

## ADAPTING OECD AQUATIC TOXICITY TESTS FOR USE WITH MANUFACTURED NANOMATERIALS: KEY ISSUES AND CONSENSUS RECOMMENDATIONS

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1 **ADAPTING OECD AQUATIC TOXICITY TESTS FOR USE WITH MANUFACTURED**  
2 **NANOMATERIALS: KEY ISSUES AND CONSENSUS RECOMMENDATIONS**  
3

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43 The unique or enhanced properties of manufactured nanomaterials (MNs) suggest that their use  
44 in nano-enabled products will continue to increase. This will result in increased potential for  
45 human and environmental exposure to MNs, during manufacturing, use, and disposal of nano-  
46 enabled products. Scientifically based risk assessment for MNs necessitates development of  
47 reproducible, standardized hazard testing methods such as those provided by the Organization of  
48 Economic Cooperation and Development (OECD). Currently, there is no comprehensive  
49 guidance on how to best address testing issues specific to MN particulate, fibrous, or colloidal  
50 properties. This paper summarizes the findings from an expert workshop convened to develop a  
51 guidance document that addresses the difficulties encountered when testing MNs using aquatic  
52 and sediment OECD test guidelines. Critical components were identified by workshop  
53 participants that require specific guidance for MN testing: preparation of dispersions, dose  
54 metrics, the importance and challenges associated with maintaining and monitoring exposure  
55 levels, and the need for reliable methods to quantify MNs in complex media. To facilitate a  
56 scientific advance in the consistency of nanoecotoxicology test results, we identify and discuss  
57 critical considerations where expert consensus recommendations were and were not achieved,  
58 and provide specific research recommendations to resolve issues for which consensus was not  
59 reached. This process will enable development of prescriptive testing guidance for MNs.  
60 Critically, we highlight the need to quantify and properly interpret and express exposure during  
61 the bioassays used to determine hazard values.

62

## 63 Introduction

64 The rapidly accelerating development and implementation of nanotechnology has inspired  
65 vigorous debate about the adequacy of current regulatory frameworks for assuring the safe  
66 deployment of manufactured nanomaterials (MNs) in the commercial marketplace.<sup>1-4</sup> A critical  
67 aspect of these debates is whether standard test protocols currently used in risk assessment are  
68 fully adequate for testing the hazard potential of MNs.<sup>5, 6</sup> Standardized testing protocols, and the  
69 guidance documents that describe them, are a critical component of risk assessment and  
70 regulatory processes that enable placement of chemical substances on the market. These test  
71 protocols describe specific techniques and methods for the collection and analyses of data with  
72 the goal of quantitatively describing, under controlled laboratory conditions, the release, fate,  
73 transport, transformation, exposure, and toxicity of chemical substances. The Organization for  
74 Economic Cooperation and Development (OECD) has promulgated internationally-accepted test  
75 guidelines (TGs) that are used for these purposes. A subset of these TGs focus on toxicity in  
76 aquatic, sediment and soil organisms and constitute the OECD's Test Guidelines Section 2:  
77 'Effects on Biotic Systems'.<sup>7-10</sup>

78  
79 Several recent publications focused on aquatic and sediment ecotoxicity assay methods  
80 commonly used in regulatory testing suggest that these methods are generally adequate for  
81 testing but MNs, but discuss the need for additional guidance to improve their applicability for  
82 hazard assessment of MNs.<sup>8-14</sup> The critical issue is that aquatic ecotoxicity testing with MNs  
83 involves exposure of test organisms to colloids or particle-sediment mixtures rather than solely  
84 to dissolved chemicals for which the OECD TGs were originally intended. MNs in test media  
85 typically undergo extensive agglomeration, settling, particle dissolution, and transformations  
86 during exposure and media renewal periods.<sup>9, 15</sup> These transformation processes depend, in part,  
87 on the MN intrinsic properties, MN concentrations, and media composition. The resulting  
88 variability in exposure presents unique challenges for exposure-response estimation. Alternate  
89 dose metrics based on particle number, surface area, or body burden, in addition to mass  
90 concentration, might be informative; however, metrics other than mass concentration are not  
91 generally considered within current risk assessment frameworks. Dissolution and ion release  
92 from MNs during testing, as often observed for silver and zinc oxide MNs,<sup>16, 17</sup> further  
93 complicates dosimetry, because the resulting exposures potentially involve both MNs and  
94 dissolved species. Concentration-dependent MN agglomeration, settling, and dissolution also  
95 present significant measurement and monitoring challenges, both logistically and  
96 methodologically. These MN behaviors often alter exposure levels beyond  $\pm 20\%$  of the initial  
97 (measured) or nominal concentration during an aquatic bioassay, a specification in many TGs  
98 hereafter referred to as the "20 % exposure specification". While MNs released from nano-  
99 enabled products may differ substantially from their as-produced form (e.g., CNTs released to  
100 the environment from polymer nanocomposites may be partly or fully encased in component  
101 polymers<sup>18-21</sup>), the focus in this manuscript is on as-produced MNs.

102

103 Herein, we discuss the findings of a workshop focused on drafting an OECD guidance document  
104 (GD) on Aquatic (and Sediment) Toxicology Testing of Nanomaterials, which provides  
105 necessary amendments to existing OECD aquatic toxicity test methods and is an OECD project  
106 approved in 2013. This meeting, held at the U.S. EPA in Washington D.C. in July, 2014, was  
107 attended by 23 experts from seven countries. We discuss, in depth, key limitations of current  
108 aquatic bioassay study designs for testing MNs and knowledge gaps that preclude or hinder the  
109 development of prescriptive, broadly-applicable aquatic toxicity standard tests for MNs, and  
110 suggest research to address these issues. Each of the following topics raised at the meeting are  
111 critically discussed: key considerations for testing the aquatic toxicity of MNs; the feasibility of  
112 conducting tests with MNs that meet the 20 % exposure specification; dosimetry and  
113 interpretation concerns for MNs; and challenges with testing MNs in sediments. We highlight  
114 issues where consensus was and was not reached during the workshop and subsequent  
115 discussions with workshop participants and recommend research to resolve topics where  
116 consensus was not reached. The discussions and viewpoints expressed by the workshop  
117 participants are summarized and inform, but are non-binding toward, the development of the  
118 OECD GD described above. The workgroup participants agreed to define MNs broadly as solid-  
119 phase substances having one dimension between 1 to 100 nm. While there are more detailed  
120 definitions (e.g. the European Commission-proposed definition <sup>22</sup>), our intent is to avoid limiting  
121 the workgroup findings to current MN definitions that may change. The more specific  
122 terminology used here (e.g. particle size, dissolution, agglomeration, aggregation, etc.) generally  
123 follow OECD documents on MNs.<sup>23</sup>

## 124 **Key considerations related to NM aquatic toxicity testing**

### 125 **The importance of standard terminology**

126 Workshop participants strongly agreed on the importance of using precise terminology when  
127 describing results from nanoecotoxicity tests. The absence of terminology in ecotoxicology TGs  
128 specific to (nano) particles, colloids, dispersions and suspensions further complicates conduct of  
129 standard aquatic ecotoxicity tests with MNs.<sup>24</sup> For example, MN suspensions have been  
130 erroneously referred to as dissolved MNs, rather than dispersed or suspended MNs. The  
131 operational definition of “dissolved” substances varies significantly among different fields, and  
132 there are environmental and mechanistic definitions that are partially related to the operational  
133 definitions;<sup>25</sup> a more detailed discussion of this topic is available in the Supporting Information.  
134 It is thus critical to make a distinction among the terms “suspension” and “dispersion” versus  
135 “solution.” As the term “solution” suggests that the MNs are dissolved in the aqueous test  
136 media, the terms “suspension” and “dispersion” are favored. This is especially important as the  
137 ‘true’ dissolution of MNs into their component ions is an important process in environmental fate  
138 and ecotoxicology. For instance, some dispersed or suspended MNs will subsequently dissolve  
139 fully or partly to their constituent ions over the exposure time of nanoecotoxicity tests and this

140 must be accounted for in interpreting data. Consistent use of terminology can therefore minimize  
141 misinterpretation of reported results.

142 For the past two decades guidance for aquatic toxicity testing for hazard assessment has included  
143 a distinction in the terminology used to describe adverse effects. Intrinsic toxicity is derived from  
144 exposure to dissolved molecules and is distinct from adverse physical effects.<sup>26</sup> Physical effects  
145 can manifest as insoluble material attaching to the exterior of an organism as micelles,  
146 aggregated particles, or as a flocculent and lead to adverse effects from fouled respiratory  
147 surfaces, impaired mobility, and feeding (daphnids), or light attenuation (algae). Intrinsic  
148 toxicity is the focus of aquatic hazard assessment based on the concept that the dissolved  
149 molecule represents the most relevant exposure condition for aquatic toxicity testing and  
150 undissolved material is excluded from tests to avoid physical effects.<sup>27, 28</sup> Since aquatic  
151 exposures to MNs may include both dissolved and solid phases, additional effort is required to  
152 distinguish “intrinsic” toxicity from physical effects. In tests with MNs, particulate uptake has  
153 the potential to exert toxic effects which are not solely physical. Carefully designed control  
154 experiments are essential for making a distinction and avoiding misinterpretations,<sup>29</sup> and need to  
155 be incorporated into future work, including evaluating how and when to include the hazard from  
156 physical effects into aquatic risk assessment.

157 In addition, use of terms related to an ‘equilibrium’ being reached among multiple phases  
158 including organism tissues (i.e., bioconcentration factor, bioaccumulation factor, biota-sediment  
159 accumulation factor, etc.) is discouraged,<sup>9</sup> or at a minimum, need to be better qualified. Use of  
160 these terms may result in an inaccurate comparison between organism accumulation of MN and  
161 hydrophobic organic contaminants (HOCs) or dissolved metals. Bioaccumulation of HOCs is  
162 related to passage through biological membranes via passive diffusion, or active uptake through  
163 ion channels or carrier mediated transport.<sup>30</sup> For MNs, however, results show that absorption into  
164 organism tissues is typically limited. For example, ingestion of carbon-based MNs by aquatic  
165 organisms often leads to high ingested concentrations present only in the gut tract with non-  
166 detectable absorption into systemic circulation,<sup>18, 31, 32</sup> while many HOCs are concentrated in the  
167 lipid fraction of organisms.<sup>33-36</sup> In addition, changes in the octanol-water partition coefficients  
168 were not shown to correlate with changes in accumulation of multiwall carbon nanotubes  
169 (MWCNTs) by a benthic organism *Lumbriculus variegatus* or an earthworm *Eisenia foetida*.<sup>37</sup>  
170 An OECD document on sample preparation and dosimetry indicated that the OECD TG for  
171 octanol-water partition coefficients is unlikely to be directly applicable for use with MNs,<sup>23</sup> a  
172 conclusion also reached by others.<sup>38</sup>

### 173 **MN behavior in test systems**

174 The behaviors of MNs in aqueous media impact the accuracy and reproducibility of results  
175 derived from OECD ecotoxicity methods in that they are more dynamic and not predictable by  
176 traditional methods of partitioning and bioavailability. MNs are similar in concept to solid  
177 particulate chemicals or mixtures described as “difficult substances”.<sup>27</sup> For example, MNs may  
178 agglomerate, settle from suspension and/or dissolve<sup>18, 39</sup> (Figure 1). Moreover, these behaviors

179 are greatly influenced by the test media and other factors such as the MN number concentration.  
180 Media with higher ionic strength, and especially higher concentrations of divalent and trivalent  
181 metal ions, will result in higher rates of agglomeration and MNs settling from suspension, with  
182 stabilization mechanisms playing a role.<sup>40</sup> Silver nanoparticles (AgNPs) provide an example of a  
183 MN that undergoes transformations in aqueous media; AgNPs may form silver chloride or silver  
184 sulfide particles if the media contains chloride or sulfur, and these modified particles can be  
185 significantly less toxic than unmodified AgNPs.<sup>15, 41, 42</sup> Silver nanoparticles also interact with  
186 natural organic material (NOM), oxidize, and dissolve,<sup>15, 29</sup> which influences surface chemistry,  
187 dissolution, aggregation and toxicity.<sup>43-46</sup> Formation of AgNPs from reduction of ions can also  
188 occur in aquatic media.<sup>47, 48</sup> Agglomeration and settling cause increased heterogeneity in the test  
189 vessel with higher mass concentrations toward the bottom of the container. The procedure used  
190 to disperse MNs in the aqueous media and the MN concentration dispersed can also impact the  
191 general dispersion stability and heterogeneity in the test container as well as the rate of  
192 agglomeration.<sup>49</sup> Thus, the assay results for MNs are often more sensitive to the dispersion and  
193 mixing steps than for tests with dissolved metals or HOCs. Additionally, washing procedures to  
194 purify MNs can influence chemistry and behavior where the coating is weakly bound to the MN  
195 surface.<sup>50</sup> All of these changes to the MN distribution could lead to inaccurate or inconsistent  
196 organism exposure.<sup>29</sup>

### 197 **Monitoring and quantifying MN exposure**

198 The current lack of widely available, routine measurement methods with known accuracy,  
199 precision, and method performance requirements for quantifying MN mass concentration and  
200 dispersion state in the test media further complicates MN testing. While quantitative  
201 measurements of the distribution of MNs in the test containers throughout bioassays are critical  
202 for understanding variable test results, such measurements are rarely performed (exceptions  
203 include<sup>51-54</sup>). When non-standardized methods are used, they are often experimental in nature  
204 and not easily implemented by testing laboratories. Describing quantification methods for each  
205 type of MN is beyond the scope of this paper, but is considered elsewhere.<sup>55-58</sup> Quantifying the  
206 MN concentration in the test suspension is most difficult for lower MN concentrations (i.e.,  $\mu\text{g L}^{-1}$ )  
207 with most methods; while a promising recent study used atomic force microscopy to produce  
208 number concentrations down to  $\mu\text{g L}^{-1}$  concentrations,<sup>59</sup> this process has not yet been  
209 standardized and is not available to most ecotoxicology laboratories for routine analysis. It is  
210 possible to measure the aqueous phase concentration of carbon nanomaterials (CNMs) when  
211 greater than  $1\text{ mg L}^{-1}$  using techniques such as UV/vis absorption spectroscopy<sup>60, 61</sup> and  
212 gravimetric analysis.<sup>31, 62, 63</sup> While some methods for quantifying lower CNM concentrations are  
213 described in the literature, these methods detect only specific types of carbon nanotubes  
214 (CNTs),<sup>64</sup> or additional work is needed to standardize the methods.<sup>65-67</sup> Metal and metal oxide  
215 MNs can be quantified in bulk by elemental analysis (e.g., ICP-MS) at low concentrations.  
216 Separation methods such as ultrafiltration, centrifugation and dialysis membrane techniques can  
217 be used to distinguish between unagglomerated, agglomerated, and dissolved MNs, but have not  
218 yet been standardized.<sup>16, 29, 68, 69</sup> The applicability and reproducibility of these separation methods

219 will be assessed by an OECD group developing a test guideline for measuring MN dissolution.  
220 Emerging techniques such as single-particle inductively coupled plasma-mass spectrometry  
221 (ICP-MS)<sup>70-75</sup> and liquid nebulization/differential mobility analysis<sup>76</sup> can distinguish among  
222 some of these different transformations for metal containing MNs but require standardization,  
223 have MN-dependent limitations because their lowest measurable MN size are above 1 nm, and  
224 thus, their practical application for routine hazard testing has not yet been demonstrated.  
225 Recently, Mader et al.<sup>76</sup> have addressed this issue by providing a framework for evaluating the  
226 performance of new MN measurements methods.

### 227 **The role of standardized hazard testing in MN risk assessment**

228 The different behaviors of MNs in comparison to soluble chemicals such as HOCs and dissolved  
229 metals have raised questions about the common practice of separately assessing hazard and  
230 exposure. While significant progress has been made toward understanding the environmental  
231 fate and transformation of MNs<sup>15, 77-80</sup> and obtaining the basic information required to estimate  
232 exposure,<sup>81</sup> work is still ongoing to develop models to predict the fate and hazard of MNs based  
233 on their composition and physicochemical characteristics.<sup>82, 83</sup> This knowledge, which informs  
234 and simplifies hazard testing for dissolved chemicals, is rarely available for MNs, suggesting that  
235 fate and exposure testing may need to be incorporated into hazard testing guidance for MNs. For  
236 example, the environmental relevance of testing the aquatic toxicity of MNs that rapidly settle  
237 out of suspension with pelagic organisms was debated during the workshop. The ongoing efforts  
238 at OECD to develop TGs and a GD on MN dissolution, dispersion stability, and environmental  
239 fate will inform these decisions, while the MN sorption to activated sludge TG also currently  
240 under development will enable more realistic estimates of surface water and terrestrial  
241 nanomaterial concentrations. At a minimum the toxicity of the corresponding dissolved bulk  
242 material (if available) should be determined for a complete interpretation of aquatic hazard data  
243 generated for MNs.<sup>84</sup>

### 244 **Limit Testing**

245 While the concept of limit testing is described in many OECD TGs, its applicability to MNs was  
246 not explicitly discussed during the workshop. The use of limit testing for assessing the hazard of  
247 MNs is complicated by many of the exposure issues described here for concentration-response  
248 (multiple exposure concentration) testing. Limit tests employ a recommended maximum  
249 exposure concentration to determine if a substance has hazard potential within reasonable limits.  
250 The goal is to identify a single high concentration of test substance at which no effects are  
251 observed, eliminating the need for further testing. OECD TG 218 & 219 (Sediment-water  
252 Chironomid testing with spiked water or sediment<sup>85, 86</sup>) describe the limit-test concentration as  
253 "...sufficiently high to enable decision makers to exclude possible toxic effects of the substance,  
254 and the limit is set at a concentration which is not expected to appear in any situation". OECD  
255 218 sets this concentration at or below 1000 mg/kg sediment. Applicable aquatic TGs<sup>93,101,130</sup>  
256 recommend limit tests be set at 100 mg L<sup>-1</sup> (or the highest soluble concentration, whichever is  
257 lower) for water only tests. For substances that form stable dispersions, an existing OECD GD<sup>27</sup>



258 (that does not specifically consider MNs) recommends a limit concentration of 1000 mg L<sup>-1</sup> or  
259 the dispersability limit, whichever is lower. The application of limit testing based solely on mass  
260 concentration is potentially problematic for MNs as particle number concentration and surface  
261 area vary significantly for a given mass of material present at mean sizes between 1 and 100 nm.  
262 Other issues include varying MN transformation rates (i.e., dissolution, agglomeration) at  
263 different concentrations, and the potential for nanomaterial atypical dose response curves.

## 264 **Potential modifications to test procedures**

### 265 *Adjusting media composition*

266 A number of potential modifications to standard testing were considered for MN ecotoxicity  
267 testing to address the behaviors of MNs described above. One of these modifications is to  
268 prescribe a single test medium for each commonly used test organism for use with each bioassay  
269 method. Current TGs typically allow for flexibility in bioassay media selection in recognition of  
270 variability among various testing facilities. However, for MNs this flexibility can lead to  
271 difficulty in comparing test results and potentially a lack of agreement between labs that are  
272 using the same basic test method. Diluting test media (i.e., reducing ionic strength) or adjusting  
273 media pH away from the point of zero charge of the MN may reduce the rate of agglomeration  
274 and settling for many MNs,<sup>87</sup> but may be physiologically stressful for test organisms.<sup>88</sup> Thus, in  
275 selecting the standard test medium, there is a potential tradeoff between maintaining organism  
276 health and vitality and minimizing MN agglomeration and transformation rates. For example,  
277 *Daphnia magna* growth and reproduction are typically raised with greater water hardness,<sup>89</sup> but  
278 this leads to greater rates of MN agglomeration for charge-stabilized MNs resulting in lower or  
279 less consistent exposure. Choosing an alternate daphnid test species adapted to softer waters  
280 (e.g. *D. pulex*<sup>88</sup>) may be a viable alternative. Any modifications to the standard methodology  
281 which may alter the physiological stress responses of the test organism should be validated with  
282 a positive control experiment such as a reference toxicant test which can be found in OECD  
283 method validation studies and the open literature.<sup>129</sup> In addition, some MNs may yield acceptable  
284 assay variability in standard test media, and altering standard and historically used test media  
285 would limit relative comparisons to previous data generated using OECD ecotoxicity TGs. For  
286 MNs where dissolved metal ions may impact the toxicity (e.g., ZnO and AgNPs<sup>17, 29</sup>) it is  
287 important to exclude metal chelators such as EDTA as described in previous OECD documents  
288 for metal toxicity testing (e.g., algae testing<sup>90</sup>). While some studies have used chelators such as  
289 cysteine to eliminate the impact of released ions to highlight the impact of a MN itself,  
290 interactions between the chelators and the MN surface may impact MN behaviors and  
291 transformations.<sup>91, 92</sup>

### 292 *Standardizing test vessels and systems*

293 The selection of test vessels can also impact ecotoxicological results.<sup>93-95</sup> Increasing the  
294 consistency of the test vessel dimensions (material, size, aspect ratio, internal surface area) for

295 each test type and species is expected to reduce differences in the rate of MN agglomeration,  
296 settling, dissolution, or sorption, although it should be considered that a single test vessel type  
297 may not always be suitable for all types of MNs. A consistent test vessel for each test type and  
298 species should be selected from common commercially available products. Assay specific  
299 modifications should also be considered such as the impact of the agitating mode for the algae  
300 test on MN behaviors, and the grazing on the bottom of the vessel for the *D. magna* test.<sup>90, 96, 97</sup>  
301 Furthermore, interlaboratory comparison testing can be used to evaluate specific TG accuracy  
302 and precision among laboratories.<sup>98-100</sup>

### 303 *Preparing initial MN dispersions*

304 There are multiple approaches for preparing MN dispersions for aquatic toxicity testing, such as  
305 use of de-ionized (DI) water stock dispersions for spiking test media, sonication of MNs in the  
306 test media, and use of stabilizing agents. The approaches described in this section relate to  
307 preparing dispersions in DI water prior to mixing with the test media. It is often easier to  
308 produce stable dispersions of MNs in DI water as a result of the lower ionic strength and thus  
309 reduced agglomeration and settling rates. There are several potential approaches to disperse MNs  
310 in DI water that can be used individually or in combination: 1) use of commercial dispersants,  
311 capping agents, or solvents; 2) use of natural organic matter (NOM); and 3) sonication of  
312 unmodified MNs.

313 Many MNs are not stable in aqueous media in the absence of surface coatings or dispersants.  
314 When commercial MNs are synthesized with a dispersant or capping agent, they should be  
315 considered an integral part of the MN; control experiments can be conducted if it is important to  
316 elucidate the impact (stimulatory or inhibitory) of the dispersant or capping agent on the assay  
317 results.<sup>29</sup> Workshop participants discouraged use of additional synthetic organic solvents or  
318 dispersing agents, such as tetrahydrofuran (THF) or sodium dodecyl sulfate (SDS), when  
319 dispersing MNs due to high potential to confound results, as thoroughly discussed in previous  
320 papers.<sup>12, 19, 101-103</sup> However, if commercial products use synthetic solvents or dispersing agents  
321 in the MN formulation, then the bioassay should be conducted with the product as produced.<sup>63</sup>  
322 Thus, in these cases carefully designed control experiments (as described in<sup>29</sup>) are needed to  
323 elucidate the toxicity mechanism and avoid artifacts.

324 Ubiquitous natural dispersants such as NOM may be considered with the recognition of their  
325 potential to significantly alter MN dispersion stability and toxicity.<sup>31, 32, 67, 104, 105</sup>  
326 Environmentally relevant concentrations should be considered,<sup>106, 107</sup> however, to maintain a  
327 conservative approach for hazard assessment, only the lowest concentration necessary to achieve  
328 a stable dispersion should be used. Workshop participants discussed whether a standard NOM  
329 could be identified or used but no consensus was reached. It was agreed though that control  
330 experiments are essential to understand the influence of NOM on toxicity. This topic and  
331 discussion are covered in greater detail in the Supporting Information. Guidance on evaluating

332 effects of NOM on polymer toxicity,<sup>27</sup> and an existing USEPA guideline<sup>108</sup> may be of use in  
333 addressing this issue for MN.

334 Dispersion by sonication is implemented in the OECD MN dispersability and dispersion stability  
335 TG under development, but is known to generate oxidative species in solution as well as  
336 pyrolysis conditions. A variety of sonicator types and models exist and differ in power  
337 transformation efficiency and in the way in which the energy is delivered to the sample (e.g.,  
338 sonication probes, bath sonication and cup horn sonication). The potential effect of sonication on  
339 MN surface chemistry and size should be evaluated as this procedure has been shown to destroy  
340 or damage CNTs<sup>109, 110</sup> if an ice-water bath is not used. Importantly, sonication may degrade  
341 molecules coating MNs,<sup>111</sup> and in some cases, the sonication process may alter the toxicity of  
342 surface coatings<sup>29, 112</sup> or add metal contamination through disintegration of the sonicator tip.<sup>113</sup>  
343 However, sonication may only provide short-term dispersion of some MNs, as agglomeration  
344 may reoccur after sonication ceases and during the bioassay.

345 Different approaches exist for dosing test media with MNs, such as creating a working stock for  
346 spiking test media and performing a serial dilution to create test concentrations, or direct addition  
347 of the test substance to the media to individually prepare each test concentration. If the  
348 agglomerate state of the MNs is not impacted by serial dilution, the stock approach may be  
349 appropriate; if the state of the MNs is impacted by dilution, individually preparing each  
350 concentration should be considered. While the approaches described thus far relate to the  
351 production of a stock MN dispersion, it may be advisable to follow a different approach if a MN  
352 has more than one potentially toxic component. This approach, typically used for testing  
353 chemical mixtures as the various components may be present at different ratios at different  
354 concentrations, is to prepare a separate dispersion for each concentration.<sup>27</sup> One example of  
355 MNs with multiple toxic components is CNTs that release toxic metals from the residual metal  
356 catalysts. If a stock dispersion is made, the concentration of released metal impurities will be  
357 higher in the stock dispersion because dispersed and settled CNTs will both release toxic metals.  
358 Dilutions made from the stock dispersion to obtain different dispersed CNT concentrations  
359 would have a different CNT to metal ion ratio than if separate dispersions were made for each  
360 concentration. If the primary toxic effect is driven by the dissolved metal impurity, a dilution  
361 series prepared from this stock dispersion may produce an acceptable dose response curve;  
362 however, the effect may be erroneously attributed to the CNT rather than the impurity. Preparing  
363 separate dispersions for each test concentration helps to distinguish effects due to the MN vs.  
364 impurities. However, preparing separate dispersions at low concentrations ( $< 1 \text{ mg L}^{-1}$ ) could  
365 lead to higher variability in assay results due to the inaccuracy of weighing small masses.

#### 366 *Preparing dispersions in assay chambers for organism exposure*

367 After producing stock dispersions or dispersions for each test concentration using the procedures  
368 described in the proceeding section, it may be necessary to add the dispersions to the test media.  
369 If the dispersibility and dispersion stability TG is used to prepare the dispersion, it is important to

370 note that the TG is designed to test the stability of MNs in different aquatic media, not to prepare  
371 the best dispersion for ecotoxicity testing using other OECD methods.

372 After adding dispersed MNs to the test media, there are multiple options regarding when to test  
373 the ecotoxicity of the resulting suspension. One approach is to immediately add the dispersed  
374 MN to the test media. This approach may minimize the variability among laboratories in the  
375 initial MN dispersion that the organisms are exposed to if the dispersion procedure is robust.  
376 However, the MN settling rate during the course of the ecotoxicity assay may be quite variable  
377 due to factors such as different test media.

378 An alternative option for unstable MNs is to first add the dispersed MN to the test media or to  
379 sonicate the sample in the test media. Then, monitor the MN suspension stability with time to  
380 determine if, and wait until, a pseudo-steady state condition is reached, at which point the  
381 settling rate has reached a minimum (or acceptable level) or there is no longer detectable  
382 settling.<sup>27</sup> The MN suspension that has reached a pseudo-steady state could be transferred to test  
383 vials to start the bioassay. However, no consensus was reached in the workshop on a  
384 recommended maximum time limit to reach the pseudo-steady state. Measurements may be  
385 needed to assess if transferring the suspension will cause additional agglomeration, settling, and  
386 sorption to test containers, resulting in reduced exposure. Settled material included in bioassays  
387 may also act as a source for dissolved materials or resuspended particles and potentially alter  
388 system chemistry, e.g., oxidation or reduction states.<sup>114</sup> The approach described above is  
389 conceptually similar to water accommodated fraction (WAF) methods frequently used in  
390 petroleum testing.<sup>28, 115, 116</sup> Some similarities are that energy is first added to the system (e.g., by  
391 sonication for MNs and by blender mixing or slow stirring for petroleum) followed by a period  
392 of settling for MNs, or separation of petroleum, and collection of the MN dispersion or WAF,  
393 leaving behind the unsuspended material. In both cases, the goal is to produce repeatable water  
394 column exposures. However in both cases, physical effects or continued release of toxic  
395 components from the separated material are excluded from the hazard assessment. For example,  
396 physical effects of petroleum can be significant in oil spills, and Park et al.<sup>117</sup> demonstrated that  
397 removal of settled particles reduced the toxicity of Ag MNs to *D. magna* but not *Oryzias latipes*.  
398 Due in part to the many uncertainties associated with this approach, a consensus was not reached  
399 on the application of WAF approaches for MN hazard testing. It was, however, noted that WAF  
400 approaches are suggested for testing some difficult to test substances in existing guidance  
401 documents.<sup>26</sup>

#### 402 **Potential MN artifacts**

403 When testing the potential ecotoxicological effects of MNs, a significant complication is that the  
404 MNs themselves may cause artifacts or misinterpretations in ecotoxicology assays.<sup>29, 118-120</sup> A  
405 comprehensive discussion of the potential artifacts and misinterpretations inherent to bioassay  
406 testing of MNs is provided in a recent publication<sup>29</sup> and is beyond the scope of this manuscript.  
407 Briefly, issues such as use of control experiments, evaluation of nutrient depletion caused by

408 MNs, MN interference with assay measurement (e.g., algal density), and inaccurate dosimetry  
409 quantification and metrics need attention to achieve consistent toxicological results. MNs may  
410 confound toxicity measurements by limiting the applicability of common approaches. For  
411 example, a recent study showed that Coulter counter and haemocytometer measurements of algal  
412 density after exposure to titanium dioxide or gold nanoparticles were impeded as a result of  
413 hetero-agglomeration between the algae cells and MN; fluorometric methods were found to be  
414 the most suitable.<sup>119</sup> Overall, multiple methods (e.g., Coulter counter and fluorometric analysis  
415 of algae), ideally using promulgated or standard test methods, should be utilized when available  
416 and careful consideration of relevant control experiments is critical.

### 417 **Considerations for applying the 20 % exposure specification to testing MNs**

418 OECD harmonized aquatic toxicity TGs discuss acceptable limits of variation in water-column  
419 concentrations and provide suggestions for approaches to maintain these limits. These are  
420 invariably set at 80 % to 120 % of nominal or initial (immediately upon dosing) measured water-  
421 column concentrations. The TGs vary in specifying whether changes in water-column  
422 concentration should be relative to nominal or measured values. Further, TGs vary in their  
423 prescription of what should be done if the 20 % exposure specification is exceeded. In some  
424 TGs, this outcome simply determines whether exposure-response analyses and reporting can be  
425 based on nominal rather than measured concentration values.<sup>97, 121</sup> In others, the need for more  
426 frequent substance quantification is discussed,<sup>90, 122</sup> but a specific schedule for these analyses, or  
427 an approach to determine the rate of concentration change, are not provided. In other TGs, it is  
428 suggested that the exposure system is preconditioned (to limit adsorption), media renewal  
429 intervals be shortened, or continuous renewal (or flow-through) systems be employed. It seems  
430 implicit in the TGs that variation in excess of  $\pm 20\%$  does not constitute test failure as long as  
431 diligent efforts were made to attempt to maintain consistent exposure and the exposure is  
432 quantified based on measured values, and that measurements are made frequently during a test or  
433 media renewal period. Beyond the TGs, there are documents<sup>27, 123</sup> that provide some consistency  
434 and guidance on exceedances of the 20% exposure specification. These GDs state that if  
435 concentrations remain within  $\pm 20\%$ , then results may be based on nominal or mean measured  
436 values, and if concentrations deviate by more than  $\pm 20\%$  then results must be reported based on  
437 measured values (geometric or time-weighted mean). It is also important to recognize that among  
438 these TGs and GDs, substance losses are generally attributed to their elimination from test  
439 systems (e.g. by volatilization and chemical degradation processes). In TGs and GDs where  
440 substance losses from the water column (but not from the test system) are observed, e.g. by  
441 settling or physical separation, it is recommended that insoluble components be removed by  
442 filtration, centrifugation, or other separation methods;<sup>26, 27</sup> this is potentially applicable to MN on  
443 a case-specific basis that ensures the worst-case, most conservative hazard result is generated,  
444 but consensus on this approach was not reached by the workshop participants.

445 Some advantages and disadvantages of the  $\pm 20\%$  exposure specification are summarized in  
446 Table 1. Based on the literature and experience of workshop participants at the workshop, it was  
447 concluded that it is likely, for many MNs, that maintaining water-column concentrations within  
448  $\pm 20\%$  of the initial concentration during ecotoxicity assays with or without media renewal and  
449 without the use of dispersants or solvents will be difficult if not logistically infeasible, especially  
450 at higher (e.g.,  $\text{mg L}^{-1}$ ) concentrations. Even if a stable dispersion is initially prepared, it may not  
451 be possible to maintain consistent exposure if the changes to the state of agglomeration, particle  
452 dissolution and/or some other transformation of the particles continue to occur during the  
453 bioassay. Examples of rapid decreases in MN concentration and increases in agglomeration are  
454 shown in Figure 1. Clearly, it is important to consider whether the 20% exposure specification  
455 should be applied to MNs and this suggests a need for guidance on how MN losses should be  
456 addressed and reported. Unfortunately, it is unclear from TGs what the basis or rationale for  
457 setting the level at  $\pm 20\%$  is, other than the obvious goal of maintaining stable exposures,  
458 facilitating endpoint calculation, and avoiding overlapping exposure concentrations among  
459 treatment levels within a concentration series. Hence, it is difficult to assess whether this  
460 exposure specification would be more or less applicable to MNs compared with soluble  
461 chemicals. Regardless of the specific level of acceptable change in the aqueous concentration,  
462 the critical issue is how MN concentration (and other metrics such as particle size, particle count,  
463 or surface) should be quantified during testing. Approaches to calculate toxicity endpoints if  
464 there is greater than 20% decrease in the aqueous phase concentration are discussed in the  
465 Supporting Information.

## 466 **Dosimetry and interpretation**

### 467 **Dosimetry**

468 An inherent hypothesis in nanotoxicology is that the size-specific properties that make MNs  
469 useful for technology applications will also be important for determining biological effects.<sup>39, 124-</sup>  
470 <sup>131</sup> However, a consensus on what particle-specific or unique effects that consistently apply to  
471 specific classes of MNs has yet to be reached.<sup>132, 133</sup> Various studies in the ecotoxicology  
472 literature have reported higher toxicity for smaller particles,<sup>134-137</sup> though size related toxicity is  
473 not always observed.<sup>138, 139</sup> It is widely recognized that the standard mass-only dose metric  
474 paradigm used in toxicology for traditional substances may not adequately represent exposure-  
475 response relationships for MNs.<sup>39, 140, 141</sup> The mass only paradigm is further compromised by  
476 decreasing suspended MN concentrations during bioassays, a scenario where a time weighted  
477 averaging approach more accurately reflects exposure concentrations but is seldom used in  
478 practice. There are numerous alternative dose metrics for MNs other than mass; the most  
479 commonly discussed are total available particle surface area and particle number  
480 concentration.<sup>140</sup> For example, Van Hoecke et al.<sup>142, 143</sup> reported that the available surface area  
481 ( $\text{m}^2 \text{L}^{-1}$ ) of  $\text{CeO}_2$  and  $\text{SiO}_2$  MNs better correlated to growth inhibition of algal cells than mass  
482 concentration. For some soluble metal MNs (e.g., Ag, Cu), the dissolved fraction (and

483 dissolution kinetics) in test media also needs to be considered in dosimetry determinations.<sup>137, 144-</sup>  
484 <sup>146</sup> While some studies have reported toxicological response to correlate with certain MN  
485 properties, it has been difficult to confirm these trends across toxicological investigations. This  
486 is likely in part due to poor understanding of how the state of MN exposure differed (e.g.,  
487 different states of polydispersity) between investigations because of challenges associated with  
488 measuring polydisperse MN suspensions in test media. Further, size-unique effects are suggested  
489 to be most likely to occur below 30 nm;<sup>147</sup> therefore, studies that have focused on size-related  
490 effects above 30 nm may not isolate particle-specific effects.

491 Aerosol science literature has addressed alternative dose metrics for particles (e.g., <sup>148-151</sup>), and  
492 several recent ecotoxicology studies reported an improved expression of dose response by  
493 surface area,<sup>134-136, 152</sup> ion release<sup>136, 152</sup> or particle number.<sup>153</sup> However, development of a  
494 standardized alternative dose metric for MNs for hazard assessments is encumbered for a number  
495 of reasons: (1) it is unlikely that any one alternative dose metric will provide an improvement  
496 over mass for all MNs in all test systems; (2) it is more difficult to directly measure surface area  
497 and particle number compared to mass concentrations at bioassay relevant concentrations and in  
498 bioassay media,<sup>140</sup> although methods are becoming available;<sup>59</sup> (3) unless size distribution data  
499 are known or measurable, polydisperse particle suspensions in test media will further complicate  
500 interpretation of exposure relative to effect; and (4) dynamic changes in dispersion stability or  
501 consistency (suspended concentration, agglomeration and dissolution) confound concise  
502 interpretation and render dose metric conversions from size and mass less accurate. Unless  
503 particle number concentration and/or size distribution are directly measured,<sup>59</sup> the uncertainty for  
504 surface area and MN number concentrations will be substantially higher than those based on  
505 mass concentrations. In this context, OECD recommended that particle counts, surface area, and  
506 mass should all be measured when feasible to allow calculation of alternative dose metrics.<sup>23</sup>  
507 These measurements should be monitored throughout the test in all test concentrations to account  
508 for concentration-specific change in dispersion characteristics.

### 509 **Interpretation**

510 Bioassays involving exposure to suspended MNs need to be interpreted based on multiple  
511 factors: their relevance and appropriateness for assessing the tested MN, the consistency of the  
512 exposure (stable concentration, agglomeration, and dissolution), whether maintaining a  
513 consistent exposure is possible in the bioassay method-specific test system, the accuracy of the  
514 representation of the exposure (e.g., was the frequency of characterization measurements  
515 sufficient to capture changes in exposure during the bioassay), whether nano-specific bioassay  
516 acceptability criteria (e.g., sufficiently consistent exposure concentration with respect to  
517 agglomeration and dissolution) are met, and whether the characterization and monitoring data  
518 during the bioassay are amenable to expressing data by an alternative dose metric. If the  
519 suspended MNs cannot be maintained within 20% of the starting value within the water phase  
520 (with respect to concentration, agglomeration, and dissolved fraction), it is difficult to employ  
521 any dose metric without complicated and potentially inconsistent conversions<sup>150</sup> and a time-

522 weighted mass approach may be a more expedient option to express dosimetry. While  
523 challenging calculations may be feasible in research, a more straightforward approach is needed  
524 for hazard and risk assessments. However, most of the historical literature used to determine  
525 regulatory hazard concerns for chemicals are mass-based and provide a critical benchmark  
526 against which to compare the toxicity of new MNs.

### 527 **Sediment testing**

528 Many of the considerations previously discussed for water column testing are relevant to  
529 sediment tests, with the notable exception that there is no need to remove insoluble test material  
530 according to standard assay protocols.<sup>85, 154</sup> While the latter is a major conceptual difference  
531 between tests of MN and traditional chemicals with pelagic organisms, it is not an issue in  
532 sediment testing. Some added complications are that MN interactions in sediments can  
533 significantly alter MN properties, and methods for quantifying concentration or other MN  
534 characteristics in sediments are very limited. However, given that most MN suspensions are  
535 generally not stable in environmentally relevant water chemistries (Figure 1), there was  
536 consensus from the expert workshop that consideration of sediment exposure and hazard is  
537 relevant and in many cases more representative of environmental exposure than aqueous tests.  
538 Current sediment toxicity standard methods for use with dissolved chemicals already  
539 acknowledge significant uncertainty regarding homogeneity, exposure, bioavailability, and  
540 synergisms. Thus, poorly understood bioavailability issues are commonplace in sediment testing  
541 and are not unique to nanoecotoxicology. An evaluation of available standardized sediment  
542 bioassay methods (OECD, EPA, ASTM, etc) suggested the test endpoints assessed in these  
543 methods will contribute valuable MN hazard information.<sup>13</sup> While it may not be currently  
544 feasible to rigorously characterize many types of MNs present in sediment, the consistency of  
545 sediment toxicity bioassays can still be generally improved by implementing standards for  
546 particle preparation, dispersion, spiking and equilibration in sediment.<sup>11</sup> Further, the use of a  
547 standardized (e.g., OECD) freshwater sediment in MN spiking studies would reduce variability  
548 in bioassay results relative to the use of field-collected sediments because sediment specific  
549 factors (e.g., organic carbon concentration) that can influence toxicity assay results are  
550 controlled. This discussion is divided into different important topics for MN sediment toxicity  
551 testing: (1) methods for consistently spiking sediment, (2) equilibration time, and (3) sampling  
552 and analysis of MNs in sediments during and after the test.

### 553 **Methods for spiking and determining homogenization**

554 Spiking of aquatic sediments is generally expected to be more consistent in terms of  
555 homogeneity if the materials are pre-dispersed into relevant water according to standardized  
556 methods rather than adding dry MNs to sediment.<sup>12, 23</sup> This is related to general difficulties  
557 regarding homogenizing chemicals into sediments.<sup>155</sup> If a MN is added to sediment in powder  
558 form (undispersed), it is likely that substantial clumping of particles within the sediment would



559 occur resulting in greater heterogeneity and therefore greater variability between bioassay test  
560 replicates.<sup>11</sup>

561 As previously discussed, the use of a standardized sediment in MN spiking studies would likely  
562 lead to more comparable results than the use of field-collected sediments. Two alternative MN  
563 spiking methods have been discussed and used for sediment MN toxicity testing: (1) direct  
564 addition of dispersed MNs to the sediment followed by homogenization<sup>37, 156, 157</sup> and (2) indirect  
565 addition of MNs to the overlying water, followed by subsequent settling of the MN to the  
566 surficial sediment.<sup>12, 158, 159</sup> In the literature, the direct addition method is much more frequently  
567 used. Selection of one (or both) of these methods may relate to the test objectives, study system,  
568 or functional ecology of organism used in the test or at the site of concern. For instance, a  
569 testing laboratory may elect to use the direct addition method for an infaunal, deposit feeding  
570 organism, which will feed on sediment below the sediment surface, while the indirect method  
571 may be desirable for an epibenthic, surface deposit feeding or filter feeding organism, which will  
572 interact to a substantially larger degree with the sediment directly below the water-sediment  
573 interface. Research is needed to determine how to most consistently spike sediments (e.g.,  
574 mixing method, duration) by these two spiking strategies so that particles are dispersed  
575 throughout the sediment as homogeneously as practical to increase the inter-replicate reliability.  
576 Additionally, research is needed to better understand how water exchanges, which are typically  
577 performed during longer-term sediment toxicity tests, may impact MN concentrations and  
578 distribution within (or on the surface of) the sediment.

### 579 **Equilibration time to reach a pseudo steady state time after spiking MNs**

580 It is well known that the time required to reach a quasi-steady state by equilibrium partitioning  
581 for spiked sediment studies is important for determining bioavailability, especially for  
582 hydrophobic compounds that take a long time period (weeks to months) to approach pseudo-  
583 equilibrium in sediments.<sup>155</sup> Thus, two weeks<sup>160</sup> to four weeks<sup>161, 162</sup> on a roller mill is a typical  
584 equilibration time to allow interactions between the spiked compound and ligands to approach  
585 some level of steady state. However, currently available OECD sediment spiking methods  
586 recommend 48 h equilibration.<sup>85, 154, 163</sup> As reflected by recommended ASTM and EPA  
587 equilibration mixing times, a 48 h duration, while convenient, does not allow adequate  
588 equilibration-reaction of metals in spiked sediment,<sup>164</sup> but may provide a worst-case scenario in  
589 terms of greater MN bioavailability. While selection of equilibration times may be contingent on  
590 experimental objectives, research is needed to determine how MN interactions with sediment  
591 may change over time to determine the optimal equilibration time prior to test organism addition  
592 and exposure.

### 593 **Sampling and analysis**

594 While current gaps in methods for MN characterization may limit determination of particle  
595 characteristics following spiking into sediment, certain measurements may still be performed  
596 such as use of ICP-MS to determine the total elemental concentration for metal and metal oxide  
597 MNs. It is practical to take samples for such measurements from the whole sediment, sediment

598 porewater, and overlying water at test initiation and termination, as recommended in current  
599 OECD sediment testing guidance; however, MN-specific modifications of porewater separation  
600 methods may be needed to yield accurate results.

### 601 **Workshop findings**

602 While the findings discussed in this workshop primarily pertain to issues related to the  
603 applicability of OECD aquatic toxicity TGs, many of the findings also more widely apply to test  
604 methods for other documentary standards agencies (e.g., ISO and ASTM), test methods for  
605 terrestrial organism testing, academic research and regulatory decisions. The discussion of the  
606 workshop participants led to both convergent and divergent opinions on how the major issues  
607 impacting the consistency, environmental relevance and accuracy of aquatic bioassay results  
608 should be handled in aquatic toxicity testing. To the extent possible, it is desirable to minimize  
609 the amount of developmental work performed by commercial testing companies, such as  
610 assessing which procedure to disperse MNs in the test media or designing a complicated system  
611 to comply with the  $\pm 20$  % test specification,. A summary of issues for which workshop  
612 participants both achieved and failed to achieve consensus is summarized in Table 2; where  
613 consensus was not achieved, targeted research studies are recommended in the table. The  
614 research proposed is designed to support the development of precise guidance for conducting  
615 OECD aquatic toxicity TGs that will simplify this process for commercial testing laboratories  
616 and to help regulators interpret the results through the aquatic toxicity testing OECD GD, to be  
617 developed following this paper.

618 The workshop participants agreed that it can be acceptable to disperse particles in either working  
619 stocks (for spiking test media) or dispersing MNs directly into test media, as described above.  
620 The optimal method will be contingent on MN physicochemical properties, target concentration,  
621 media and bioassay method selection and preliminary data should be gathered prior to decision  
622 making. Synthetic dispersants should not be used to prepare MN suspensions for aquatic toxicity  
623 testing; however, if they are part of the (commercial) product formulation then the bioassay  
624 should be conducted with the as-produced material. This recommendation aligns with previous  
625 aquatic toxicity test guidance.<sup>26, 123, 165</sup> Natural dispersants such as dissolved organic carbon (i.e.  
626 humic acid) may be relevant but their impact on toxicity for MNs should be considered (e.g., for  
627 metal MNs); the total organic carbon concentration should be within the range of surface waters.  
628 Additionally, while particle stability is likely to be an issue, water column bioassays should be  
629 conducted with the goal of maintaining exposure consistency to abide by chemical hazard  
630 assessment practices (e.g. REACH<sup>28</sup>). However, alternative water column bioassay designs or  
631 sediment exposures should be considered for very unstable MNs, adapting guidance described in  
632 the difficult substances document.<sup>27</sup> For aquatic toxicity bioassays with MNs, an exposure  
633 chamber with consistent dimensions and one test media for each OECD test method/organism is  
634 desirable for MNs to increase test consistency. Standard testing endpoints and the number of test  
635 replicates should be applicable to MN testing. Some preliminary, but non-exhaustive,

636 experimentation to determine particle stability in the test media prior to organism testing would  
637 be informative for test design and reducing animal use in unsuccessful tests.

638 While the workshop participants did not come to consensus on whether the 20 % test  
639 specification in the water column can be consistently applied for MNs, the group agreed that an  
640 effort should be made to maintain concentration when logistically feasible. Consensus was not  
641 reached on whether inducing turbulence or using flow through systems should be employed to  
642 maintain particle concentration. Also no consensus was reached on whether to allow particle  
643 agglomeration, settling and dissolution kinetics to come to equilibrium before adding test  
644 organisms, as related to WAF testing. While some workshop participants agreed that pseudo  
645 steady state (or constant concentration) was likely to lead to greater test reliability and  
646 repeatability, there were divergent opinions on allowing pseudo steady state to occur and  
647 removal of the settled fraction of particles as it may not offer a worst case scenario; it should be  
648 noted that pseudo steady state may not occur in the aqueous phase for some MNs (e.g., complete  
649 settling from suspension, continual ion release due to adsorption to container or ligand surfaces).  
650 No consensus was reached on whether altering standard media to increase particle stability and  
651 ultimately maintain concentration was acceptable. While pH adjustments (within biological  
652 limits) away from the isoelectric point were generally more acceptable, there was concern that  
653 ionic strength dilutions would impact animal health and decrease comparability with historic  
654 datasets. While consensus was not reached on these items, suggestions for future research to help  
655 resolve the lack of consensus are provided in Table 2. Additional suggestions for future research  
656 to support more definitive suggestions for modifications to OECD aquatic toxicity test methods  
657 are provided in Table S1; the research topics in Table S1 are categorized by section of the  
658 manuscript while those in Table 2 are provided for each area for which consensus was not  
659 reached.

660 Following the consensus in Table 2 will help to substantially improve the reliability and data  
661 quality of nanoecotoxicology research and provide substantive improvements for regulatory  
662 testing. Facilitating the aquatic toxicity testing of MNs using standardized methods will help  
663 MN risk assessments to be conducted more efficiently. This will potentially allow MN enabled  
664 products to reach the market in a shorter time period, allow registrants to improve quality of data  
665 for fulfilling regulative information requirements, and will promote green product design by  
666 identifying MNs with potentially significant toxicological effects or with the potential to design  
667 more benign alternatives early in the development stages.

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689 the best available for the purpose.

690

#### 691 **Supporting Information Available**

692 Supplemental discussions of definitions and measurements of “dissolved” substances, which  
693 type of NOM to recommend for aquatic toxicity testing, and the impact of calculating toxicity  
694 endpoints where the 20% specification is not achievable, and a table describing key additional  
695 research topics for each section of the manuscript. This information is available free of charge  
696 via the Internet at <http://pubs.acs.org>.

697

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1180 **Table 1. Arguments for and against implementing the  $\pm 20\%$  test specification for aquatic**  
 1181 **bioassays testing nanomaterials that are not inherently stable in bioassay test media. It was**  
 1182 **generally agreed that attempts should be made to maintain concentration.**

Advantages of 20 % test specification	Challenges related to applying the 20% test specification with MNs
<p>Maintaining high and stable concentrations of nanomaterials will lead to more reproducible test results and agreement among laboratories.</p>	<p>Attempting to maintain stable concentrations of MNs that are inherently unstable in water lowers environmental relevance and does not account for MN transformation. The worst case scenario is not achieved if the transformation product is more toxic than the parent material (e.g., metals dissolution). It is generally not recommended that the toxicity of a parent material be tested if its half-life is less than 12 hours.<sup>26</sup></p>
<p>Maintaining relatively stable exposure concentrations is consistent with the existing risk paradigm of assessing hazard independently from exposure. In this paradigm, hazard values are often interpreted in context with natural factors that affect fate and exposure.</p>	<p>It is difficult to impossible to maintain stability of nanomaterials that are not stable in test media. Even if concentration is maintained, the state of agglomeration and / or dissolution of the particles would likely change. Use of dispersants that would assist in maintaining stability is generally not favored.<sup>9, 26</sup></p>
<p>Maintaining stable concentrations facilitates calculation of toxicity endpoints without need for weighted averages (or other methods).</p>	<p>Additional logistics added to maintain stability for unstable MNs (e.g., frequent water exchanges, flow through conditions, agitation) are more labor intensive, expensive, not tailored to particle delivery (e.g., clogging of tubing) and may result in repeated tests and increased costs.</p>
	<p>Water Accommodated Fractions approaches are already recommended for difficult to test substances such as partially miscible petroleum products.<sup>26</sup> This involves testing of the stabilized fraction that is more relevant to water column testing; testing of stabilized fraction is expected to allow for a more consistent exposure concentration and thus better facilitate calculation of endpoints. Excluding settled particles from bioassays may reduce variability by avoiding confounding, physical effects. However, excluding the settled particles may remove the physical effects and may not facilitate a worst case determination of the toxicity.</p>



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1184 **Table 2. Summary of major issues discussed by workshop participants and where consensus was reached, was not reached,**  
 1185 **and research recommendations to fill knowledge gaps that prevented consensus.**

Issue	Consensus items from workshop	Items lacking consensus	Key Research Recommendations to address items lacking consensus
<b>Is it feasible to consider hazard and exposure separately for MNs?</b>	The focus of the guidance document is to increase the consistency of bioassay results used for hazard assessment. However, dispersion stability must be considered in bioassay method selection and monitoring. Effort should be made to maintain a consistent MN concentration when logistically feasible.	Designating a limit of acceptable exposure variability either at 20% (the $\pm 20\%$ test specification) or some other level over the duration of the bioassay.	Approaches for maintaining MNs in suspension (e.g., frequent media renewal, flow-through delivery, and test media modifications). Testing of flow through systems should consider the potential for increased MN concentrations in the test system resulting from settled material not removed from chambers. Determine if maintaining stable concentrations reduces variability in test results when agglomeration and dissolution cannot be avoided. Investigation of time-weighted averaging and more complex approaches to express variable exposures. Determine the extent to which settled MNs influence ecotoxicity results.  Research could also focus more broadly on quantifying the uncertainties that arise when exposure varies beyond specific thresholds (including $\pm 20\%$ ).
<b>Dispersion methods</b>	It is acceptable to disperse MNs in either working stocks (for spiking biological media) or dispersing MNs directly in the test media. Working stocks should be used only if there is a single substance in the NM that exerts toxicity. The optimal method will be contingent on target concentration, media and bioassay method selection.		
<b>Addition of substances to enhance MN dispersion</b>	Dispersants should not be used to prepare nanomaterial suspensions for biological testing unless they are present in the (commercial) product formulation. Natural organic matter (e.g. humic acid) may be used as a dispersant; however, control	The type of natural organic matter to recommend.	Impacts of different types of natural organic matter on MN stability and toxicity testing results.

Issue	Consensus items from workshop	Items lacking consensus	Key Research Recommendations to address items lacking consensus
	<p>experiments are essential to understand the influence of NOM on toxicity.<sup>9</sup></p>		
<p><b>Modifications to methods to address MN instability</b></p>	<p>Water column bioassays should be conducted to maintain consistency with chemical hazard assessment practices. However, alternative water column bioassay designs should be considered for very unstable MNs.</p>	<p>Whether to allow particle agglomeration, settling and dissolution kinetics to come to equilibrium before adding test organisms. It was agreed this could be presented as an option for non-dispersible materials along with caveats.</p> <p>Whether effects such as inducing turbulence, and flow through systems should be employed to maintain particle concentration.</p>	<p>Assess the reproducibility of test results when initial suspensions vs. pseudo steady state suspensions are tested and assess the relative impact of chemical vs. physical effects on MN toxicity. Assess the impact of approaches (turbulence and flow through systems) to maintain particle concentration on MN toxicity.</p>
<p><b>Standard test media and test chambers</b></p>	<p>One standard exposure chamber and test medium for each OECD test method/organism should be recommended for MNs to maximize test consistency. If test medium is modified (relative to current practice) a positive control test with a reference toxicant in the modified medium is recommended.</p>	<p>If it is acceptable to modify standard media to increase particle stability and ultimately maintain MN concentration. pH adjustments (within biological limits) away from the isoelectric point are more acceptable. However, there was concern that ionic strength dilutions could impact animal health and decrease comparability with historic datasets.</p>	<p>Research to support development of a single test medium for each TG that would lead to the most reliable ecotoxicity results for MN testing. Studies should quantify acceptable threshold for maintaining organism health and environmental relevance.</p> <p>Different types of test containers (size, type of material, geometry) should be tested to assess the robustness of the different TGs with regard to this parameter. The impact of the agitating media should be evaluated for tests, such as required by the algae growth inhibition test<sup>90</sup>. While using standard exposure chambers may increase hazard data consistency, the utility of chamber modifications for the purpose of environmental risk assessment needs further consideration.</p>
<p><b>Expressing and interpreting dosimetry</b></p>	<p>Preliminary testing is recommended to determine particle stability in the specific test system and biological test media prior to organism testing</p>	<p>Establish a standard dose metric and reliable analytical techniques for monitoring MNs. Without</p>	<p>It is important develop, validate and standardize analytical methods to directly measure particle number concentrations and size distributions in aqueous samples at toxicologically relevant concentrations (sometimes low <math>\mu\text{g L}^{-1}</math>). Best practices for calculating</p>

Issue	Consensus items from workshop	Items lacking consensus	Key Research Recommendations to address items lacking consensus
	to inform test design, characterization monitoring frequency and reduce animal use by reducing the number of unsuccessful or unacceptable tests.	readily available direct measurement methods, it will be difficult to relate dose response to surface area or particle number metrics for heterodispersed suspensions of MNs that are unstable in biological media over time.	exposure-response values also need to be developed.
<b>Sediment toxicity testing</b>	Sediment toxicity tests are most relevant for MNs that are unstable in the media.	If very unstable MNs should only be tested in sediments (i.e., no water column testing).	Development of characterization methods for particles in the complex sediment matrix, especially for carbon-based MNs. For metal and metal oxide MNs, the development of methods to differentiate between MNs, dissolved metal ions, and MN agglomerates is needed. Investigating dosing directly to the sediment versus indirectly dosing the sediment through the overlying water (for a surficial sediment exposure) and the associated impacts homogeneity and toxicological results.

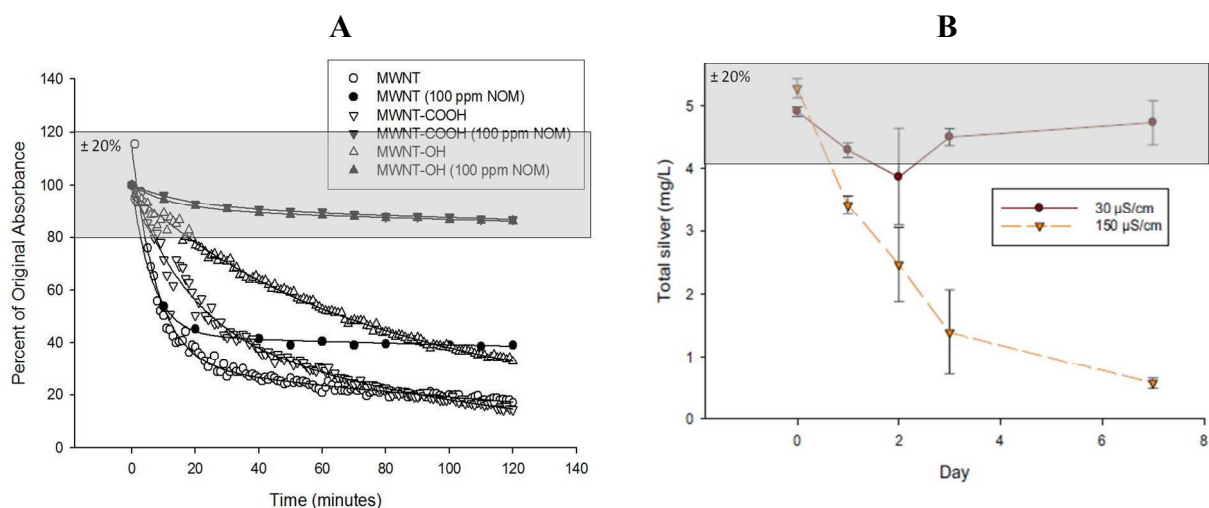
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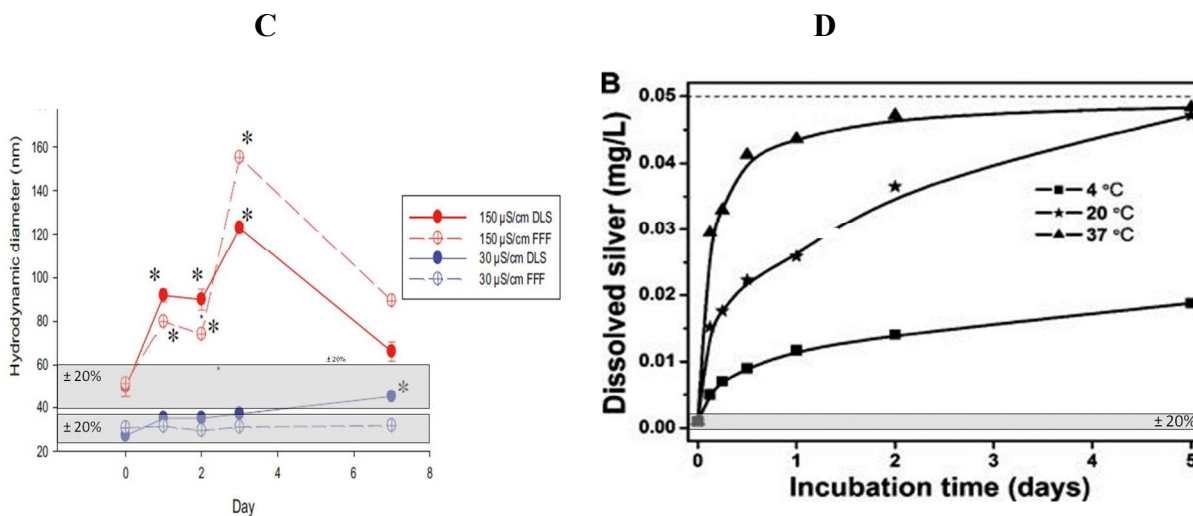
1189 **Figure 1. Examples of changes in nanoparticle stability (transformations) in**  
 1190 **environmentally relevant test media, with gray regions representing  $\pm 20\%$  of the original**  
 1191 **value. Different settling rates and stable concentrations of carbon nanotubes with different**  
 1192 **surface modifications and natural organic matter (NOM; 100 ppm concentration indicates**  
 1193 **100 mg/L) (A), impact of greater ionic strength media on nanosilver concentration (B) and**  
 1194 **hydrodynamic diameter (C), and increasing dissolved concentrations of nanosilver with**  
 1195 **time (D). Further, test organisms may have an impact on nanoparticle stability; while**  
 1196 **graphene settling is relatively low in absence of test organisms (E), the presence of *Daphnia***  
 1197 ***magna* increases settling (F). Error bars for parts C, E and F represent the standard**  
 1198 **deviation of triplicate measurements while the data points indicate the mean values.**  
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 1203 ***Environ. Sci. Technol.* 2013, 47, 12524-31).**

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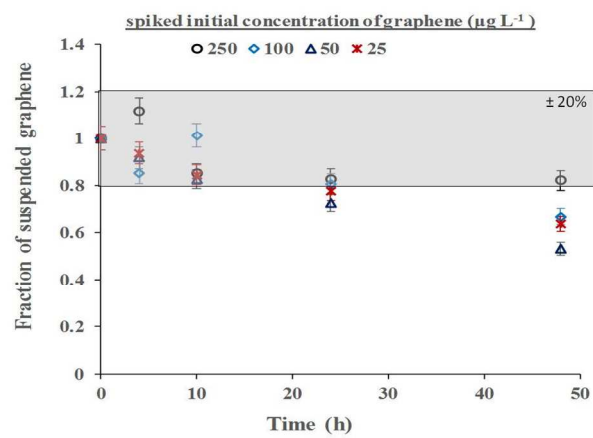
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