

# Microstructural Origins of Cement Paste Degradation by External Sulfate Attack

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## Abstract

A microstructure model has been applied to simulate near-surface degradation of portland cement paste in contact with a sodium sulfate solution. This new model uses thermodynamic equilibrium calculations to guide both compositional and microstructure changes. It predicts localized deformation and the onset of damage by coupling the confined growth of new solids with linear thermoelastic finite element calculations of stress and strain fields. Constrained ettringite growth happens primarily at the expense of calcium monosulfoaluminate, carboaluminate and aluminum-rich hydrotalcite, if any, respectively. Expansion and damage can be mitigated chemically by increasing carbonate and magnesium concentrations or microstructurally by inducing a finer dispersion of monosulfate.

*Key words:* cement paste, sulfate attack, microstructure, computer modeling, expansion, degradation

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## 1. Introduction

The degradation of cementitious materials by external sulfate attack is one of the most frequently cited causes of service life reduction of concrete structures. The importance of sulfate attack is attested by the multitude of experimental and theoretical studies on its origin and manifestations that have been published just in the last decade [1–22]. Such investigations have consistently indicated that sulfate attack is a complicated, multiscale and multiphysics process involving the coupling of physical, chemical, and mechanical interactions.

The formation of ettringite [23–25] and sometimes gypsum [26] are usually thought to be responsible for significant volumetric expansion and structural damage during sulfate attack. Several of the proposed mechanisms of ettringite-related expansion (*e.g.*, solid state conversion [27, 28], volume increase [29], colloidal swelling [30]) often do not match experimental observations and measurements [15, 21]. More recently, crystallization pressure theory has shown that stress exerted on pore walls by impingement of growing crystals is significant only in confined spaces and when the surrounding solution is highly supersaturated with respect to those crystals [31–33]. Accordingly, macroscopic stress during sulfate attack is determined more by the locations of active ettringite growth in the microstructure rather than the total volume of ettringite formed [18, 21]. That is, the origin and extent of sulfate-induced expansion is the transformation to ettringite of AFm phases that are dispersed within the fine porosity of C–S–H gel [15].

Various approaches have been used to simulate the effects of sulfate attack. A multiionic transport model [34] has been used to simulate the evo-

26 lution of mineral phases in cement-based materials exposed to aggressive  
27 environments such as sulfate solution [35, 36]. Infilling of capillary porosity  
28 by precipitated solids and the resulting displacement of liquid from the ma-  
29 terial has been simulated by a finite-difference approach for nonsteady-state  
30 diffusion and reaction of ions in cementitious composites [10, 37]. Other  
31 reaction-diffusion models have been applied to the corrosion of building ma-  
32 terials subjected to sulfate attack [38], although models of this kind require  
33 knowledge of the transport properties and porosity within the material. Ex-  
34 pansion and damage have been simulated using continuum damage mechanics  
35 at the millimeter length scale [4, 5, 9, 16, 17]. In particular, the finite element  
36 model developed by Idiart [17] simulates the millimeter-scale distribution of  
37 ettringite and development of expansion cracks around aggregates embedded  
38 within an homogenized cementitious binder.

39 Modeling of sulfate attack at the scale of the cementitious binder mi-  
40 crostructure has not been reported, but nevertheless could be valuable for  
41 deepening our understanding of the origin and mechanisms of sulfate-induced  
42 degradation. In this paper, we report a first step in this direction. A  
43 thermodynamic-microstructural model of hydration [39] is coupled to a linear  
44 thermoelastic finite element model [40] to simulate microstructural evolution  
45 by external sulfate attack and to track the microscopic stress field that de-  
46 velops by constrained ettringite growth in the binder porosity. The model  
47 is applied here to simulate near-surface sulfate attack of Type I ordinary  
48 portland cement binder in a sodium sulfate solution. We also investigate the  
49 sensitivity of the model predictions to the spatial distribution of aluminate-  
50 bearing phases in the microstructure. Finally, the model is used to simulate

51 and compare the differences in the progress of sulfate attack among three  
52 types of cement having different aluminate contents.

## 53 **2. Model Description and Technique**

### 54 *2.1. Simulating hydration*

55 The model used here is an extension of the Thermodynamic Hydration  
56 and Microstructure Evolution (THAMES) model recently developed at the  
57 National Institute of Standards and Technology (NIST) [39]. THAMES has  
58 three main components: (1) a kinetic model of cement clinker phase disso-  
59 lution, which determines the time dependence of elemental concentrations  
60 in the pore solution [41]; (2) thermodynamic speciation calculations to de-  
61 termine the equilibrium composition and abundance of phases other than  
62 clinker minerals, including the pore solution [42–44]; and (3) a 3D digital  
63 image model for spatially distributing these various phases to represent the  
64 microstructure of the binder. Full details about how these three components  
65 work together is provided elsewhere [39, 45]. Briefly, each a  $1\ \mu\text{m}^3$  of space  
66 is represented by a voxel in the microstructure having an integer identifier  
67 unique to the phase occupying that volume. Each voxel sharing one or more  
68 faces with a different phase is identified as an “interface” voxel and stored in  
69 lists of sites eligible for dissolution or growth of that phase. The list members  
70 are evaluated for their potential to dissolve or grow, respectively, by assigning  
71 an “affinity” at each interface voxel that characterizes the relative tendency  
72 of a given phase to grow in the environment at that site. A negative affinity  
73 between two phases means that increases in the interface between them is  
74 unfavorable, while a positive affinity between to phases tends to promote

75 the increase of that interface. This concept of affinity does not have any  
76 well-defined thermodynamic significance in terms of crystal growth theory,  
77 but is used merely as a convenience to generate different growth morpholo-  
78 gies. In the future, it may be possible to establish a stronger link between  
79 the affinities and physical parameters such as interfacial energy and growth  
80 mobility of interfaces as a function of orientation. Consistent with the ob-  
81 servations of Schmidt and coworkers [15], we assume that the transformation  
82 to ettringite of aluminate-bearing phases that are finely dispersed within C-  
83 S-H give rise to stress fields that are responsible for expansion. Therefore,  
84 we adopt affinity values that promote the fine intergrowth with C-S-H of  
85 calcium monosulfoaluminate (hereafter called monosulfate), calcium mono-  
86 and hemicarboaluminate (hereafter called monocarbonate and hemicarbon-  
87 ate, respectively), and hydrotalcite.

## 88 *2.2. Simulating interaction with $Na_2SO_4$*

89 Hydration was stopped at 100 d of hydration and the simulation of sul-  
90 fate attack was started. 100 d of hydration was chosen arbitrarily, but we  
91 note that the degree of hydration at this time is 0.90, based on mass of  
92 clinker consumed, so further hydration happens quite slowly. Therefore, ig-  
93 noring further hydration from that point is likely a good approximation. The  
94 simulation begins by flushing the capillary pore volume with a  $0.1 \text{ mol kg}^{-1}$   
95  $Na_2SO_4$  solution, using an algorithm reported recently [45]. The total mass  
96 of water in the aqueous solution phase is first retrieved from the current  
97 equilibrium state, together with the molal concentrations of the primary so-  
98 lute species  $[SO_4^{2-}]$  and  $[Na^+]$ . From these data, calculations are made of  
99 the number of moles of each of these ions that must be added to, or re-

100 moved from, the solution to increase or decrease, respectively, their molal  
101 concentration to prescribed initial values prior to each cycle's equilibration  
102 step.  $[K^+]$  and  $[Ca^{2+}]$  were also set in the same manner to  $0.5 \text{ mmol kg}^{-1}$  and  
103  $0.1 \mu\text{mol kg}^{-1}$ , respectively, prior to equilibration, a minimum value chosen  
104 to avoid numerical instabilities in the thermodynamic calculations while still  
105 enabling the process of calcium leaching which is observed in sulfate attack  
106 experiments [47, 48].

107 The thermodynamic system is re-equilibrated with the newly flushed sul-  
108 fate solution. Phase transformations are assumed to be dictated by ther-  
109 modynamic equilibrium between the solid phases and solution, altering both  
110 the solution speciation and the volumes of different solid phases. The mi-  
111 crostructure is updated according to the aforementioned rules, adding or  
112 subtracting voxels of each phase corresponding to that phase's net volume  
113 fraction change. The model repeats the process of flushing, re-equilibration,  
114 and microstructure updates. The physical time associated with each of these  
115 flushing cycles would be determined by (1) the time required to exchange  
116 the pore solution with fresh solution, and (2) the time required for the fresh  
117 solution to reach equilibrium with the remaining solids. Either of these pro-  
118 cesses could, in principle, control the time scale of a given flushing cycle.  
119 In typical experiments on sulfate attack, the specimen is submerged in a  
120 sulfate solution of fixed composition, so solute diffusion from the interior  
121 capillary pore space probably controls the rate of degradation everywhere  
122 except very near the exterior surface, where the rate is likely controlled by  
123 mineral dissolution or growth. The present study does not attempt to cap-  
124 ture the complexities of diffusion-controlled solute migration to the interior

125 of the specimen. Instead, our purpose is to explore the overall course of early  
126 degradation at the specimen surface (*i.e.*, the first 100  $\mu\text{m}$  layer) in terms  
127 of microstructure development, expansion, and the onset of damage. With  
128 this microstructure modeling capability established, a subsequent study will  
129 explore microstructure development and expansion as a function of depth  
130 by coupling the thermodynamic-microstructure model to a reaction-diffusion  
131 framework similar to that employed by others for modeling at the macro-  
132 scopic scale [17].

### 133 *2.3. Elastic strain and stress calculations*

134 Ettringite has a greater molar volume than the aluminate-bearing phases  
135 from which it forms, and we assume it to be the only product that causes ex-  
136 pansion during sulfate attack. This assumption agrees with previous studies  
137 even though no direct relation has been established between the abundance  
138 of ettringite and expansion of the sample.

139 Crystallization pressure theory [32] predicts that stress is exerted on pore  
140 walls by crystals formed within confined solutions that are supersaturated  
141 with respect to continued growth in bulk solution. Crystallization is therefore  
142 thermodynamically favored to happen in larger pores where this pressure is  
143 reduced. However, the diffusion of ions can limit the rate at which crystalliza-  
144 tion happens in larger pores, and significant transient pressure can therefore  
145 be generated within fine pores during ettringite growth [33]. Considering the  
146 low mobility of aluminate ions, ettringite is assumed in this model to form at  
147 the places where aluminum sources dissolve during sulfate attack. However,  
148 we are unable to directly model crystallization pressure because (1) the equi-  
149 librium bulk thermodynamic assumption of our approach guarantees that

150 the solution is never supersaturated with respect to any solid phase in the  
151 microstructure, and (2) the 1  $\mu\text{m}$  spatial resolution of our microstructure  
152 model is too coarse to explicitly include the finest pore dimensions within  
153 the hydration product where crystallization pressures would be the greatest.

154 Without explicitly modeling crystallization pressure distribution through-  
155 out the microstructure, we approximate the microstress development as re-  
156 sulting from local misfit strains that occur when the stress-free volume change  
157 of ettringite is greater than the local volume available for it. For example,  
158 consider the local microstructure configuration illustrated in Fig. 1. One  
159 voxel of monosulfate is embedded within C-S-H hydration product with  
160 only one neighbor of capillary porosity. The reaction of this monosulfate  
161 voxel with sulfate solution precipitates ettringite at the same site. The mo-  
162 lar volume of ettringite is 1.28 times larger than the molar volume of mono-  
163 sulfate [49], so the reaction of one monosulfate voxel should correspond to  
164 the growth of 2.28 voxels of stress-free ettringite. Therefore, if at least two  
165 voxels of capillary porosity are adjacent to the reacted monosulfate voxel, we  
166 (1) replace the monosulfate voxel with one of ettringite, (2) replace one of the  
167 adjacent capillary pore voxels with ettringite, and (3) replace one more adja-  
168 cent capillary pore voxel with ettringite with a probability of 0.28. However,  
169 if fewer than two capillary pore voxels are adjacent to the reacted monosul-  
170 fate voxel, as depicted in the figure, then the ettringite cannot grow in a  
171 stress-free state; instead, a local volumetric strain of  $\epsilon = -0.28$  or  $\epsilon = -1.28$   
172 is assigned to the newly formed ettringite depending on whether there are  
173 one or zero adjacent pore voxels, respectively. The negative values mean  
174 that ettringite is under a compressive strain. Misfitting ettringite thus expe-

175 riences a compressive (negative) stress exerted on it by its surroundings, and  
176 simultaneously exerts a tensile (positive) stress on those surroundings. That  
177 is, a heterogeneous stress field exists throughout the microstructure during  
178 any given time step.

179 [Figure 1 about here.]

180 This stress field is calculated after the misfit strains have been assigned by  
181 coupling the microstructure to a 3D linear thermoelastic finite element (FE)  
182 model. The FE calculation is optimized for computing elastic properties of  
183 random composite media [40], and uses the full 3D microstructure as input  
184 by representing each voxel as a trilinear cubic mesh element. Each element  
185 is assigned values for its average isotropic bulk and shear moduli based on  
186 Voigt-Reuss-Hill bounds for the phase in that element; values for most cement  
187 phases have been generally accepted in the literature and are tabulated in  
188 Ref. [50].

189 The elastic energy,  $U$ , of the microstructure with a given microstrain  
190 distribution is

$$U = \frac{1}{2} \int_V dV [(\boldsymbol{\epsilon} - \mathbf{e}) : \mathbf{C} : (\boldsymbol{\epsilon} - \mathbf{e})] \quad (1)$$

191 where  $\boldsymbol{\epsilon}$  is the local strain tensor,  $\mathbf{C}$  is the stiffness tensor, and  $\mathbf{e}$  is the local  
192 misfit strain tensor. The condition of elastic equilibrium is that  $U$  be mini-  
193 mized with respect to the displacement field, which is met if  $\partial U / \partial \mathbf{u} = 0$  for  
194 the displacement vector  $\mathbf{u}$  evaluated at each node of the finite element mesh.  
195 The finite element calculation uses a conjugate gradient method to determine  
196 the energy-minimizing displacement field that satisfies the boundary condi-  
197 tions, as detailed in Ref. [40]. The equilibrium displacement field determines

198 the equilibrium strain field according to  $\boldsymbol{\epsilon} \equiv \partial \mathbf{u} / \partial \mathbf{x}$ , where  $\mathbf{x}$  is the position  
199 vector. In turn, the equilibrium strain at a point determines the stress tensor  
200 at that point according to  $\boldsymbol{\sigma} \equiv \mathbf{C} : (\boldsymbol{\epsilon} - \mathbf{e})$ . This FE implementation model  
201 has been verified by comparison to theoretical results of composite theory [51]  
202 and used extensively for random composite media in general [52–54] and for  
203 portland cement paste microstructures in particular [50, 55, 56].

204 The average stress in each voxel is calculated by diagonalizing the stress  
205 tensor averaged over the eight FE nodes at the voxel corners. Damage is  
206 assumed to occur locally within the microstructure at any voxel where the  
207 tensile (positive) stress exceeds a critical value  $\sigma_c(p)$  that depends on the  
208 phase  $p$  at the voxel. Clinker phases are assumed to be the most resistant to  
209 tensile failure by assigning each a critical tensile strength of  $\sigma_c = 10$  MPa.  
210 Hydration product phases are each assigned a critical tensile strength based  
211 on their elastic moduli. For simplicity, C–S–H, ettringite and hydrotalcite  
212 are each tentatively assigned  $\sigma_c = 1$  MPa, considering their relatively lower  
213 Young’s modulus. All other hydrates are assigned  $\sigma_c = 5$  MPa, which is  
214 close to the typical measured tensile strength of ordinary portland cement  
215 paste [57, 58]. If the tensile stress for any voxel exceeds  $\sigma_c$ , that voxel is  
216 designated as “damaged”. This approach provides an indication of regions  
217 in the microstructure that are most susceptible to loss of mechanical integrity  
218 due to sulfate attack, but it does not capture the development and coalescence  
219 of microcrack networks into larger cracks. Any such modeling of fracture  
220 would require either a finer and adaptive mesh that could conform to crack  
221 walls as they propagate, or an extended finite element method on a regular  
222 mesh [59].

223 Note that this method of coupling FE calculations to microstructure de-  
224 velopment automatically accounts for the changing effective elastic stiffness  
225 of the binder, which influences the amount of expansion obtained for a given  
226 induced stress. As a result, this approach has the advantage of simulta-  
227 neously predicting not only the influence of binder chemistry on the phase  
228 evolution during external sulfate attack, but also the influence of chemistry  
229 and microstructure on the evolving resistance of the binder to expansion.

230 As a summary of the model description given in these sections, Fig. 2  
231 shows an overview of the general algorithm.

232 [Figure 2 about here.]

### 233 3. Results and discussion

#### 234 3.1. Evolution of pore solution and solids

235 A Type I ordinary portland cement (proficiency sample cement 168 from  
236 the Cement and Concrete Reference Laboratory and hereafter designated as  
237 CCRL 168) is used first. The cement has a fineness of  $408 \text{ m}^2/\text{kg} \pm 10 \text{ m}^2/\text{kg}$   
238 as measured by ASTM C 204 [60], with a median particle size of  $13.7 \text{ }\mu\text{m}$  as  
239 measured by laser scattering from a dilute powder suspension in isopropanol  
240 following AASHTO T 353-14 [61]. The mineralogical composition of the  
241 cement is shown in Table 1, and is based on average mass fractions obtained  
242 using X-ray powder diffraction with Rietveld refinement [39].

243 A 3D digital-image representation of the cement paste microstructure,  
244 based on CCRL 168 and with  $w/c = 0.45$ , was constructed using the Virtual  
245 Cement and Concrete Testing Laboratory (VCCTL) software [62]. Saturated

246 hydration of each paste for 100 d at a fixed temperature of 25 °C was sim-  
247 ulated using the methods described in the previous section. The hydrated  
248 microstructure was then subjected to the iterative sulfate attack algorithm.  
249 The microstructure, solid phase composition, and solution composition were  
250 tracked continuously during the simulation.

251 [Table 1 about here.]

252 Before analyzing the predicted evolution of solid phases in the microstruc-  
253 ture, note that a number of those phases are not stoichiometric but rather  
254 are solid solutions with variable composition. For example, the crystal struc-  
255 ture of ettringite can have a fraction of its  $\text{Al}^{3+}$  sites substituted by  $\text{Fe}^{3+}$   
256 because of their identical charge and similar ionic radii. To account for this,  
257 the thermodynamic database models the ettringite phase as a solid solution  
258 of two stoichiometric end members, one with 0 % Fe substitution and the  
259 other with 100 % Fe substitution. This general interchangeability of Al and  
260 Fe is also found in monosulfate, the carboaluminate phases, and in hydro-  
261 talcite. Table 2 shows the end member compositions and molar volumes of  
262 the aluminum- and iron-bearing hydrates in the thermodynamic database.  
263 The partial substitution of iron in these phases can change their relative  
264 thermodynamic stabilities in different environments. Therefore, although we  
265 do not explicitly plot the time-dependent chemical compositions of these hy-  
266 drates, we note occasions where dissolved iron has a significant influence on  
267 the course of sulfate attack.

268 Fig. 3 shows the predicted mass change of solid hydrate phases with  
269 progressive sulfate attack of the paste. Ettringite increases significantly from

270 the first introduction of sodium sulfate solution. Closer inspection of Fig. 3(a)  
271 indicates that, from the perspective of phase stability, sulfate attack can be  
272 roughly divided into four stages:

- 273 • **Stage 1:** Growth of ettringite at the expense of monosulfate;
- 274 • **Stage 2:** Growth of ettringite at the expense of carboaluminate phases;
- 275 • **Stage 3:** Growth of ettringite at the expense of a portion of hydrotal-  
276 cite; and
- 277 • **Stage 4:** Growth of gypsum at the expense of portlandite.

278 [Figure 3 about here.]

279 [Table 2 about here.]

280 *First stage: transformation of monosulfate*

281 Monosulfate dissolves continuously in this stage, being replaced by a  
282 greater volume of ettringite. The first stage ends when monosulfate has  
283 dissolved completely from the system.

284 The pore solution at the end of hydration has a lower  $[\text{Na}^+]$  than the  
285 external sulfate solution, so exposure to the sulfate solution causes a slight  
286 increase in  $[\text{Na}^+]$  in the pore solution, as shown in Fig. 4(a). Conversely,  
287 both  $\text{K}^+$  and  $\text{OH}^-$  are extracted from the pore solution during each solution  
288 flushing cycle (Fig. 4(b)), so the pore solution pH decreases mildly with  
289 time, especially during the first and second stage, as shown in Fig. 5. Final  
290 dissolution of monosulfate is attended by a rapid increase in both ettringite  
291 and hem碳酸ate, which causes a minor perturbation in both  $[\text{Na}^+]$  and

292 [K<sup>+</sup>] (Fig. 4). These perturbations occur because the sudden increase in the  
293 growth rate of ettringite, each mole of which requires 26 moles of water,  
294 significantly reduces the liquid water content in the system.

295 [Figure 4 about here.]

296 [Figure 5 about here.]

297 *Second stage: transformation of carboaluminates*

298 The second stage begins after monosulfate has been consumed. Transfor-  
299 mation of hemicarbonates, partly to ettringite and partly to monocarbonate,  
300 characterizes the second stage shown in Fig. 3(a). Monocarbonate is desta-  
301 bilized and begins dissolving when hemicarbonates are consumed, enabling et-  
302 tringite growth to continue. The second stage ends with the complete disso-  
303 lution of monocarbonate, at which point small jumps in [Ca<sup>2+</sup>] and [SO<sub>4</sub><sup>2-</sup>]  
304 are observed, as shown in Fig. 4(c) and (d).

305 The relative importance and duration of the second stage depends on  
306 the concentration of carbonates in the system, and therefore is expected to  
307 increase in importance with service life in environments favoring carbonation.  
308 Conversely, binders with little or no limestone content that have been placed  
309 in low-CO<sub>2</sub> environments may not exhibit this stage at all.

310 *Third stage: transformation of hydrotalcite*

311 Hydrotalcite gradually dissolves in the first two stages, but in the third  
312 stage is the only remaining solid that can contribute aluminates to the solu-  
313 tion. Therefore, its dissolution is accelerated in the third stage as ettringite  
314 continues to grow. In this stage, ettringite grows at a slightly slower rate,

315 leading to the increase of  $[\text{SO}_4^{2-}]$  until all the aluminum-bearing hydrotalcite  
316 is consumed, after which ettringite growth ceases. Note that some iron-  
317 rich hydrotalcite remains in the system because the iron-rich hydrotalcite  
318 end member is thermodynamically stable relative to ettringite. Therefore,  
319 in CCRL 168, which has a high enough magnesium concentration to form  
320 significant quantities of hydrotalcite, the fate of iron is divided between hy-  
321 drotalcite and ettringite even after prolonged exposure to sulfate solution.

322 The relative importance of this third stage depends on the concentrations  
323 of magnesium available to form hydrotalcite. Systems with dolomitic lime-  
324 stone filler or periclase may therefore exhibit a more prominent third stage  
325 than those in which magnesium sources are absent.

#### 326 *Fourth stage: leaching of portlandite*

327 The fourth stage begins when all aluminate and iron sources already  
328 described have been transformed to ettringite. Without an aluminate source,  
329 ettringite stops growing even as more sulfate enters the system. A sharp  
330 increase occurs of both  $[\text{Ca}^{2+}]$  and  $[\text{SO}_4^{2-}]$ . Increasing  $[\text{SO}_4^{2-}]$  at this stage  
331 is consistent with published experiments [21] and implies that ettringite is  
332 able to buffer sulfates only as long as aluminates are available to enable its  
333 growth. The increase of  $[\text{Ca}^{2+}]$ , on the other hand, is caused by ongoing  
334 dissolution of portlandite (see Fig. 3(b)) as the solution pH continues to  
335 decrease by influx of sulfate solution. Both  $[\text{SO}_4^{2-}]$  and  $[\text{Ca}^{2+}]$  increase until  
336 the solution becomes saturated with respect to gypsum, and thereafter the  
337 growth of gypsum shown in Fig. 3(b) buffers the solution with respect to  
338 both ions and their concentrations remain essentially constant until the end  
339 of the simulation (Fig. 4)(c) and (d)).

340 *3.2. Gypsum formation*

341 Gypsum is often observed to form on the surface of samples exposed to  
342 sodium sulfate solutions, and to progress inward with time as severe crack-  
343 ing and spalling create paths for rapid influx of sulfate solution to the in-  
344 terior [15, 63]. Formation of gypsum on sample surfaces is due to rapid  
345 leaching of near-surface portlandite in the low-pH environment of the sulfate  
346 bath, which provides calcium to react with free sulfate in solution. Even  
347 so, the current near-surface modeling study predicts the formation of gyp-  
348 sum only much later in the simulations, and only after ettringite growth has  
349 stopped because all aluminate sources have been consumed (see Fig. 3). One  
350 reason for this may be that the simulations do not consider the free solution  
351 adjacent to the solid surface, where growth conditions for gypsum may be  
352 especially favorable relative to ettringite. Within the solid microstructure,  
353 however, ettringite is more thermodynamically favored than gypsum while  
354 both aluminates and sulfates are available in the pore solution. In other  
355 words, the free energy is lower when all the incoming sulfate can incorpo-  
356 rated to grow more ettringite, rather than being divided between ettringite  
357 and gypsum. Another way of viewing this is that the existing AFm and AFt  
358 phases in the system buffer both calcium and sulfate at quite low concen-  
359 trations during the first 100 flushing cycles (see Fig. 4), so that the activity  
360 product for gypsum is held well below its solubility product. However, we  
361 emphasize that this is a thermodynamic prediction. Gypsum could form  
362 near the surface, either in the adjacent solution or on the surface itself, if its  
363 growth mechanism was fast enough to rapidly consume both leached calcium  
364 and incoming sulfates before they could be incorporated within ettringite.

365 Such a scenario seems plausible because the crystal structure of gypsum is  
366 considerably simpler than that of ettringite, and fewer dissolved components  
367 must be coordinated to form a unit cell of gypsum than one of ettringite.

### 368 *3.3. Microstructure development*

369 Fig. 6 shows the microstructure of the unhydrated paste, the paste hy-  
370 drated to a degree of hydration of 0.90, and the phase assemblage and local-  
371 ized deformation at the end of Stage 1 and Stage 2. Areas of likely damage  
372 initiation, caused by the local stress exceeding the tensile strength, are shown  
373 at the end of Stages 1 and 2 as black voxels in Fig. 7 .

374 [Figure 6 about here.]

375 [Figure 7 about here.]

376 If expansion is caused by confined growth of ettringite in the available  
377 porosity adjacent to dissolving AFm phases, at least two microstructural  
378 properties should be important in determining the initiation of damage:  
379 (1) the volume of porosity adjacent to aluminate-bearing solid domains into  
380 which excess ettringite can grow without constraint; and (2) the dispersion  
381 of these phases throughout the microstructure at the beginning of sulfate at-  
382 tack, which in turn controls the extent of dispersion of transformed ettringite  
383 throughout the microstructure. With regard to the latter, we hypothesize  
384 that a higher surface-to-volume ratio of monosulfate, in the form of smaller  
385 phase domains, leads to a greater fraction of the microstructure’s capillary  
386 porosity being in close proximity to a monosulfate surface. The availability  
387 of nearby capillary porosity can provide a “safety valve” that can accept the

388 excess ettringite volume without increasing the local stress. Therefore, a  
 389 finer distribution of monosulfate may lead to a smaller degree of expansion  
 390 and damage for a given amount of monosulfate conversion.

391 This hypothesis can be tested by tracking the radial distribution of cap-  
 392 illary porosity near monosulfate surfaces for different dispersions of mono-  
 393 sulfates. We define the radial distribution function of porosity relative to  
 394 monosulfate,  $g(r)$ , as the volume of capillary pores at a distance  $r$  from any  
 395 monosulfate surface,  $V_p(r)$ , normalized by the total capillary pore volume,  
 396  $V_{p,\text{tot}}$ , so that

$$g(r) \equiv \frac{V_p(r)}{V_{p,\text{tot}}} \quad (2)$$

397 Applied to digitized microstructure images,  $g(r)$  characterizes the probab-  
 398 ility of finding a capillary pore voxel at a distance  $r$  from a monosulfate voxel,  
 399 relative to the probability of finding a pore voxel at a random location in  
 400 the microstructure. That is, for example,  $g(r) > 1$  indicates that pore vox-  
 401 els are *relatively* more plentiful at a distance  $r$  from a monosulfate surface  
 402 than they are throughout the whole microstructure. We quantify the rela-  
 403 tive availability of local capillary porosity near monosulfate surfaces by first  
 404 computing  $g(r)$  on a given microstructure and then finding its average value  
 405 for  $r \leq 2 \mu\text{m}$ ,

$$\langle g \rangle \equiv \frac{1}{2} \int_0^2 g(r) dr \quad (3)$$

406 For a digital image microstructure,  $g(r)$  can be computed only at discrete dis-  
 407 tances, that is, the center-to-center distance of voxels that are nearest neigh-  
 408 bors ( $r = 1 \mu\text{m}$ ), second-nearest neighbors ( $r = \sqrt{2} \mu\text{m}$ ), and so on. There-  
 409 fore, the integral reduces to a sum over the discrete distances  $\{1, \sqrt{2}, \sqrt{3}, 2\}$ .

410 Three unhydrated microstructures of a CCRL 168 paste were created  
411 with  $w/c = 0.45$ , each having dimensions  $100 \mu\text{m}$  on a side. The absolute  
412 variation in volume fraction of any phase among the three microstructures  
413 was  $< 0.001$ . For each unhydrated microstructure, a series of ten differ-  
414 ent hydration simulations were conducted in which the affinity parameters  
415 for both monosulfate and C-S-H were systematically varied to produce hy-  
416 drated microstructures that differed *only* in the coarseness of the monosulfate  
417 dispersion throughout the hydration product. This procedure resulted in a  
418 collection of 30 different microstructures in which the volume fractions of solid  
419 phases were statistically identical but contained monosulfate domain num-  
420 ber densities (*i.e.*, number of domains per unit volume) that varied between  
421  $1.5 \times 10^7 \text{ mm}^{-3}$  and  $4.5 \times 10^7 \text{ mm}^{-3}$ . Higher number densities correspond to  
422 smaller average domain volumes because the total volume of monosulfate is  
423 the same in each microstructure at the end of hydration.

424 Each of the 30 hydrated microstructures was then subjected to a series of  
425 sulfate attack simulations to determine the influence of monosulfate distri-  
426 bution on the evolution of strain and damage. Fig. 8 plots  $\langle g \rangle$  as a function  
427 of the number of monosulfate domains per unit volume at the beginning of  
428 Stage 1 sulfate attack. Different symbols are used to represent each of the  
429 three beginning microstructures, so that the influence of intrinsic variabilities  
430 in different microstructure realizations of the same paste can be quantified.  
431 The plot shows that finer dispersions of monosulfate have relatively more  
432 accessible porosity near monosulfate surfaces. In particular,  $\langle g \rangle$  increases  
433 approximately linearly with the monosulfate fineness. For each unhydrated  
434 microstructure, the slope of the relation was obtained by least-squares linear

435 regression. The mean of the three slopes is 0.189 with a relative standard  
436 uncertainty of about 10 %, measured as one standard deviation ( $1-\sigma$ ). The-  
437 fore, the relation between monosulfate fineness and water accessibility near  
438 the surface is unlikely to be an accidental result of one particular microstruc-  
439 tural realization.

440 [Figure 8 about here.]

441 Recall that a voxel is considered damaged if the local tensile stress exceeds  
442 the maximum tensile stress assumed for the phase occupying that voxel. The  
443 severity of sulfate attack is now characterized by the number per unit volume  
444 of damaged voxels in the microstructure, a quantity to which we hereafter  
445 refer as simply “damage”. Fig. 9 plots the damage as a function of  $\langle g \rangle$  at dif-  
446 ferent ages of sulfate attack to investigate how monosulfate dispersion at the  
447 beginning of sulfate attack influences the damage thereafter. The plots show  
448 that, for any simulated exposure duration, expansion damage decreases with  
449 increasing  $\langle g \rangle$ . In other words, damage is lessened by an increased availability  
450 of capillary porosity near the monosulfate domains. In turn, this is accom-  
451 plished in part by having a finer dispersion of monosulfate throughout the  
452 microstructure as opposed to fewer and larger occlusions. Fig. 10 confirms  
453 that the predicted damage decreases approximately linearly with the initial  
454 monosulfate domain number density,  $N_V$ . Again, separate linear regressions  
455 were obtained for each microstructure realization at each exposure time, and  
456 the relative standard uncertainty in the slope is always less than 10 %. Note  
457 that monosulfate is completely consumed in these simulations by the end  
458 of Stage 1, which is the first nine flushing cycles. Fig. 9 indicates that the

459 monosulfate distribution effect propagates for a significant time even after it  
460 has disappeared from the system. This imprinted “memory” of the monosul-  
461 fate distribution is linked to the nature of the remaining capillary porosity  
462 after monosulfate has been consumed. For example, a finer monosulfate dis-  
463 tribution, with relatively more accessible porosity nearby, enables ettringite  
464 to fill that porosity with lower stress. Ettringite therefore fills the adjacent  
465 capillary porosity more fully around finer monosulfate domains, leaving a  
466 smaller capillary pore volume after monosulfate is consumed. This smaller  
467 pore volume in turn implies (a) greater resistance to subsequent diffusion of  
468 sulfate solution and (b) smaller amounts of sodium sulfate per unit volume  
469 of microstructure that can cause further ettringite growth.

470 [Figure 9 about here.]

471 [Figure 10 about here.]

472 Such purely microstructural effects on sulfate attack, which are here pre-  
473 dicted to be responsible for up to a 26 % variation in damage, are never-  
474 theless of secondary importance compared to the influence of bulk cement  
475 composition, as we investigate in the following section. However, these mi-  
476 crostructural influences have not received much attention previously and,  
477 to our knowledge, have not been tested experimentally. These simulations  
478 should therefore provide motivation for experimental tests of monosulfate dis-  
479 tribution and its effects on sulfate attack progress. Such experiments are  
480 likely to be challenging because they require (a) the ability to clearly dif-  
481 ferentiate monosulfate from other AFm and AFt phases, (b) the ability to  
482 map out the 3D distribution of monosulfate in a microstructure, and (c) the

483 ability to manipulate, to some extent, the monosulfate distribution as an  
484 experimental variable. In principle, such experiments might be undertaken  
485 using a combination of scanning electron microscopy (SEM) in backscattered  
486 mode, combined with energy dispersive X-ray spectroscopy to identify ele-  
487 mental concentrations. Stereological principles could be used to statistically  
488 reconstruct 3D distributions from 2D SEM/EDS images.

### 489 *3.4. Influence of cement composition*

490 Resistance to sulfate attack is directly linked to the aluminate content of  
491 the cement. The CCRL 168 cement already discussed has a total equivalent  
492  $\text{Al}_2\text{O}_3$  content of 2.1 % by mass. In this section, we investigate sulfate attack  
493 in two other cements: (1) a second Type I portland cement from the CCRL  
494 proficiency program, designated here as CCRL 140, with a total equivalent  
495  $\text{Al}_2\text{O}_3$  content of 1.7 %, and (2) a white cement ( $\text{Al}_2\text{O}_3 = 0.5$  %). The mineral  
496 composition and total aluminum content of all three cements are shown in  
497 Table 1.

498 Figures 3 (for CCRL 168) and 11 (for the other two cements) show that  
499 these three cements behave differently both during hydration and during ex-  
500 posure to sulfate solution. Gypsum is completely consumed from CCRL 140  
501 and CCRL 168 within the first day of hydration, while a small amount of  
502 gypsum remains in the white cement through 100 d of hydration. This rem-  
503 nant gypsum prevents the conversion of ettringite to monosulfate in the white  
504 cement, in contrast to both CCRL 140 and CCRL 168. At the beginning of  
505 sulfate attack, monosulfate and hydrogarnet ( $\text{C}_3\text{AH}_6$ ) are the only aluminate-  
506 bearing phases predicted in CCRL 140. In CCRL 168, as already discussed,  
507 monosulfate, hemihydrate, monocarbonate, and hydrotalcite all contribute

508 to growth of ettringite during sulfate attack. As expected, the white cement,  
509 with no aluminate-bearing phases other than ettringite at the end of hydra-  
510 tion, is essentially immune to sulfate attack. CCRL 168 has higher total Al  
511 content than CCRL 140, and therefore a greater volume of ettringite formed  
512 at the end (compare to Fig. 3(a)). This should be expected on thermody-  
513 namic grounds because the form of intermediate aluminate-bearing phases,  
514 unstable with respect to ettringite, should not influence the ultimate amount  
515 of ettringite formed. An interesting feature of CCRL 140 is that, with the  
516 first introduction of external sulfate, monosulfate actually grows temporarily  
517 at the expense of hydrogarnet. After hydrogarnet is consumed, monosulfate  
518 transforms continuously to ettringite as expected. Overall, more rapid et-  
519 tringite growth is observed in CCRL 140 than in CCRL 168 during the early  
520 stages of sulfate attack. Ettringite growth in CCRL 168 is initially slower  
521 because its aluminates are partially contained in langbeinite, and because it  
522 contains small amounts of calcite and periclase, both of which promote the  
523 formation of carbonate and hydrotalcite phases that resist conversion to et-  
524 tringite more strongly than does monosulfate (compare Fig. 3 and Fig. 11(c)).  
525 Therefore, in agreement with recent studies of sulfate attack [3, 15], these sim-  
526 ulations indicate that both limestone (either calcium carbonate or dolomitic)  
527 and soluble magnesium sources can impart additional resistance to sulfate  
528 attack.

529 The changes in ettringite mass during sulfate attack simulations are com-  
530 pared for all three cement pastes in Fig. 12. This figure shows the delay  
531 in ettringite conversion exhibited by CCRL 140 owing to the initial conver-  
532 sion of hydrogarnet to monosulfate. However, after complete conversion of

533 hydrogarnet, both the rate of conversion to ettringite and the final mass frac-  
534 tion of ettringite are greater in CCRL 140 than in CCRL 168. This result  
535 might seem unusual at first sight based on the greater combined content of  
536 iron and aluminum in CCRL 168. But as noted already in Fig. 3, a significant  
537 portion of the iron in CCRL 168 is tied up in an iron-rich hydrotalcite, and  
538 this iron stabilizes the hydrotalcite phase relative to ettringite. The paste  
539 made from CCRL 140, in contrast, lacks a sufficient amount of magnesium  
540 to form much hydrotalcite, so ettringite is the only aluminum/iron-bearing  
541 phase remaining after prolonged exposure to sulfate solution.

542 This influence of cement chemistry on the predicted amounts of ettrin-  
543 gite is directly reflected in the predicted expansion and damage for all three  
544 cement pastes, as shown in Fig. 13. As described earlier, the white cement  
545 undergoes relatively little expansion because the aluminates are already com-  
546 pletely converted to ettringite by the time sulfate exposure has begun. Ex-  
547 pansion in the white cement is therefore due entirely to the relatively low  
548 volume of gypsum precipitation. CCRL 168, with the highest aluminate  
549 content of the three cements, sustains the greatest strain and damage over the  
550 first 40 flushing cycles, However, expansion and damage in CCRL 140 reach  
551 and exceed that in CCRL 168 after that point, due to its greater quantity of  
552 monosulfate (15.9 % by mass) compared to CCRL 168 (7.2 % by mass).

553 In summary, the combined aluminum and iron content of a portland  
554 cement is an important, but not the only, factor in determining a paste's  
555 resistance to external attack by sodium sulfate solution. The presence of  
556 magnesium and carbonate components in the cement can promote formation  
557 of intermediate aluminate-bearing phases, such as hem碳酸ate and hydro-

558 talcite, that have a lower thermodynamic driving force for transforming to  
559 ettringite than phases such as monosulfate and other AFm phases.

560 [Figure 11 about here.]

561 [Figure 12 about here.]

562 [Figure 13 about here.]

#### 563 **4. Conclusion**

564 The method used here to model sulfate attack is well suited for revealing  
565 both chemical and microstructural influences on sulfate attack resistance for  
566 at least three reasons. First, the model uses thermodynamic geochemical  
567 speciation calculations to predict the equilibrium volumes and compositions  
568 of hydrated phases during hydration and during subsequent intrusion by a  
569 sodium sulfate solution. Second, it captures the 3D distribution of these  
570 hydrates in a manner that has been shown to faithfully reproduce a range of  
571 microstructural and macroscopic properties of the material [39, 45]. Third,  
572 the model is directly coupled to a 3D finite element model that enables the  
573 evolving stress field to be tracked as phase transformations give rise to local  
574 misfit strain. Regions susceptible to damage can be identified and followed  
575 based on a phase-dependent maximum tensile stress criterion. This latter  
576 feature enables the model to compute the evolving effective elastic stiffness  
577 of the binder and therefore monitor the material's resistance to expansion as  
578 well as the driving forces for that expansion. It is worth emphasizing that  
579 this is the first model of which we are aware that can provide a detailed

580 microstructural analysis of sulfate attack at the scale of individual cement  
581 grains.

582 The model reproduces primary effects of bulk chemistry on sulfate attack  
583 resistance that have been reported previously [15]. Specifically, ettringite  
584 growth in confined pore volumes is largely responsible for expansion and dam-  
585 age formation. Any changes in bulk chemistry that favor carboaluminate and  
586 hydrotalcite relative to monosulfate can reduce the material’s susceptibility  
587 to sulfate attack compared to those that are rich in monosulfate.

588 The simulations also predict secondary microstructural influences on sul-  
589 fate attack resistance. Finer dispersions of monosulfate are predicted to  
590 produce lower expansion and damage due to the greater relative accessi-  
591 bility of capillary porosity near the transforming monosulfate surfaces that  
592 can accommodate excess ettringite volume. These microstructural details  
593 have not received much attention previously and, to our knowledge, have  
594 not been tested experimentally. But the model suggests that they can in-  
595 fluence macroscopic expansion by as much as 16 % in the systems examined  
596 here. New opportunities could be created for increasing sulfate resistance  
597 in concrete if these aspects of hydrated microstructure could be controlled  
598 more accurately, perhaps with organic additives that control nucleation and  
599 growth of monosulfate.

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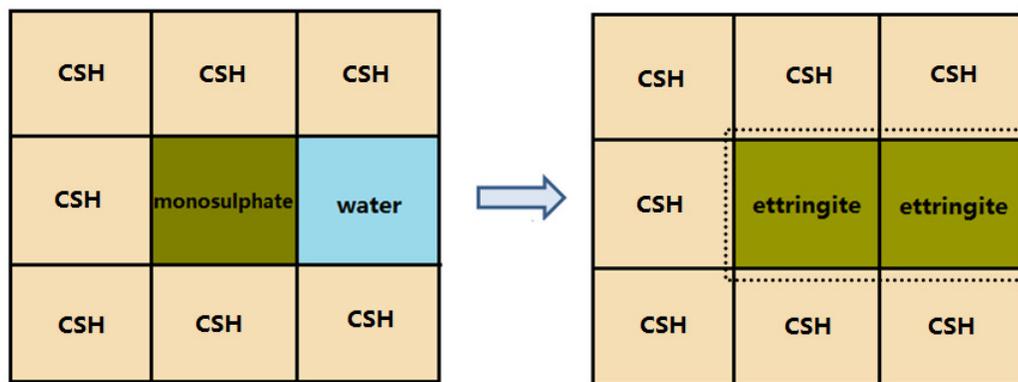


Figure 1: Illustration of a local microstructure configuration where monosulfate could transform to ettringite.

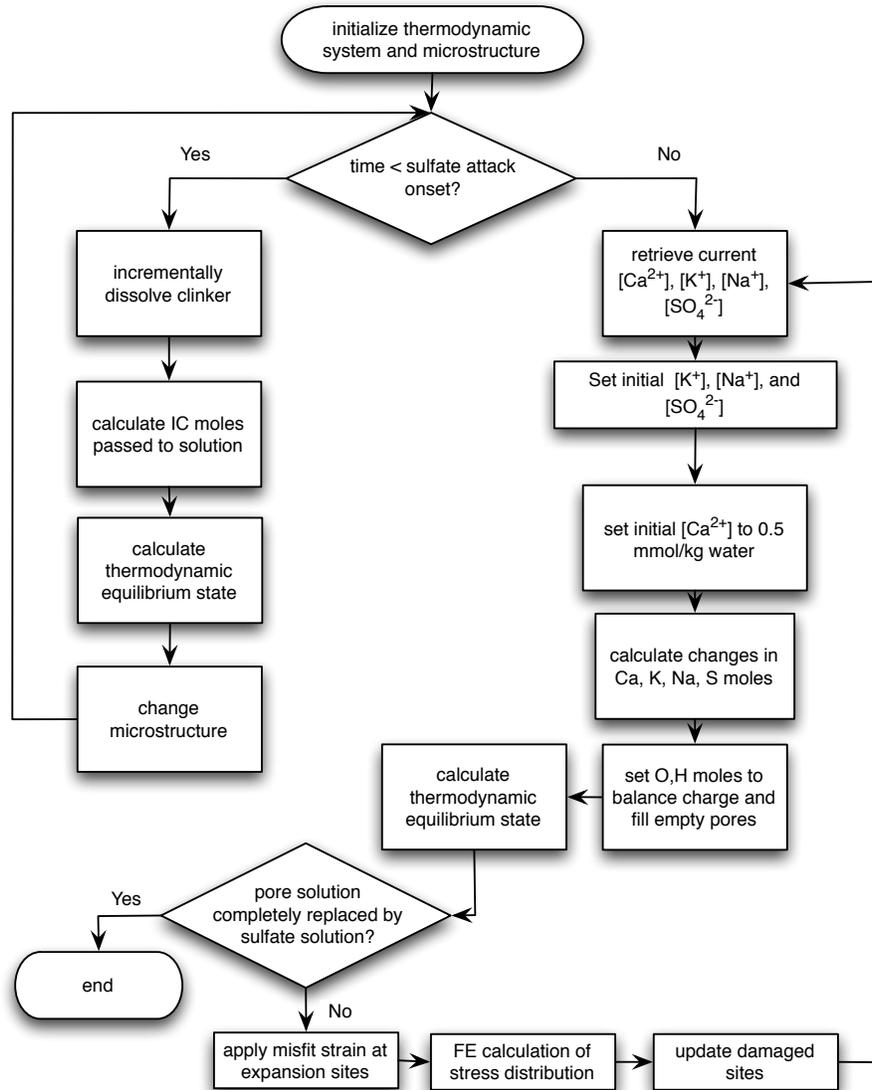
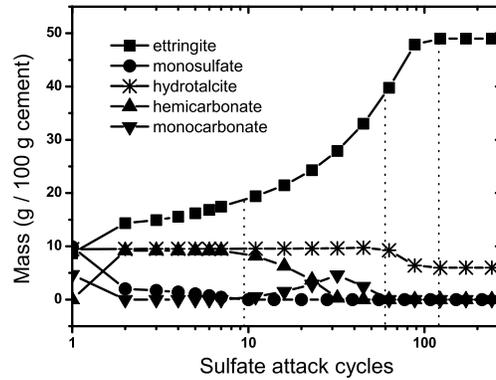
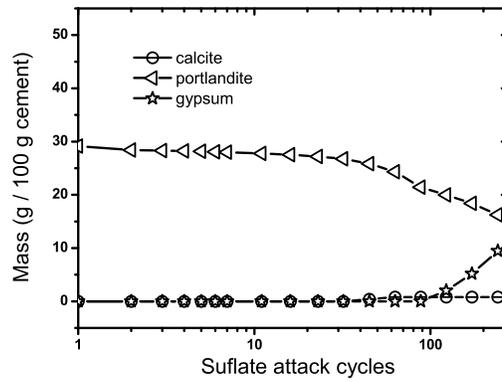


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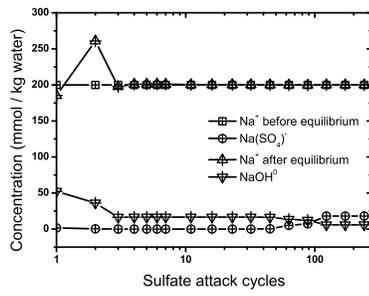


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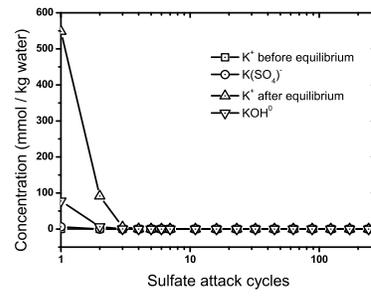


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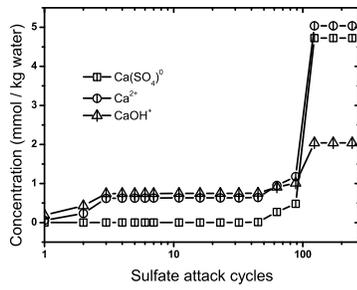
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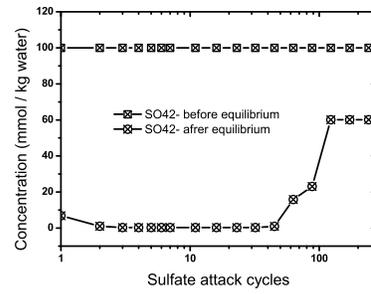
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(b)



(c)



(d)

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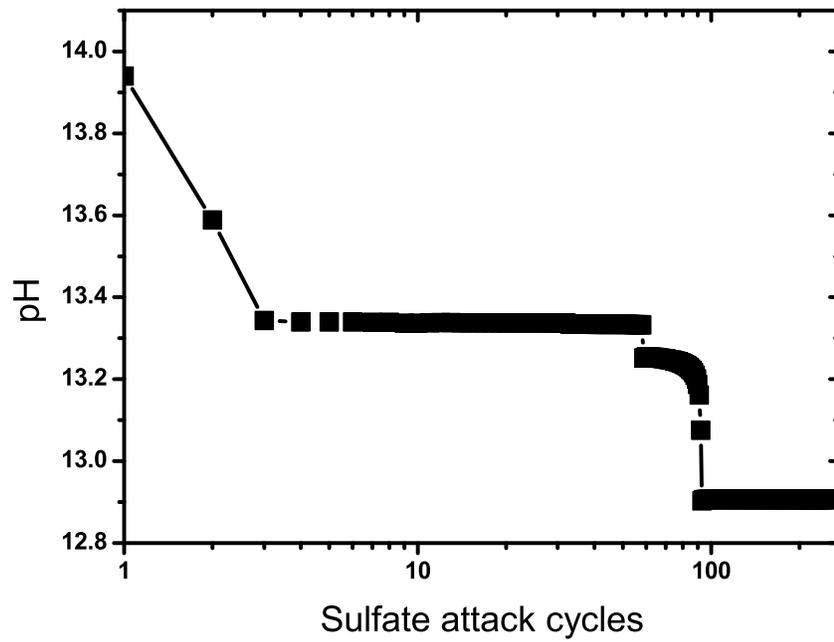


Figure 5: Simulated evolution of pore solution pH over the course of prolonged exposure to  $0.1 \text{ mol kg}^{-1}$  sodium sulfate solution.

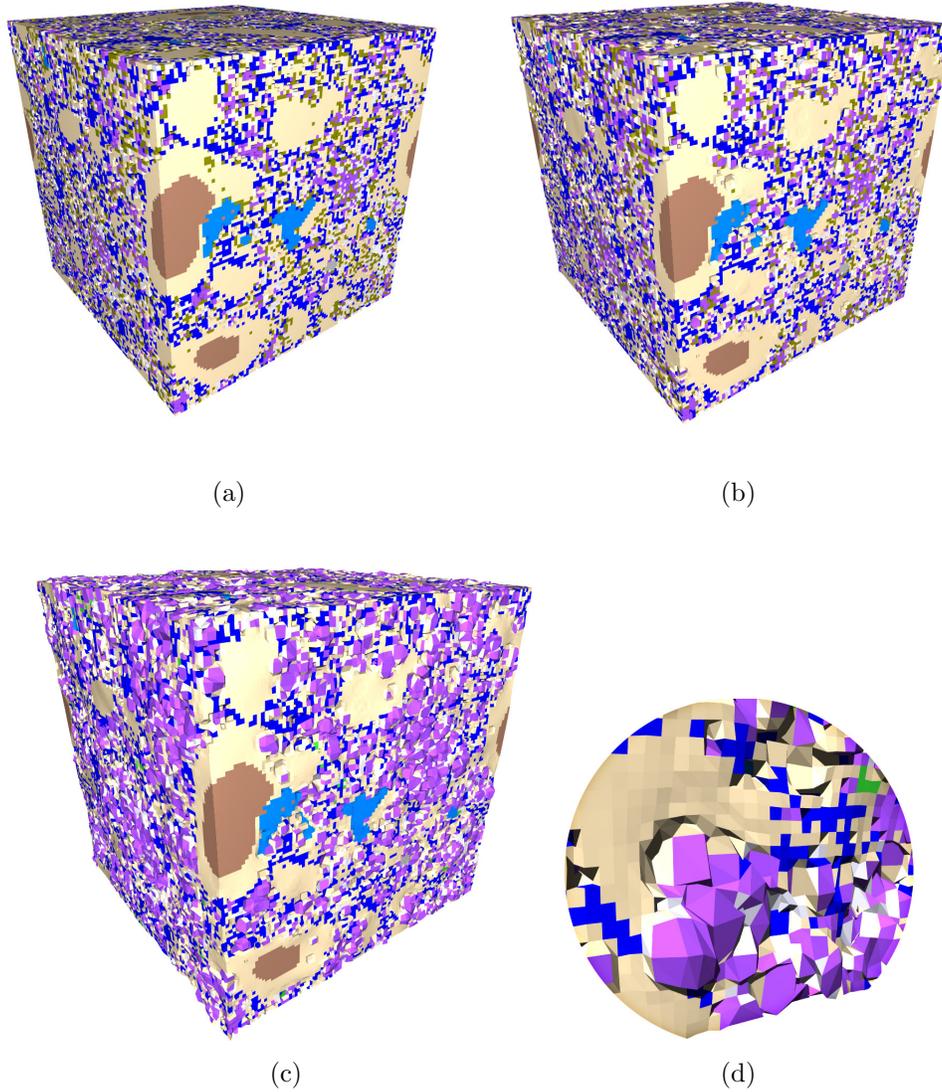


Figure 6: Evolution of CCRL 168 cement paste microstructure: (a) hydrated to 0.90 degree of hydration; (b) end of Stage 1, when monosulfate has been converted to ettringite; (c) end of Stage 2, when all carboaluminates have been converted to ettringite; (d) magnified view of a portion of the microstructure in (c) showing the deformation around secondary ettringite. The displacement field is magnified by  $5\times$  to more clearly show deformation. Phase colors are  $C_3S$  = brown,  $C_2S$  = light blue,  $C_3A$  = grey,  $C_4AF$  = white, CSH = beige, CH = dark blue, calcite = green, ettringite = violet, monosulfate = olive, hydrotalcite = light green, capillary porosity = black.

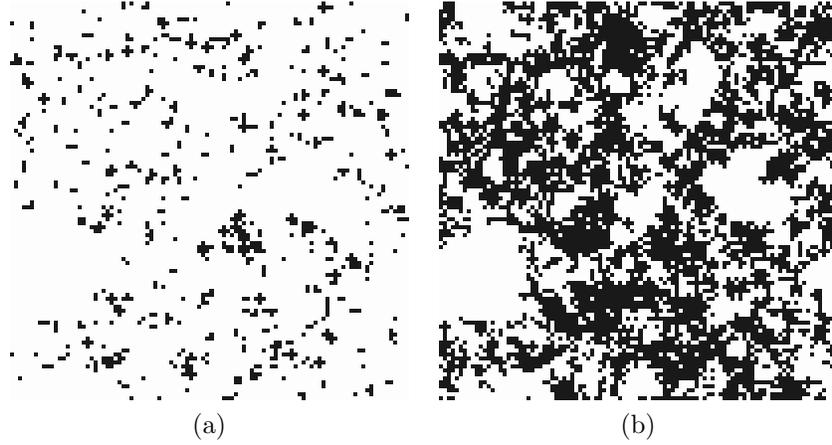


Figure 7: Microstructural damage field (black) after (a) end of Stage 1 and (b) end of Stage 2.

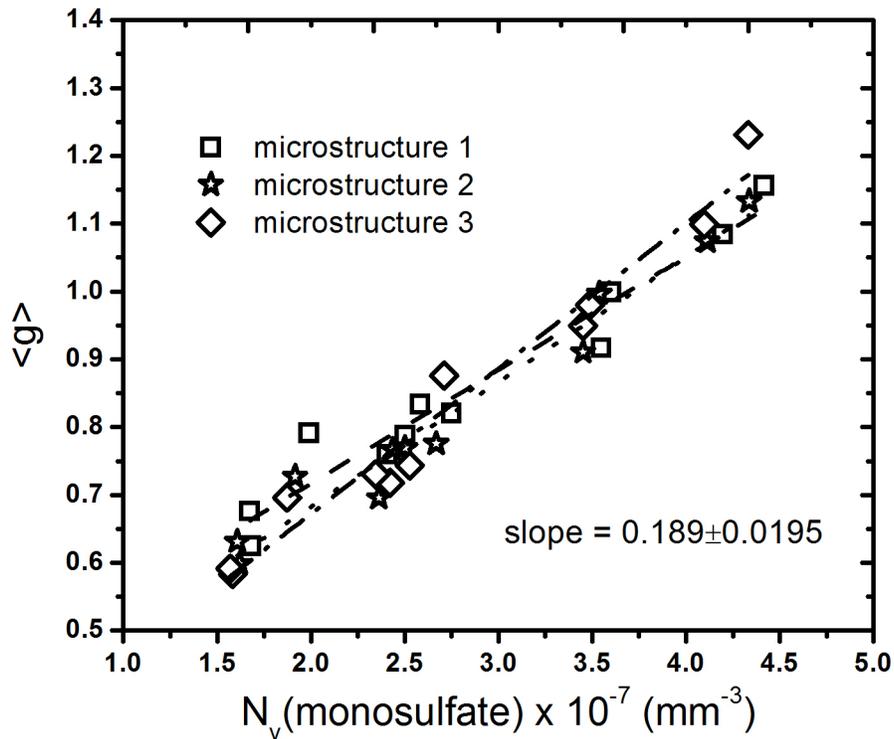


Figure 8: Relation between number of monosulfate domains and  $\langle g \rangle$  for water adjacent to monosulfate surfaces. Different symbols represent results from different microstructure realizations of the same starting cement paste. Least-squares linear regressions associated with each starting microstructure are shown as dashed lines. Standard uncertainty in the mean slope of 0.189 is reported in terms of one standard deviation.

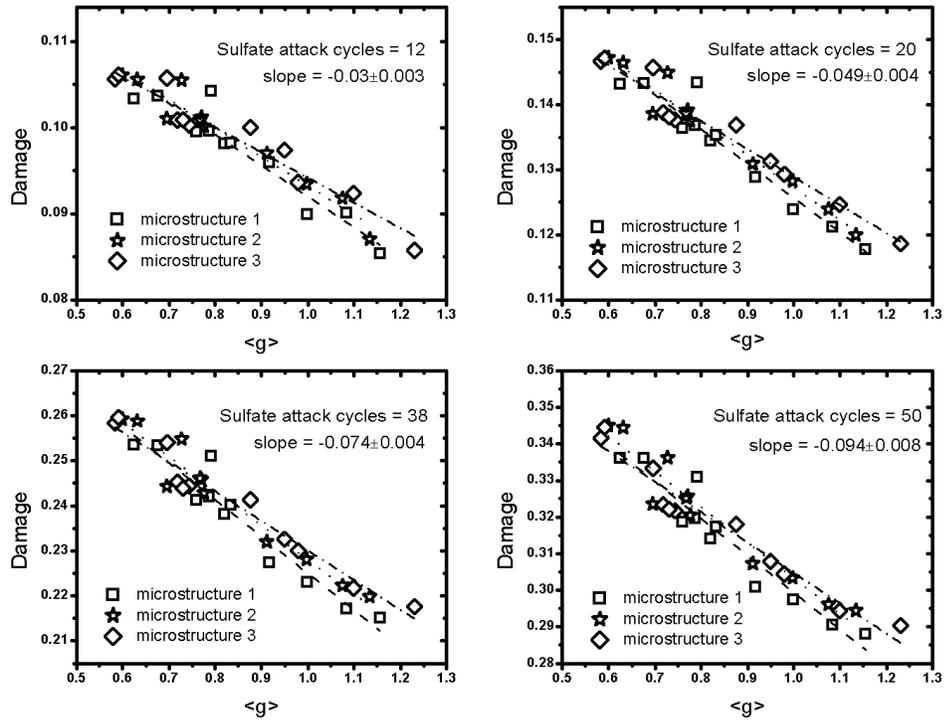


Figure 9: Damage after different sulfate flushing cycles as a function of  $\langle g \rangle$  for water near monosulfate domains. In each plot, different symbols are associated with different realizations of the same starting microstructure. Least-squares linear regression was used to obtain the slope (dashed lines) for each microstructure realization, and the mean slope and standard uncertainty ( $1-\sigma$ ) are displayed.

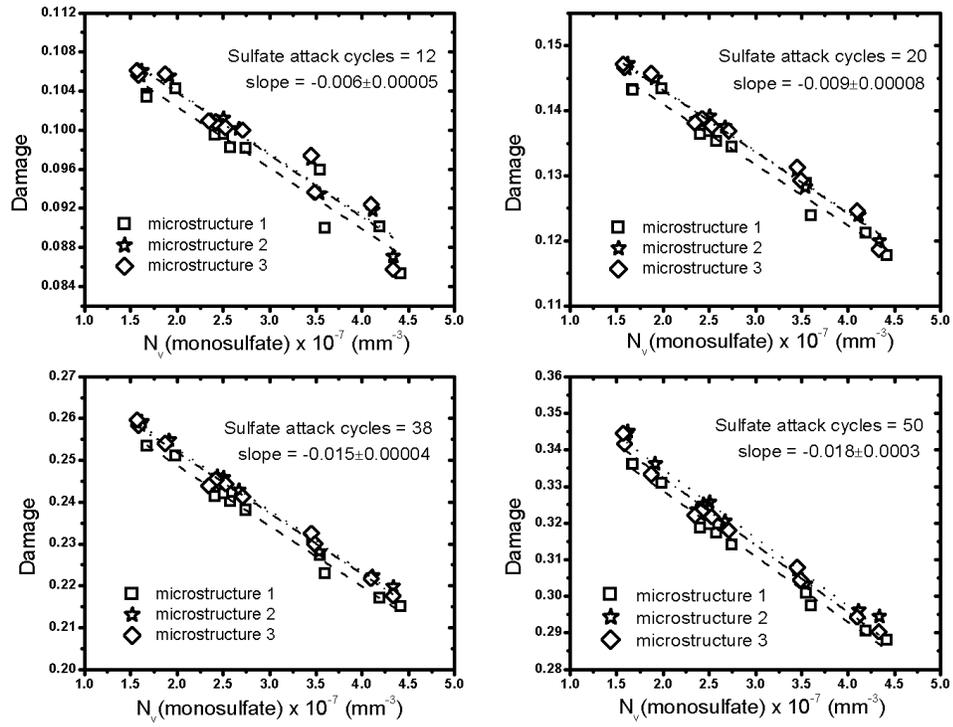
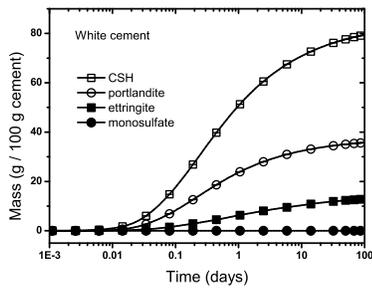
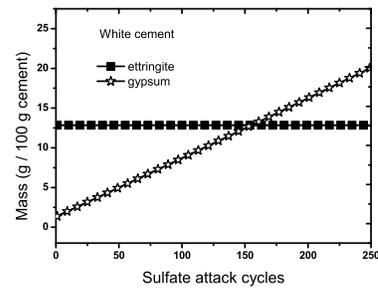


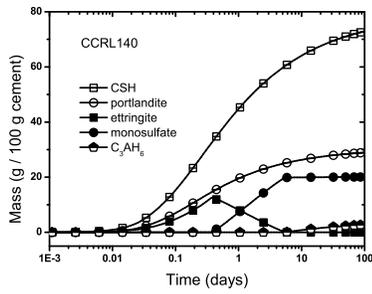
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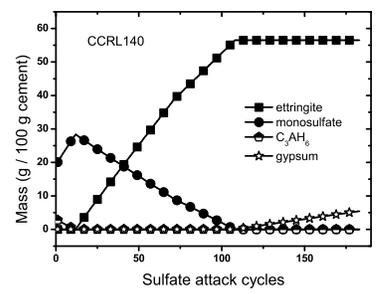
(a)



(b)



(c)



(d)

Figure 11: Influence of Al content on phase evolution during hydration and subsequent external sulfate attack of a white cement (a,b) and CCRL 140 Type I cement (c,d).

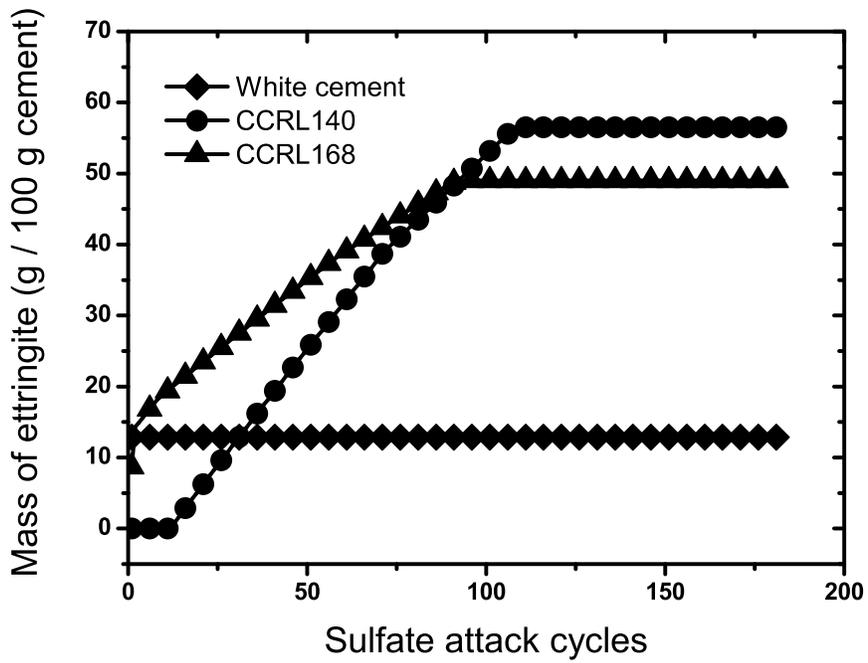


Figure 12: Comparison of ettringite mass evolution during sulfate attack for cement pastes made from CCRL 168, CCRL 140, and the white cement.

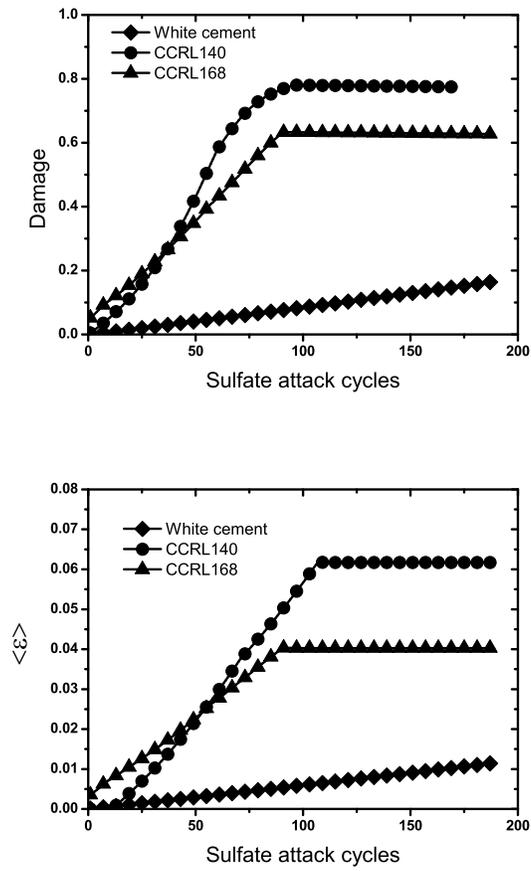


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Table 1: Mineralogical composition of the cements used in this study, expressed as mass percent.

Phase	CCRL 168	CCRL 140	White Cement
C <sub>3</sub> S	54.5	64.7	78.3
β-C <sub>2</sub> S	15.7	16.5	10.7
C <sub>3</sub> A	8.0	5.4	2.4
C <sub>4</sub> AF	7.0	7.7	0.9
Bassanite	2.3	2.2	3.5
Anhydrite	0.2	1.6	0.5
Gypsum		0.4	0.6
Arcanite	1.1	0.3	0.5
Langbeinite	0.5		
Aphthitalite	1.3		
Periclase	3.2		0.2
Calcite	1.1		1.3
Total Al <sub>2</sub> O <sub>3</sub>	2.1	1.7	0.5
<i>Present as solid solution in clinker phases</i>			
K <sub>2</sub> O	0.325	0.320	0.217
MgO	0.775	0.855	0.762
SO <sub>3</sub>	0.598	0.687	0.734

Table 2: Composition and molar volumes of main aluminate and iron components [43]

Phase	Formula	$V_m$ ( $10^{-4}$ m <sup>3</sup> )
Ettringite	$\text{Ca}_6\text{Al}_2(\text{SO}_4)_3(\text{OH})_{12} \cdot 26 \text{H}_2\text{O}$	7.070
Fe-ettringite	$\text{Ca}_6\text{Fe}_2(\text{SO}_4)_3(\text{OH})_{12} \cdot 26 \text{H}_2\text{O}$	7.176
Monosulfate	$\text{Ca}_4\text{Al}_2(\text{SO}_4)(\text{OH})_{12} \cdot 6 \text{H}_2\text{O}$	3.090
Fe-monosulfate	$\text{Ca}_4\text{Fe}_2(\text{SO}_4)(\text{OH})_{12} \cdot 6 \text{H}_2\text{O}$	3.211
Hemicarbonate	$\text{Ca}_4\text{Al}_2(\text{CO}_3)_{0.5}(\text{OH})_{13} \cdot 5.5 \text{H}_2\text{O}$	2.845
Fe-hemicarbonate	$\text{Ca}_4\text{Fe}_2(\text{CO}_3)_{0.5}(\text{OH})_{13} \cdot 5.5 \text{H}_2\text{O}$	2.965
Monocarbonate	$\text{Ca}_4\text{Al}_2(\text{CO}_3)(\text{OH})_{12} \cdot 5 \text{H}_2\text{O}$	2.620
Fe-monocarbonate	$\text{Ca}_4\text{Fe}_2(\text{CO}_3)(\text{OH})_{12} \cdot 5 \text{H}_2\text{O}$	2.902
Hydrotalcite	$\text{Mg}_4\text{Al}_2(\text{OH})_{14} \cdot 3 \text{H}_2\text{O}$	2.202
Fe-hydrotalcite	$\text{Mg}_4\text{Fe}_2(\text{OH})_{14} \cdot 3 \text{H}_2\text{O}$	2.324
CO <sub>3</sub> -hydrotalcite	$\text{Mg}_4\text{Al}_2(\text{CO}_3)(\text{OH})_{12} \cdot 2 \text{H}_2\text{O}$	2.204