



The role of iron redox state in the genotoxicity of ultrafine superparamagnetic iron oxide nanoparticles

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ABSTRACT

Ultrafine superparamagnetic iron oxide nanoparticles (USPION) hold great potential for revolutionising biomedical applications such as MRI, localised hyperthermia, and targeted drug delivery. Though evidence is increasing regarding the influence of nanoparticle physico-chemical features on toxicity, data however, is lacking that assesses a range of such characteristics in parallel. We show that iron redox state, a subtle though important physico-chemical feature of USPION, dramatically modifies the cellular uptake of these nanoparticles and influences their induction of DNA damage. Surface chemistry was also found to have an impact and evidence to support a potential mechanism of oxidative DNA damage behind the observed responses has been demonstrated. As human exposure to ferrofluids is predicted to increase through nanomedicine based therapeutics, these findings are important in guiding the fabrication of USPION to ensure they have characteristics that support biocompatibility.

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1. Introduction

Ultrafine superparamagnetic iron oxide nanoparticles (USPION) are a class of nanoparticles (<100 nm) that hold great potential for revolutionising nanomedicine, with the implementation of functionalised USPION in biomedical applications such as MRI, hyperthermia, detoxification of biological fluids and targeted drug delivery [1–4]. Some key features of these agents, primarily their ease of synthesis, biocompatibility and exploitable magnetic properties, have prompted their rapid growth in fabrication and utilization both in therapeutics and diagnostics [5]. In order to maximize the benefits of using USPION in biomedical applications, a suitable surface coating must be applied to mitigate agglomeration, stabilise the iron oxide core in the *in vivo* aqueous environment and to control biodistribution i.e. evasion from the reticulo-endothelial system (RES) [6]. A vast array of polymers (e.g. chitosan, dextran) and bioactive molecules (e.g. enzymes, DNA/RNA) are used for the surface modification/functionalisation of USPION. The most commonly used coating is dextran, a branched polysaccharide comprised of glucose units [7]. Dextran-coated SPION were

approved for clinical use by the US Food and Drug Administration (FDA) owing to their biocompatibility and polar interaction with the iron oxide surface [7].

The wide variety of different formulations relating to size, shape, functional group and surface coating can contribute to the unique and specific physical and chemical features of a particular USPION. These varying characteristics, synergistically or in isolation, govern specific toxicological endpoints ranging from apoptosis and impaired mitochondrial function to generation of reactive oxygen species (ROS) and alteration in gene expression profiles [8–11]. For example, dimercaptosuccinic acid (DMSA) stabilised SPION induced toxic effects in PC12 neural cells, while neither DMSA nor the SPION core alone displayed any significant toxicity [12].

Although the importance of nanomaterial physico-chemical characteristics on toxic potential has been well-recognized, the tendency of iron in USPION to undergo oxidation presents an additional complication. Aqueous suspensions of USPION exist mainly as magnetite (Fe₃O₄) or maghemite (γ-Fe₂O₃) [13,14]. Magnetite (also written as, FeO·Fe₂O₃) contains both Fe²⁺ and Fe³⁺ ions and is thermodynamically unstable because the Fe²⁺ in the crystalline lattice can readily undergo oxidation, forming a product in the solid solution range between magnetite and maghemite in the presence of air, light and moisture [15]. Therefore, as a common by-product of magnetite oxidation,

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maghemite or a non-stoichiometric intermediate can potentially be found in ferrofluids.

The two forms of iron oxides can demonstrate different cellular responses. In fact, uncoated Fe₃O₄ nanoparticles can cause higher levels of oxidative DNA lesions in lung epithelial cells than uncoated γ -Fe₂O₃ nanoparticles [16,17]. On the other hand, γ -Fe₂O₃ can cause cell death and ROS production in endothelial cells [18], while Fe₃O₄ nanoparticles have been associated with biocompatibility and lack of toxicity [19,20]. However, these materials were investigated in different studies and thus, slight differences in their physico-chemical features may also contribute to the different cellular responses observed.

In the present study, we employed four different USPION with the same core particle size to examine whether other physico-chemical characteristics including surface coating and most importantly, the iron redox state could modulate cellular internalisation and genotoxicity.

2. Materials and methods

2.1. Materials

Dextran-coated USPION (dUSPION), including Fe₃O₄ dUSPION, γ -Fe₂O₃ dUSPION and powders of uncoated Fe₃O₄ and uncoated γ -Fe₂O₃ were purchased from Liquids Research, Bangor, UK. RPMI 1640, horse serum and L-glutamine, sodium pyruvate, antibiotics were purchased from Gibco, UK. N-acetyl-L-cysteine (NAC), dimethyl sulphoxide (DMSO), L-ascorbic acid sodium salt, ferrozine (3-(2-pyridyl)-5,6-bis(phenyl sulfonic acid)-1,2,4-triazine), pyridine and neocuproine (2,9-dimethyl(1,10-phenanthroline) were obtained from Sigma-Aldrich, UK.

Human lymphoblastoid cell line (MCL-5) was purchased from Genetec Corporation and cultured in RPMI-1640 supplemented with 10% horse serum and 1% L-glutamine. Human foreskin fibroblast cell line (HFF-1) was purchased from American Type Culture Collection (Manassas, VA, USA) and cultured in DMEM (with 4.5 g/L glucose) supplemented with 15% foetal calf serum, 1% sodium pyruvate (1 mM) and 1% streptomycin (100 μ g ml⁻¹)/penicillin (100 IU ml⁻¹).

The stable isotope-labeled modified DNA base standards, thymine glycol-d₄ [TG-d₄], 5-hydroxy-5-methylhydantoin-¹³C ¹⁵N₂ [5-OH-5-MeHyd-¹³C ¹⁵N₂], 2,4-diamino-5-formamidopyrimidine-¹³C ¹⁵N₂ [FapyAde-¹³C ¹⁵N₂], 2,6-diamino-4-hydroxy-5-formamidopyrimidine-¹³C ¹⁵N₂ [FapyGua-¹³C ¹⁵N₂] and 8-hydroxyguanine-¹⁵N₅ [8-OH-Gua-¹⁵N₅] were obtained from Cambridge Isotope Laboratories, Inc. (Andover, MA). Formamidopyrimidine-DNA glycosylase (Fpg) and endonuclease III (EndoIII) were expressed and purified from *E. coli* as previously described [21,22]. Bis(trimethylsilyl)trifluoroacetamide (BSTFA)/1% trimethylchlorosilane was purchased from Pierce Chemical Co. (Rockford, IL).

2.2. Dynamic light Scattering (DLS)

The hydrodynamic particle sizes of USPION samples were obtained by DLS. The measurements were performed using a Malvern 4700 spectrometer (Malvern instruments Ltd., UK) in water, horse serum and RPMI-1640 medium with 1% or 10% horse serum. Data are presented as the average of 10 readings.

2.3. X-ray Photoelectron Spectroscopy (XPS)

The oxidation state of iron in all four USPION was confirmed by XPS [23]. XPS of the uncoated powders was carried out in a VG Escalab using Al K α unmonochromated radiation (1486.3 eV). The powders were pressed into Indium foil and scanned. The Fe²⁺/Fe³⁺ ratio was extracted from the Fe2p and Fe3p core level, scanned at a pass energy of 10 eV.

2.4. Zeta potential

The ζ -potential values of the USPION were determined by Zetasizer 2000 (Malvern instruments Ltd., UK). The nanoparticles were dispersed in water or 1% serum medium and the ζ -potential values presented are the average of 10 readings.

2.5. Transmission Electron Microscopy (TEM)

USPION samples for TEM were prepared, as previously described [24]. *Sample preparation:* Subsequent to treatment with either of the four dUSPION the cells were washed twice in serum free medium, re-suspended in 3% glutaraldehyde buffer solution (1.2 ml of 25% glutaraldehyde in 10 ml of 0.1 M cacodylate buffer) for 2 h at 4 °C. The cells were then centrifuged, and the pellet was re-suspended in 0.1% glutaraldehyde and stored at 4 °C. Cell preparations were processed for TEM analysis following the method as described previously [24]. TEM analysis was undertaken

with a FEI/Philips CM200 field emission gun TEM operating at 197 keV and fitted with a Gatan Imaging Filter (GIF 200) and an Oxford Instruments ultrathin window energy dispersive X-ray detector (EDX).

2.6. Micronucleus assay

The sequential cytokinesis-blocked micronucleus (CBMN) assay was performed as recommended by Doak et al. (2009) [25]. Briefly, 1x10⁵ cells per ml were seeded for 24 h. The cells were then exposed to the USPION samples for 24 h in 1% serum containing medium. The concentration of USPION used in this study is relevant to the dosages employed in clinical trials [9,26]. Mitomycin-C (MMC) 0.01 μ g ml⁻¹ was used as an assay positive control and all treatments were performed in duplicates. Following exposure, cell preparations were washed and incubated for further 24 h with 10% serum medium containing 3 μ g ml⁻¹ cytochalasin B. The cells were harvested (see Supplementary Methods) and stained with 4',6-diamidino-2-phenylindole (DAPI).

Relative population doubling (RPD) was used to assess cytotoxicity (see Supplementary Methods) [27]. High numbers of cells (5000 per dose) were scored in the CBMN assay in an automated manner using the Metafer image analysis system (MetaSystems, Carl Zeiss Ltd) to enhance assay sensitivity and statistical power (routine analysis requires scoring 2000 cells per dose; OECD 487).

To assess the role played by oxidative stress in inducing DNA damage, cells were pre-treated with 2 mM of the anti-oxidant, N-acetyl-L-Cysteine (NAC) for 2-h, followed by γ -Fe₂O₃ dUSPION for 24-h before performing the CBMN assay as described above.

2.7. Kinetochore staining

Following CBMN assay treatment, the cells were cytocentrifuged onto slides and fixed in 90% methanol at -20 °C. Immunofluorescent staining of kinetochore proteins was performed as described by Ellard et al. [28] except that counterstaining was with DAPI (Vectashield; Vector Laboratories, UK). Kinetochore scoring was carried out on a Zeiss AxioCam HRc (Carl Zeiss Microscopy and Imaging, UK). For each dose, micronuclei from 100 binucleated cells were scored for the presence or absence of kinetochore signals.

2.8. DNA damage measurements using Gas chromatography/mass spectrometry (GC/MS)

GC/MS with isotope dilution was used to determine the absolute levels of five different oxidized base products: 8-OH-Gua, TG, 5-OH-5-MeHyd, FapyGua and FapyAde. MCL5 cells were seeded for 24 h at 1x10⁵ cells per ml, then treated with γ -Fe₂O₃ dUSPION for a further 24 h. Following washing, DNA was extracted from the treated cells using the DNeasy Blood & Tissue Kit (Qiagen). The DNA was precipitated and GC/MS analysis was performed as described by previously [29] (see Supplementary Methods).

2.9. Ferrozine assay

The ferrozine assay was performed as described by Riemer et al. [30]. Briefly, the cell pellets were lysed in 200 μ L of 0.01 M HCl and 100 μ L of the iron-releasing reagent (a freshly mixed solution of equal volumes of 2.4 M HCl and 9% (w/v) KMnO₄ in H₂O), for 2 h at 60 °C. After cooling to room temperature, 20 μ L of the iron-detection reagent [162.5 μ L ferrozine (6.5 mM), 164 μ L neocuproine (13.1 mM), 1.66 ml ammonium acetate (5 M), and 0.88 g ascorbic acid and 500 μ L of water] was added to each tube and vortexed before transferring into a 96-well plate for absorbance measurement at 550 nm. Iron content was determined by calibration against a standard curve made using ferrous ethylenediammonium sulphate (Ferrous EAS). All experiments were performed in triplicate.

2.10. Determination of free ferric ion (Fe³⁺)

In order to measure free Fe³⁺ ions, citrate buffer with different pH (4.5, 5.5 and 7) was made as described by Arbab et al. [31] γ -Fe₂O₃ dUSPION was diluted to a concentration of 100 μ g ml⁻¹ in the different buffer systems) in a total volume of 1 ml and the mixtures were incubated at 37 °C (5% CO₂) for 24, 48, 72 and 96 h. At each time point, 200 μ L was taken and diluted to 4 ml in PBS. 0.4 ml of this mixture was added to 25 μ M Tiron, 500 μ L KOH(4 N) and 1 ml of phosphate-containing buffer (as described by Soenen et al. [32]). The absorbance was then measured at 490 nm. The concentration of Fe³⁺ ions in solution after incubation of USPION in buffers of different pH was calculated by subtracting the concentration obtained from γ -Fe₂O₃ dUSPION without any pH buffer.

2.11. Statistical analysis

In the MN assay duplicates of each dose were used and statistical significance was determined according to the Fisher's exact test. Statistically significant accumulation of DNA base oxidative lesions was assessed via one-way ANOVA with posthoc Dunnett's multiple comparison test (GraphPad Prism 5.0, La Jolla, CA). In the

ferrozine assay, student's *t*-test was used to compare the differences between the means of two samples. Differences were deemed to be significant when $p < 0.05$.

3. Results

3.1. Physico-chemical characterisation

A range of physico-chemical properties were characterised for all the USPIO under study (Table 1). TEM was used to determine size, morphology and crystallinity of the USPIO. All were ~ 10 nm in size, had a crystalline core with an inverse spinel structure and had similar near-spherical morphology except for the additional presence of some nanorods in the uncoated γ -Fe₂O₃. The DLS measurements revealed that in the presence of 10% serum containing media (vs. 1% serum containing media or water), the hydrodynamic size of all the USPIO was at the lowest (~ 100 nm), the difference being most pronounced for the uncoated USPIO samples. Agglomeration of the uncoated USPIO samples was considerably higher in water than serum containing media. The zeta potential for all USPIO were between -13.9 ± 1.4 mV and 3.3 ± 0.4 mV and therefore, considered approximately neutral [33]. EDX indicated the presence of only iron and oxygen in the uncoated USPIO and iron, oxygen and carbon in the case of dUSPIO. Note that due to the ease of Fe₃O₄ oxidation, these samples were rarely pure when supplied by the manufacturer and indeed were mixed Fe₃O₄/ γ -Fe₂O₃ samples.

3.2. Effect of serum concentration on cellular uptake of USPIO

MCL5 cells were treated with $100 \mu\text{g ml}^{-1}$ of γ -Fe₂O₃ dUSPIO, Fe₃O₄ dUSPIO, uncoated Fe₂O₃ or uncoated Fe₃O₄ in 1% or 10% serum containing medium for 24 h. Intracellular iron content was determined by the ferrozine assay and confirmed by TEM. Treatment with γ -Fe₂O₃ dUSPIO in 1% (vs. 10%) serum medium brought about a substantial increase in cellular uptake (Fig. 1a) and this was confirmed by TEM analysis, which showed these USPIO largely localised within vesicles although some non-membrane bound nanoparticles were observed in the cytoplasm (Fig. 1b). Similar

observations under varying serum conditions were made on the HFF-1 fibroblast cell line after exposure to Fe₂O₃ dUSPIO i.e. significant increase in cellular iron content in 2% vs. 15% serum medium (results not shown). The other USPIO samples examined did not show significant uptake in 1% vs. 10% serum supplemented media (Fig. 1a). In fact, in the presence of 10% serum medium, MCL5 cells exhibited similar cellular iron content levels regardless of the USPIO sample exposure.

3.3. Genotoxicity in MCL5 cells exposed to USPIO

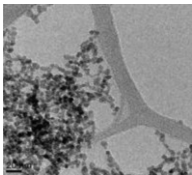
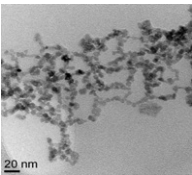
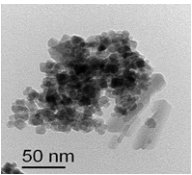
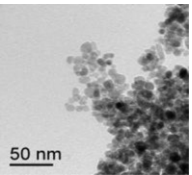
MCL5 cells were treated with a range of concentrations (1 – $100 \mu\text{g ml}^{-1}$) of γ -Fe₂O₃ dUSPIO, Fe₃O₄ dUSPIO, uncoated γ -Fe₂O₃ or uncoated Fe₃O₄ in 1% serum medium for 24 h in order to assess USPIO induced chromosomal damage (Fig. 2). No cytotoxicity was observed over the selected dose-range with any of the USPIO samples studied and the only sample to demonstrate genotoxicity was γ -Fe₂O₃ dUSPIO (Fig. 2a).

A no-observed effect level (NOEL) was observed for γ -Fe₂O₃ dUSPIO exposures between 0 and $3 \mu\text{g ml}^{-1}$ and above this statistically significant increases in chromosomal damage were observed, resulting in a lowest-observed effect level (LOEL) at $4 \mu\text{g ml}^{-1}$ ($P < 0.01$). At $4 \mu\text{g ml}^{-1}$, the micronuclei frequency was elevated to 2.5 vs. 1.1 for control; subsequent higher doses of γ -Fe₂O₃ dUSPIO above the LOEL, did not result in further substantial increases in chromosomal damage (Fig. 2a). Whilst γ -Fe₂O₃-dUSPIO induced significant micronuclei at a concentration of $4 \mu\text{g ml}^{-1}$ and higher, Fe₃O₄-dUSPIO, uncoated Fe₃O₄ and uncoated γ -Fe₂O₃ did not induce micronuclei above control at any of the concentrations tested (Fig. 2b–d). In addition, no increase in frequency of micronuclei or cytotoxicity was observed upon exposure of MCL5 cells with dextran only (results not shown).

Kinetochore staining following the CBMN assay was performed to identify micronuclei containing a centromere (kinetochore positive; K+) indicating the presence of a whole chromosome; or lacking a centromere (kinetochore negative, K-), which represents chromosome fragments within the micronucleus. The ratio of K- to K+ micronuclei was therefore, determined. Fig. 2e, shows the

Table 1

Summary of physico-chemical characterisation data for maghemite dUSPIO, magnetite-dUSPIO, uncoated maghemite and uncoated magnetite. $N = 10$. [maghemite = γ -Fe₂O₃; magnetite = Fe₃O₄]. All had an inverse spinel structure (by electron diffraction) and had similar near-spherical morphology except for the additional presence of some nanorods in the uncoated γ -Fe₂O₃.

Physico-chemical characteristics	γ -Fe ₂ O ₃ dUSPIO	Fe ₃ O ₄ dUSPIO	Uncoated γ -Fe ₂ O ₃	Uncoated Fe ₃ O ₄
Particle morphology				
Primary particle size	10 nm Crystalline	10 nm Crystalline	10–20 nm Crystalline	10 nm Crystalline
Hydrodynamic diameter (nm)				
• Water	75 ± 2.5 nm	160 ± 8 nm	3900 ± 300 nm	1450 ± 132 nm
• 1% serum medium	80 ± 5 nm	156 ± 1 nm	819 ± 87 nm	905 ± 204 nm
• 10% serum medium	57.5 ± 11 nm	112 ± 2 nm	101 ± 19.6 nm	107 ± 23 nm
Zeta potential				
• Water	-11.4 ± 2.5 mV	-11.4 ± 1.6 mV	-13.9 ± 1.4 mV	3.3 ± 0.4 mV
• 1% serum medium	-4.1 ± 1.0 mV	4.7 ± 1.3 mV	-8.7 ± 3.4 mV	-9.2 ± 2.2 mV
• 10% serum medium	-4.8 ± 1.2 mV	4.2 ± 1.1 mV	-7.9 ± 1.7 mV	-7.9 ± 1.5 mV
Chemical Composition	Fe ²⁺ /Fe ³⁺ = 0.118	Fe ²⁺ /Fe ³⁺ = 0.435	Only Fe ³⁺ detected	Fe ²⁺ /Fe ³⁺ = 1.22

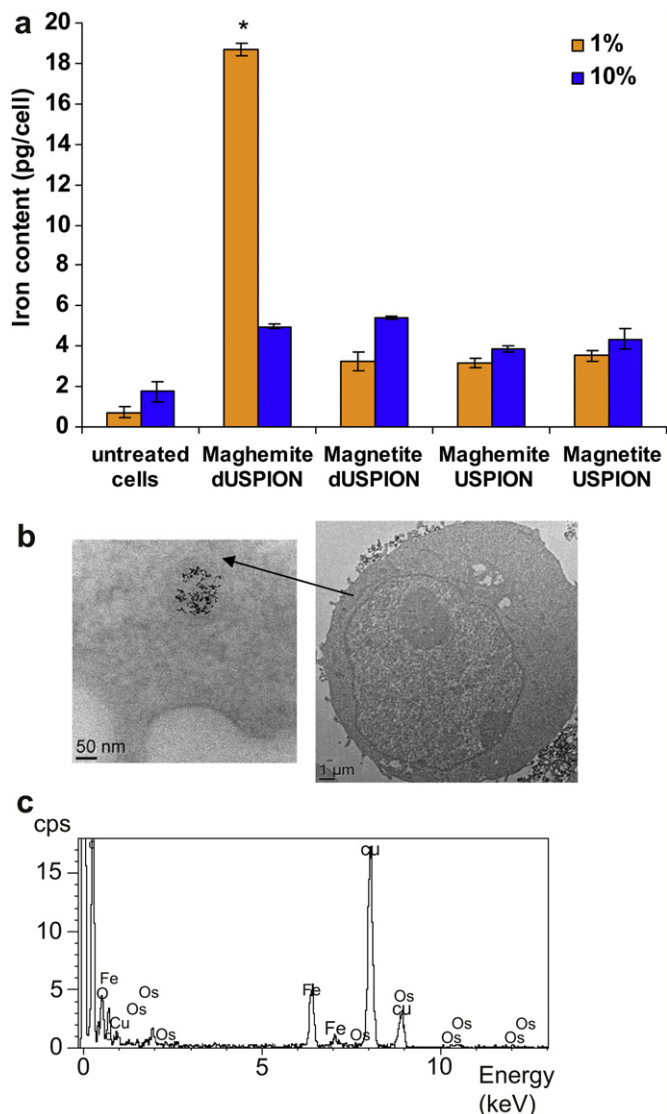


Fig. 1. Effect of serum concentration on cellular uptake of different USPION. (a) Iron content (pg/cell) in MCL5 cells following 24 h exposure ($100 \mu\text{g ml}^{-1}$) to $\gamma\text{-Fe}_2\text{O}_3$ dUSPION, Fe_3O_4 dUSPION, uncoated $\gamma\text{-Fe}_2\text{O}_3$ or uncoated Fe_3O_4 in the presence of 1% or 10% serum, measured using the ferrozine assay. Data are expressed as mean $\pm$ standard deviation. (b) Representative TEM image of MCL5 cell treated with $\gamma\text{-Fe}_2\text{O}_3$ dUSPION. The magnified box shows USPION located within the vesicles (c) Energy-dispersive X-ray analysis of $\gamma\text{-Fe}_2\text{O}_3$ showing Fe and O peaks (the copper and osmium peaks are background signals from the support film and specimen holder). * $p < 0.01$ when compared to cellular uptake in 10% serum medium or other USPION at both serum concentrations. $N = 3$.

percentage of both K⁺ and K⁻ that contribute to the total percentage of micronuclei at a given dose of $\gamma\text{-Fe}_2\text{O}_3$ dUSPION. As expected, the frequency of K⁺ and K⁻ centromeres was similar in the untreated cells as any micronuclei are generated through a random damaging event. In the $\gamma\text{-Fe}_2\text{O}_3$ dUSPION treated cells however, the proportion of K⁻ micronuclei increased at $4 \mu\text{g ml}^{-1}$ and this increase was sustained at all the higher concentrations studied indicating the predominant induction of chromosome fragmentation by exposure to $\gamma\text{-Fe}_2\text{O}_3$ dUSPION.

3.4. Role of oxidative stress in inducing DNA damage

MCL5 cells were treated with 0, 2 or $4 \mu\text{g ml}^{-1}$ of $\gamma\text{-Fe}_2\text{O}_3$ dUSPION in 1% serum medium for 24 h and the extracted DNA was

analyzed with GC/MS in order to quantify the levels of accumulated oxidative base lesions. As shown in Fig. 3a, dose-dependent increases in the levels of 8-OH-Gua, FapyGua and TG were observed. The level of FapyAde was also increased but only at the highest dose. Furthermore, four of the five detected lesions were significantly increased at the highest $\gamma\text{-Fe}_2\text{O}_3$ dUSPION dose.

The GC/MS study clearly demonstrated that exposure to $\gamma\text{-Fe}_2\text{O}_3$ dUSPION resulted in oxidative damage to DNA. To determine if these oxidative lesions were directly responsible for the genotoxicity observed with the CBMN assay (Fig. 2a), the $4 \mu\text{g ml}^{-1}$ and $50 \mu\text{g ml}^{-1}$ doses were chosen to study the effect of the reactive oxygen species (ROS) scavenger, N-acetyl-L-cysteine (NAC). MCL5 cells were pre-treated with or without NAC (2 mM) for 2 h prior to repeating the CBMN assay. As shown in Fig. 3b, pre-treatment with NAC, significantly reduced the micronuclei frequency in MCL5 cells when compared to cells with no ROS scavenger pre-treatment. The data suggest that dUSPION induced ROS have a direct role in the induction of chromosomal damage and the subsequent formation of micronuclei.

3.5. Effect of pH on the generation of Fe^{3+} ions in $\gamma\text{-Fe}_2\text{O}_3$ dUSPION

As the TEM imaging revealed that the of $\gamma\text{-Fe}_2\text{O}_3$ dUSPION were largely enclosed within membrane bound vesicles, we wished to examine the possibility that the NP may release Fe^{3+} ions (particularly within low pH lysosomes). Thus, $100 \mu\text{g ml}^{-1}$ of $\gamma\text{-Fe}_2\text{O}_3$ dUSPION were incubated for 24, 48, 72 and 96 h in citrate buffers at different pH (i.e. 4.5, 5.5 and 7). As shown in Fig. 4, there was a pH and time-dependent release of Fe^{3+} ions resulting in a substantial significant increase at longer times and lower pH ($20.3 \mu\text{g ml}^{-1}$ at 72 h followed by $28.5 \mu\text{g ml}^{-1}$ at 96 h, at pH 4.5). At all time points, there was a significant increase in ferric ions at pH 4.5 as compared to that at higher pH.

4. Discussion

This study is the first to provide evidence that the redox state of iron in USPION, in conjunction with other well-known physico-chemical properties such as agglomerate size and surface coatings can dramatically modify the cellular uptake and inherent (geno)toxicity profile of SPION. Despite the notable attributes of SPION including biocompatibility and the body's intrinsic iron homeostatic mechanisms for efficient clearance from the body, the physico-chemical properties of SPION play a key role in influencing their pharmacokinetic behaviour. This ultimately determines their *in vivo* fate and therefore, necessitates their thorough physico-chemical characterisation and safety assessment [34,35].

Cyto- and/or genotoxicity can be indirectly influenced by the interaction between nanoparticles (NP) and the culture growth medium, which comprises an array of proteins, nutrients and growth factors [25]. Both *in vitro* and *in vivo*, the nanoparticle–protein corona is likely to be a complex entity that is transient in nature and is largely determined by the extracellular environment (culture media or body fluids), as well as the physico-chemical properties of the NP itself [36]. These protein associations could potentially govern cellular interactions including NP-cell adhesion, intracellular uptake and localization, besides modulating downstream cellular responses, such as oxidative stress and genotoxicity.

Indeed, in the present study, the significantly greater cellular uptake of $\gamma\text{-Fe}_2\text{O}_3$ dUSPION was shown to be associated with the serum concentration of the medium, with the low serum concentration having a positive influence on uptake (Fig. 1). This observation was nanoparticle specific and did not hold true for the other USPION studied, where little difference in uptake was observed

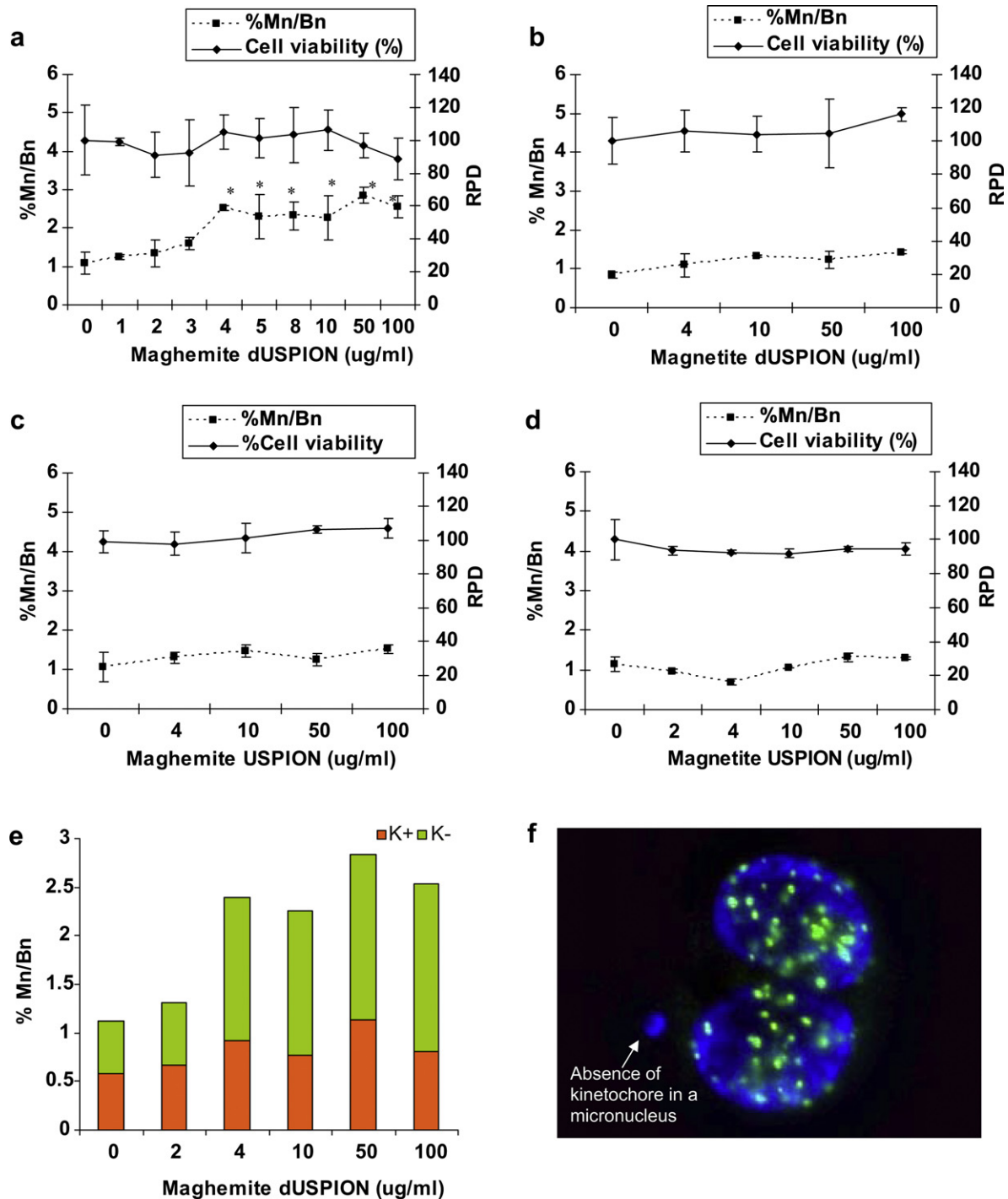


Fig. 2. Micronucleus frequency and cell viability in MCL5 cells exposed to different USPION doses. (a) γ -Fe₂O₃ dUSPION (b) Fe₃O₄ dUSPION (c) uncoated γ -Fe₂O₃ (d) uncoated Fe₃O₄. Micronucleus frequency was measured by calculating the percentage of micronuclei in binucleated cells (%Mn/Bn) per exposure dose. Cell viability was measured based on relative population doubling (RPD) (* $p < 0.05$ as compared to the frequency of micronuclei in the control). (e) Kinetochore staining: Frequency of kinetochore negative (K-) micronuclei and kinetochore positive (K+) micronuclei in MCL5 cells treated with γ -Fe₂O₃ dUSPION at 0, 2, 4, 10, 50 and 100 $\mu\text{g ml}^{-1}$, $N = 100$ micronuclei in binucleated cells. (f) Kinetochore staining showing clastogenicity (chromosome fragmentation).

regardless of serum concentration in the media. It is tempting to suggest in the light of the data presented here that the oxidation state of iron and the dextran surface coating may synergistically modulate the cellular internalisation of the different USPION used in the present study and it is the combination that play a key role in the observed differential cellular uptake [37]. The cellular uptake of γ -Fe₂O₃ dUSPION may be a consequence of the plausible

interaction of the serum components with the dextran-coated γ -Fe₂O₃ and/or the adsorption of serum proteins onto these nanoparticles; this could alter the hydrodynamic diameter or the cell surface- γ -Fe₂O₃ dUSPION electrostatic interactions and thus, largely influence the uptake of these particles [25]. Lack of internalisation of the other USPION may be due to factors such as potential agglomeration (DLS measurements show γ -Fe₂O₃

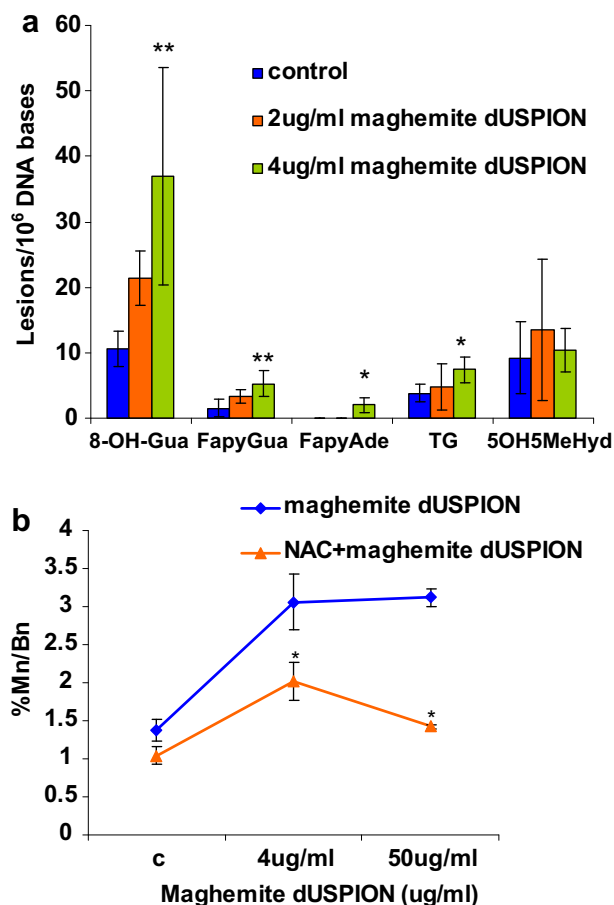


Fig. 3. Role of oxidative stress in causing DNA damage in MCL5 cells: (a) DNA lesions: 8-OH-Gua, FapyGua, FapyAde, TG and 5-OH-5MeHyd were assessed using GC/MS. * $p < 0.05$ and ** $p < 0.01$ compared to untreated cells. All data points represent the mean of 5 independent measurements. Uncertainties are standard deviations. (b) Effect of NAC on micronuclei frequency in MCL5 cells. Micronucleus frequency was measured by calculating the percentage of micronuclei in binucleated cells (%Mn/Bn). * $p < 0.05$, when comparing γ -Fe₂O₃ dUSPION treatment plus NAC to their corresponding γ -Fe₂O₃ dUSPION treated cells.

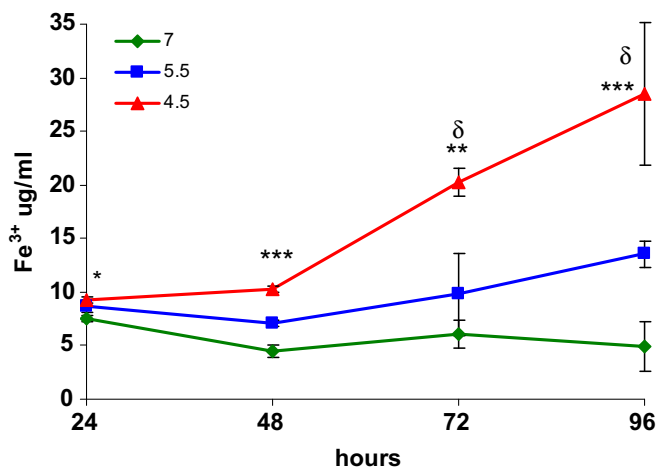


Fig. 4. Effect of pH on the generation of Fe³⁺ ions in γ -Fe₂O₃ dUSPION: The amount of Fe³⁺ ions was measured over a period of 96 h at different pH values i.e. 7, 5.5 and 4.5. The pH dependent release is maximal and significantly increased at pH 4.5 as compared to pH = 7 [* $p < 0.05$; ** $p < 0.01$; *** $p < 0.001$]. The release of Fe³⁺ ions is also time-dependent (72–96 h vs. 24–48 h) [δ $p < 0.01$]. The values for free Fe³⁺ ions are obtained by subtracting value of Fe³⁺ ions obtained in the absence of any buffer. $N = 3$.

dUSPION to have the smallest hydrodynamic agglomerate size; Table 1) and adsorption of proteins that negatively regulate cellular uptake.

Several studies have reported the influence of serum on cellular uptake. For example, the presence of serum is responsible for decreased cellular uptake of γ -Fe₂O₃ nanoparticles and silica-coated particles in the HeLa cell line but an opposite effect was observed in macrophages [38,39]. In support of the protein-NP interaction, pre-incubation of uncoated-SPION with the culture media prior to the assessment of cytotoxicity results in decreased toxicity [40]. It is believed that a masked reactive surface of the uncoated USPION could minimise the adverse cell–NP and/or serum protein–NP interactions resulting in decreased cytotoxicity and possibly reduced cellular uptake as observed in the present study.

Of significant interest, the present study showed that cellular internalisation of γ -Fe₂O₃ dUSPION positively correlated with the induction of genotoxicity (Fig. 2). The results indicate that exposure of γ -Fe₂O₃ dUSPION to MCL5 cells showed a significant increase in micronuclei frequency from a dose of 4 μ g ml^{−1}, with no cytotoxicity observed up to the maximum dose of 100 μ g ml^{−1}. Similarly, SPION coated with meso-2,3-dimercaptosuccinic acid (DMSA) induced genotoxicity (using the comet assay) at concentrations of 10–100 μ g ml^{−1} in the absence of significant cytotoxicity [10]. Further investigation using kinetochore labelling, subsequently demonstrated that the ratio of kinetochore negative to positive micronuclei was higher than the untreated cells at all exposure doses above 4 μ g ml^{−1} of γ -Fe₂O₃ dUSPION, demonstrating that chromosomal damage was primarily via DNA fragmentation, indicating a clastogenic mode of micronuclei formation (Fig. 2e).

One of the key and perhaps the most broadly developed mechanisms thought to be responsible for cellular damage induced by USPION is the generation of oxidative stress, which is usually the consequence of the production of ROS, such as the highly reactive hydroxyl radical ($\bullet$ OH). $\bullet$ OH attack on DNA can lead to single and double strand breaks and to the accumulation of a variety of mutagenic and/or cytotoxic lesions [41]. Indeed, in the current study, exposure to 4 μ g ml^{−1} of γ -Fe₂O₃ dUSPION resulted in the formation and accumulation of a series of oxidative DNA lesions, which corresponded to the dose required for the first significant increase in micronuclei (Fig. 3a). Though the lower dose of 2 μ g ml^{−1} did cause an increase in lesions, the levels were not statistically significant and as no increase in micronuclei frequency was detected at this dose, the data suggest that the cells were able to effectively tolerate or repair the DNA damage.

The present study, not only found oxidative DNA lesions but also demonstrated that the addition of NAC, was able to significantly reduce the frequency of micronuclei γ -Fe₂O₃ dUSPION exposed cells (Fig. 3b). This clear association provides evidence for the role of free radical-based damage in inducing genotoxicity. Pre-treatment of human endothelial cells with NAC, can inhibit the induction of ROS by quantum dots and subsequent DNA double strand breaks as measured by the formation of phosphorylated histone protein gamma H2AX nuclear foci [42]. Titanium dioxide nanoparticles can induce ROS-mediated genotoxicity as measured by the Fpg-modified Comet assay and micronucleus formation in human epidermal cells [43]. For a recent overview of studies focused on nanoparticle induced oxidative stress and the resulting mechanisms of genotoxicity, readers are referred to the review by Petersen and Nelson [41].

This study indicates attack by $\bullet$ OH following exposure to SPION and an obvious source of $\bullet$ OH is via Fenton chemistry from iron ions [44]. Cellular exposure to/uptake of SPION could result in its degradation/dissolution to release Fe ions fuelling the generation of ROS and resultant genotoxicity. These ions in their free unbound

form, can catalyze Haber–Weiss and/or Fenton reactions to generate ROS which, can eventually lead to oxidative damage to biomolecules, affect cell functionality, reduced MR contrast and may cause DNA damage as seen in the present study [32].

In order to explore the potential for dissolution of USPIO in the cellular environment, this study investigated the generation of Fe^{3+} ions over time using a lysosomal model system [31]. With the model we have demonstrated a pH and time-dependent release of Fe^{3+} ions by $\gamma\text{-Fe}_2\text{O}_3$ dUSPIO (Fig. 4). If USPIO entering the cell do so by endocytosis (as observed here), the particles are exposed to a range of different pH from 7.4 in the extracellular milieu to 5.5 in the early endosomes and 4.5 in the late endosomes. Thus, it is highly unlikely the particles broke down in the media but could very well have released metal ions when inside the cells, enclosed in vesicles. These Fe^{3+} ions can potentially escape into the cytoplasm and form part of accessible iron ions called the labile iron pool (LIP), which has also been shown to exist in the nucleus [45,46]. LIP is not only a source of iron ions available for Fenton reaction, but its levels are associated with the production of 8-OH-Gua in the lymphocytes [47].

The generation of Fe ions, chromosomal damage due to DNA fragmentation and accumulation of oxidized DNA bases lesions, all suggest a mechanism of oxidative DNA damage by $\gamma\text{-Fe}_2\text{O}_3$ dUSPIO. During the synthesis of USPIO and subsequent environmental oxidation/reduction reactions, the composition with respect to the oxidation state of iron can vary considerably across the solid solution range of Fe_3O_4 to $\gamma\text{-Fe}_2\text{O}_3$ [48]. Thus the varying redox potential and surface coordination chemistries that ensue from the non-stoichiometric ratios of Fe^{2+} and Fe^{3+} may eventually promote the observed differential uptake, oxidative stress and the corresponding genotoxicity [15].

5. Conclusions

In summary, this study demonstrates that the redox state of iron in USPIO is a critical feature to be taken into consideration when assessing cellular damage end-points. The serum/medium components play an important role in cellular uptake of the $\gamma\text{-Fe}_2\text{O}_3$ dUSPIO and showed a correlation to the induction of DNA damage. $\gamma\text{-Fe}_2\text{O}_3$ dUSPIO-induced genotoxicity, was a direct consequence of oxidative stress related damage that could potentially lead to the initiation and progression of cancer. Since human exposure to ferrofluids is predicted to increase in nanomedicine based therapeutics, these findings warrant the need to devise rigorous testing strategies, in terms of thorough physico-chemical characterisation. This will ensure whether a given ferrofluid has incorporated any changes in its oxidation state and composition, which could influence its cellular interaction and the ensuing downstream genotoxicity. Alternatively, it may be necessary to design iron oxide nanoparticles that are highly stable chemically and oxidation resistant to avoid compromising cellular integrity.

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Appendix. supplementary material

Supplementary material related to this article can be found online at doi:10.1016/j.biomaterials.2011.09.087.

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