the *E. coli*  
167 suspension to each well. Two methods were used to obtain J1 nematodes for the toxicity tests,  
168 either a filtering method or a bleaching method. For the filtering method specified in ISO  
169 10872, nematodes were washed from the culture plates onto a 5  $\mu$ m polyester mesh filter  
170 (Hepfinger, Munich, Germany) using 8 ml of M9. The filtrate contained mainly J1 nematodes,  
171 however, second stage juveniles (J2) nematodes were also present. To avoid this, nematodes  
172 were also synchronized using a standard bleaching protocol adapted from<sup>50</sup> in which a mixed  
173 culture of nematodes were exposed to a sodium hypochlorite and sodium hydroxide solution  
174 for 10 minutes, washed with sterile water three times and the eggs were allowed to hatch in  
175 sterile water overnight. Bleached nematodes were only J1 stage as development is arrested  
176 with no food present. Ten J1 nematodes were added to each well and the test was initiated by  
177 placing the plates into a 20 °C incubator, in the dark, and leaving them undisturbed for 96 h. All  
178 J1 nematodes not used in the test were stained with Rose Bengal (500  $\mu$ l of a 300 mg l<sup>-1</sup> stock  
179 added to 5 ml), heated at 80 °C for 10 min to kill and straighten them, 30 individuals were  
180 measured, as described in the SI, to determine the initial nematode length. At the end of the  
181 test, 200  $\mu$ l of a 300 mg l<sup>-1</sup> stock of Rose Bengal was added to each well and the plate was  
182 heated at 80 °C for 10 min to kill and straighten all of the nematodes. The plate was allowed to  
183 cool for at least 1 h prior to imaging. All plates were stored at 4 °C and imaged within one week  
184 after the experiment concluded. Imaging details can be found in the SI. After imaging, total  
185 length of adult nematodes was measured and young were counted. Reproductive counts are  
186 expressed as young per adult hermaphrodite.

#### 187 Sensitivity testing

188 To experimentally determine which of the six parameters identified in the C&E analysis (Table  
189 S1) had the greatest impact on the assay results, the identified parameters were adjusted and  
190 were compared to those obtained from the conditions indicated in the ISO standard. We tested  
191 effects of 1) the type of culture matrix from which nematodes were harvested (plate versus  
192 liquid culture), 2) two different manufacturers of BAC-C16 (Acros Organics (97 % pure), Geel,  
193 Belgium, was mainly used in the study, and compared to Alfa Aesar Ward Hill (95 % pure), MA,  
194 USA), 3) different assay media (S-basal medium, K<sup>+</sup> medium, and M9 medium), 4) the viability of  
195 the *E. coli* feed, 5) the size of wells (12 well and 24 well plate) 6) the feed density during the  
196 assay, and 7) whether the plates were shaken during the assay. Details of each test can be  
197 found in the SI.

198 Data analysis

199 Mean growth of nematodes in each well was calculated by subtracting the mean length of adult  
200 hermaphrodites by the mean length of J1 nematodes measured at the start of the assay.

201 Inhibition of growth ( $G_I$ ) was calculated for each nematode as follows:

$$202 \quad G_I = 100 - \frac{L_F - L_I}{G} * 100$$

203 where  $L_F$  is final length of the individual nematode at the end of the assay,  $L_I$  is the mean initial  
204 J1 length at the start of the assay, and  $G$  is the mean growth of the control nematodes during  
205 the assay. Inhibition of reproduction ( $R_I$ ) was calculated for each well as follows:

$$206 \quad R_I = \frac{R_C - R_W}{R_C} * 100$$

207 where  $R_C$  is the mean reproduction per adult hermaphrodite found for the control wells and  $R_W$   
208 is the reproduction per adult hermaphrodite found in the test well.  $EC_{50}$  for growth and  
209 reproduction was determined using a four parameter logistic function in GraphPad Prism (V  
210 6.04, GraphPad Software, Inc).

## 211 **Results and Discussion**

212 C&E analysis

213 The C&E analysis provided a framework for identifying the factors of the assay that may cause  
214 the greatest variability or uncertainty in the assay measurements. Six main categories were  
215 identified: organism maintenance, the reference chemical, bacteria, the assay protocol,  
216 microscopy, and ENM specific issues (Fig. 1 and Table S1). These branches include the  
217 parameters outlined in the ISO protocol and potential modifications of the protocol for use with  
218 ENMs. Branch 1 concerns the culturing procedure for *C. elegans*. The nematodes can be  
219 cultured on agar plates containing a bacterial lawn or in liquid culture. The most common liquid  
220 culture is S-basal media. However, nematodes cultured in liquid media are longer and thinner  
221 than those from agar plates<sup>51</sup> and it is unknown if the culturing procedure impacts the  
222 response of juveniles that are harvested for the exposure to toxicants. Branch 2 identifies  
223 sources of variability related to the reference chemical, BAC-C16. BAC-C16, which is not easily  
224 quantified, is difficult to dissolve in water, and little is known about its stability over time.  
225 Therefore, there may be significant variability in the chemical toxicity among the batches from  
226 suppliers and how well the chemical dissolves in water, all of which may impact *C. elegans*  
227 growth inhibition results. Branch 3 concerns the *E. coli* density used in the assay, which is  
228 difficult to measure accurately and could increase the assay variability as it may change during  
229 the assay as a result of bacterial growth and interactions with the toxin. Branch 4 identifies

230 several factors in the assay protocol described in the ISO document, as well as adaptations for  
231 testing ENMs that may help keep the ENMs suspended. For example, different media  
232 preparations or incorporating plate shaking during the assay may impact the ENM suspension  
233 and the assay results. Branch 5 encompasses procedures for imaging nematodes for growth  
234 measurements. Sources of variability in this branch are associated with microscope calibration,  
235 nematode identification due to focus artifacts, interference from *E. coli* or debris, and user-to-  
236 user variability. Branch 6 catalogs ENM specific concerns included producing a reproducible  
237 dispersion, changes to the ENM during the assay (e.g., settling, dissolution), and toxicity of the  
238 ENM to the bacteria or interactions of ENM with bacteria such as heteroagglomeration.

### 239 Sensitivity testing with the BAC-C16 reference toxicant

240 The sensitivity testing of the ISO protocol yielded important insights regarding the parameters  
241 that impact the assay results (Fig. 2A-G and Fig. S4A-G). We found that the type of culture from  
242 which nematodes were harvested, the reference chemical vendor, the media that the assay  
243 was performed in, the *E. coli* viability, and the size of wells had minimal effect on the assay  
244 results with BAC-C16, as shown in Fig. 2A-E for growth, and Fig S4A-E for reproduction. The lack  
245 of impact on toxicity observed with BAC-C16 in different media may give researchers more  
246 flexibility to select which media works best for the ENM they are using. Media flexibility allows  
247 for use of more environmentally relevant media such as simulated porewater.<sup>52</sup> While the  
248 media we used in this study are some of the more commonly used in the literature, other  
249 media have shown differences in growth of nematodes.<sup>53</sup> Therefore, measuring control  
250 nematode growth in the chosen media is vital to understanding the potential impacts of a toxin.  
251 Our results suggest that the protocol described in the original ISO document is robust to media  
252 composition changes that were tested here. Changes in the nematode sensitivity to BAC-C16  
253 was minimal when the nematodes were fed UV treated bacteria instead of live bacteria (Figure  
254 2D and Fig. S4D). The EC<sub>50</sub> for growth of BAC-C16 was 17.85 mg l<sup>-1</sup> (95 % CI: 17.15 mg l<sup>-1</sup> to  
255 18.57 mg l<sup>-1</sup>) for nematodes fed live bacteria and 14.87 mg l<sup>-1</sup> (95 % CI: 14.17 mg l<sup>-1</sup> to 15.61 mg  
256 l<sup>-1</sup>) for nematodes fed UV treated bacteria. The EC<sub>50</sub> for reproduction of BAC-C16 was 11.13 mg  
257 l<sup>-1</sup> (95 % CI: 10.16 mg l<sup>-1</sup> to 12.19 mg l<sup>-1</sup>) for nematodes fed live bacteria and 10.33 mg l<sup>-1</sup> (95 %  
258 CI: 0.06 mg l<sup>-1</sup> to 1690 mg l<sup>-1</sup>) for nematodes fed UV treated bacteria. Note the extremely high  
259 variability found for reproduction, especially for the nematodes fed UV treated bacteria.  
260 However, it is unclear if other researchers found impacts of bacterial viability on nematode  
261 growth. While we observed minimal impact of *E. coli* inactivation on growth of *C. elegans*  
262 similar to other studies,<sup>46, 54</sup> several studies have found that life span of *C. elegans* is increased  
263 when fed with growth inhibited or dead *E. coli*.<sup>55, 56</sup>

264 Of the parameters tested, changes in the *E. coli* feed density and plate shaking had the largest  
265 impact on assay results for BAC-C16. The feed density had a large impact on toxicity of BAC-



266 C16. At 100 FAU feed density levels, 15 mg l<sup>-1</sup> of BAC-C16 completely inhibited nematode  
267 growth, while at 1100 FAU feed density, 15 mg l<sup>-1</sup> of BAC-C16 did not affect growth (Fig. 2F).  
268 Similarly, nematodes did not reproduce below 500 FAU feed but reproduced as much if not  
269 more than the control at ≥ 900 FAU (Fig. S4F). This result indicates that the assay positive  
270 control is highly sensitive to feed density. Höss et. al.<sup>57</sup> found a similar result with Cd exposure  
271 and suggested that binding of Cd to *E. coli* cells may impact bioavailability of the metal.  
272 However, the method by which researchers measure bacterial density may impact the amount  
273 of feed being administered. Bacterial density measurements were conducted in this study using  
274 a turbidity meter as described in ISO method 10872,<sup>38</sup> but researchers use different methods  
275 to quantify bacterial density such as photometers,<sup>47,51</sup> plate readers,<sup>52</sup> or simply specify a  
276 wavelength with no indication of instrumentation.<sup>35, 37, 53</sup> The impact of using different  
277 instruments to quantify bacteria densities is unclear, but our sensitivity testing suggests that a  
278 50 FAU difference in bacterial concentration can change growth inhibition of BAC-C16 by as  
279 much as 19 % (Fig. 2F). It is unclear if this is due to the nematodes having access to different  
280 amounts of feed or if increasing amounts of *E. coli* decreases the availability of BAC-C16 to the  
281 nematodes. Distinguishing the direct toxic effect of a chemical on growth inhibition from an  
282 indirect effect on bacterial concentration is not possible with the current ISO method.

283 Shaking plates during the assay decreased control growth by >300 μm (approximately 19 %  
284 decrease) after 96 h and increased inhibition of growth of 15 mg l<sup>-1</sup> BAC-C16 by >36 %  
285 compared to not shaking the plates (Fig. 2G). Similarly, shaking plates reduced reproductive  
286 output by approximately 70% compared to not shaking (Fig. S4G). Shaking the plates greatly  
287 increased test variability; the mean EC<sub>50</sub> (± 1 SD) for growth with plate shaking was 20.5 ± 13.1  
288 mg l<sup>-1</sup> (n=16), yet decreased to 18.7 ± 2.6 mg l<sup>-1</sup> (n=16) without shaking. While leaving the plates  
289 undisturbed during the assay may allow nematodes easier access to settled *E. coli*, aggregated  
290 ENMs may also settle on the bottom of the wells, potentially increasing exposure of the  
291 nematodes to the ENMs as well as changing exposure from ENMs to aggregates of these ENMs  
292<sup>58</sup>. It is important to consider these features of the test system when interpreting the results of  
293 the assay with test ENMs. For example, choosing a media that minimizes aggregation, if  
294 possible, would help to alleviate this issue.

#### 295 Sensitivity testing with PSNPs and comparison to reference chemical results

296 Based on the results of the C&E and sensitivity testing with BAC-C16, we designed the layout of  
297 12 well plates that provide five control features to assess the quality of the results (Fig. S3).  
298 There are multiple advantages of testing the EC<sub>50</sub> value of the reference chemical and ENM on  
299 each of three plates including that the plate-to-plate variability can be quantified and that the  
300 EC<sub>50</sub> values for the BAC-C16 need to be within benchmark specifications for the ENM result to  
301 be considered valid. For our laboratory, the mean EC<sub>50</sub> (± 1 SD) of BAC-C16 was 18.7 ± 2.6 mg l<sup>-1</sup>

302 (n = 16) and ranged from 14.4 mg l<sup>-1</sup> to 22.3 mg l<sup>-1</sup> (Fig. 3A). Mean inhibition of growth at 15 mg  
303 l<sup>-1</sup> BAC-C16 was 34.1 ± 12.5 % and ranged from 18.1 to 58.7 % (Fig. 3B). While our growth  
304 inhibition results were mainly within the 20 % to 80 % requirement as stated in ISO 10872,<sup>38</sup>  
305 several of our tests showed < 20 % inhibition at 15 mg l<sup>-1</sup> BAC-C16. However, an interlaboratory  
306 study among eight laboratories showed a range of EC<sub>50</sub> values for growth from 11.9 to 18.9 mg  
307 l<sup>-1</sup>,<sup>39</sup> suggesting that our variability is similar to those in the interlaboratory study. This chemical  
308 control and specification can be used to qualify the robustness of the measurement process.

309 The results from conducting the assay with PSNPs three separate times (Fig. 4A) indicated that  
310 the mean EC<sub>50</sub> for growth was 71.7 ± 37.2 mg l<sup>-1</sup> and ranged from 42.7 mg l<sup>-1</sup> to 113.7 mg l<sup>-1</sup>. The  
311 mean EC<sub>50</sub> for reproduction was 21.4 ± 10.5 mg l<sup>-1</sup> and ranged from 10.0 mg l<sup>-1</sup> to 30.7 mg l<sup>-1</sup>.  
312 While no published research has investigated the toxicity of PSNPs on *C. elegans*, cellular  
313 toxicity assays indicate almost an order of magnitude lower EC<sub>50</sub> values than those observed for  
314 *C. elegans*.<sup>47</sup> Several concentrations of BAC-C16 were tested in the same plates as the PSNPs to  
315 compare the variability between the two substances (Fig. 4B). The coefficient of variations of  
316 the growth EC<sub>50</sub> values for three independent assays were 9 % and 52 % for the BAC-16 and  
317 PSNPs, respectively, indicating that the EC<sub>50</sub> values were substantially more variable for PSNPs.  
318 No inhibition of growth was observed in the ENM filtrate control, suggesting that no leaching of  
319 toxic chemicals from the ENM occurred. However, there were differences in *E. coli*  
320 agglomeration in the presence of PSNPs and large *E. coli* agglomerates formed almost  
321 immediately after addition (Fig. S5B). This observation suggests an additional indirect toxicity  
322 mechanism (i.e., heteroagglomeration) that should be considered when testing ENMs. It is not  
323 clear if the observed toxicity is due to the ENM or due to a secondary effect that results from  
324 the ENM interaction with *E. coli* feed. For example, it is possible that the aggregates may change  
325 the availability of feed to the nematodes. Experiments to further dissect the observed  
326 nematode toxicity will be explored in a subsequent study.

327 Unlike results for BAC-C16, media composition had a strong influence on the toxicity of PSNPs  
328 (Fig. 5A and Fig. S6A), suggesting that care must be taken to understand the behaviors of the  
329 ENM in the system. Growth EC<sub>50</sub> values for the three media were 23.7 mg l<sup>-1</sup> (95 % CI: 21.4 mg l<sup>-1</sup>  
330 to 26.2 mg l<sup>-1</sup>), 5.9 mg l<sup>-1</sup> (95 % CI: 5.5 mg l<sup>-1</sup> to 6.3 mg l<sup>-1</sup>), and 8.8 mg l<sup>-1</sup> (95 % CI: 8.1 mg l<sup>-1</sup> to  
331 9.5 mg l<sup>-1</sup>) for M9, K<sup>+</sup> medium, and S-basal respectively. For reproduction, EC<sub>50</sub> values could not  
332 be calculated for M9 due to high variability but were 2.7 mg l<sup>-1</sup> (95 % CI: 2.4 mg l<sup>-1</sup> to 3.1 mg l<sup>-1</sup>),  
333 and 2.8 mg l<sup>-1</sup> (95 % CI: 2.7 mg l<sup>-1</sup> to 3.0 mg l<sup>-1</sup>) for K<sup>+</sup> medium and S-basal respectively; while  
334 these experiments were repeated at least twice, conducting the experiments with a different  
335 concentration test range may have enabled the calculation of reproduction EC<sub>50</sub> values but was  
336 not tested. While K<sup>+</sup> medium has the lowest ionic strength and S-basal had the highest ionic  
337 strength of the three media we tested, PSNPs were least toxic in M9. This may be due to the  
338 fact that K<sup>+</sup> medium and S-basal contain two different types of divalent cations, Ca<sup>2+</sup> and Mg<sup>2+</sup>,

339 while M9 contains only  $Mg^{2+}$ . As previously reported, the presence of divalent cations can  
340 potentiate ENM aggregation in liquid media,<sup>59, 60</sup> which may impact toxicity. Immediately after  
341 addition, PSNPs agglomerated in S-basal (mean  $\pm$  SD: 1117.8 nm  $\pm$  15.2 nm) and M9 (199.4 nm  
342  $\pm$  4.0 nm) but not in  $K^+$  medium (64.2 nm  $\pm$  0.5 nm). After 96 h PSNPs increased in size in S-basal  
343 (1966.3 nm  $\pm$  512.3 nm) and M9 (649.6 nm  $\pm$  14.0 nm) but remained similar in  $K^+$  medium (58.4  
344 nm  $\pm$  0.5 nm). However, these measurements were run without *E. coli* present, the presence of  
345 which may impact PSNP agglomeration. The media composition may be highly relevant for  
346 other ENMs such as Ag ENMs which react readily with chloride;<sup>61</sup> a media without chloride  
347 salts may be needed to obtain the lowest  $EC_{50}$  values for Ag ENMs but such a media would have  
348 lower environmental relevance.<sup>52</sup> Similarly, bacteria viability influenced PSNP toxicity (Fig. 5B  
349 and Fig. S6B), but did not impact the toxicity of BAC-C16.  $EC_{50}$  values for growth were 38.1 mg l<sup>-1</sup>  
350 (95% CI: 30.5 mg l<sup>-1</sup> to 47.6 mg l<sup>-1</sup>) for nematodes fed live *E. coli* and 45.4 mg l<sup>-1</sup> (95% CI: 32.5  
351 mg l<sup>-1</sup> to 63.4 mg l<sup>-1</sup>) for those fed UV killed *E. coli*.  $EC_{50}$  values for reproduction could not be  
352 calculated due to high variability. UV killed bacteria decreased PSNP toxicity, suggesting that  
353 the interaction between PSNPs and *E. coli* may be hindered when bacteria are UV killed. This  
354 suggests a potential assay modification to avoid this artifact. Similar to that of BAC-C16 results,  
355 feed density greatly impacted toxicity of PSNPs (Fig. 5C and Fig. S6C). At 70 mg l<sup>-1</sup> PSNPs  
356 nematode growth was similar to that of the control when feed was increased to 1100 FAU but  
357 minimal to no growth was observed for feed densities between 100 and 550 FAU. Similarly, no  
358 reproduction was observed at 70 mg l<sup>-1</sup> PSNPs until feed was increased to 900 FAU and at 1100  
359 FAU, reproduction was similar to that of the control (Fig. S6C). Variability for all PSNP assays  
360 was increased compared to data for BAC-C16.

## 361 **Conclusion**

362 This paper describes a process to assess the robustness and reproducibility of an ISO *C. elegans*  
363 ecotoxicity assay and the utility of this assay for testing the potential effects of ENMs. Our  
364 cause-and-effect analysis followed by a sensitivity testing revealed that *E. coli* concentration  
365 and plate shaking have a large impact on nematode growth and toxicity of the control toxicant  
366 BAC-C16. Lastly, we found that *E. coli* concentration, bacterial viability, and media composition  
367 impacted PSNPs toxicity to *C. elegans*, illustrating the need to understand how ENM toxicity is  
368 impacted by assay parameters. Given that studies in the literature often use a range of *E. coli*  
369 concentrations and media compositions, the impact of these parameters should be better  
370 understood using a broader range of conditions (bacteria concentrations, media, and types of  
371 nanoparticles) to elucidate how data from multiple studies can be combined for environmental  
372 risk assessment. In addition, the development of a more precise and robust method for  
373 quantifying the bacteria concentration could help decrease the variability of the assay.

374 While our findings with PSNPs illustrate the need to better understand the main factors  
375 contributing to variability in assays when including ENMs, further experiments are needed to  
376 better understand the robustness of the assay for use with varying nanoparticles (e.g., with  
377 different surface coatings or sizes), because there may be biases or artifacts in the assay that  
378 were not uncovered by testing only a single nanoparticle. Based on the findings of this study,  
379 our recommendation is for this standardized method to be used more broadly in the  
380 nanotoxicology literature. If modifications are made to the assay for which the assay is sensitive  
381 (e.g., lower bacteria concentrations of different test media), it would be helpful to enable data  
382 comparability among laboratories to also test the ENP using the conditions described in the ISO  
383 assay. However, additional testing of the robustness of this assay with different ENPs may  
384 reveal other important biases or limits to the applicability of this assay which should also be  
385 taken into consideration.

386 The use of quality tools such as the cause-and-effect diagram and sensitivity testing allowed us  
387 to systematically identify the parameters of the nematode culturing and toxicity assay that had  
388 the greatest impact on assay results. This process can aid in reducing variability and increasing  
389 reliability of standardized ecotoxicity tests and other key environmental measurements.

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396 recommendation or endorsement by the National Institute of Standards and Technology, nor  
397 does it imply that it is necessarily the best available for the purpose.

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504

505 Figure Captions

506 Figure 1. Cause-and-effect analysis of ISO 10872 protocol. The six main branches indicate the  
507 factors that we have identified that have the greatest potential to cause variability in assay  
508 results. For detailed descriptions see Table S1.

509 Figure 2. Sensitivity testing of ISO 10872 conducted by altering test conditions (shown in Figure  
510 1) and comparing the outcome to the original protocol. The test parameters altered were (A)  
511 the culture from which the nematodes were harvested for the assay, (B) the manufacturer of  
512 the positive control BAC C16, (C) the media that the test was performed in, (D) bacterial  
513 viability, (E) the assay performed in a 24 well plate instead of 12 well (F) the amount of feed  
514 used in the assay (all exposures include 15 mg l<sup>-1</sup> BAC-C16), and (G) whether the plates were  
515 shaken or left undisturbed. For each plot, growth data shown are mean ± one standard  
516 deviation, n=3 for each data point.

517 Figure 3. Control charting of EC<sub>50</sub> values (A) and inhibition of growth at 15 mg l<sup>-1</sup> (B) of *C.*  
518 *elegans* exposed to BAC-C16 in 96 h standard toxicity assays conducted over several months  
519 based on ISO 10872. Data presented as mean ± one standard deviation. The vertical bars  
520 represent the date we stopped shaking plates during the assays.

521 Figure 4. Variability of the adapted toxicity assay for growth inhibition of A) PSNPs and B) BAC-  
522 C16 conducted on three different days. Data are shown as mean ± one standard deviation. N =  
523 3 wells, each with 10 nematodes.

524 Figure 5. Sensitivity testing of ISO 10872 containing PSNPs. The test parameters altered were  
525 (A) The feed density, (B) the media that the test was performed in, and (C) the bacterial density.  
526 Growth data presented as mean ± one standard deviation. For each experiment N = 3 wells,  
527 each with 10 nematodes. Experiments were performed twice and data are combined.



Figure 1.

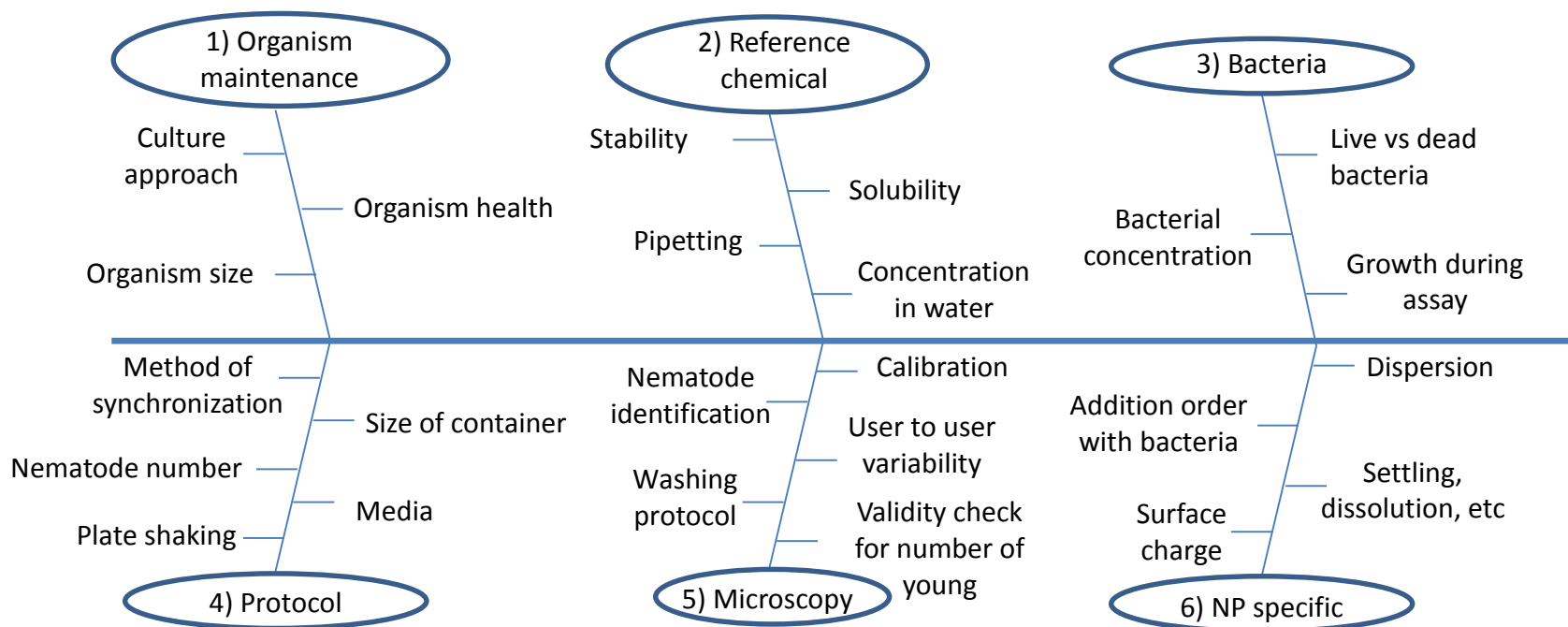


Figure 2.

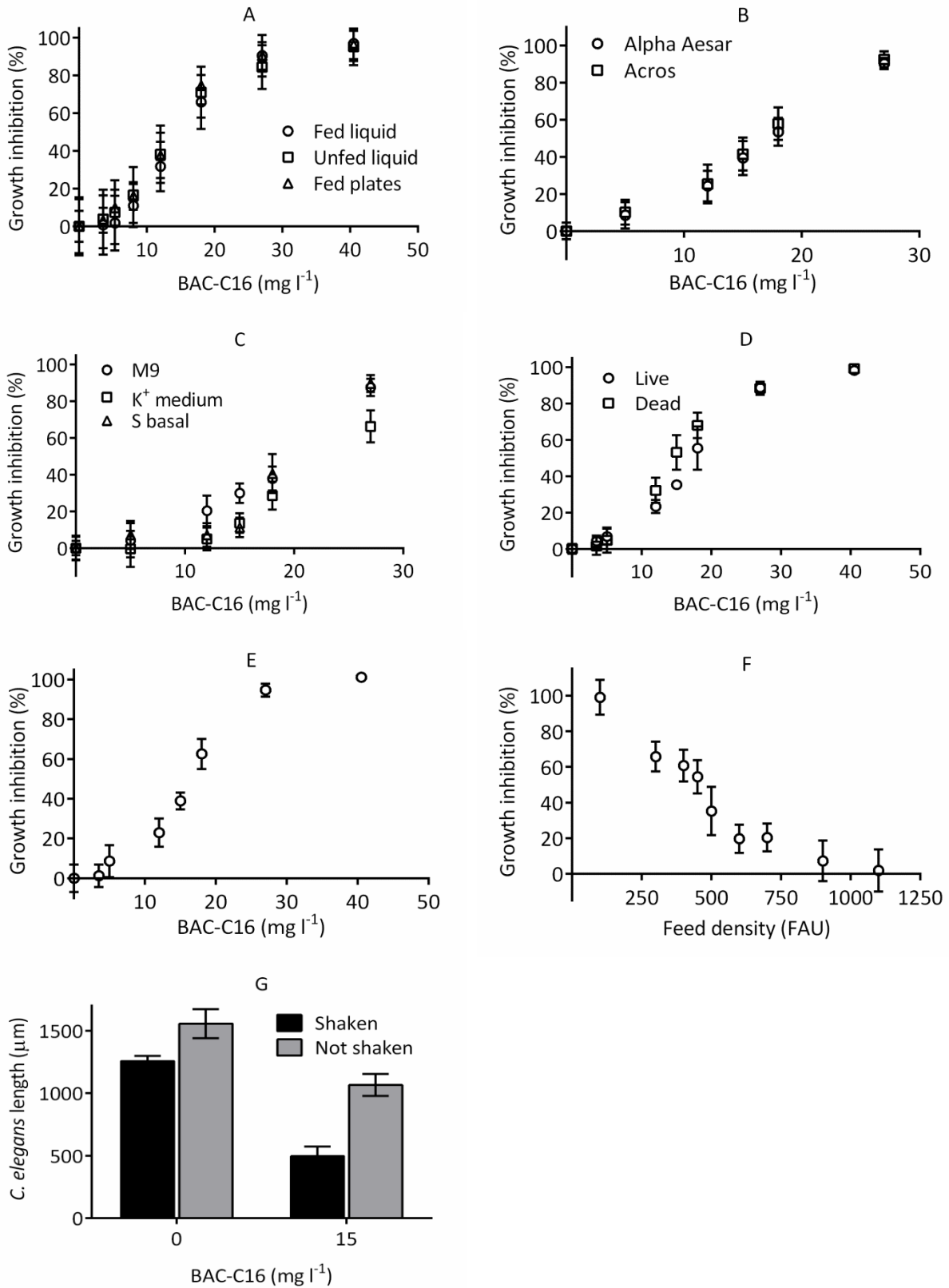


Figure 3.

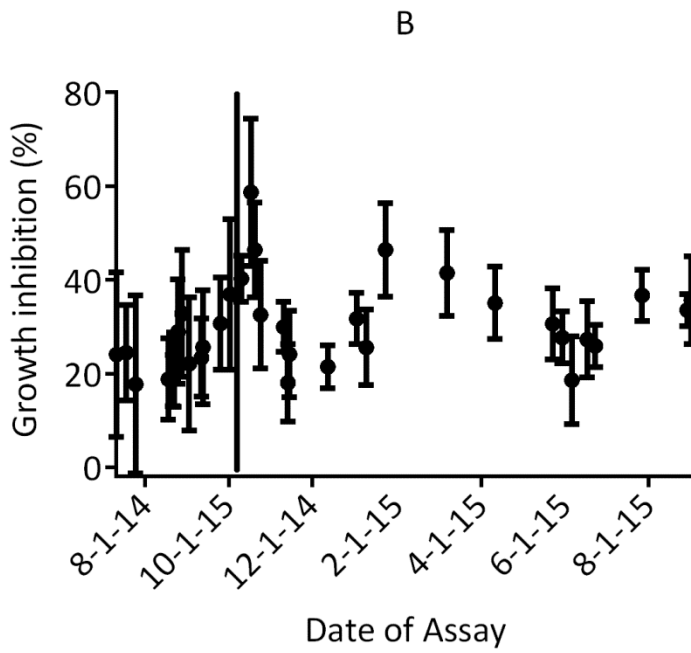
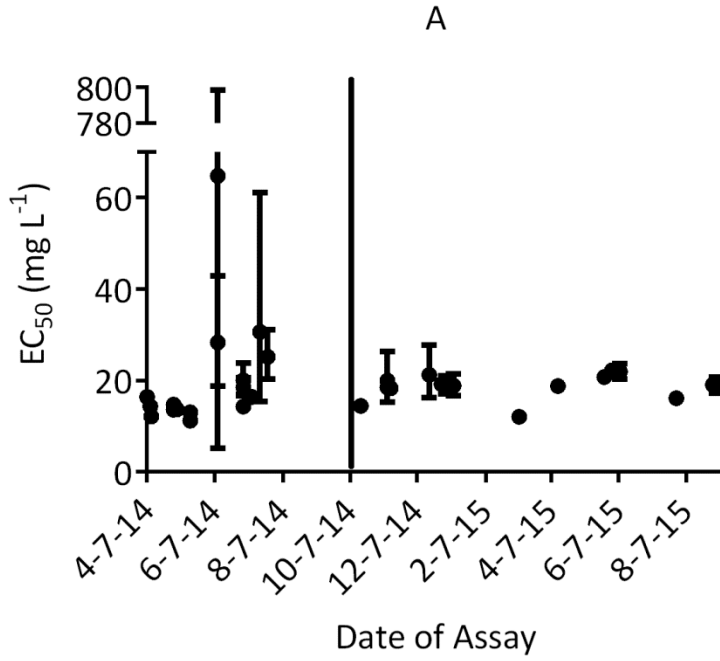


Figure 4.

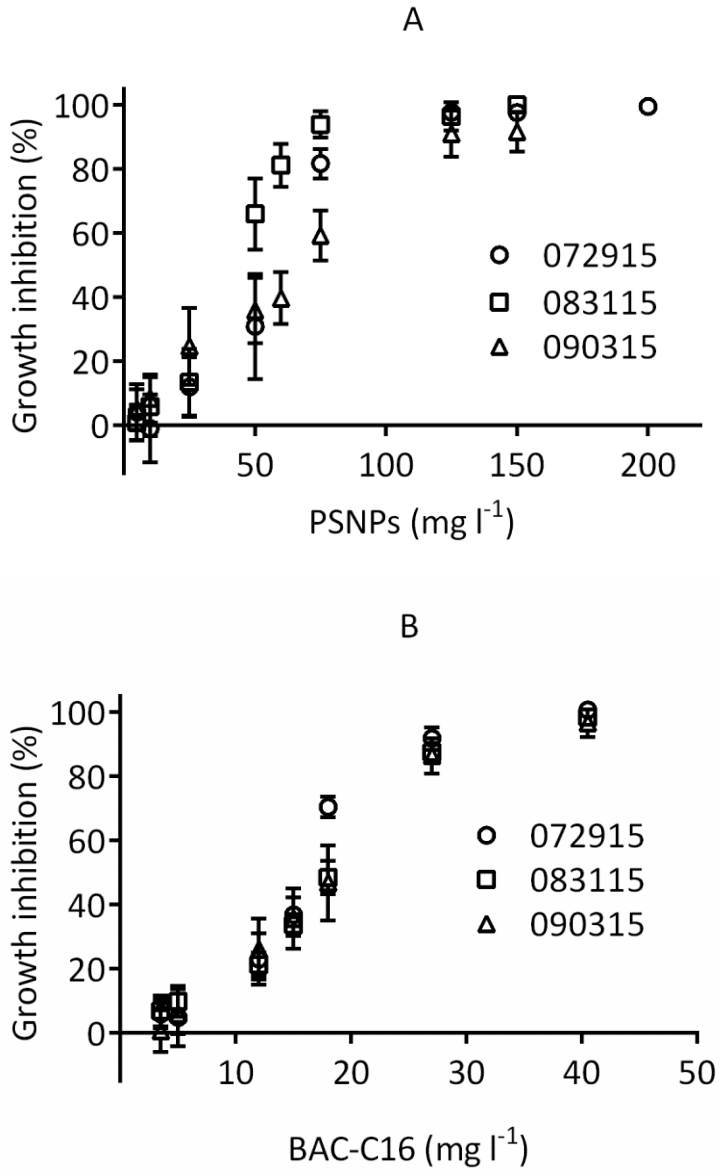


Figure 5.

