

# Hydraulically pumped cone fracture in brittle solids

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## Abstract

An analysis of inner cone cracks in brittle solids subject to cyclic indentation in liquid is presented. These inner cone cracks, so named because they form well within the maximum contact circle, are postulated to be driven by a hydraulic pumping mechanism. Unlike their more traditional outer cone crack counterparts, inner cones do not appear in monotonic loading or even cyclic loading in the absence of liquid. According to the hydraulic pumping postulate, an expanding contact engulfs surface fissures or flaws, closing the crack mouths and squeezing entrapped liquid toward the subsurface tips, thereby enhancing downward penetration. Finite element modeling is used to analyze the stress and displacement fields in the vicinity of the growing cracks and to compute stress-intensity factors, using soda-lime glass loaded by a spherical indenter in water as a case study. A stepwise incrementing procedure determines the inner cone crack evolution, i.e., crack depth  $c$  as a function of number of indentation cycles  $n$ , for this system. The predicted  $c(n)$  response reproduces essential features of experimentally observed behavior, relative to companion outer cone cracks: notably, a sluggish start in the initial regions, where Hertzian stresses govern, followed by rapid acceleration as hydraulic pumping activates, ultimately dominating in the steady-state far-field.

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## 1. Introduction

The incidence of fracture from contact loading between a thick brittle solid and a curved indenter is a long-standing classical problem, beginning with Hertz in the 1880s [1] and intensifying over the past four decades with the advancement of indentation fracture mechanics [2,3]. Most familiar are the shallow circumferential or “outer” cone or ring fractures that form outside an expanding sphere contact in single-cycle loading: in brittle monoliths the location of these outer surface rings typically lies within 15% of the contact circle [4]; in brittle coating layers on compliant substrates the surface rings migrate much further out from the contact, as

a result of superimposed flexural stresses [5]. More recently, with extension of experimentation to cyclic loading in liquid environments, a second kind of cone crack has been observed to form well within the maximum contact circle [6]. These so-called “inner” cone cracks are noticeably steeper and can propagate much deeper after extended cycling, sometimes leading to penetration failure in thinner plates [7]. Examples from an earlier study are shown in Fig. 1 for cyclic loading with a tungsten carbide (WC) spherical indenter on glass and porcelain in water [6]. Inner cones form only in cyclic loading in water, so they cannot be explained by an exclusively time-dependent mechanism such as chemically enhanced slow crack growth in the same way as outer cone cracks [6,8,9]. It would appear that some additional, cumulative mechanical driving force associated with the periodic ingress and egress of liquid must operate [7],

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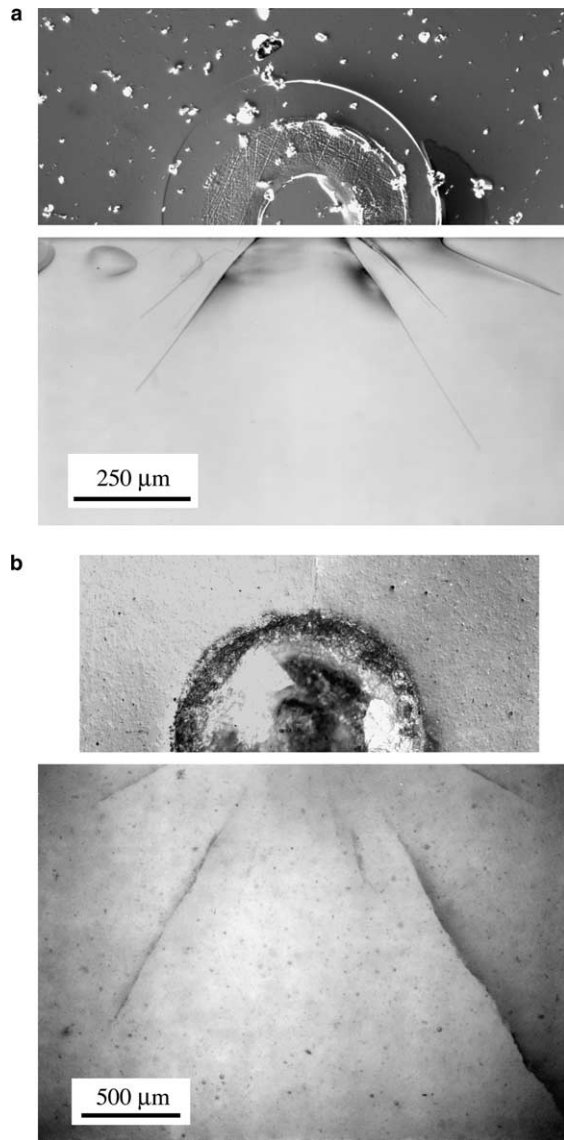


Fig. 1. Cone cracks in brittle material subject to cyclic contact in water with WC spheres of radius  $r = 3.18$  mm. Half-surface and section micrographs of cracks in (a) glass (load  $P = 140$  N,  $n = 10^4$  cycles) and (b) porcelain ( $P = 500$  N,  $n = 5 \times 10^4$ ). Shallow outer and steep inner cracks are evident. Fretting zone due to slip at indenter/specimen interface within contact can provide starting flaws for inner cone crack initiation. Micrographs from Kim et al. [6].

somewhat analogous to the pressure-induced infusion of lubricant into pitting cracks in metal surfaces during rolling contact fatigue [10]. Inner cones can become a dominant mode of failure in hard-coating bilayer systems in repetitive contact loading in aqueous solutions, e.g., dental crowns in occlusion function, and are therefore of great interest in biomechanical systems [7].

In this study, we analyze the mechanics of inner cone crack evolution in monolithic brittle solids from cyclic contact loading in liquid. Any such analysis must explain how the inner cones initiate at the specimen surface well within the maximum contact circle and

penetrate a highly compressive stress field immediately beneath the expanding contact before reaching the indentation far-field. It must also account for a mechanical driving force on these cracks from intrusive liquid, over and above the usual slow crack growth effects, in order to explain why these cracks are not apparent in cyclic loading in air. The mechanism envisaged is one in which liquid is squeezed into surface fissures toward the subsurface crack tips as the contact engulfs the flaw [11]. This is a complex fracture mechanics problem involving interaction of Hertzian contact and fluid pressure fields, and so we use finite element analysis to obtain numerical solutions for inner cone crack evolution in a specific material under specific cyclic loading conditions – silicate glass cycled with a WC sphere of prescribed radius in water – for which experimental data are available. We will show that a hydraulic pumping model can account for basic data trends. We will also show that once the cone cracks penetrate the near-contact zone and enter a tensile far-field the hydraulic driving force peters out, and the crack growth characteristics asymptotically approach a familiar analytical solution for center-loaded penny cracks driven solely by slow crack growth.

## 2. Liquid entrapment mechanism

The contact variables of interest are depicted in the schematic of Fig. 2: a spherical indenter of radius  $r$  at load  $P$  and contact radius  $a$ , with maximum values  $P_m$  and  $a_m$ . The contact is assumed to take place in a liquid environment over  $n$  cycles. Cone cracks located a distance  $R$  from the contact axis form at an angle  $\alpha$  to the top surface and propagate to a length  $C$  or depth  $c = C \sin \alpha$ . Tensile stresses occur outside a drop-shaped compression zone of approximate radius  $a$  beneath the indenter, shown as the shaded area in Fig. 2. Outer cone cracks (O) form at  $R_O \approx 1.15a_m$  with  $\alpha_O \approx 22^\circ$  [4], and hence are never subject to compression through the cyclic evolution. Inner cones (I) form at  $R_I \approx 0.5a_m$  with  $\alpha_I \approx 50^\circ$  (Fig. 1), although some variations in these coordinates occur from material to material. This location represents a compromise between counteracting factors: if  $R_I$  were to be smaller than  $0.5a_m$  then the crack would open at lower loads but there would not be a large volume of entrapped liquid to drive the fracture; if  $R_I$  were to be larger than  $0.5a_m$  then the volume of entrapped fluid would be larger but the range of contact radius over which this fluid could be forced into the crack before reaching its maximum at  $a_m$  would be reduced. The cone angles closely approximate maximum principal stress trajectories in the Hertzian field at maximum contact, implying essentially tensile fracture (mode I) [12]. The inner cones experience small surface tensile stresses prior to engulfment ( $a < R_I$ , Fig. 3(a)), but much

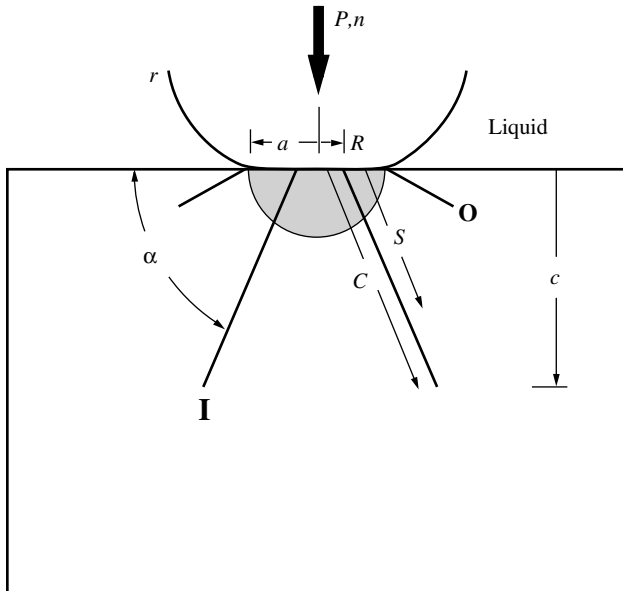


Fig. 2. Schematic of cone crack system in brittle solid. Spherical indenter, sphere radius  $r$ , contact radius  $a$ , load  $P$  and cycles  $n$ . Cone cracks of length  $c = C \sin \alpha$ , angle  $\alpha$  to surface, located distance  $R$  from contact axis. Label **O** designates outer cones, **I** inner cones. Shaded area indicates compression zone below contact. Contact occurs in liquid. (Linear crack profiles are simplistic representations of actual paths in Fig. 4.)

higher surface compressive stresses after engulfment ( $a > R_1$ , Fig. 3(b)). However, even inner cones will experience tensile stresses at their extremities after engulfment if they can somehow grow sufficiently large so as to penetrate the compression zone.

We have mentioned that inner cone cracks develop fully only in cyclic loading in liquid, so that there must be some superposed mechanical force associated with liquid intrusion. Consider a starting flaw located at distance  $R_1$  from the contact axis on the surface. As the load increases, the contact at first opens the crack, allowing liquid to enter by capillary action (Fig. 3(a)). When the contact circle becomes coincident with the flaw ( $a = R_1$ ), the crack mouth is sealed (although there may exist local fluid reservoirs at the indenter/specimen interface associated with any surface roughness). Further increase in load engulfs the crack ( $a \geq R_1$ ), and the crack becomes subject to mouth closure stresses within the drop-shaped compression zone (Fig. 3(b)). Ingress or egress of liquid is then restricted until the indenter is unloaded. Mode I crack opening is induced by fluid pressure by one or both of two possible mechanisms [11]: (i) liquid is forced into surface fissures, providing a wedging force in proportion to the Hertzian pressure; (ii) liquid is forced downward after engulfment in a “pinching” action, closing the crack mouth but opening the tip. The second mode is more consistent with experimental observations where the mouths of well-developed inner cones are observed to close and the far ends open up during loading half-cycles [7].

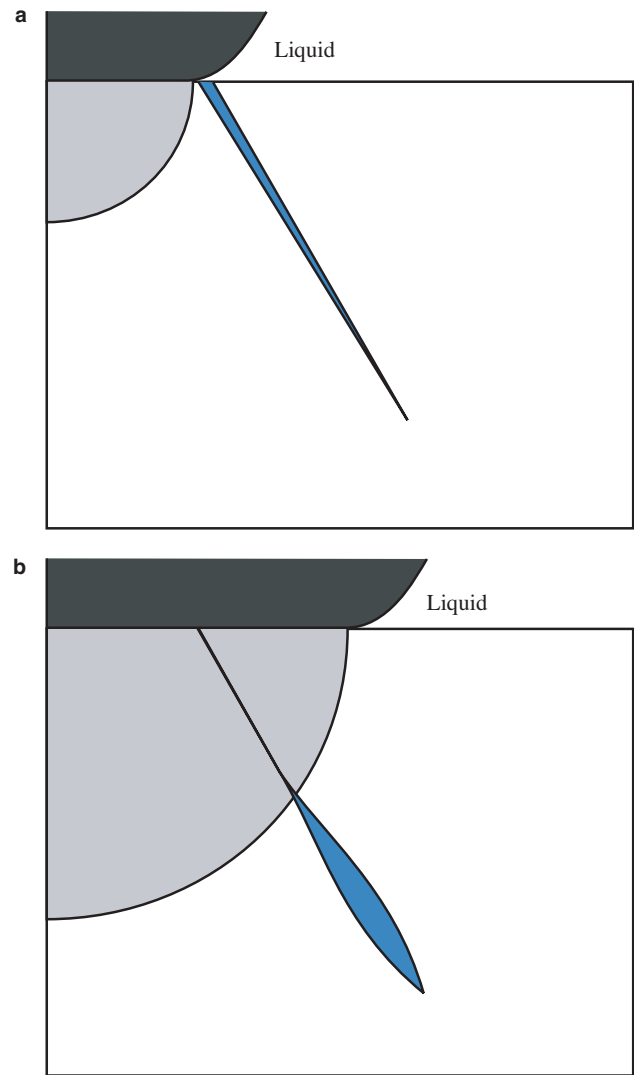


Fig. 3. Schematic demonstrating liquid entrapment model. Shaded area beneath contact designates approximate compression zone. (a) Liquid enters crack prior to contact engulfment. (b) Liquid squeezes toward crack tip as contact expands, causing incremental extension. Cyclic contact repeats process, forcing more liquid into crack in successive cycles.

### 3. Computation of stress-intensity factors

#### 3.1. FEA model

In the case of outer cone cracks, analytical solutions for incremental cyclic crack growth are available in both the small-flaw initiation and long-crack propagation stages, based on slow crack growth models [6,13]. For inner cones, there currently exist no such analytical solutions, owing to the superposition of complex mechanical driving forces associated with ingressing fluid in the surface cracks.

Accordingly, finite element analysis (FEA) techniques are here used to demonstrate the feasibility of the hydraulic pumping mechanism. The basic FEA

procedure has been outlined in previous studies [5,14]. A flat glass half-space (Young's modulus  $E = 70$  GPa and Poisson's ratio  $\nu = 0.22$ ) is indented with a WC sphere ( $E = 614$  GPa and  $\nu = 0.22$ ) of radius  $r = 1.58$  mm in frictionless axisymmetric contact. In accord with existing experimental data [7], the indenter is loaded in small steps to produce an expanding contact  $a$  relative to the surface crack locations  $R_I$  and  $R_O$ , up to a maximum contact  $a_m = 130$   $\mu\text{m}$  at corresponding load  $P_m = 120$  N. Calculations are performed for outer and inner cone cracks separately, ignoring any potential interaction between the two. The surface cracks are allowed to extend downward and outward in increments  $\Delta c$ , beginning with short flaws of depth  $c = 1$   $\mu\text{m}$  normal to the surface and with  $\Delta c \ll c$  at all stages of growth. The mesh is reconfigured at each crack increment, with the grid typically consisting of a minimum 80 equispaced elements along the crack walls (a sufficient number to ensure convergence in the FEA solutions). Stress-intensity factors at each crack depth  $c$  are calculated from nodal displacements at the crack walls using the Irwin parabolic crack-tip COD relation [14]. The kink angle  $\alpha$  of each crack increment is determined by an angular search algorithm as that which optimizes mode I extension (i.e.,  $K_{II} = 0$ ) over the entire load spectrum (i.e.,  $P \leq P_m$ ). In general, it is found that the cracks follow a somewhat curved path in the small  $c$  region, where stress gradients are high, and subsequently straighten out into nearly straight-sided (truncated) cones in the large  $c$  region as the cracks approach the far-field. Of course, the angular kink search routine becomes less onerous once the crack straightens out.

These calculations are performed for cracks without and with entrapped fluid, in the latter case by applying superposed uniform normal forces at the nodes along the crack walls to simulate fluid pressure under constant crack volume conditions (fluid incompressibility approximation), as described below.

### 3.2. Outer cone cracks

First we examine the outer cones. FEA computations for a similar crack system in punch loading have been well described in the literature by Kocer and Collins [15], and we present them here only to confirm the validity of the calculations. Following experimental observation [7], we set  $R_O = 1.15a_m = 150$   $\mu\text{m}$  at  $P_m = 120$  N. As indicated, the outer cone crack always remains outside the maximum contact circle, with maximum opening at  $a = a_m$ . In this case, confining fluid pressure is never an issue.

The calculation begins with a normal starting flaw of size  $c_O = 1$   $\mu\text{m}$ , commensurate with the scale of small flaws in glass surfaces. The flaw is allowed to increment its depth through a specified amount  $\Delta c_O$ . The algorithm searches for the kink angle  $\alpha_O$  that maintains the crack

in pure mode I. For outer cones, the maximum  $K_O$  is always achieved at maximum load  $P = P_m$ , where the tensile stress field is greatest. Crack increments are continued sequentially. The crack first forms a shallow collar before curving outward into its characteristic truncated cone geometry. For soda-lime glass, the cone angle in the far-field is  $\alpha_O = 22^\circ$ . The calculated crack path is indicated by the outer trajectories in Fig. 4.

The function  $K_O(c_O)$  for outer cones determined from such calculations is plotted as the uppermost curve in Fig. 5. Note that this curve passes through a maximum at  $c_O \approx 8$   $\mu\text{m}$  or  $c_O/a_m \approx 0.06$ . The broad shape of this curve and position of the maximum are similar to the calculations of Kocer and Collins [15]. Note also that

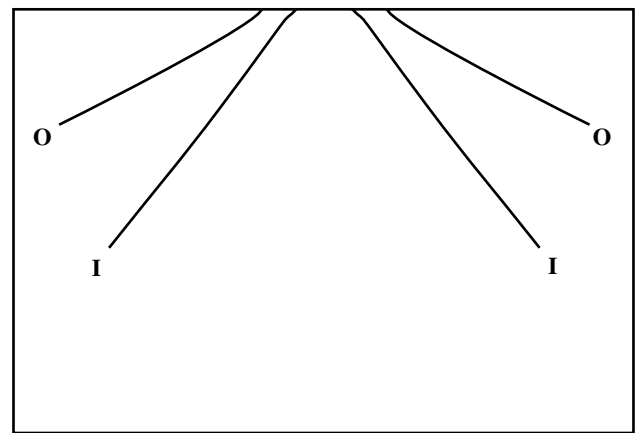


Fig. 4. Calculated crack paths for outer and inner cone cracks in soda-lime glass indented with WC sphere. Note curvature of crack paths near surface, relative steepness of inner cone cracks.

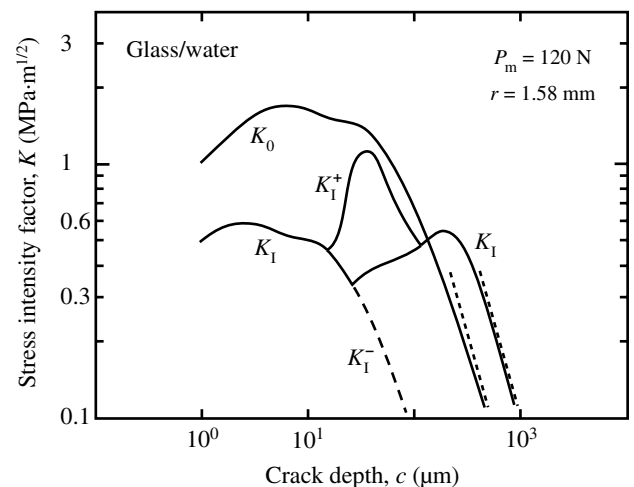


Fig. 5. Mode I stress-intensity factors  $K$  as function of crack depth  $c$  for glass indented in water with WC sphere.  $K_O$  is SIF for outer cone crack.  $K_I$  is SIF for inner cone crack without fluid pressure, including contribution from tensile field after engulfment. Dashed curve is equivalent  $K_I^-$  with no engulfment.  $K_I^+$  is SIF with fluid pressure included. Dashed lines at right are asymptotic  $K \propto c^{-3/2}$  limits for far-field cracks.

the long-crack tail of this curve tends asymptotically to the familiar relation  $K_O \propto P/c_0^{3/2}$  (light dashed line) for well-developed cones [2,3].

### 3.3. Inner cone cracks

#### 3.3.1. Cracks without fluid

For inner cones, we set  $R_I = 0.5a_m = 65 \mu\text{m}$  for  $P_m = 120 \text{ N}$  as per experimental observation [7]. We first perform this calculation in absence of any fluid pressure at the crack walls. Following the procedure in Section 3.2, start with an initial surface flaw at  $c_1 = 1 \mu\text{m}$  and allow stepwise incremental extensions  $\Delta c_1$ . The  $K$  terms are computed at each step from the crack-wall nodal displacements behind the tip. The behavior is now more complex, because the configuration of maximum  $K_I$  does not necessarily always occur at the engulfment load  $P_I$  (Fig. 3(a)), nor at the maximum load  $P_m$  (Fig. 3(b)). In other words, inner cone cracks may in some cases continue to extend after first engulfment. To handle this complication, we first retain the angle  $\alpha_1$  from the preceding crack increment and determine the load  $P$  within  $0 \leq P \leq P_m$  at which  $K_{II} = 0$ . Then we repeat the computation for various  $\alpha_1$  and choose the value of  $P = P^*$  that maximizes  $K_I$ . For the case study here, this configuration occurs at  $P^* < P_m$  for  $c_1 < 1.5a_m$  and at  $P^* = P_m$  for crack depths  $c_1 > 1.5a_m$  approximately. The calculated crack paths are indicated by the inner trajectories in Fig. 4. As with its outer counterpart, the inner cone crack curves away from normal near the surface, but then straightens out to  $\alpha_1 \approx 50^\circ$  over the long-crack length for this particular flaw location. The fact that this crack angle is quite different to that of the outer cone beyond the collar region is a manifestation of the fact that the driving force on the crack can indeed continue to increase during the engulfment stage.

The function  $K_I(c_1)$  for inner cones without fluid pressure is included in Fig. 5. The dashed curve  $K_I^-$  indicates the same function but without engulfment, i.e., stopping the loading at  $P = P_I$  (Fig. 3(a)). This latter curve has the same basic shape as  $K_O$  but with a downward and leftward shift. The hump in the  $K_I$  function at  $c_1 \approx 20 \mu\text{m} = 0.15a_m$  is then due exclusively to additional crack growth beyond engulfment. Again, this is because longer cracks begin to experience some increase in tensile stress intensity in the tip regions as they begin to penetrate the immediate compressive zone. Such additional post-engulfment augmentation, although substantial, is still not large enough to make the inner cone cracks competitive with their outer counterparts in the short-crack region.

#### 3.3.2. Cracks with fluid pressure

Now consider the effect of superposing a fluid pressure on the inner cone crack walls during loading. The sequence of computation is as follows. For any given

crack depth  $c_1$  and kink angle  $\alpha_1$  evaluated in the previous section, determine the crack opening displacements  $u$  as a function of coordinate  $S$  along the crack path (Fig. 2) immediately prior to first engulfment at  $P = P_I$  ( $a = R_I$ ). Calculate the initial crack volume  $V_0$  from the area under the  $u(S)$  curve (allowing for the ever-increasing base radius with increasing  $S$ ). Then increase the load to  $P = P^*$  ( $a = a^*$ ), while maintaining fluid volume constant at  $V = V_0$  (incompressible liquid). This latter is achieved by introducing equal normal forces over equispaced mesh nodes along the crack walls, equivalent to an internal hydrostatic pressure, starting from zero and incrementing (or decrementing) the forces until the requisite crack volume  $V_0$  is attained. Finally, calculate the stress-intensity factor  $K_I^+$  with superposed fluid pressure from the new nodal displacements.

An example of the change in crack profile for a crack of length  $C = 70 \mu\text{m}$  (depth  $c_1 = 50 \mu\text{m}$ ) and  $V = V_0$  is shown in Fig. 6. Note how the crack closes near the mouth in the engulfed crack at  $P = P^*$ , simultaneously opening up the tip region and thereby enhancing  $K_I$ . Note also the parabolic contours close to the crack tip, in accordance with the Irwin crack tip near-field.

The calculations are then repeated for several crack depths  $c_1$ , determining a new initial fluid volume  $V_0$  at each  $c_1$ . This yields the function  $K_I^+(c_1)$  for inner cones with fluid pressure included in Fig. 5. The contribution from hydraulic pressure is thus the differential  $\Delta K_I = K_I^+ - K_I^-$  (not plotted in Fig. 5). An important part of the hydraulic effect is the systematic increase in fluid volume  $V_0$  with each successive cycle, as liquid is continually forced in and out of the crack mouth during unloading and reloading. Hence this contribution is not a factor in monotonic loading (i.e.,  $\Delta K_I = 0$ ). Note that

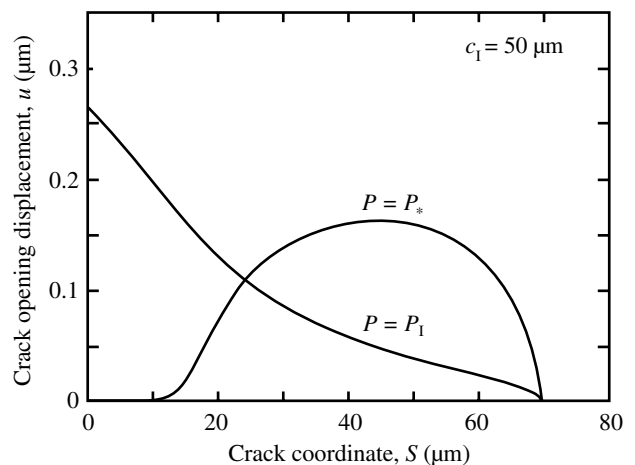


Fig. 6. Crack opening displacements  $u$  as function of distance  $S$  along crack path for inner cone cracks in glass, without liquid at  $P = P_I$  and with liquid pressure at  $P = P^*$ , volume  $V = V_0$ , for crack depth  $c_1 = 50 \mu\text{m}$ . Note how mouth closure in second case opens tip region, enhancing field.

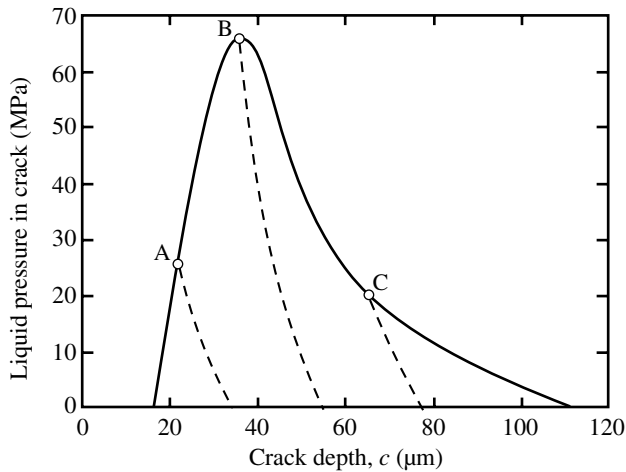


Fig. 7. Fluid pressure in crack. Solid curve is pressure needed to maintain  $V = V_0$  at load  $P = P^*$  for a crack of fixed depth  $c$ . Dashed curves indicate how pressure would diminish if cracks at A, B and C were to be allowed to extend at constant fluid volume and fixed  $P = P^*$ .

the quantity  $\Delta K_I$  again does not come into play until  $c_1 > 17 \mu\text{m} = 0.13a_m$ . (Recall, however, that we are ignoring possible wedging forces from enforced liquid penetration at the Hertzian pressure in this short-crack region.) The hydraulic term  $\Delta K_I$  considerably enhances the stress-intensity factor in this intermediate crack size region. Such enhancement is evident in Fig. 7 as the plot of the equilibrium fluid pressure needed to maintain  $V = V_0$  at load  $P = P^*$  as a function of fixed crack depth  $c$ , shown as the solid curve. This bell-shaped curve is limited to the region  $17 \mu\text{m} < c < 110 \mu\text{m}$ , with a maximum at  $c = 35 \mu\text{m}$ . In principle, once the crack becomes sufficiently large that its tip penetrates beyond  $c > 110 \mu\text{m}$  in Fig. 7, corresponding to the region beyond the compression zone at max load  $P_m$  (Fig. 3(b)), the fluid pressure can become negative and thereby act to restrain the crack. In reality, the water may be expected to cavitate at this point, effectively wiping out the hydraulic effect. At this stage the inner cone crack is well developed and subject entirely to the Hertzian far-field, with familiar asymptotic  $K_I \propto P/c_1^{3/2}$  dependence (dashed line in Fig. 5).

#### 4. Crack extension conditions

Consider now how much crack growth takes place in any given contact cycle. At this stage, it is necessary to introduce a crack extension condition. For growth under equilibrium conditions, extension occurs at  $K = K_c$ , where  $K_c$  is the toughness. However,  $K_1^+(c_1)$  in Fig. 5 does not exceed the toughness  $K_c = 0.75 \text{ MPa m}^{1/2}$  for soda-lime glass until  $c \approx 30 \mu\text{m}$ , so small flaws could never extend under such conditions. In reality, crack growth in brittle materials in liquid environments occurs

at  $K < K_c$  under kinetic conditions, down to  $K$  levels below  $K_c/3$ . This kinetic condition is reasonably well represented by a power law crack velocity relation [16,17]

$$v = v_0(K/K_c)^N \quad (1)$$

with  $N = 17.9$  an exponent and  $v_0 = 2.4 \text{ mm s}^{-1}$  a coefficient for soda-lime glass in water [18]. Under these conditions, inner cone cracks represented in Fig. 5 are subject to significant extension.

The velocity equation can then be used to calculate the evolution for outer cone cracks over a number of contact cycles  $n$  at frequency  $f$  via a seemingly straightforward numerical time-incrementing procedure. The number of cycles  $\Delta n$  to propagate a crack of given depth  $c_0$  through  $\Delta c_0 = v\Delta t \sin \alpha_0$  can be written  $\Delta n = f\Delta t/\beta = f\Delta c_0/\beta v \sin \alpha_0$ , where the  $K$  term used to evaluate  $v$  in Eq. (1) is calculated at  $P = P^*$  (maximum mode I configuration) and  $\beta$  is a coefficient ( $< 1$ ) to allow for the fact that the crack is not subject to maximum  $K$  over an entire cycle [6]. With evaluations of this kind at specific crack lengths,  $\Delta n$  can be integrated numerically to determine  $c(n)$ .

For inner cone cracks, the procedure becomes more laborious, because the term  $\Delta K_I$  from fluid pressure is superposed onto the  $K$ -field. Recall that this contribution is only important during the intermediate stage of growth in Fig. 5, corresponding to penetration through the compression zone. The complication arises because the entrapped fluid within the inner cone crack walls will be redistributed over a greater crack length as extension proceeds, causing the pressure to relax during extension and thereby diminishing  $\Delta K_I$  within any single loading cycle. This relaxation is illustrated for three cracks depths (A, B and C) as dashed lines in Fig. 7. To accommodate this relaxation, we adopt the following procedure: (i) as above, for a given initial crack depth  $c_1$ , determine the initial crack volume  $V_0$  at first engulfment and calculate  $K_I$  at  $P = P^*$  while keeping  $V = V_0$ ; (ii) at the selected value of  $c_1$ , extend the crack depth in small stepwise increments  $\delta c_1$  at  $P$  and  $V$  constant, recalculating  $K_I$  at each point, as shown in Fig. 8 (how far  $K_I$  declines down these curves during any one complete cycle will depend on the loading frequency); (iii) integrate  $\delta c_1/v$  in conjunction with Eq. (1) until the condition

$$\int_0^{\Delta c_1} \delta c_1/v \sin \alpha_0 = \beta/f$$

is satisfied, thereby identifying the extension  $\Delta c_1$  within a complete cycle; (iv) repeat for selected values of  $c_1$  and thereby determine the functional dependence  $\Delta c_1(c_1)$ ; (v) numerically integrate  $\Delta c_1$  over all cycles to determine  $c_1(n)$ .

The resulting  $c_1(n)$  functions for a specific case study, glass/water for maximum load  $P_m = 120 \text{ N}$  and frequency  $f = 1 \text{ Hz}$ , are plotted as the solid lines in

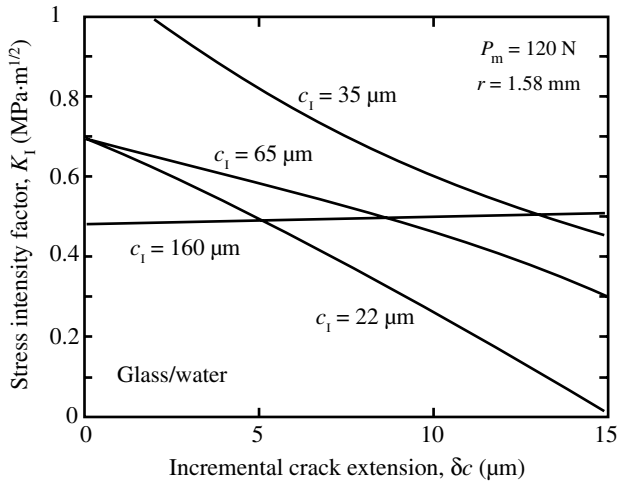


Fig. 8. Plot of  $K_I(\delta c_1)$  for selected crack depths  $c_1$  for inner cone cracks in glass at fixed load  $P = P^*$ .

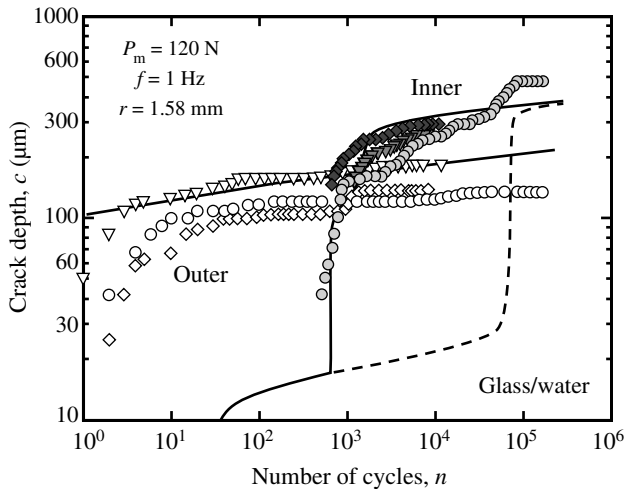


Fig. 9. Predictions of crack evolution functions  $c(n)$  for cyclic indentations with WC sphere on soda-lime glass in water, shown as solid curves. Data points are corresponding experimental data (three cracks each mode), filled symbols inner cone cracks and unfilled symbols outer cone cracks [7]. Dashed curve represents growth of inner cone crack in absence of fluid pressure.

Fig. 9. This example is chosen because of availability of corresponding experimental data [7]. Data for outer cone cracks are shown as the unfilled symbols, for inner cone cracks as the filled symbols. The theoretical curves are evaluated using an adjusted  $\beta = 0.1$  to give a best fit to these data. The curves reproduce the main trends in the experimental data: for outer cones, initiation within the first cycle, followed by steady growth thereafter into a fully developed cone; for inner cones, relatively slow growth in the initial, short-crack region followed by an abrupt hydraulically driven spurt at  $n = 600$  cycles in the intermediate region, slowing finally into a steady growth phase in the long-crack region. This last region tends asymptotically to the far-field limiting dependence

$c \propto n^{2/3N}$  ( $N = 17.9$ ), obtained by combining the  $K \propto P/c^{3/2}$  relation for center-loaded penny cracks with the velocity function  $v \propto K^N$  [6]. The dashed curve in Fig. 9 represents the growth of inner cone cracks without fluid pressure. Note whereas growth is still possible in the absence of hydraulic effects, the predicted growth rate is too slow in relation to observed behavior.

### 5. Discussion

We have presented a model here, foreshadowed by others [11], of hydraulically assisted crack growth in brittle solids in cyclic contact with spherical indenters in liquids. The cracks of interest are penetrative inner cones within the maximum contact circle, ordinarily not observed in monotonic loading in any environment or in cyclic loading in the absence of liquid. The mechanics are complicated by the fact that the inner cone cracks may continue to grow even after engulfment by the expanding contact, where compressive stresses in the immediate subsurface contact zone act to close the crack mouth yet tensile stresses just beyond this zone open the crack mouth. After an initial period of slow growth from Hertzian stresses alone, liquid entrapment delivers a fluid pressure which drives the crack at an accelerated rate. Continued reloading enables more liquid to enter in successive cycles to build up the pressure with each cycle, counterbalancing the near-contact closure forces. The model envisions progressive squeezing of entrapped liquid towards the subsurface crack tip in a kind of pumping mode, driving inner ring cracks through an otherwise inhibiting compressive contact zone into full cones. Once they penetrate into the far-field the inner cone cracks are driven by remote tensile stresses. Because of the complexity of the cyclic problem, involving periodic crack extension from superposed mechanical and chemical forces, resort to FEA has here been made to evaluate the inner cone evolution. Analysis of a specific case study, that of contact of a glass surface with a WC sphere in water, reproduces the main features of the inner cone evolution in Fig. 9: namely, a slow start relative to its outer cone counterpart, but subsequent acceleration with cycling to become the dominant mode of fracture. Ultimately, in the large-crack region all cone cracks, inner and outer, tend asymptotically to a simple far-field limit  $c \propto n^{2/3N}$ .

The principal value of the present FEA analysis is a demonstration of the feasibility of the hydraulic pumping model. At the same time, we acknowledge certain limitations of the current analysis. We have conducted just one case study, that of a specific material/indenter/environment system. The role of such variables as sphere radius  $r$ , maximum load  $P_m$  and cyclic frequency  $f$  would require a great deal more unwieldy, time-consuming numerical analysis. For this reason, we would

not advocate FEA as means for predicting general behavior. Quite apart from inaccuracies arising from crack increment problems, there are several assumptions that are open to question: (i) The hydraulic model is based entirely on a squeezing mechanism whereby intrusive liquid is forced toward the crack tip by closure stresses at the mouth. We have ignored possible wedging stresses from forced entry of liquid from local reservoirs at a rough indenter/specimen interface into the crack mouth under Hertzian pressure. Such wedging action is more likely to occur in the small flaw region, thereby enhancing and broadening the hump in the  $K_I^+$  curve in Fig. 5. (ii) Possible negative fluid pressures in the long-crack region, where contact engulfment actually acts to increase rather than decrease the confining volume, have been neglected. It has been simply assumed that no such stresses will activate, because of cavitation of the entrapped liquid. In reality, cavitation will not occur until the negative pressure has achieved some non-zero value, thereby acting to inhibit the crack extension in this region. (iii) A fixed location  $R_I = 0.5a_m$  has been assumed for the inner surface ring. This midway location balances counteracting factors: higher  $R_I/a_m$  would increase the stress intensity acting on the surface flaws prior to engulfment; lower  $R_I/a_m$  would increase the stress intensity after engulfment. (iv) Interactions between the inner cone and preceding outer cone have been ignored in the computation. Such interactions could reduce the  $K$ -field on an adjacent inner cone crack, further contributing to a shift toward lower  $R_I/a_m$ . (v) An attempt has been made to maintain small crack increments, especially in the short-crack region. Stress inhomogeneities are extremely large in this region, so inaccuracies in the increment size and angle are likely to be greatly magnified in the resultant  $K$  determinations [19]. Consideration of these factors as a whole suggests that our calculations, if anything, probably underestimate the scale of the hydraulic pumping effect.

The crack law used here to describe the rate dependence of crack growth is the power-law velocity function  $v(K)$  in Eq. (1) [17]. Such a function is able to account wholly for the fatigue responses of outer cone cracks [6]. In principle, we may apply the same law to systems in any liquid environment, simply by changing the exponent and coefficient in this function. However, a simple-power law relation does not hold for all liquids, e.g., alkanes, where the  $v(K)$  curve exhibits multiple branches, attributable to diffusion rates of trace water within the alkane liquids [20]. This simply means that the time integration over

the indentation cycling would have to be conducted entirely numerically. Some materials like silicon are not susceptible to slow crack growth at all. The inner cone cracks could extend only if the  $K_I^+(c)$  curve in Fig. 5 were to exceed  $K_c$ . In principle, any fatigue would then be solely mechanical, from the hydraulic pumping effect alone, i.e., from continual cyclic augmentation of the entrapped fluid volume  $V_0$  within the crack walls. Such equilibrium growth could in principle be induced by increasing the applied load to extremely high values, manifested in Fig. 5 as a scaling up of the  $K_I^+(c)$  curve.

The inner cones, by virtue of their steep angles to the surface, can become a dominant mechanism of failure in thin specimens or in bilayers, e.g., dental crowns [7]. Extension of the current analysis to that case is under way.

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