

1 **Flexible Retrospective Phase Stepping in X-Ray Scatter Correction and**
2 **Phase Contrast Imaging Using Structured Illumination**

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11

12 **Abstract**

13 The development of phase contrast methods for diagnostic x-ray imaging is inspired by
14 the potential of seeing the internal structures of the human body without the need to
15 deposit any harmful radiation. An efficient class of x-ray phase contrast imaging and
16 scatter correction methods share the idea of using structured illumination in the form of
17 a periodic fringe pattern created with gratings or grids. They measure the scatter and
18 distortion of the x-ray wavefront through the attenuation and deformation of the fringe
19 pattern via a phase stepping process. Phase stepping describes image acquisition at
20 regular phase intervals by shifting a grating in uniform steps. However, in practical
21 conditions the actual phase intervals can vary from step to step and also spatially.
22 Particularly with the advent of electromagnetic phase stepping without physical
23 movement of a grating, the phase intervals are dependent upon the focal plane of
24 interest. We describe a demodulation algorithm for phase stepping at arbitrary and
25 position-dependent (APD) phase intervals without assuming *a priori* knowledge of the
26 phase steps. The algorithm retrospectively determines the spatial distribution of the
27 phase intervals by a Fourier transform method. With this ability, grating-based x-ray
28 imaging becomes more adaptable and robust for broader applications.

29

30 Introduction

31

32 X-ray phase contrast imaging and scatter correction are both being developed for the
 33 benefit of medical diagnosis, where x-ray modalities account for 70% of the diagnostic
 34 imaging procedures in the US [1]. An interesting converging point of the two fields is a
 35 class of methods that use gratings or grids to introduce a periodic modulation into the x-
 36 ray wave, either by simple geometric shadowing or coherent wave interference effects
 37 [2–6]. Phase contrast relates to the distortion of the periodic fringes by refractive
 38 bending of the x-rays in the imaged object, while scattering in the object causes a loss of
 39 the fringe amplitudes in excess of the conventional intensity attenuation [5,7]. Several
 40 methods have been proposed to retrieve the amplitude and the positions (phase) of the
 41 fringes in the two areas of application. The quickest method requires just a single image,
 42 where the phase value is measured by the displacement of the fringes, and the
 43 amplitude is measured by the intensity oscillation in a fringe period. Such
 44 measurements can be made efficiently over the entire image through Fourier analysis
 45 [5,8,9], or directly in the real space [10]. However, a limitation of single image analysis is
 46 that the spatial resolution of the measurements is no finer than the fringe period, which
 47 is at least 3 times the resolution of the imaging device in order for the fringes to be
 48 clearly resolved.

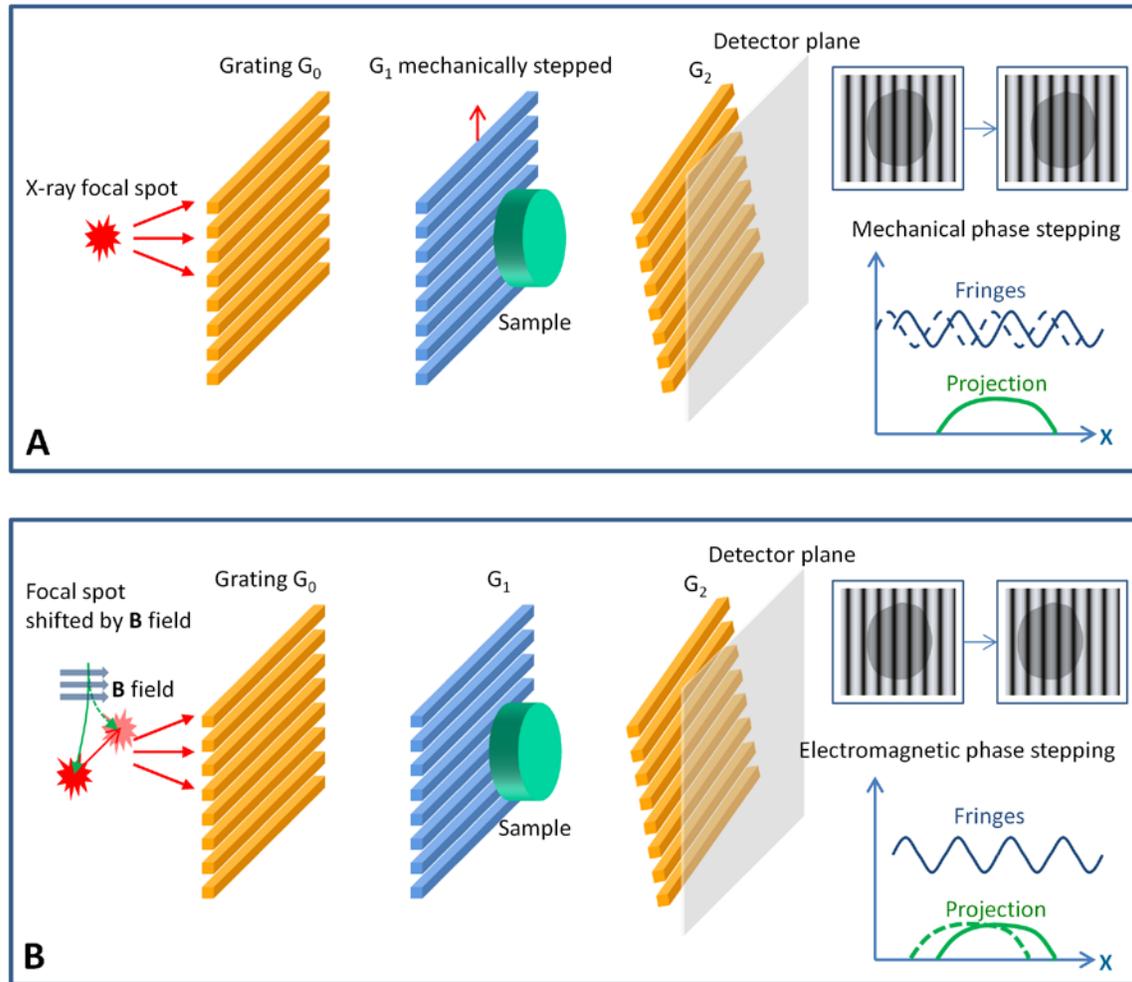
49 This problem is solved by the phase stepping method at the cost of acquiring
 50 multiple images [11]. In phase stepping, a grating is moved perpendicular to its lines in
 51 uniform increments while images are taken at each step. This ideally results in uniform
 52 shifts of the fringes (Fig. 1A). Equivalently, it produces a periodic oscillation of the
 53 intensity at each pixel in the image. In the temporal domain, this procedure provides
 54 several points along the intensity oscillation curve at uniform phase intervals. If the
 55 phase interval is an integer fraction of a complete cycle, i.e. $2\pi/N$ where N is the total
 56 number of steps, then the intensity at a location r in the n th image can be expressed as

$$57 \quad I_n(r) = H_0(r) + \sum_{m=1}^M 2H_m(r) \cos[2\pi mn / N + \varphi_m(r)] \quad , \quad (1)$$

58 where H_m and φ_m are the amplitude and phase of the m th order harmonic of the
 59 intensity oscillation. By considering multiple harmonics, this expression covers any
 60 possible periodic waveform of the oscillation. The harmonic amplitudes are the Fourier
 61 coefficients of the series of intensities I_n , and thus can be calculated by an inverse
 62 Fourier transform:

$$63 \quad H_m(r) \exp[i\varphi_m(r)] = \frac{1}{N} \sum_{n=1}^N I_n(r) \exp(-i2\pi mn/N). \quad (2)$$

64 This algorithm was developed in wave-front-measuring interferometry [11] and
 65 subsequently applied to x-ray phase contrast [2,4,12,13] and scatter correction [6].



66

67 **Figure 1. Phase stepping procedures measure the distortion and scattering of a grating-**
 68 **modulated wavefront.** These are examples of grating-based phase contrast imaging devices,
 69 where the combination of an absorption grating G_0 and a phase grating G_1 produces primary
 70 interference fringes which are masked by a slightly rotated absorption grating G_2 , resulting in
 71 broader moiré fringes that can be resolved by the detector. (A) In mechanical phase stepping,
 72 the phase grating G_1 is moved in-plane perpendicular to the grating lines, at increments of a
 73 fraction of the grating period. This creates incremental shifts of the moiré fringes, and
 74 equivalently a periodic oscillation of the intensity at each detector pixel. The amplitude and
 75 phase of this oscillation encode the information about the distortion and scattering of the
 76 wavefront as it propagates through the sample. These are retrieved by an adaptive algorithm
 77 which is the focus of this paper. (B) In motionless electromagnetic phase stepping, the focal
 78 spot of the x-ray source is shifted with an externally applied magnetic (B) field, which results in a

79 relative movement between the projection image of the sample and the moiré fringes. The
 80 images are digitally shifted to re-align the projections while the moiré fringes appear to move,
 81 effectively synthesizing the phase stepping process. In this example, the applied magnetic field
 82 deflects the electron beam in the x-ray tube, shifting its impact point on the anode target where
 83 x-rays are emitted.

84 However, in practical settings there are often drifts and errors in the position
 85 and orientation of the grating. Then, the phase intervals become uncertain and may
 86 vary spatially with position. Furthermore, electromagnetic phase stepping (EPS) has
 87 recently been developed to eliminate all mechanical motion [14], where phase stepping
 88 is synthesized by a relative movement between the projection of the object and the
 89 fringe pattern (Fig. 1B). The relative movement is realized by electromagnetically
 90 shifting the focal spot of the cone beam, and it is thus dependent on the position of the
 91 object, or more specifically the focal plane of image reconstruction. Consequently, the
 92 phase intervals become variable and not limited to integer fractions of 2π . In all these
 93 cases, the intensity of the n th image needs to be expressed in a more generalized way
 94 as

$$95 \quad I_n(r) = H_0(r) + \sum_{m=1}^M 2H_m(r) \cos[\Delta_m(r, n) + \varphi_m(r)] \quad , \quad (3)$$

96 where $\Delta_m(\mathbf{r}, n)$ is the phase shift applied by the phase stepping process and can be
 97 arbitrary and position dependent (APD). The problem we address is how to retrieve the
 98 harmonic oscillation amplitude H_m and phase φ_m from such arbitrary phase shifts.

99 The solution for the relatively ideal conditions in wave-front-measuring
 100 interferometry has been described, under the assumptions that the phase increments in
 101 the phase stepping process is globally uniform, and the fringes are well defined in the
 102 entire image [15]. However, in diagnostic imaging situations the conditions are usually
 103 less ideal and can violate both assumptions. Specifically, the phase shifts can be position
 104 dependent, and the fringe visibility in areas of high attenuation or scattering is
 105 degraded. Here we extend the special solution for wave-front characterization to a
 106 more general and adaptable one for x-ray imaging, without making the above
 107 assumptions. We demonstrate its use in x-ray phase contrast imaging of biological
 108 samples using electromagnetic phase stepping.

109

110 **Processing Algorithm for Arbitrary, Position-Dependent Phase Steps**

111 The algorithm consists of two steps, including determining the applied phase shifts $\Delta_m(\mathbf{r},$
 112 $n)$ for the images in the phase stepping set, and calculating the oscillation amplitude
 113 $H_m(\mathbf{r})$ and phase $\varphi_m(\mathbf{r})$. The second step will be described first using the applied phase
 114 shifts as *a priori* information. From Eq. (3), the images can be expanded into a linear
 115 combination of complex amplitudes A_m :

$$116 \quad I_n(r) = \sum_{m=-M}^M A_m(r) \exp[i\Delta_m(r, n)] \quad , \quad (4)$$

117 where A_m relates to the harmonic amplitude $H_m(\mathbf{r})$ and phase $\varphi_m(\mathbf{r})$ by

$$118 \quad A_m(r) \equiv \begin{cases} H_m(r) \exp[i\varphi_m(r)], m > 0 \\ H_0(r), m = 0 \\ A_{-m}^*(r), m < 0 \end{cases} \quad , \quad (5)$$

119 with * indicating the complex conjugate, and the positive and negative harmonic orders
 120 are conjugate of each other such that

$$121 \quad \Delta_m(r, n) = -\Delta_{-m}(r, n) \quad . \quad (6)$$

122 The goal is to solve for A_m . For this purpose the total number of images in the phase
 123 stepping set N should be equal to or greater than the number of unknowns, which is
 124 $2M+1$. Generally $N > 2M+1$, in which case the unknowns A_m are determined by a least-
 125 squares method that minimizes the error function for every location (\mathbf{r}) as

$$126 \quad E(r) \equiv \sum_n |I_n(r) - \sum_{m=-M}^M A_m(r) \exp[i\Delta_m(r, n)]|^2 \quad . \quad (7)$$

127 The solution can be expressed in matrix form as

$$128 \quad A_m(r) = \sum_{j=-M}^M \{C_{mj}^{-1}(r) \sum_{n=1}^N \exp[-i\Delta_j(r, n)] I_n(r)\} \quad , \quad (8)$$

129 where the matrix C is calculated from the applied phase shifts $\Delta_m(\mathbf{r}, n)$ by

$$130 \quad C_{mj}(r) = \sum_{n=1}^N \exp[i\Delta_j(r, n) - i\Delta_m(r, n)] \quad . \quad (9)$$

131 For efficient computation, the solution for A_m is written as linear combinations of the
 132 acquired images in the phase stepping set,

$$133 \quad A_m(\mathbf{r}) = \sum_{n=1}^N B_{mn}(\mathbf{r}) I_n(\mathbf{r}) , \quad (10)$$

134 where the coefficients of the linear combinations are

$$135 \quad B_{mn}(\mathbf{r}) = \sum_{j=-M}^M C_{mj}^{-1}(\mathbf{r}) \exp[-i\Delta_j(\mathbf{r}, n)] . \quad (11)$$

136 It will be shown below that the calculation of the coefficients $B_{mn}(\mathbf{r})$ is done at a reduced
 137 resolution to improve computation speed, and then interpolated back to the full
 138 detector resolution and used as inputs in Eq.(10) to obtain the complex amplitudes A_m
 139 at full resolution. Once the A_m 's are obtained, the amplitudes and phases of the various
 140 harmonics of the intensity oscillation at each pixel is expressed as the inverse of Eq. (5):

$$141 \quad \begin{aligned} H_m(\mathbf{r}) &= |A_m(\mathbf{r})|, \\ \varphi_m(\mathbf{r}) &= \text{phase}(A_m(\mathbf{r})). \end{aligned} \quad (12)$$

142 Now we describe how to determine the actual phase increments for all images in
 143 the phase stepping set, i.e. the applied phase shifts $\Delta_m(\mathbf{r}, n)$, without *a priori* knowledge.
 144 The basic idea is to treat the applied phase shifts as free functions of position, and
 145 measure them from the acquired images using a Fourier-transform method[5,15]. The
 146 Fourier method was first developed for interferogram analysis[8,16]. The application of
 147 the method requires the presence of a spatial carrier frequency in the real space
 148 domain, i.e. a fringe pattern in the image. In grid-based scatter imaging and scatter
 149 correction, the projection of the absorption grids is a periodic fringe pattern which
 150 provides the carrier frequency modulation. In phase-contrast imaging using high line
 151 density gratings, the grating periods are often smaller than the resolution of the
 152 detector, requiring broader moiré fringes to be formed in order to detect the phase
 153 shifts. This is accomplished by a slight rotation of one of the gratings away from the
 154 perfect alignment. An example is illustrated in the systems in Fig. 1, in which the
 155 absorption grating G_2 is rotated slightly around the beam axis relative to the G_0 and G_1
 156 gratings, leading to moiré fringes on the detector screen. The frequency of the fringes is
 157 obtained in data processing from calibration images without any sample. The 2D Fourier
 158 transform of the calibration image contains discrete peaks located at integer multiples
 159 of the carrier frequency [8]. The position of the first-order peak is identified in the
 160 Fourier domain, and provides the carrier frequency.

161 The spatial carrier frequency must be high enough to adequately separate the
 162 components of various harmonic orders in the Fourier domain[16]. In real space it

163 means that the fringes are dense enough such that the periods do not vary drastically
 164 within the distance of a single period. In grating-based imaging, the applied phase shifts
 165 in the phase stepping process, $\Delta_m(\mathbf{r}, n)$, may vary gently in space due to mild variations
 166 of the grating period from imperfect fabrication, or slight bending and misalignment of
 167 the gratings. The requirement means that the spatial scale of such variations is larger
 168 than the fringe periods.

169 Additionally, the fringes may be severely degraded in highly absorbing or
 170 scattering parts of the object, which renders the Fourier method ineffective in these
 171 areas. The solution we propose is to acquire a reference data set without any sample in
 172 order to obtain a template of the applied phase shifts in the phase stepping process.
 173 Then in imaging the samples, the measured phase shifts are compared to the templates,
 174 and a correction is added to account for drifts in the system that may occur between the
 175 sample and reference acquisition. The correction is in the form of a linear function of
 176 spatial coordinates. It is determined by a least-squares fitting of the difference between
 177 the measured and template phase shifts in areas where the fringe visibility is above a
 178 threshold.

179 The implementation follows the derivation of the Fourier analysis of
 180 interferograms[5,8,15,16]. In the presence of a fundamental carrier frequency \mathbf{g} , a linear
 181 phase term can be separated from the sample-induced phase shift and the applied
 182 phase shift in the phase stepping process for each harmonic order m :

$$183 \quad \phi_m(\mathbf{r}) = \Delta_m(\mathbf{r}, n) + \varphi_m(\mathbf{r}) + m\mathbf{g} \cdot \mathbf{r} \quad . \quad (13)$$

184 Then Eq. (4) becomes

$$185 \quad I_n(\mathbf{r}) = \sum_{m=-M}^M A_m(\mathbf{r}) \exp[i\Delta_m(\mathbf{r}, n) + m\mathbf{g} \cdot \mathbf{r}] \quad . \quad (14)$$

186 Using the definition

$$187 \quad D_m(\mathbf{r}, n) \equiv A_m(\mathbf{r}) \exp[i\Delta_m(\mathbf{r}, n)] \quad , \quad (15)$$

188 it is further reduced to

$$189 \quad I_n(\mathbf{r}) = \sum_{m=-M}^M D_m(\mathbf{r}, n) \exp(im\mathbf{g} \cdot \mathbf{r}) \quad . \quad (16)$$

190 The Fourier transform of the $I_n(\mathbf{r})$ is $i_n(\mathbf{k})$, given by

$$191 \quad i_n(\mathbf{k}) = \sum_{m=-M}^M d_m(\mathbf{k} - m\mathbf{g}, n) , \quad (17)$$

192 with $d_m(\mathbf{k}, n)$ being the Fourier transform of $D_m(\mathbf{r}, n)$. By its definition in Eq. (15), $D_m(\mathbf{r}, n)$
 193 is typically dominated by low-spatial-frequency components, and thus, its Fourier
 194 transform, $d_m(\mathbf{k}, n)$, is strongly peaked at zero frequency. Equation (17) means that the
 195 Fourier transform of the n th image, $i_n(\mathbf{k})$, is the sum of the individual Fourier transforms
 196 $d_m(\mathbf{k}, n)$, but with each $d_m(\mathbf{k}, n)$ shifted by a multiple of the carrier frequency $m\mathbf{g}$. Thus,
 197 $i_n(\mathbf{k})$ contains multiple peaks spaced by the carrier frequency \mathbf{g} . We make use of the area
 198 which is centered at a peak $m\mathbf{g}$ and extends half way to the neighboring peaks. This area
 199 is dominated by the Fourier transform $d_m(\mathbf{k}, n)$. We translate this windowed area by $-m\mathbf{g}$
 200 back to the center, and then inverse Fourier transform to obtain a version of $D_m(\mathbf{r}, n)$,
 201 but with the resolution reduced due to the cropped window in the Fourier domain:

$$202 \quad D_m'(\mathbf{r}, n) = FT^{-1}[i_n(\mathbf{k} - m\mathbf{g})], |(\mathbf{k} - m\mathbf{g}) \cdot \mathbf{e}_g| < |\mathbf{g}|/2 , \quad (18)$$

203 where ' indicating that the resolution is reduced to the period of the fringes in the
 204 direction of the vector \mathbf{g} , which is noted as \mathbf{e}_g . Since the inverse Fourier transform is
 205 preceded by translating the m th order peak back to the center, this step removes the
 206 linear phase ramp of $m\mathbf{g} \cdot \mathbf{r}$ in Eq. (13) in real space. Correspondingly, the phase of the
 207 harmonic image $D_m'(\mathbf{r}, n)$, noted as $\phi_m'(\mathbf{r}, n)$, is a low-resolution version of the remaining
 208 contributions from the sample and the applied phase shift in phase stepping, i.e.

$$209 \quad \phi_m'(\mathbf{r}, n) = \Delta_m'(\mathbf{r}, n) + \phi_m'(\mathbf{r}) . \quad (19)$$

210 As discussed earlier, the applied phase shift $\Delta_m(\mathbf{r}, n)$ only varies mildly over the
 211 length scale of the fringe period. Thus it is adequately captured by the low-resolution
 212 version $\Delta_m'(\mathbf{r}, n)$ in Eq. (19). Also by definition, the applied phase shift is relative to a
 213 particular image in the phase stepping set, e.g. the first image. Thus we can set

$$214 \quad \Delta_m'(\mathbf{r}, 0) = 0 , \quad (20)$$

215 and derive the phase shifts for the rest of the images from Eq. (19) as

$$216 \quad \Delta_m'(\mathbf{r}, n) = \phi_m'(\mathbf{r}, n) - \phi_m'(\mathbf{r}, 0) . \quad (21)$$

217 At this point we reached the goal of measuring the applied phase shifts without *a priori*
 218 knowledge. The measured $\Delta_m'(\mathbf{r}, n)$ are then used in Eq. (9) and Eq. (11) to provide the
 219 linear coefficients $B_{mn}(\mathbf{r})$ at a reduced resolution. These are interpolated to the full
 220 detector resolution, and input into Eq. (10) to retrieve the amplitude $H_m(\mathbf{r})$ and the

221 phase factor $\varphi_m(\mathbf{r})$ on a pixel-by-pixel basis, after removing the linear phase ramp $m\mathbf{g}\cdot\mathbf{r}$
 222 arising from the carrier frequency fringes.

223 In imaging experiments the fringes can diminish due to attenuation or scattering
 224 in the object. In such areas the above procedure would result in noisy measurements of
 225 the applied phase shifts. The solution is to acquire a set of reference images without
 226 samples, from which the applied phase shifts $\Delta_{r,m}'(\mathbf{r}, n)$ are obtained as templates.
 227 When imaging a sample, the actual applied phase shifts may differ from the templates
 228 due to instrumental drifts. This is accounted for by adding a correction term to the
 229 template in the form of a linear function of position

$$230 \quad \Delta_m'(\mathbf{r}, n) = \Delta_{r,m}'(\mathbf{r}, n) + a_m(n) + \mathbf{b}_m(n) \cdot \mathbf{r} \quad (22)$$

231 The correction term is determined from areas in the sample images where the fringes
 232 are well defined. The implementation is

$$233 \quad a_m(n) + \mathbf{b}_m(n) \cdot \mathbf{r} = \text{linear_regression}(\Delta_m'(\mathbf{r}, n) - \Delta_{r,m}'(\mathbf{r}, n)) \text{ for } \mathbf{r} \text{ where} \quad (23)$$

$$|D_m'(\mathbf{r}, n)| > \text{threshold}$$

234 Lastly, the final results of the amplitude $H_m(\mathbf{r})$ and phase $\varphi_m(\mathbf{r})$ generally contains
 235 baseline contributions from instrumental factors including grating imperfections and
 236 misalignments, in addition to the linear phase ramp $m\mathbf{g}\cdot\mathbf{r}$ from the carrier frequency.
 237 These are all removed by processing the reference data set to obtain the baseline $H_{r,m}(\mathbf{r})$
 238 and phase $\varphi_{r,m}(\mathbf{r})$, then removing them in the sample data according to

$$239 \quad H_{c,m}(\mathbf{r}) = H_m(\mathbf{r}) / H_{r,m}(\mathbf{r}), \quad (24)$$

$$\varphi_{c,m}(\mathbf{r}) = \varphi_m(\mathbf{r}) - \varphi_{r,m}(\mathbf{r}),$$

240 with the subscript c indicating corrected results.

241 Overall, the first step of the processing algorithm is to measure the applied
 242 phase shifts in phase stepping, which comprises the calculations described by Eqs. (18 –
 243 23); the second step is to retrieve the amplitude and phase of the fringes at full detector
 244 resolution, which comprises the calculations described by Eqs. (8 - 12), followed by the
 245 reference baseline correction of Eq. (24).

246 In the phase contrast imaging experiments below, the retrieved information is
 247 displayed in three images of different contrasts: the differential phase contrast image is
 248 simply the reference-corrected phase image of the first harmonic order $\varphi_{c,1}(\mathbf{r})$; the
 249 conventional intensity attenuation image is the reference-corrected amplitude of the

250 zeroth harmonic in log scale, $-\ln[H_0(\mathbf{r})/H_{r,0}(\mathbf{r})]$; the scatter (dark-field) image is the
251 attenuation of the fringe amplitude due to scattering in excess of intensity attenuation,
252 again in log scale as $-\{\ln[H_1(\mathbf{r})/H_{r,1}(\mathbf{r})]-\ln[H_0(\mathbf{r})/H_{r,0}(\mathbf{r})]\}$. For the application of scatter
253 correction, the reference-corrected amplitude of the first harmonic in log scale, $-\ln[H_1(\mathbf{r})/H_{r,1}(\mathbf{r})]$, is the desired image which is free of scattered x-rays.

255

256 **Application to Phase-Contrast Imaging Using Electromagnetic Phase** 257 **Stepping**

258 **Ethics Statement:** The ex vivo mouse imaging study was performed under a National
259 Heart, Lung and Blood Institute Animal Care and Use Committee approved protocol.

260 Electromagnetic phase stepping is a method for phase stepping without mechanical
261 motion. In the presence of a carrier frequency, the essential requirement for phase
262 stepping is a relative movement between the fringes and the projection image of the
263 object. EPS achieves the condition by electromagnetically shifting the focal spot of the x-
264 ray tube in a transverse direction across the fringe pattern, e.g. with an externally
265 applied magnetic field that deflects the electron beam in the x-ray tube (Fig. 1B).
266 Shifting the focal spot causes an opposite movement of the projection of the object on
267 the detector plane, while the fringes can be made to remain stationary or move by a
268 different amount. For example, in the case where a single grid is placed in front of the
269 object for scatter (dark-field) imaging or scatter correction[5,6], the fringes are displaced
270 by larger shifts when compared with the projection image. In the case of the three-
271 grating Talbot-Lau interferometer for phase contrast imaging[17], the movement of the
272 fringes is controlled by the arrangement of the gratings, and can be either null (as
273 illustrated by imaging experiments in this study), or larger than the projection of the
274 object. In all cases, the images are digitally shifted back to align the projections of the
275 object. The result is that the fringes move over a stationary projection of the object,
276 effectively synthesizing the phase stepping process.

277 In EPS the shift of the focal spot scales proportionally with the applied magnetic
278 field according to the action of the Lorenz force on the electron beam in the X-ray tube.
279 The magnetic field is generated by the applied electrical current into a solenoid coil (Fig.
280 1B), which is set at pre-programmed levels. The amount of the focal spot shift as a linear
281 function of the applied current is determined in a calibration procedure by measuring
282 the opposite movements of the projections of small tungsten beads on the image plane
283 under different current levels. Through the calibration procedure the shift for a given

284 applied current is known to an accuracy of 0.01 mm (10 μm) or better. The response
285 time of the focal spot movement is the time it takes to switch the magnetic field in the
286 solenoid coil, which is the time constant of the coil. It is set by the inductance and
287 resistance of the coil, and was 200 μs in our setup. Thus, the response time of the focal
288 spot movement was 200 μs in our experiments. The amount of movement of the
289 projection image depends on where the object is situated along the optical axis from the
290 source to the detector. As a result, the digital alignment process is specific for a plane
291 (the focal plane) along the optical axis. For a thick object, a single data set can be used in
292 separate processing runs for a series of focal planes which focuses on different sections
293 of the object.

294 We applied the APD phase stepping algorithm to phase-contrast imaging using
295 EPS in a three-grating Talbot-Lau interferometer[17]. The imaging device (Fig. 1B)
296 employed of a tungsten-target x-ray tube operating at 55 kVp/1mA with a focal spot size
297 of approximately 50 μm , and an x-ray detector with a pixel size of 30 μm and a matrix
298 size of 2048x2048. The interferometer consisted of three gratings of 4.8 μm period with
299 the first and third being intensity gratings (Microworks GmbH) and the second being a
300 phase grating. All gratings were rotated around the vertical axis by 45° to increase the
301 effective depths. The gratings were positioned at equal spacing over a total distance of
302 76 cm. The third grating was slight rotated around the optical axis to create vertical
303 moiré intensity fringes of 290 μm period. In this particularly way of creating the moiré
304 fringes, the fringe pattern is independent of the position of the focal spot of the cone
305 beam, and remains stationary during electromagnetic phase stepping. For EPS a home-
306 made copper solenoid coil was attached to the front surface of the x-ray tube housing to
307 generate a magnetic field in the tube. The coil was driven by a digital power supply
308 which provided up to 2.0 A of current at up to 8 W of power. The corresponding peak
309 magnetic field was 3.1 mT at the location of the electron beam inside the x-ray tube.
310 The field from a 1.5 A current was sufficient to shift the focal spot by 380 μm in the
311 horizontal direction, perpendicular to the moiré fringes. The deflections of the focal spot
312 at various levels of input current into the coil were known from calibration
313 measurements. Each EPS set comprised 6 images of increasing current levels from 0 to
314 1.5 A.

315

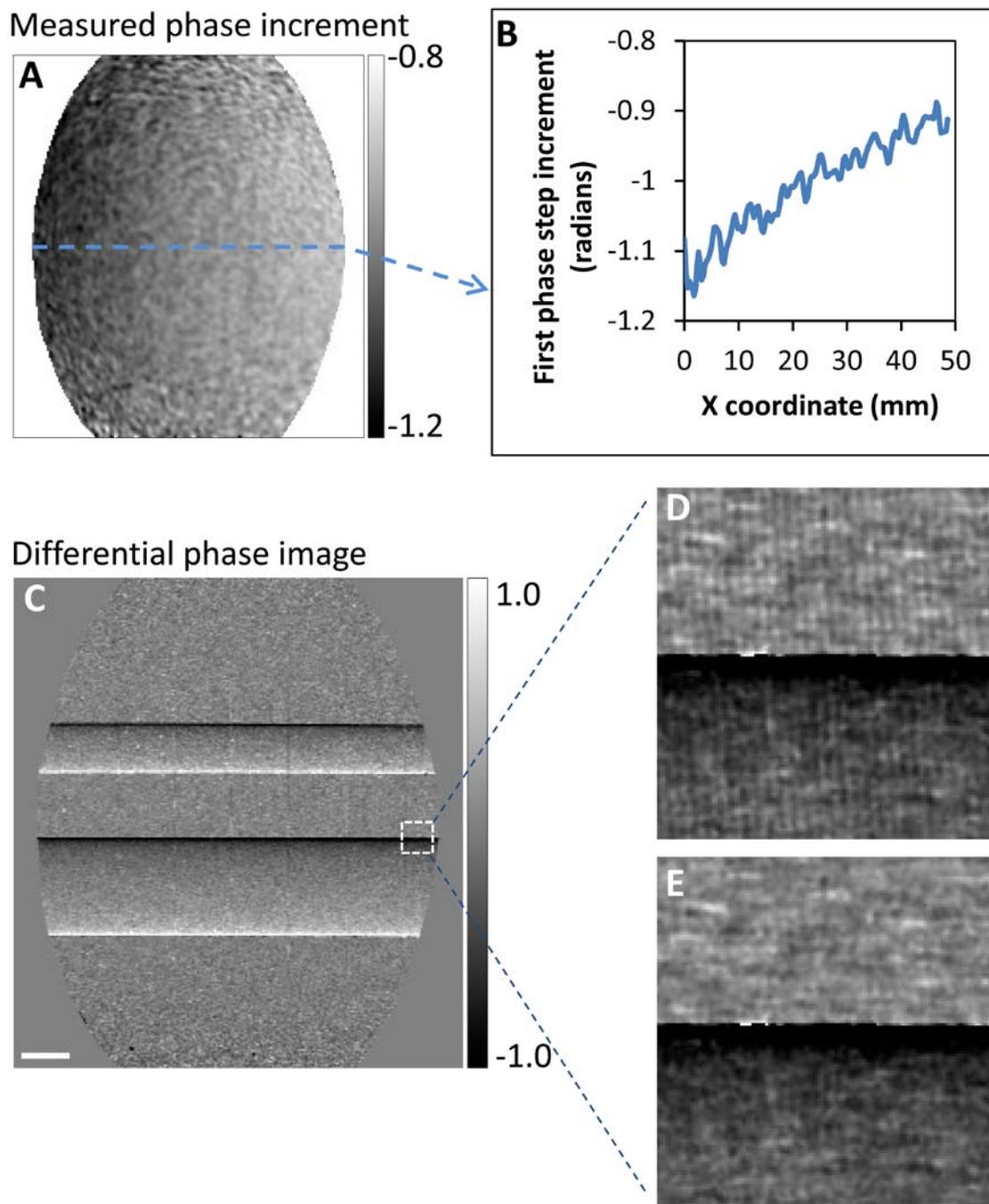
316 **Results**

317 We compared the APD algorithm with the previous algorithm assuming globally uniform
318 phase steps by Goldberg and Bokor[15]. A sample consisting of two horizontal

319 polyacetal plastic rods was imaged for the comparison. The phase increments measured
320 by the APD algorithm showed variations with position, in a peak-to-peak range of 20%
321 of the global mean over the area covered by the gratings (Fig. 2A, B). The global mean
322 phase increments among the 6 phase steps varied from -0.979 to 1.020. When spatially
323 uniform phase increments were assumed, the retrieved differential phase maps
324 contained residual fringe artifacts in the areas where the phase increment deviated
325 from the global mean value (Fig. 2D). The artifacts represent incomplete demodulation
326 of the carrier frequency fringes. When the APD algorithm was used, the artifacts were
327 eliminated and the fringe demodulation was complete in the entire grating area (Fig.
328 2E).

329 An example of applying the APD algorithm to biological samples was
330 demonstrated in an imaging experiment of a cricket. A single set of data from the
331 electromagnetic phase stepping procedure was used to retrieve several types of
332 contrasts, including the differential phase contrast, the scattering or dark-field, and the
333 conventional attenuation contrast (Fig. 3). A phase-contrast enhanced (PCE) image was
334 also obtained by combining the low spatial frequency information of the attenuation
335 image and the high spatial frequency information from the differential phase contrast
336 [18,19] (Fig. 3). The PCE image shares the same global features with the conventional
337 attenuation image but with more visible details at smaller length scales.

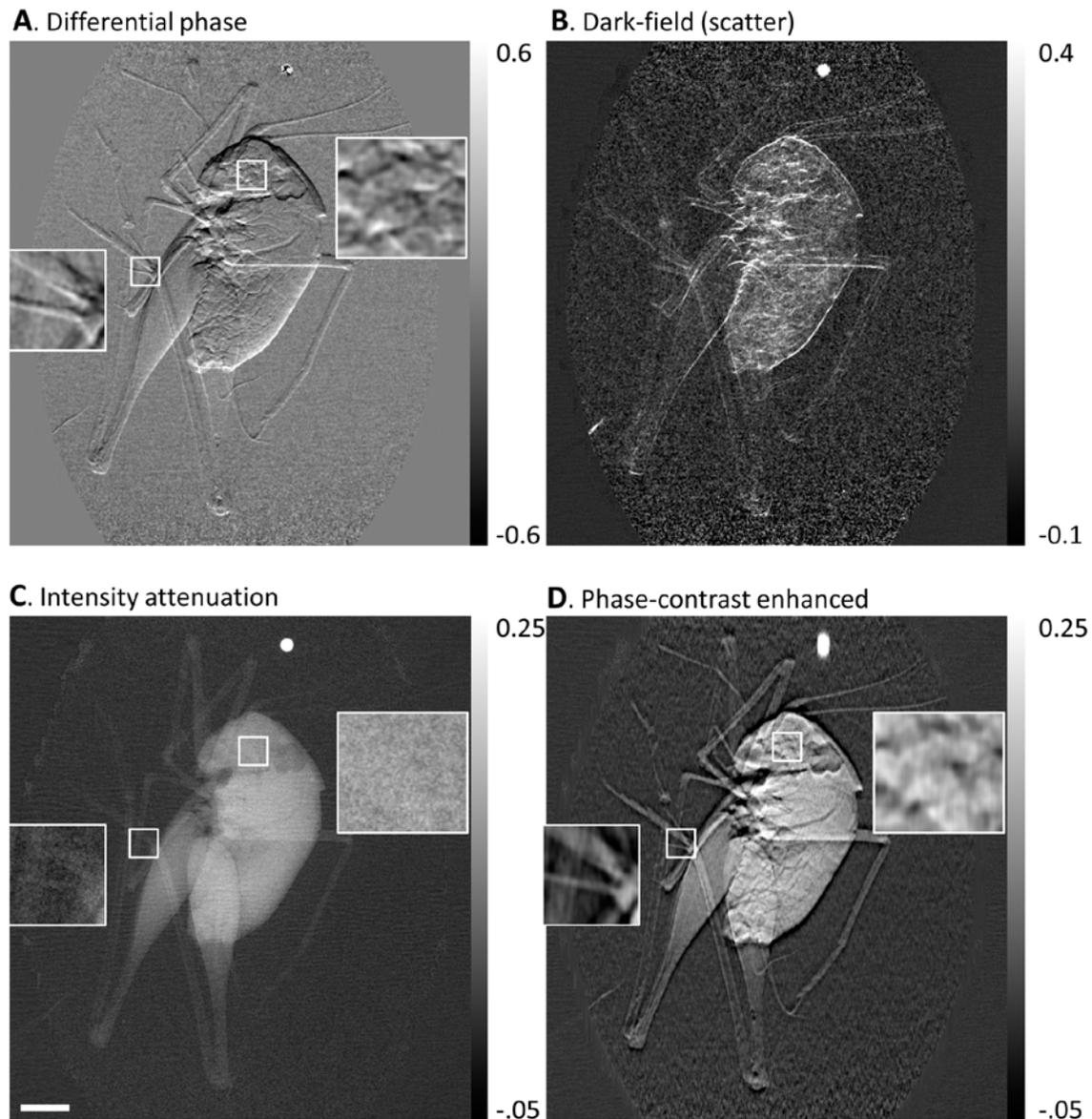
338 A further example of a biological application was an imaging study of a formalin
339 fixed body of a mouse under an institutional IACUC approved protocol (C57BL/6 wild-
340 type, 5 year old male). A sagittal projection of the head and chest region of the mouse
341 was acquired. The three types of contrasts along with the phase-contrast enhanced
342 image are shown in Fig. 4. The value of phase contrast lies in the enhanced high-spatial-
343 frequency details that are visible in the differential phase contrast and the phase-
344 contrast enhanced images but are either absent or less visible in the conventional
345 attenuation image.



346

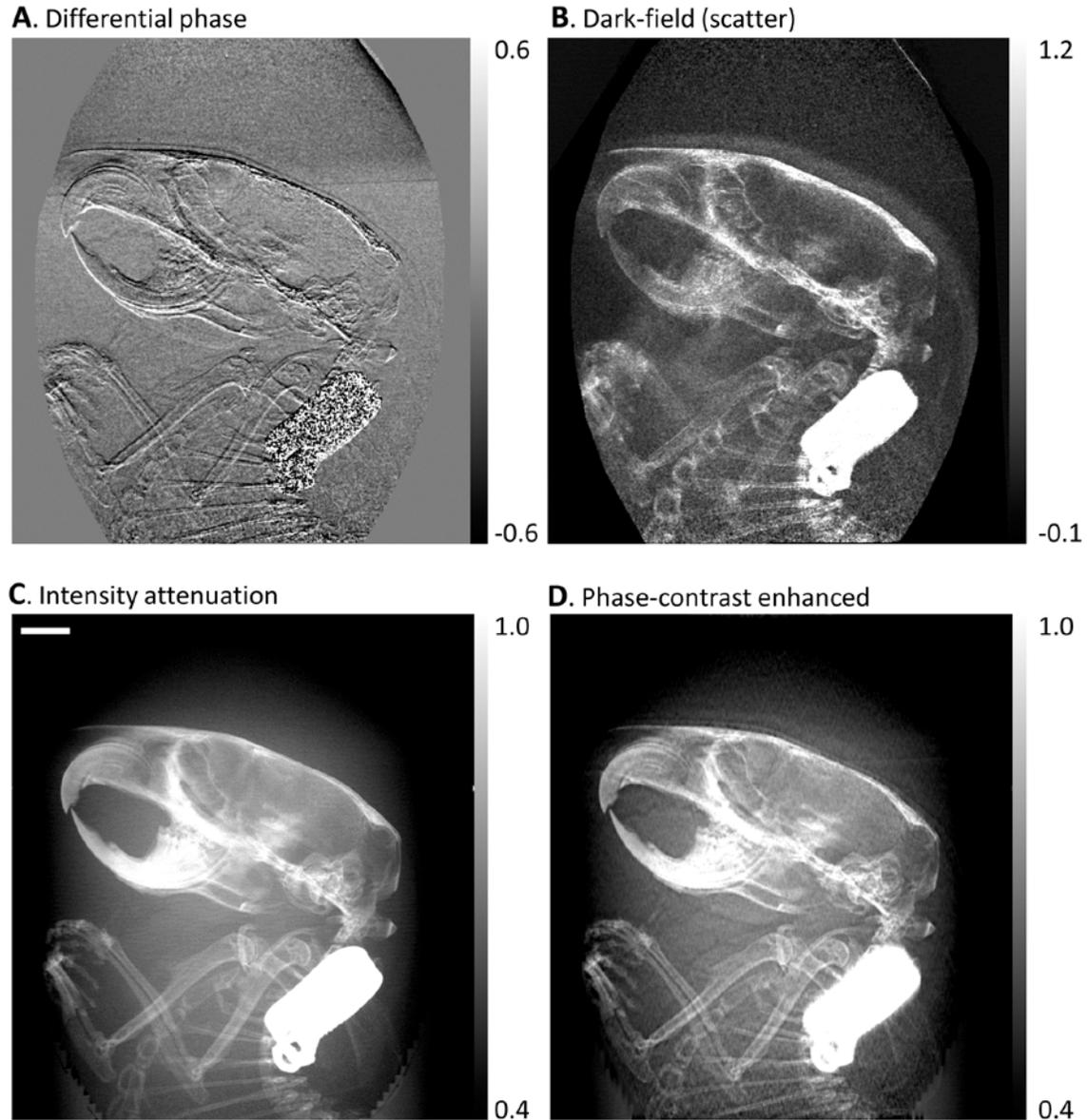
347 **Figure 2. The arbitrary and position dependent (APD) phase stepping algorithm improves**
 348 **phase retrieval.** (A) In an example of phase contrast imaging with electromagnetic phase
 349 stepping, the measured phase increment in the first of 6 steps is shown. Considerable variation
 350 can be seen over the oval area covered by the gratings. A profile across the center of the area
 351 (B) revealed a 20% gradual decrease of the phase increment. (C) For comparison, the differential
 352 phase contrast image of two horizontal polyacetal rods was retrieved with both the APD and the
 353 previous globally uniform algorithms. In the area outlined by the small square, the previous
 354 algorithm resulted in vertical fringe artifacts (D) which indicate incomplete demodulation of the

355 moiré fringes, while the APD algorithm removed the artifacts (E). The scalebar in (C) is 3 mm
 356 long.



357

358 **Figure 3. Retrieved images of a cricket from an electromagnetic phase stepping set.** The
 359 arbitrary and position dependent phase stepping algorithm was used to calculation (A) the
 360 differential phase, (B) the scatter (dark-field), (C) the conventional attenuation, and (D) the
 361 phase-contrast enhanced images. The phase-contrast enhanced image combines the high spatial
 362 frequency information of the differential phase image with the low spatial frequency
 363 information of the attenuation image. The bright dot above the cricket is a tungsten bead. Two
 364 small areas in the leg and head of the cricket are outlined with white squares and shown in
 365 magnified view. The details seen in the phase contrast images (A) and (D) are absent in the
 366 conventional attenuation image (C). The scalebar in (C) is 3 mm long.



367

368 **Figure 4. Retrieved images of the head region of a mouse.** The body of the mouse was fixed in
 369 formalin and then immersed in water in a plastic tube. Sagittal projections of the head and
 370 thorax area were taken. The arbitrary and position dependent phase stepping algorithm was
 371 used to analyze an electromagnetically phase stepped set of images. The results are **(A)** the
 372 differential phase contrast, **(B)** the dark-field (scatter), **(C)** the intensity attenuation and **(D)** the
 373 phase-contrast enhanced images (defined in Fig. 3). The beak-like structures in the top left are
 374 the upper and lower jaws and teeth of the mouse. The front legs can be seen below the skull.
 375 The bright rectangular object is a metallic ID tag. Phase contrast brings forth soft tissue details
 376 that are missing in the attenuation image. The scalebar in **(C)** is 3 mm long.

377

378 Discussion

379 By introducing a high-spatial-frequency modulation into the propagating wave of an x-
380 ray imaging system using grids or gratings, both the scattering and refraction of the
381 wave can be quantified at the full resolution of the detector through the phase stepping
382 procedure. This then allows for phase-contrast[2,4] and scatter imaging[5,7] as well as
383 removal of the “fog” of diffusely scattered x-rays for improved image clarity[3,6]. In less
384 than ideal experimental conditions as well as practical application settings, both
385 mechanical and electromagnetic phase stepping procedures can bring about phase
386 increments that vary from step to step and also spatially from location to location in the
387 field of view [20]. We showed that the APD algorithm can effectively deal with such
388 conditions, and is particularly well suited for the implementation of electromagnetic
389 phase stepping. Although the experimental tests were performed with x-ray, the
390 algorithm traces its lineage back to optical wavefront measurements and can be directly
391 applied there.

392 The APD algorithm involves more computation than previous algorithms that
393 assume ideal or uniform phase increments, we found that the computation time for
394 each data set was approximately 30 seconds on a 2008 model laptop PC using a home-
395 made software. The software was written in the IDL data processing language (Exelis
396 Visual Information Solutions, Inc). Thus, it should be possible to perform image
397 processing in near real time with modern workstations.

398

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