

Optimizing Arrays of Randomly Placed Wireless Transmitters for Receivers Located Within the Array Volume

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Abstract—We investigate the potential of using arbitrarily placed wireless transceivers to increase the probability of maintaining a communication link in an electrically harsh environment. Specifically, we adapt a well-known matrix-based array optimization technique to the case when the transmitting elements exist in a complex environment and the receiver is not in the far-field of the array. Study of array performance in a non-ideal setting represents an important step in determining the feasibility of using this optimization technique for *ad hoc* wireless arrays within a building. Measures of array performance consist of median values for the directivity or gain, the total power at the receiver location, and the power per transmitter. The simulation results include array performance in the presence of a lossy dielectric corner to study the effects of building floors and walls. Our results show the median of the optimized directivity or gain for the frequencies of interest with simple boundaries is within 3 dB of that for the optimized configuration in free space.

Index Terms—*Ad hoc* array, arbitrary array optimization, emergency responder communications, random array.

I. INTRODUCTION

WIRELESS communication represents a key supporting technology to the success of an emergency responder. Unfortunately, a typical emergency response scenario involves communication into building structures, which can severely interfere with or completely block radio-frequency (RF) communications. Measured results of RF attenuation behavior encountered by emergency responders in large buildings has been given in [1]–[4], and indicate large attenuation and high variability of signal strength. One potential method of improving the RF channel within a building utilizes the intelligent control of the electromagnetic radiation from wireless devices quickly placed at random locations in the building during entry by the emergency responder. These devices would perform as antenna array elements to improve communication capability for emergency responders both within the structure and with external

personnel. In this paper, we present our analysis and simulation results for optimized arrays of arbitrarily located radio transceivers aimed at improving the design of wireless communication systems used in current and future emergency response scenarios.

Array directivity or gain optimization techniques based on a generalized matrix eigenvalue problem are well covered in past literature, [5]–[9], with [10]–[12] focused on constrained optimization, and a more recent publication [13] providing a detailed mathematical development on the topic of optimized electromagnetic radiation. In addition, probabilistic approaches to antenna array analysis and synthesis are discussed in [14]–[17]. However, to the best of our knowledge, the application of array optimization concepts to arbitrarily located wireless transmitters with the receiver located within or near the array represents a new area of investigation.

Our application of the optimization technique to arbitrary transmitter locations differs from the typical approach to antenna array optimization in three key ways. First, the receiver location, or the desired point of optimum radiation is now within or near the volume of the array, which requires the use of a slightly modified expression for “gain” or “directivity” as a performance index. Second, we examine the statistical behavior of the optimized performance, i.e., median gain, by optimizing a large number of sets of random transmitter and receiver locations. Third, the effects of some simple boundary surfaces are included through the use of Green’s functions and first order impedance boundary conditions, where the boundaries are chosen as representative of the complex real-world environment. This last item, discussed in [18], pertains to work on arrays in arbitrary environments. Fig. 1 depicts four transmitters and a receiver within a bounded volume, and illustrates a general configuration for the problem.

We apply three measures of performance, i.e., directivity, total power at the receiver, and power per transmitter, to the array configuration, and compare the optimized results to self-phased arrays. Self-phased or co-phased arrays, discussed in [19]–[21], use phase conjugation of a pilot signal from the receiver to ensure that contributions from the transmitters arrive in-phase at the receiver. The optimized arrays are also co-phased with respect to the receiver location, but include transmitter amplitude control for overall system power efficiency, i.e., efficient use of the total power if all the available transmitters are utilized. Results from the three measures of performance suggest a fairly straightforward field-implementation.

Manuscript received April 3, 2006; revised December 5, 2006. This work was supported by Sandia National Laboratories and the National Institute of Standards and Technology.

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Digital Object Identifier 10.1109/TAP.2007.891555

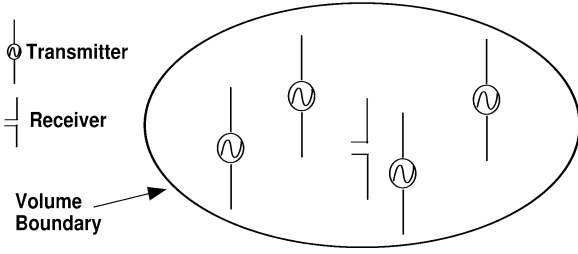


Fig. 1. General transmitter/receiver configuration.

While our proposed topology of wireless devices resembles an *ad hoc* network, our focus is not on *ad hoc* networks or protocols. We are studying the possibility of controlling the electromagnetic radiation of the wireless devices in aggregate so as to form an antenna array. This differs from the typical power control of a single device, which is used simply to control its radius of coverage, or to increase the battery life by limiting usage. A complete system using our approach will require various supporting protocols; however, we focus here on the electromagnetic behavior of the system.

The remainder of the paper proceeds as follows. Section II covers the supporting theory and simply boundary configurations, Section III includes the measures of performance, a simulation process description, and corresponding results, Section IV outlines an implementation approach, and finally, Section V contains the overall conclusions drawn in this research.

II. THEORY

A. Approximate Green's Functions

The optimization process utilizes Green's functions for the volume of interest subject to some simple boundary conditions. Due to length considerations, here we only present the cases of 1) free space and 2) a corner reflector. This section details the Green's function development with the transmitters and receivers located in free space near a lossy dielectric corner as illustrated in Fig. 2. More details on the theory and additional simple boundary configurations are contained in [22] and [23], e.g., locating the array between perfect electric conducting (PEC) walls to investigate behavior in resonant or near resonant conditions. Note, while investigating the error introduced by each particular approximation in the analysis process would be an interesting endeavor, such activity would distract from the central theme of this work.

1) *Free Space*: Free space represents the simplest boundary condition, but allows comparisons to previous array optimization work in Section III. The mathematical representation follows as a limiting case of the corner reflector discussed next, with all Γ values set to zero.

2) *Concrete and Soil Corner*: A Hertzian dipole antenna represents the transmitters and receivers, and allows the investigation to focus on general array behavior rather than the effects of

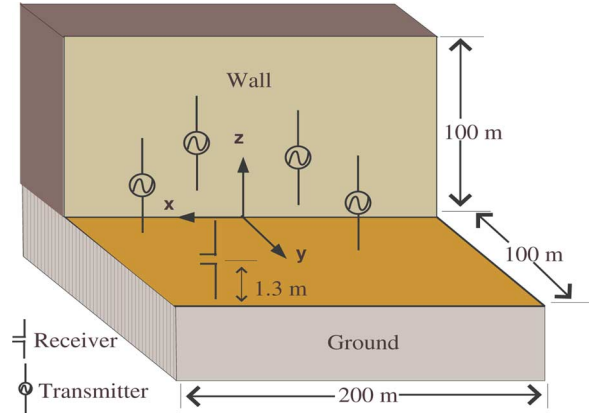


Fig. 2. Corner configuration. Uniformly random distributions over intervals in Table II, unless specified as a constant; minimum of 1.75 m between transmitters.

a specific antenna type. The n th Hertzian dipole source, i.e., the n th transmitter is defined as

$$\vec{J}_n = -\hat{z} \frac{a_n \delta(\vec{r} - \vec{r}_n)}{j\omega\mu_0} \quad (1)$$

where ω is the angular frequency, μ_0 is the permeability of free space, a_n is the complex scaled current, and $\delta(\cdot)$ is the impulse or Dirac delta function. The two distance vectors $\vec{r}$ and $\vec{r}_n$, represent the observation and source points, respectively.

In order to reduce the numerical cost in computing the Green's functions for the boundaries under consideration, we make use of image theory and, in some configurations, approximate boundary conditions. Image theory requires locating an image of the source with a weight Γ , such that the replaced boundary condition is satisfied as closely as possible. For example, if the transmitter is located in free space near a dielectric corner as shown in Fig. 2, the approximate vector Green's function for $y > 0$, $z > 0$ takes the following form:

$$\vec{G}^z(\vec{r}, \vec{r}_n) = \hat{z} G(\vec{r}, \vec{r}_n) + \frac{1}{k^2} \nabla \nabla \cdot \hat{z} G(\vec{r}, \vec{r}_n) \quad (2)$$

where $G(\vec{r}, \vec{r}_n)$ is a solution to the scalar wave equation

$$(\nabla^2 + k^2)G(\vec{r}, \vec{r}_n) = -\delta(\vec{r} - \vec{r}_n). \quad (3)$$

The scalar Green's function in (3) takes the form

$$G(\vec{r}, \vec{r}_n) = \frac{e^{-jk|\vec{r}-\vec{r}_n|}}{4\pi|\vec{r}-\vec{r}_n|} + \Gamma_1 \frac{e^{-jk|\vec{r}-\vec{r}_n^{(1)}|}}{4\pi|\vec{r}-\vec{r}_n^{(1)}|} + \Gamma_2 \frac{e^{-jk|\vec{r}-\vec{r}_n^{(2)}|}}{4\pi|\vec{r}-\vec{r}_n^{(2)}|} + \Gamma_3 \frac{e^{-jk|\vec{r}-\vec{r}_n^{(3)}|}}{4\pi|\vec{r}-\vec{r}_n^{(3)}|} \quad (4)$$

where

$$\begin{aligned} \vec{r}_n &= (x_n, y_n, z_n), & \vec{r}_n^{(1)} &= (x_n, y_n, -z_n) \\ \vec{r}_n^{(2)} &= (x_n, -y_n, z_n), & \vec{r}_n^{(3)} &= (x_n, -y_n, -z_n) \end{aligned}$$

and $k = 2\pi/\lambda$. Note that the superscript on $\vec{G}^z$ in (2) refers to the direction or orientation of the source.

The Silver-Müller radiation conditions are appropriate for the quarter-spherical surface at infinity, and are given as [13, pp. 60–62]

$$\begin{aligned} \lim_{r \rightarrow \infty} r \left[\hat{a}_r \times \vec{H} + \frac{1}{\eta_o} \vec{E} \right] &= 0 \\ \lim_{r \rightarrow \infty} r \left[\hat{a}_r \times \vec{E} - \eta_o \vec{H} \right] &= 0 \end{aligned} \quad (5)$$

where $\eta_o = \sqrt{\mu_o/\epsilon_o}$ is the wave impedance of free space. We use a first order impedance boundary condition to approximate the dielectric wall and ground boundaries. This approximate boundary condition takes the form [25]

$$\hat{n} \times \vec{E} = \eta \hat{n} \times (\hat{n} \times \vec{H}) \quad (6)$$

where $\hat{n}$ is the outward normal to the surface, μ is the permeability, ϵ is the permittivity of the material, and $\eta = \sqrt{\mu/\epsilon}$ is the impedance of the material. (6) should be accurate provided the magnitude of the complex ϵ of the material creating the boundary is large enough. The electric field in the region ($y > 0, z > 0$) due to the Hertzian dipole source at $\vec{r}_n$ is equal to the Green's function plus the surface integral

$$\oint_S \left(\vec{G}^z(\vec{r}', \vec{r}_n) \times \nabla' \times \vec{E}(\vec{r}') - \vec{E}(\vec{r}') \times \nabla' \times \vec{G}^z(\vec{r}', \vec{r}_n) \right) \cdot \hat{n} ds' \quad (7)$$

An exact solution for the electric field would require this surface integral vanish, so we choose the weights Γ_i to minimize the residual surface integral. The Green's function given by (2) satisfies the radiation boundary condition (5), so the quarter-spherical surface at $r = \infty$ provides no contribution to the integral. The remaining surface of integration consists of the ground and wall surfaces. Using the first order impedance boundary condition (6), the relation $\nabla \times \vec{E} = -j\omega\mu_o\vec{H}$, and some vector manipulations, the following residual surface integral arises.

$$\begin{aligned} \int_S \left[\left(\hat{n} \times \vec{H}(\vec{r}') \right) \cdot \left(j\omega\mu_o \vec{G}^z(\vec{r}', \vec{r}_n) \right. \right. \\ \left. \left. - \left\{ \left[\nabla' \times \vec{G}^z(\vec{r}', \vec{r}_n) \right] \times \hat{n} \eta \right\} \right) \right] ds' \end{aligned} \quad (8)$$

where η is computed from the material parameters, and the half-planes ($x, y \geq 0, z = 0$) and ($x, y = 0, z \geq 0$) are the surface of integration.

We work with the second of the dot product terms in the integrand of (8) to minimize the residual integral in the following manner. We integrate with respect to s' to remove the receiver location dependency, and then determine the maximum value of the magnitude squared with respect to the Γ 's. Interchanging the partial differentiations with the integration, letting $\eta = \eta_{\text{soil}}$ with $\hat{n} = \hat{z}$ on the $z = 0$ surface, and $\eta = \eta_{\text{concrete}}$ with $\hat{n} = \hat{y}$ on the $y = 0$ surface, leads to the following three equations that are solved simultaneously:

$$\int_S \left[\frac{\partial}{\partial \Gamma_i^*} \left| j\omega\mu_o \vec{G}^{z, \Gamma} - \left\{ \left[\nabla \times \vec{G}^{z, \Gamma} \right] \times \eta \vec{n} \right\} \right|^2 \right] ds' = 0 \quad (9)$$

for $i = 1, 2, 3$, where

$$\vec{G}^{z, \Gamma} = \vec{G}^z \left(\Gamma_1, \Gamma_2, \Gamma_3, \vec{r}', \vec{r}_n, \vec{r}_n^{(1)}, \vec{r}_n^{(2)}, \vec{r}_n^{(3)} \right) \quad (10)$$

is the vector Green's function (2), and explicitly shows the dependence on the Γ values and the source image terms. The $*$ denotes complex conjugation.

The partial derivatives are expressed in closed form, while the integrations are performed numerically in the simulations (the numerical integration limits are shown in Fig. 2). We should emphasize that the impedance boundary condition is not expected to be very accurate for higher frequencies or low permittivities, but since our goal here is to assess the order of magnitude of environmental effects, this model is adequate for our purposes. The special case free space is obtained by simply taking all Γ values to be zero. For the limiting case of a PEC corner, infinite over the half-planes ($x, y \geq 0, z = 0$) and ($x, y = 0, z \geq 0$), with $\hat{z}$ -oriented transmitters, $\Gamma_{1,2,3}$ become $+1, -1$, and -1 , respectively.

B. Modified Directivity

Directivity or gain is one of the performance indices or measures, but here we are not assuming that the receiver lies in the far field of the array. Rather, the receiver is actually within or near the volume of the array of transmitters, and thus we require modification of the standard directivity equation [26]. To this end, we define a performance index p in the following manner:

$$p = \frac{4\pi \times \text{radiation intensity}}{\text{system input power}} = \frac{4\pi \frac{R_{ave}^2 |\vec{u}_p \cdot \vec{E}(\vec{r})|^2}{2\eta_o}}{-\frac{1}{2} \text{Re} \int_V \vec{E}(\vec{r}) \cdot \vec{J}^*(\vec{r}) dv} \quad (11)$$

In the numerator, $\vec{u}_p$ is the receive antenna polarization unit vector, $\vec{E}(\vec{r})$ is the total electric field at location $\vec{r}$, R_{ave} is the average distance between the transmitters and receiver, calculated by

$$R_{ave} = \sqrt{1 / \left(N \sum_{n=1}^N 1/R_n^2 \right)} \quad (12)$$

where R_n^2 is the squared distance between the n th transmitter and the receiver, and N is the total number of transmitters. In this work we assume $\vec{u}_p = \hat{z}$. The quantity R_{ave} represents a change from the typical far-field description of radiation intensity, where all the elements are approximately the same distance from the observation point. However, we want to account for individual magnitude differences due to transmitter locations in our performance index. Thus, (12) averages out the $1/R^2$ magnitude behavior of the received power when the receiver is near the array.

In the denominator, $\vec{J}^*(\vec{r})$ is the conjugate of the current source at $\vec{r}$. The volume integral includes all the source locations.

C. Optimization of the Modified Directivity Equation

The modified directivity (11) measures the effectiveness in utilizing transmitters as array elements versus an isotropic source for radiating electromagnetic energy. Increasing the directivity or gain over an isotropic source requires optimizing the a_n or scaled currents in (1) for each individual transmitter, with the receiver at a specified location within the array volume.

We optimize (11) with respect to the transmitter currents using the following approach.

Since the transmitters are discrete radiators, (11) may be rewritten in a form consistent with the matrix optimization procedure as follows. A single transmitter represented by (1) creates an electric field

$$\vec{E}_n(\vec{r}) = a_n \vec{G}^z(\vec{r}, \vec{r}_n) \quad (13)$$

which is the electric field at location $\vec{r}$, due to a transmitter at location $\vec{r}_n$. The total electric field $\vec{E}(\vec{r})$ is the sum of the fields created by each transmitter. That is

$$\vec{E}(\vec{r}) = \sum_{n=1}^N \vec{E}_n(\vec{r}) = \sum_{n=1}^N \vec{E}(\vec{r}, \vec{r}_n) \quad (14)$$

where $\vec{r}_n$ is the location of the n th transmitter and N is the total number of transmitters. Then, the magnitude squared portion of the numerator in (11) can also be written as a double sum. Specifically, the magnitude squared of the received electric field in (11) is written as

$$|\vec{u}_p \cdot \vec{E}(\vec{r})|^2 = \sum_{m=1}^N \sum_{n=1}^N [\hat{z} \cdot \vec{E}_m^*(\vec{r})] \cdot [\hat{z} \cdot \vec{E}_n(\vec{r})]. \quad (15)$$

Since the receiver is polarized along $\hat{z}$, we need only the z -component of the electric field at the receiver. Let

$$\nu_n = \sqrt{4\pi} \frac{R_{ave}}{\sqrt{2\eta_0}} G_z^z(\vec{r}, \vec{r}_n), \quad \text{for } n = 1, 2, \dots, N \quad (16)$$

where ν_n is the n th element of the column vector $\vec{\nu}$, and $G_z^z(\vec{r}, \vec{r}_n)$ is the z -component of (2). Then the numerator in (11) can be written as

$$4\pi \frac{R_{ave}^2}{2\eta_0} |\vec{E}(\vec{r})|^2 = \sum_{m=1}^N \sum_{n=1}^N a_m^* \nu_m^* \nu_n a_n. \quad (17)$$

In examining the denominator of (11), the input power involves the dot product with a z -directed impulse function

$$P_{in} = -\frac{1}{2} \text{Re} \int_V \sum_{n=1}^N \vec{E}(\vec{r}, \vec{r}_n) \cdot \left(\hat{z} \frac{a_m^* \delta(\vec{r} - \vec{r}_m)}{j\omega\mu_0} \right) dv. \quad (18)$$

The dot product reduces the contribution from the electric field to only the z -component, and the impulse function converts the volume integral to a summation over the indexed sources. Substituting in the Green's function form for the electric field, the total input power P_{in} , due to the N transmitters, takes the following form:

$$P_{in} = \frac{1}{2} \text{Re} \left[\frac{j}{\omega\mu_0} \sum_{n=1}^N \sum_{m=1}^N a_m^* a_n G_z(\vec{r}_m, \vec{r}_n) \right] \quad (19)$$

where $a_n G_z(\vec{r}_m, \vec{r}_n)$ is the z -component of the electric field due to the n th element at the location of the m th element. (To avoid the difficulty with the singularity in the imaginary part of the Green's function when $r_m = r_n$, we can assume a uniform current distribution on a small volume, perform the integration,

take the real part of the result, and then let the size of the small volume approach zero. This is analogous to the approach in [8, pp. 199–200], with details specific to this application covered in [22, pp. 146–154]).

Now we can re-write (11) as

$$p(\vec{a}) = \frac{\sum_{m=1}^N \sum_{n=1}^N a_m^* \alpha_{mn} a_n}{\sum_{m=1}^N \sum_{n=1}^N a_m^* \beta_{mn} a_n} \quad (20)$$

where

$$\alpha_{mn} = \nu_m^* \nu_n \quad (21)$$

and

$$\beta_{mn} = \frac{1}{2} \text{Re} \left[\frac{j}{\omega\mu_0} G_z(\vec{r}_m, \vec{r}_n) \right]. \quad (22)$$

As discussed in Section I, many papers have covered the matrix optimization technique used here. We first present the important mathematical constructs which follow closely the work in [9], and then apply the technique to our specific performance index. We rewrite the performance index as

$$p(\vec{a}) = \frac{(\vec{a}^*)^\dagger \bar{A} \vec{a}}{(\vec{a}^*)^\dagger \bar{B} \vec{a}} \quad (23)$$

where

$$(\vec{a}^*)^\dagger = [a_1^*, a_2^*, \dots, a_N^*]$$

and we have defined the matrices

$$\bar{A} = [\alpha_{mn}] = \vec{\nu}^* \vec{\nu}^\dagger \text{ and } \bar{B} = [\beta_{mn}]$$

where † means the transpose of a vector. From matrix theory, if both $\bar{A}$ and $\bar{B}$ are $N \times N$ Hermitian matrices, where $\bar{A}$ is positive semi-definite, and $\bar{B}$ is positive definite, then

- 1) the eigenvalues of the generalized eigenvalue equation are real and positive

$$\det(\bar{A} - \lambda \bar{B}) = 0 \quad (24)$$

where

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_N \in \mathbb{R}.$$

- 2) λ_1 and λ_N bound $p(\vec{a})$,

$$\lambda_1 \geq p(\vec{a}) \geq \lambda_N \quad (25)$$

and the left equality in (25) occurs when $\vec{a}$ satisfies

$$\bar{A} \vec{a} = \lambda_1 \bar{B} \vec{a}. \quad (26)$$

In addition, the following optimization applies. Because $\bar{A}$ has the form $\bar{\nu}^* \bar{\nu}^\dagger$, there is only one nonzero root of (24), which corresponds to the optimized directivity

$$p_{opt} = \lambda_1 = \bar{\nu}^\dagger \bar{B}^{-1} \bar{\nu}^* > 0 \quad (27)$$

with the corresponding current eigenvector

$$\bar{a}_1 = \bar{B}^{-1} \bar{\nu}^*. \quad (28)$$

The optimization problem now reduces to solving for λ_1 and $\bar{a}_1$, which are the maximum directivity and optimized transmitter currents, respectively, for our specific performance index. For each scenario investigated, we derive ν_n and β_{mn} for simple boundary conditions, and then compute the maximum directivity or gain defined by (27).

Note that if the transmitters are all $\hat{z}$ -directed, but the receiver is oriented at an angle θ from the z -axis, the $\hat{z}$ component is scaled by $\cos \theta$ in (16) and $\hat{x}$ and $\hat{y}$ -components multiplied by $\sin \theta$ will be added. Since the sources are $\hat{z}$ -directed, the decrease in gain basically follows a $\cos^2 \theta$ dependency, due to small $\hat{x}$ and $\hat{y}$ -directed field contributions to the total electric field for the boundary configurations of interest. This does not represent optimizing for arbitrary transmitter orientations as discussed in [27], but rather, allows an arbitrary receiver orientation after the optimization procedure.

This theoretical representation allows investigation into several different performance indices. Besides the modified directivity performance index, the total power at the receiver location and the power per transmitter performance measures are easily calculated using the optimized currents (28), as demonstrated in the next section.

III. SIMULATION

A. Measures of Performance

The three measures of performance are 1) modified directivity (27); 2) total power at the receiver location; and 3) power per transmitter at the receiver location. Actual received power depends on the specific receiver, but to capture individual transmitter effects, we utilize the following expression for normalized power at the receiver location:

$$P_i = \left| \vec{E}_i(\vec{r}) \right|^2, \quad i = 1, 2, \dots, N. \quad (29)$$

Recall that (13) allows explicit use of the transmitter currents in calculating $\vec{E}(\vec{r})$. Total normalized power P_{tot} is calculated by taking the ordered cumulative sum of the normalized power due to the M strongest transmitters, up to the total number of active transmitters, N . The describing equation is

$$P_{tot} = \left| \sum_{i=1}^M \vec{E}_i(\vec{r}) \right|^2, \quad |\vec{E}_1| \geq |\vec{E}_2| \cdots \geq |\vec{E}_M|, \quad M \leq N \quad (30)$$

where $\vec{E}_i$ is the electric field at the receiver location due to the i th transmitter. Power per transmitter is calculated by taking the

magnitude squared of the ordered cumulative sum of the electric field due to the M strongest transmitters and dividing by the number of total number transmitters included in the sum

$$P_{transmitter} = \frac{\left| \sum_{i=1}^M \vec{E}_i(\vec{r}) \right|^2}{M}, \quad P_1 \geq P_2 \cdots \geq P_M, \quad M \leq N. \quad (31)$$

For all three performance measures, transmitter currents are either optimized currents (28) or unity amplitude, co-phased with respect to the receiver location, i.e., the electric field at the receiver location is in-phase for all the transmitter contributions. Note that the optimized currents are co-phased with respect to the receiver location, but are not of uniform amplitude. The electric fields due to co-phased currents are described by

$$\vec{E}_n(\vec{r}) = \phi_n \vec{G}^z(\vec{r}, \vec{r}_n) \quad (32)$$

where

$$\phi_n = \frac{a_n}{|a_n|} \quad (33)$$

and a_n is the n th element of (28). When computing the total power and power per transmitter, the optimized currents are normalized so that the largest individual current magnitude is unity.

B. Simulation Process Description

The simulation process consists of generating statistics for random array configurations with the simple boundary conditions discussed in Section II, at frequencies of 100 MHz, 1 GHz, and 5 GHz. Although we could have examined other configurations, the additional complexity would not necessarily improve the accuracy in modeling the real environment or our understanding of the array behavior. The steps in the simulation process are as follows.

- 1) Randomly locate 2–16 transmitters.
- 2) Generate 40 random receiver locations.
- 3) Compute optimized currents based on modified directivity performance index and co-phased transmitter currents for 40 receiver locations, subject to the boundary conditions and transmitter/receiver locations.
- 4) Collect median values for
 - modified directivity;
 - total power;
 - power per transmitter.
- 5) Perform steps 1 through 4 for two hundred trials.
- 6) Collect overall median values for
 - modified directivity;
 - total power;
 - power per transmitter.

Table II lists the location distribution intervals for the transmitters and receivers. A receiver height fixed at 1.3 m models the height of the receiver antenna on the emergency responder, and a minimum spacing of 1.75 m between transmitters corresponds to the transmitters as placed by emergency responders as they move through the building. Locating transmitters in a volume below the receivers models the anticipated relative positions be-

TABLE I
MATERIAL PROPERTIES FOR CONCRETE AND SOIL [24]

Frequency	Wall $\tan \delta_\epsilon$	Wall ϵ_r	Ground $\tan \delta_\epsilon$	Ground ϵ_r
100 MHz	0.0015	2.43	0.251	20
1 GHz	0.0010	2.43	0.056	20
5 GHz	0.00078	2.43	0.076	20

$\tan \delta_\epsilon$ = loss tangent; ϵ_r = relative permittivity

TABLE II
TRANSMITTER AND RECEIVER LOCATION INTERVALS

	x	y	z
Transmitter	(-10 m, 10 m)	(0 m, 20 m)	(0.4 m, 0.75 m)
Receiver	(-10 m, 10 m)	(0 m, 20 m)	1.3 m

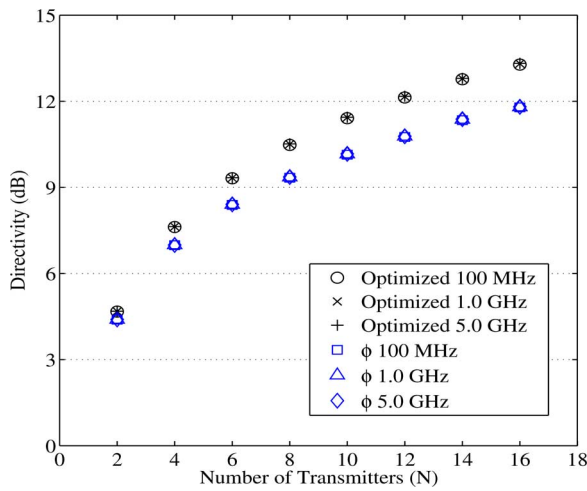


Fig. 3. Free space simulation results for transmitter and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent optimized transmitter currents in (28) and co-phased, unity amplitude transmitter currents in (33), respectively.

tween transmitters and receivers in a field-implementation. The same locations are used for all the boundary scenarios to allow direct comparisons between different boundary results.

C. Free Space

Free space represents the first scenario, where all $\Gamma_i = 0$ in (2). The free space configuration allows comparison to some deterministic linear array results based on the same optimization technique, but using the standard definition of directivity, with the receiver in the far field of the array.

The simulation results for free space are shown in Fig. 3. As expected, for all number of transmitters, the median of the optimized directivity exceeds the co-phased results. The median results for our random arrays using the modified directivity equation are approximately 10.5 and 11.5 dB for eight and ten transmitters, respectively, for all three frequencies. All of these results compare reasonably well to results for linear arrays in the literature listed in Table III, particularly within the context of this analysis as discussed in Section I. When comparing the results, we need to keep in mind that the results in [9] and [8] are for the standard directivity with the observation point in the

TABLE III
OPTIMIZED LINEAR ARRAY RESULTS FROM LITERATURE

Number of Elements	Spacing	Array Type	Directivity
8 isotropic [8]	0.425λ	Endfire	13.4 dB
8 isotropic [8]	0.95λ	Endfire	10 dB
10 isotropic [9]	0.95λ	Endfire	11 dB
10 isotropic [9]	0.90λ	Broadside	12 dB
10 isotropic [9]	1.05λ	Broadside	9 dB

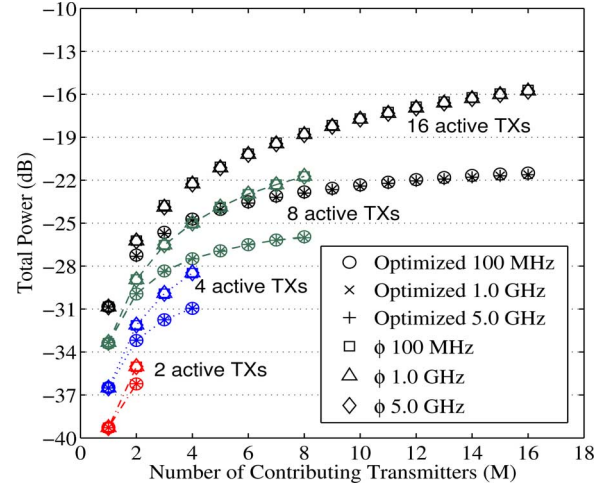


Fig. 4. Free space total power simulation results for transmitter (TX) and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent transmitter currents (28) and (33), respectively. The maximum number of contributing transmitters on a particular curve equals the number of active transmitters generating that specific curve.

far-field of the array. Our results are for the modified directivity with the observation within the volume of the array. With confidence in the algorithms, the investigation now focuses on the total power at the receiver location and power per transmitter statistics.

Fig. 4 shows the total power results for free space, with the four cases consisting of two, four, eight, and sixteen active transmitters. In the total power plots, the number of active transmitters refers to the number of transmitters turned on while computing (30). The number of contributing transmitters represents the ordered partial sums of the active transmitters, i.e., the value of M in (30). For example, in the case of four active transmitters, the partial sums consist of one, two, three, and four transmitters. Note that the co-phased approach sets all the transmitters at maximum power while the optimized method scales the individual transmitter power according to the performance index. Thus, the co-phased results indicate a greater total power at the receiver than the optimized results, but also require greater total system input power.

The spread of approximately 9 dB for a single contributing transmitter across the four cases of active transmitters reflects the difference in the average geometrical distance between the strongest transmitter and the receiver location. In other words, on average, the sixteen active transmitter case will have a transmitter much closer to the receiver location than if only two active transmitters are utilized. For the optimized case, the eight and sixteen number of active transmitter curves indicate that the

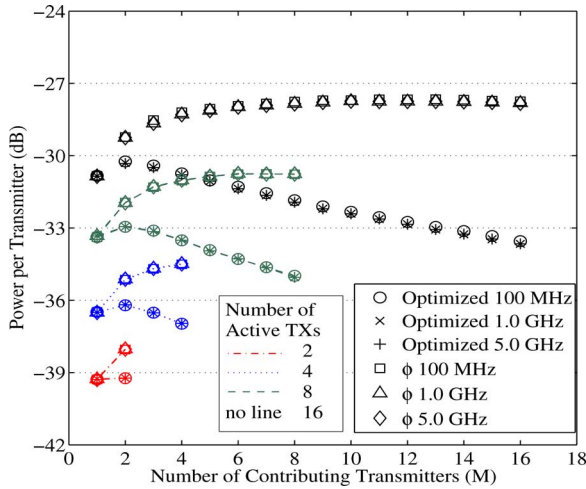


Fig. 5. Free space power per transmitter simulation results for transmitter and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent (28) and (33) transmitter currents, respectively. The maximum number of contributing transmitters on a particular curve equals the number of active transmitters generating that specific curve.

total power increases by less than 2 dB after four or six transmitters, respectively. While the co-phased case does not show as strong a limiting behavior, with sixteen active transmitters, a doubling of transmitters from two to four provides a 4.5 dB increase, but a doubling from eight to sixteen transmitters only increases the median power by 3 dB.

Fig. 5 shows the power per transmitter in free space. Two important observations are 1) the optimized array curves indicate a maximum at two transmitters for all cases, and 2) the co-phased results are within 1/2 dB of the maximum by four transmitters. The combination of these two results show that the two to four transmitters with the strongest signal at the receiver provide the greatest benefit in terms of the array gain. In free space, the strongest contributors would also be the geometrically closest. However, that will not necessarily be the case for other boundary configurations, such as the concrete and soil corner considered next.

D. Concrete and Soil Corner

Fig. 2 illustrates the concrete and soil corner configuration, where the image strengths, i.e., the Γ values, are found as described in Section II. Table II lists the transmitter and receiver locations, while Table I indicates the material properties for the three frequencies of interest. A complex permittivity incorporates loss in the boundary conditions, and thus implies that the directivity performance index represents array gain instead of array directivity. (Losses associated with individual transmitters and receivers are not included.)

The directivity results for a concrete and soil corner shown in Fig. 6 represent both the transmitters and receivers oriented in the $\hat{z}$ direction. The only significant difference from the free space results in Fig. 3 occurs at 100 MHz, where both the optimized and co-phased results indicate an increase over free space of approximately 1 dB. Both the 1 and 5 GHz cases are nearly the same as free space.

Fig. 7 depicts the total power for the concrete and soil corner. The 1 and 5 GHz cases are within 1 dB of the free space results

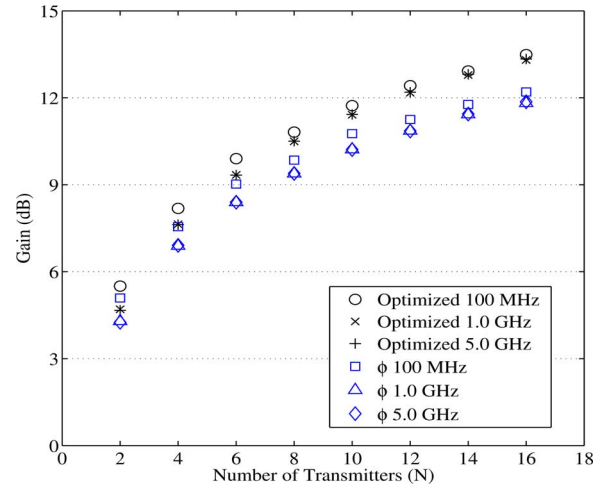


Fig. 6. Concrete and soil corner directivity simulation results for transmitter and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent transmitter currents (28) and (33), respectively.

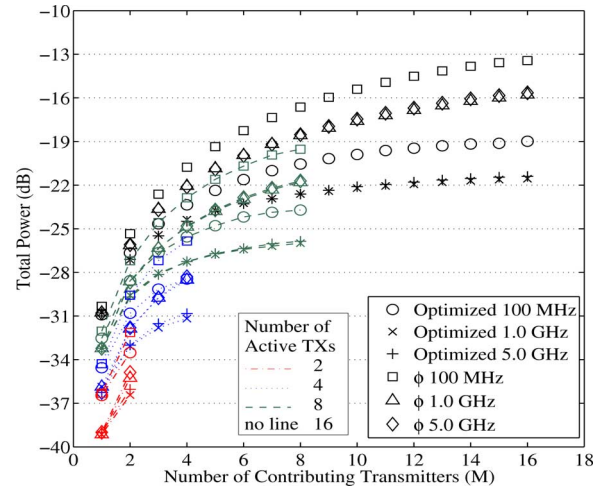


Fig. 7. Concrete and soil corner total power simulation results for transmitter and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent transmitter currents (28) and (33), respectively. The maximum number of contributing transmitters on a particular curve equals the number of active transmitters generating that specific curve.

shown in Fig. 4. However, both the optimized and co-phased results demonstrate approximately a 1.5 to 3 dB increase in total power at 100 MHz, depending on the number of active transmitters. The general trends of the curves match free space. For example, the gain increase associated with doubling from two to four transmitters is about 1.5 dB greater than when increasing from eight to sixteen transmitters of the co-phased results. Similarly for the optimized case, the eight and sixteen number of active transmitter curves indicate that the total power increases by less than 2 dB after four or eight transmitters, respectively.

The concrete and soil corner power per transmitter results are shown in Fig. 8. The 1 and 5 GHz curves are nearly identical to free space (see Fig. 5), but the 100 MHz curves indicate an increase between 1.5 to 3 dB over free space beyond six contributing transmitters for both the optimized and co-phased cases. In addition, the maximum shifts to three or four transmitters for the optimized case, and the point at which the co-phased

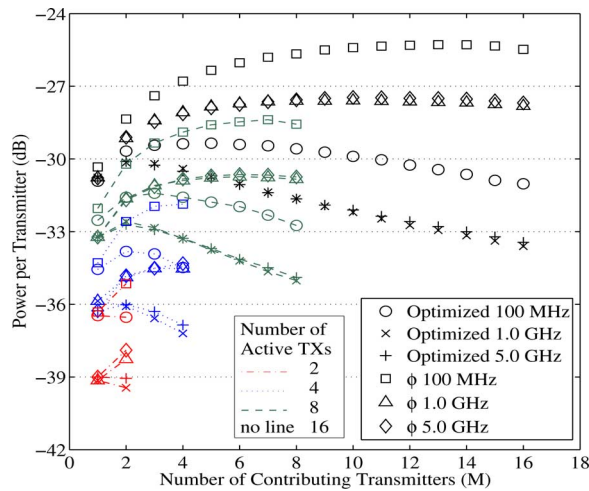


Fig. 8. Concrete and soil corner power per transmitter simulation results for transmitter and receiver locations over intervals in Table II. “Optimized” and “ ϕ ” represent transmitter currents (28) and (33), respectively. The maximum number of contributing transmitters on a particular curve equals the number of active transmitters generating that specific curve.

results are within 1/2 dB of the maximum occurs between four to seven transmitters. This suggests that a practical implementation will benefit from more than simply the two strongest contributing transmitters.

IV. IMPLEMENTATION

The three measures of performance point out some key behaviors that impact the field-implementation. First, diminishing returns in total power occur at approximately four transmitters for the optimized case and six to eight transmitters for the co-phased case. Secondly, the directivity results are not significantly different for the optimized and co-phased results. Thus, the following algorithm could be used to obtain results near the optimized.

- 1) Turn ON each transmitter individually, and determine the transmitter with the maximum contribution at the receiver.
- 2) Select the strongest contributing transmitter, and turn it ON with all the others OFF.
- 3) Select the next strongest transmitter determined in Step 1, and turn it ON.
 - Step the phase of this transmitter through 360° , and determine the phase when the peak power occurs at the receiver.
 - Set the phase of this transmitter to that corresponding to the maximum power at the receiver.
- 4) Repeat Step 3 for the remaining transmitters, until the desired number of contributing transmitters is reached.

This process will give the co-phased results for however many transmitters are included. If total system or radiated power is not a limitation, all the co-phased transmitters could be included in the process. However, if system power efficiency is important, say for example in a low power sensor network, then the optimized approach represents a more appropriate solution because it optimizes the power received at a particular location with respect to the total system input power. The optimization process reduces the power level of transmitters providing the weakest

contribution at the receiver, which suggests only using the four or so strongest contributing transmitters. A reasonable approximation to the optimized solution is to use the algorithm above for a limited number of transmitters. To obtain the complete optimized result, the relative power level of each transmitter would also require adjustment.

V. CONCLUSION

We have investigated the performance of an array comprised of wireless transmitters in the presence of several simple boundary conditions that represent particular features of the complex real-world environment. In aggregate, the simulation results suggest that the combination of using wireless transceivers as an array with an optimization scheme can potentially improve the communication capability for emergency responders. These performance measures also demonstrate that the suggested implementation algorithm is applicable to systems where total input power is not a constraint, e.g., a temporary network for emergency responders, as well as to systems where power efficiency demands consideration, e.g., a lower power sensor network.

The combination of the three measures of performance suggests a straightforward algorithm for implementing such a system. Future work will focus on the testing the algorithm in a series of experiments in more complex environments, as well analyzing the sensitivity of such implementation. (Much of this work has been completed and will be published in the near future.) Dynamic updating will also be important, and [18] suggests that this is possible for wireless arrays; this will be the topic of further work.

ACKNOWLEDGMENT

The authors thank T. X. Brown, T. Mantueffel, and Z. Popović of the University of Colorado, and R. Wittmann of NIST, for their insightful suggestions.

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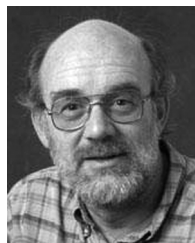
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