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# The Eleventh International Conference on Gas Discharges and Their Applications

Chuo University, Tokyo, 11-15 September 1995



## FUNDAMENTAL PROCESSES IN GAS DISCHARGES

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### ABSTRACT

Recent aspects of fundamental processes in gas discharges are discussed. These include the effect of internal energy of excitation of atoms and molecules on their interactions with slow electrons, the effect of temperature on electron attachment and detachment processes, photodissociation of molecules and photodetachment of anions, and interactions involved in discharge byproduct formation and discharge diagnostics. Reference is also made to fundamental processes in gas discharge materials used in plasma processing.

### INTRODUCTION

In this paper an overview is given of recent developments in identifying fundamental processes underpinning the behavior of gas discharges and their applications. Many such processes involve neutral species, positive and negative ions, electrons, and photons. Collectively these processes control the behavior and characteristics of the discharge and its uses. While the study of such processes traces back many decades, fundamental advances have been made recently in certain areas which open up new possibilities, both basic and applied. These are the ones this paper focusses on. We especially emphasize the following: (i) the effect of internal energy of excitation of atoms and molecules on their interactions with slow electrons, (ii) the effect of temperature (rovibrational energy for molecules) on their electron attachment and detachment properties, (iii) photon-molecule and photon-anion interactions and the study of radicals, (iv) basic interactions involved in discharge byproduct formation and discharge diagnostics, and (v) the effect of the medium on fundamental reactions. Reference is also made to a few recent findings on fundamental processes in gas discharge materials used in plasma processing (e.g., silane and halocarbons).

### EFFECT OF INTERNAL ENERGY OF EXCITATION OF ATOMS AND MOLECULES ON THEIR INTERACTIONS WITH SLOW ELECTRONS

The interactions of slow electrons with atoms and molecules are functions of not only the kinetic energy of the electron and the target atom or molecule, but also of the internal energy of the latter. While the study of the interactions of slow electrons with ground state atoms and molecules traces back many decades, the study of the interactions of slow electrons with excited

atoms and molecules as a function of their internal energy (electronic and/or rovibrational for molecules) is more recent and more limited. In the past, experimental studies on electron-excited target interactions have been difficult because, the excited species are often short-lived and chemically reactive and because it is difficult to produce sufficient numbers of excited species to study under controlled conditions. Today, however, such studies are becoming increasingly more feasible through the use of lasers.

Excited species are of interest in gas discharges (for instance, the importance of the formation and destruction of rare-gas-atom metastables has long been recognized). Recent studies, referred to in this paper, have shown that the cross sections for electron-atom/molecule interactions depend rather strongly on the internal energy content of the atom/molecule and in many instances the cross sections are several orders of magnitude larger than for the ground states, and hence even a small percentage of excited species present in the discharge can alter its behavior. Such knowledge on electron-excited atom/molecule interactions offers unique opportunities for changing the electrical properties of gaseous matter by the use of lasers and has potential applications in other applied areas such as in the development of ultrasensitive analytical instruments.

Examples of these new reactions are given in this section (see, also [1-3]). The limited experimental and theoretical studies to date on slow electron-excited atom/molecule collisions show many and often profound changes in the cross sections for electron scattering, ionization, attachment and detachment. Especially profound are the reported increases in the cross sections for electron scattering from electronically excited atoms and the cross sections for dissociative electron attachment to electronically excited molecules.

### Electron Scattering from Excited Atoms and Molecules

In Fig. 1 are compared [4] the total electron scattering cross sections for the ground state CO<sub>2</sub> and for the vibrationally excited CO<sub>2</sub> molecules [mostly in the low-lying (0.083 eV) 01<sup>1</sup>0 bending mode]. The bending CO<sub>2</sub> vibration has an associated electric dipole moment and it was suggested [4] that the enhancement in the electron scattering cross section is due to the electron-electric dipole moment interaction associated with this bond. The cross sections for slow electron-electric dipole scattering are known to be large [5].



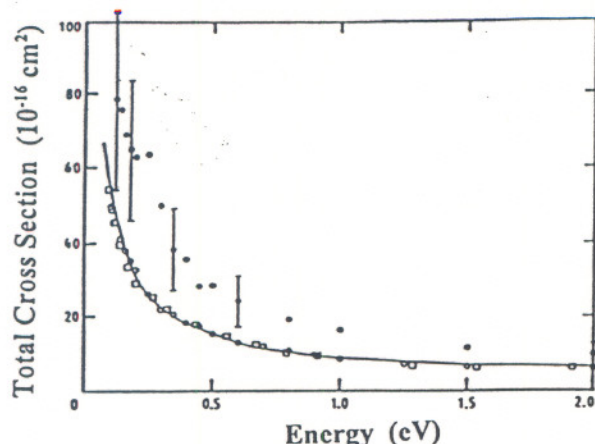


Fig. 1 Total cross section for electron scattering from  $\text{CO}_2$  in the energy range 0 to 2 eV: (.) vibrationally excited, (o, —) ground state ([11], [4a])

However significant the effects of vibrational excitation on the cross section for electron scattering are, they are much smaller compared to those involving electronically excited atoms and molecules. This can be seen (Fig. 2) from the measurements on singlet  $\text{O}_2$  where the cross section for excitation of the  $b^1\Sigma_g^+$  state of  $\text{O}_2$  from the excited state  $\text{O}_2^*(a^1\Delta_g)$  is more than ten times larger than from the ground state  $\text{O}_2(X^3\Sigma_g^-)$  [6]. It is further dramatized by the more extensive data on excited atoms (Figs. 3 and 4).

In Fig. 3 are compared the momentum transfer cross section,  $\sigma_m$ , for electron-ground state argon atom [ $\text{Ar}(3^1S)$ ] scattering [7] with the cross section for elastic scattering of electrons from the

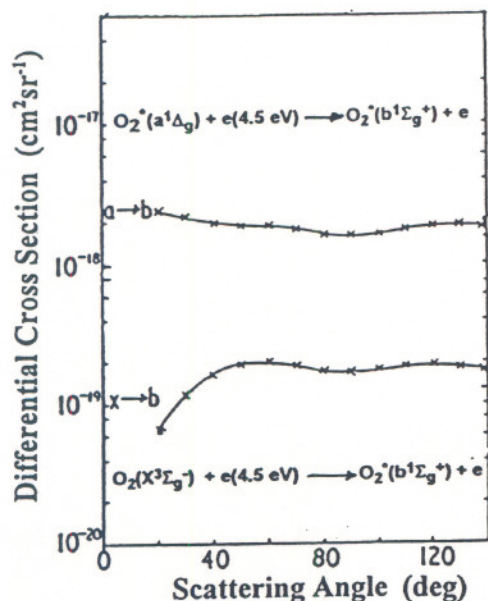


Fig. 2 Differential cross sections for 4.5 eV electrons on ground [ $\text{O}_2(X^3\Sigma_g^-)$ ] and excited [ $\text{O}_2^*(a^1\Delta_g)$ ] oxygen molecules [6]

excited argon atom  $\text{Ar}^*(4^3P_2)$ ,  $\sigma_e^*$ , calculated by Robinson [8]; the latter cross section exceeds the former substantially [around the Ramsauer-Townsend (R-T) minimum by about  $10^4$  times]. The R-T minimum -- so prominent a feature in the cross section for electron scattering from the ground state of the heavier rare gas atoms -- is entirely absent from the cross sections for electron scattering from the excited state(s) of the rare gas atoms. This is a consequence of the much larger electric dipole polarizability,  $\alpha$ , of the excited state ( $\alpha = 318.2 a_0^3$  [9];  $a_0$  = Bohr radius) compared to that of the ground state atom ( $\alpha = 11.07 a_0^3$  [9]), and the resultant dominant role of the electron-induced dipole polarization potential in the scattering. The results of a number of calculations clearly show that the cross sections for scattering of slow electrons from excited atoms are large and that they are characterized by a large contribution of higher angular momenta,  $L$ , to the total cross section as opposed to the cross sections for electron scattering by ground-state atoms where most of the contributing partial waves have small  $L$  values.

The dominant role of the dipole polarizability in electron scattering can be seen from the measurements of the differential electron scattering cross sections from ground and excited atoms. Thus, measurements [10] of the differential electron scattering cross section for excitation by 30 eV electrons of the  $2^3P$  excited state of He from the metastable state  $2^3S$  and from the ground state ( $1^1S$ ) of He showed (Fig. 4) that the cross section for the excited state is up to  $10^5$  times larger compared to the ground state. The maximum enhancement is for small angles (forward scattering) as is to be expected for the distant

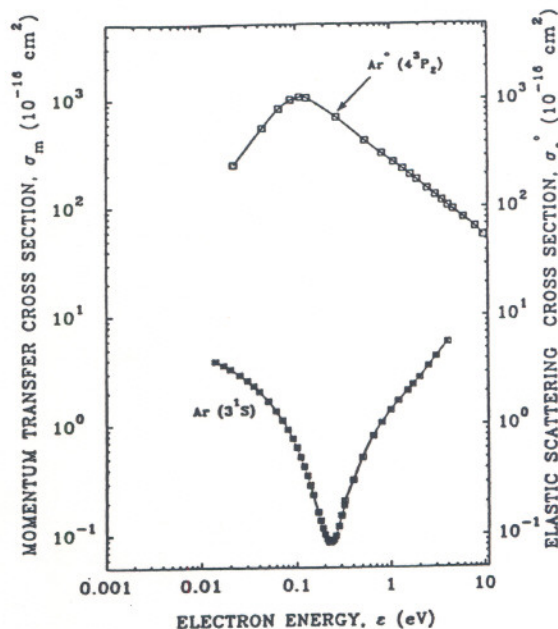


Fig. 3 Comparison of the momentum transfer cross section for scattering from the ground state argon atom  $\text{Ar}(3^1S)$  with the elastic electron scattering cross section from the excited argon atom  $\text{Ar}^*(4^3P_2)$  (see the text)

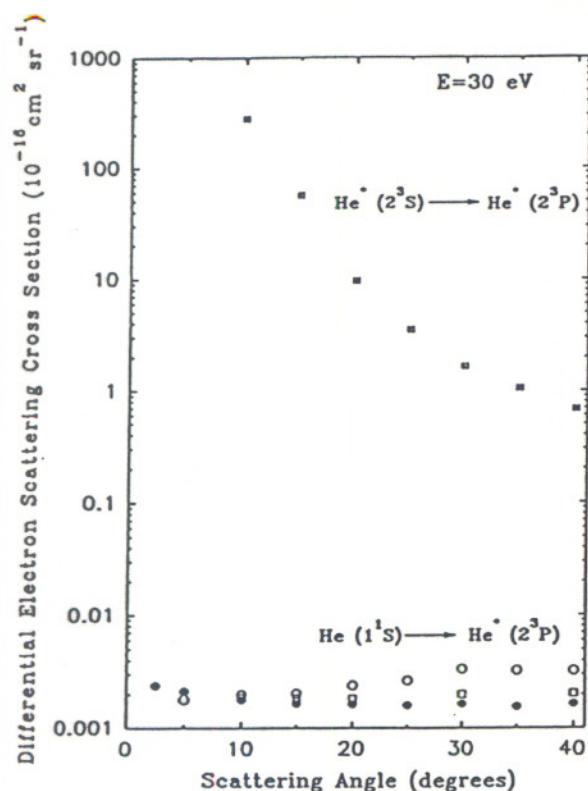


Fig. 4 Differential electron scattering cross section as a function of scattering angle for the excitation of the  $2^3P$  state of He from the excited state  $2^3S$  (see [10]) and from the ground state  $1^1S$  (from [2]) of the He atom by 30 eV electrons

collisions involved in the electron-induced dipole scattering ( $[\alpha(\text{He}(1^1S)) = 1.38 \text{ a}_0^3; \alpha(\text{He}^*(2^3S)) = 315 \text{ a}_0^3$  [9]). Actually, it has been shown [9] that the Vogt-Wannier "limiting case formula"

$$\sigma_{V,W} = 2.487 \times 10^{-16} (\alpha/\epsilon)^{1/2} \quad (1)$$

(where  $\alpha$  and the electron energy,  $\epsilon$ , are in atomic units and  $\sigma_{V,W}$  in  $\text{cm}^2$ ), obtained by considering the interaction between an electron and an atom to be simply the polarization function [11]

$$V(R) = -1/2 (\epsilon^2 \alpha / R^4) \quad (2)$$

predicts reasonably well the magnitude of the total scattering cross section (see Fig. 5). Clearly the large electron scattering cross sections for the excited states are largely due to the large  $\alpha$  of the excited atoms (see, also, [1] and [2]).

#### Electron Impact Ionization of Excited Atoms and Molecules

There are no data that we know of on the electron impact ionization cross section  $\sigma_i$  of vibrationally excited molecules and those on electronically excited molecules are very limited [1,12]. In Fig. 6 are presented the results of a binary encounter approximation calculation [12b] for ionization of the metastables

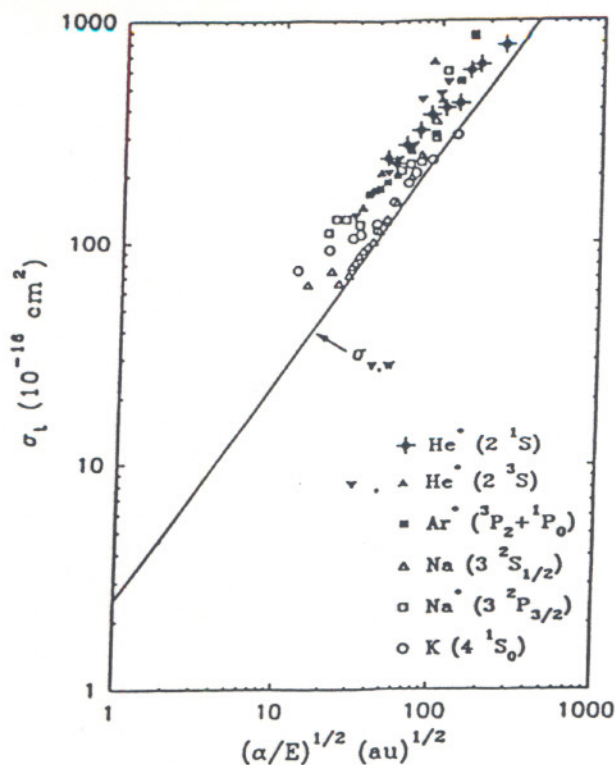


Fig. 5 Total electron scattering cross section plotted versus  $(\alpha/\epsilon)^{1/2}$  for a number of ground state and excited atoms (see the text and [9])

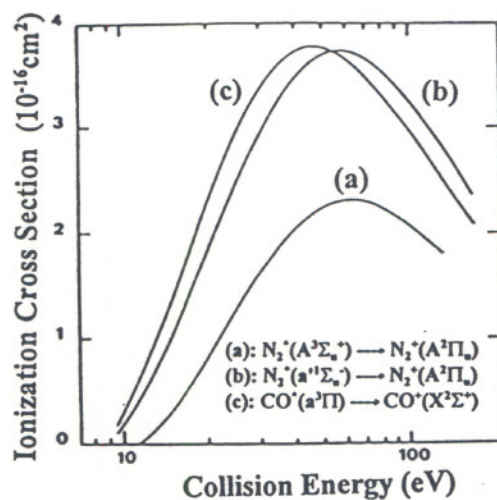


Fig. 6 Calculated electron impact ionization cross sections for the metastables  $N_2^+(A^3\Sigma_u^+)$ ,  $N_2^+(a'^1\Sigma_u^-)$  and  $CO^+(a^3\Pi)$  (from [12b])

$N_2^+(A^3\Sigma_u^+)$ ,  $N_2^+(a'^1\Sigma_u^-)$  and  $CO^+(a^3\Pi)$ . These are generally higher than those for the corresponding ground state species; the peak values of the total ionization cross section for the ground state molecules are  $2.5 \times 10^{-16} \text{ cm}^2$  for  $N_2$  and



$2.6 \times 10^{-16} \text{ cm}^2$  for CO [13]. Both theory and experiment have shown [2] that  $\sigma_i$  is larger for excited atoms compared to ground state atoms as can be seen from Fig. 7; the lower ionization thresholds and the higher dipole polarizabilities of the excited species cause a shift of the cross section maximum to lower energies which, in turn, affects the rate coefficients of the various discharge processes.

#### Electron Attachment to Excited Molecules

The internal energy of molecules plays a crucial role in determining their electron attachment (and detachment, see next Section) properties [1,3,16]. It has been known for sometime (e.g., see [1,3,5,16]) that the cross sections for *dissociative electron attachment* to "hot" (rovibrationally excited molecules) can be very much larger than for the ground state molecules. The increase is a function of the internal energy of the molecule and the relative positions of the potential energy curves (surfaces) of the dissociating negative ion state and the ground state; as the internal energy of the molecule increases, lower energy electrons are captured for which the cross sections are larger and the resultant transient anions dissociate faster. A recent example of such profound effects is shown in Fig. 8 [17]. It has, also, been known that *nondissociative electron attachment* to hot molecules decreases with increasing temperature due to enhanced autodetachment of the transient anion as its internal energy is increased [3,18]. The effect of temperature on electron attachment and detachment processes has been well studied recently with a

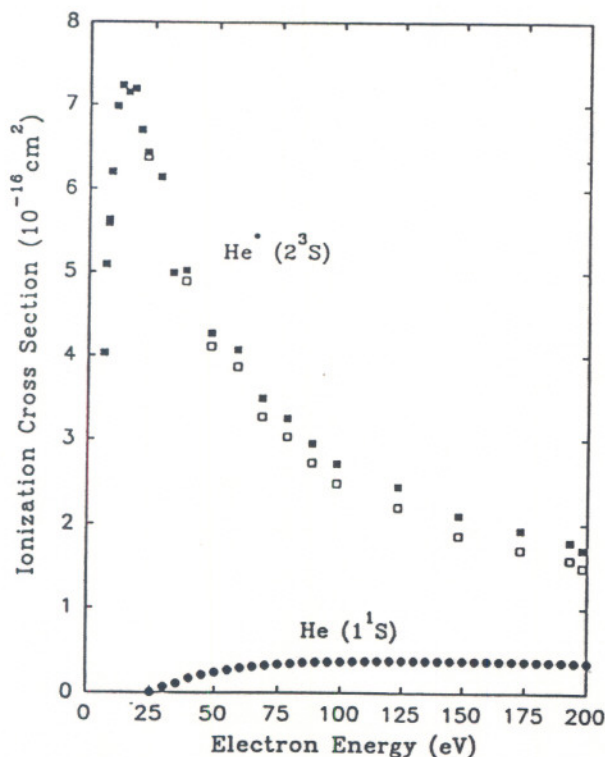


Fig. 7 Electron impact ionization cross section of the ground-state helium atom  $\text{He}(1^1\text{S})$  (., [14]) and the excited helium  $\text{He}^*(2^3\text{S})$  (□, [15])

number of new techniques. In Fig. 9 is depicted the principle of one such new method, namely the *time-resolved electron swarm technique* [18]. This technique allows information on electron attachment and detachment processes to be obtained simultaneously from an analysis of transient electron waveforms. The electron swarm is produced by a narrow  $\text{N}_2$  laser pulse

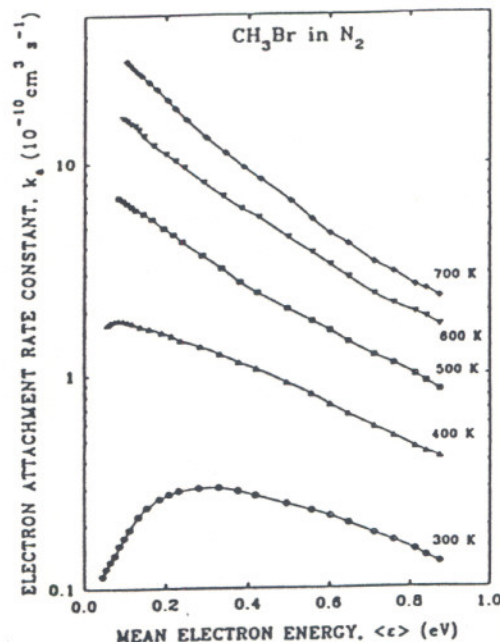


Fig. 8 Total dissociative electron attachment rate constant for  $\text{CH}_3\text{Br}$  as a function of the mean electron energy at various temperatures [17]

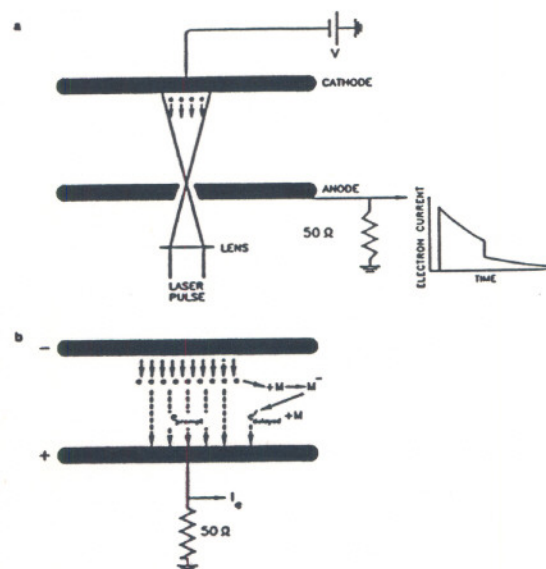


Fig. 9 Schematic diagram of the principle of the time-resolved electron swarm technique (see [18] for details)



which strikes the cathode electrode through a hole in the anode electrode. The electrons drift to the anode under the influence of an applied electric field. As they drift a fraction is removed by attachment forming unstable negative ions which are quickly stabilized by collisions with the buffer gas, forming stable negative ions. Subsequently, these stabilized anions are thermally autodetached giving rise to delayed electrons. The motion of those electrons which reach the anode without ever been attached ("prompt" electrons) and those electrons which have been captured and then released ("delayed" electrons) induces a current in the anode circuit which is observed through a 50  $\Omega$  resistor to the ground. The electron current is given by [18,19]

$$i_e(t) = ew_e / d \int_{w_i t}^{\min(w_e t, d)} \rho_e(x, t) dx \quad (3)$$

where  $\rho_e(x, t)$  is the electron number density;  $w_e$  and  $w_i$  are the electron and ion drift velocities and  $d$  is the drift distance. An example of the recorded waveforms as they were obtained for  $c\text{-C}_4\text{F}_6^-$  is shown in Fig. 10. The solid curves [curve 1; Fig. 10d] are the experimentally measured total electron currents as a function of time; the dash-dot curves [curve 2; Fig. 10d] are the calculated electron current waveforms for the  $t_a^{-1}$  and  $t_d^{-1}$  obtained from a fit to Eq. 3 and the values of  $t_a^{-1}$  and  $t_d^{-1}$  given in the figure to curves 1; the dotted curves [curve 3; Fig. 10d] represent the contribution to the total electron current of the initial (prompt) electron swarm when only electron attachment occurs, and the broken curves [curve 4; Fig. 10d] represent the contribution to the total electron current from the autodetached ("delayed") electrons. As  $T$  is increased this latter contribution becomes increasingly more significant; the parent anions autodetach faster. From recorded electron current waveforms such as in Fig. 10, the electron attachment frequency  $t_a^{-1}$  and the electron detachment frequency  $t_d^{-1}$  are obtained at each temperature using a nonlinear least squares fit;  $t_a^{-1} = k_a N_a$  where  $k_a$  is the nondissociative electron attachment rate constant and  $N_a$  is the attaching gas number density. In Figs. 11a and 11b are shown, respectively, the  $k_a$  for  $c\text{-C}_4\text{F}_6^-$  formation and the  $t_d^{-1}$  for  $c\text{-C}_4\text{F}_6^-$  destruction by electron autoejection as a function of the mean electron energy and the gas temperature. Clearly while the electron attachment rate constant is little affected by increasing  $T$  above ambient, the electron detachment frequency  $t_d^{-1}$  is increased dramatically as  $T$  is raised from 450 to 600 K. The latter is also shown in Fig. 11c where  $t_d^{-1}$  is plotted as a function of the internal energy of the  $c\text{-C}_4\text{F}_6^-$  anion. The heat-enhanced autodetachment has an activation energy of 0.237 eV for  $c\text{-C}_4\text{F}_6^-$  and 0.477 eV for  $\text{C}_6\text{F}_6^-$  [18]; it is a strong function of the electron affinity of the molecule. Thus, in sharp contrast to the profound increases in the thermally-induced autodestruction of the parent anions  $c\text{-C}_4\text{F}_6^-$  and  $\text{C}_6\text{F}_6^-$  with increasing  $T$ , no thermally-induced autodetachment was observed for  $\text{SF}_6^-$  up to 600 K. This is understood on the basis of the larger electron affinity (1.05 eV [20]) of the  $\text{SF}_6$  molecule. At least up to 600 K, the collisionally stabilized  $\text{SF}_6^-$

is stable with respect to autodetachment ( $t_d^{-1} < 0.001 \times 10^6 \text{ s}^{-1}$  [18]).

On the basis of these results, then, it can be concluded that there is little effect of  $T$  on the  $k_a$  of parent anion formation but there is a profound effect of  $T$  on the autodetachment frequency which increases with  $T$ ; this increase, however, depends rather strongly on the binding of the extra electron in the anion. These findings are significant for the modelling of gas discharges and for understanding the behavior of gaseous dielectrics especially under conditions where electron detachment is a source of gas-breakdown-initiating electrons.

Recent studies [3,21] on electron attachment to electronically excited molecules—prepared by laser light prior to or concomitantly with the generation of the attaching electrons—have shown that the cross section for *dissociative electron attachment* to electronically excited molecules can be orders of magnitude larger than for the ground state molecules. A number of techniques have been developed for these studies and their principle is shown in Fig. 12.

The first group (Figs 12a-c) deals with electron attachment to excited electronic states—produced directly or indirectly from higher-lying excited states reached initially by single or multiple photon absorption—studied in high pressure (1 to 100 kPa) gas mixtures using a pulsed Townsend technique [21a,b,c]. In Fig. 12a, a laser pulse enters the interaction region through the gridded bottom electrode, produces excited molecules  $M^*$  in the interaction region, and generates a pulse of electrons at the top electrode. The electron swarm reaches a known steady-state energy distribution within  $< 10^{-8} \text{ s}$ , and drifts through the partially excited gas. The drift time taken by the electrons to reach the bottom electrode is  $< 10^{-5} \text{ s}$ , and thus electron attachment to the excited states can take place if the lifetime  $\tau$  of the excited molecules is  $> 10^{-5} \text{ s}$ .

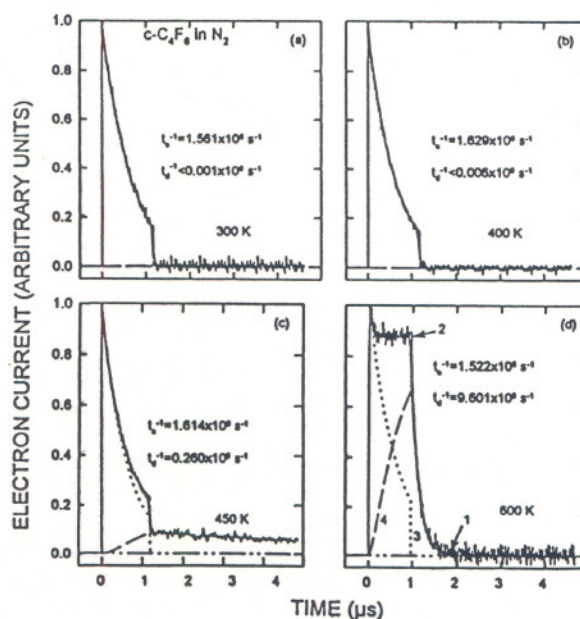


Fig. 10 Electron current waveforms for  $c\text{-C}_4\text{F}_6$  in  $\text{N}_2$  at  $T = 300, 400, 450$  and  $600 \text{ K}$ . All waveforms are for  $E/N = 1.24 \times 10^{-17} \text{ Vcm}^2$ ;  $N_T = 6.44 \times 10^{19} \text{ molecules cm}^{-3}$  [18]

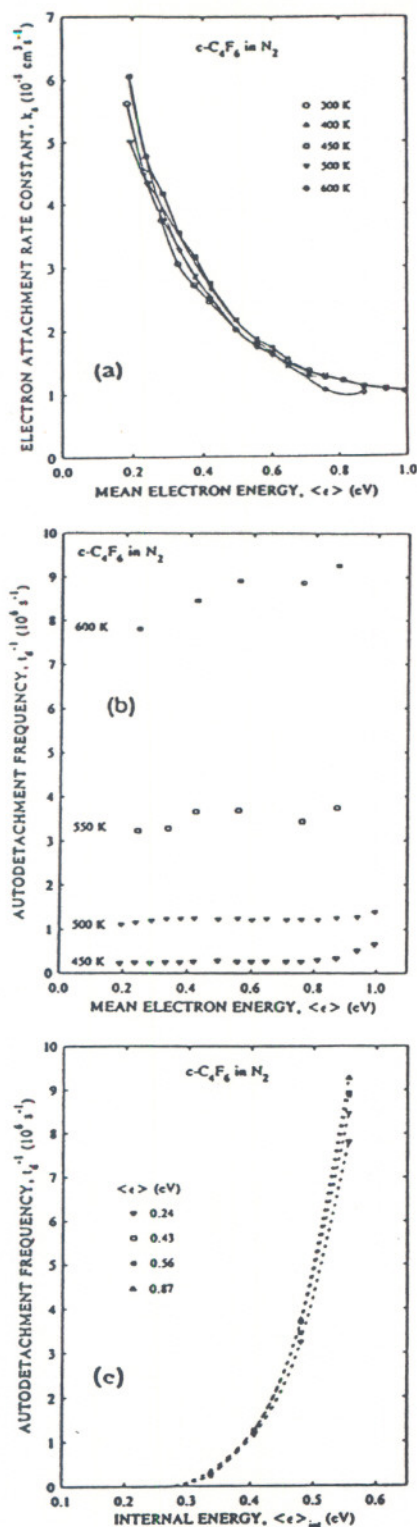
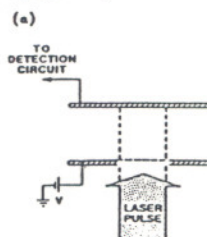


Fig. 11 a. Electron attachment rate constant  $k_a$  for  $c\text{-C}_4\text{F}_6$  as a function of the mean electron energy  $\langle \epsilon \rangle$ , at various temperatures  
 b. Autodetachment frequency  $t_d^{-1}$  for  $c\text{-C}_4\text{F}_6^-$  as a function of the mean electron energy at 450, 500, 550 and 600 K.  
 c. Autodetachment frequency  $t_d^{-1}$  for  $c\text{-C}_4\text{F}_6^-$  as a function of the internal energy of the anion for four values of the mean electron energy [18]

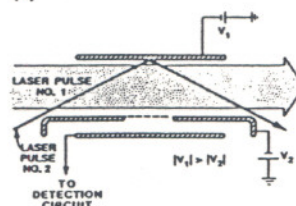
## ELECTRON ATTACHMENT TO ELECTRONICALLY-EXCITED MOLECULES

### Electron Swarm Experiments:

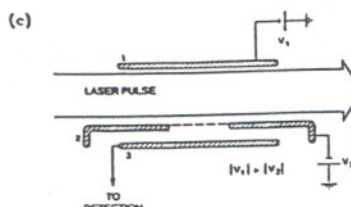
-- Electrons brought to the excited molecules  $M^*$  within  $\tau_M^* (\geq 10^{-3} \text{ s})$



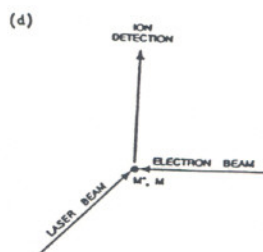
(a)



-- Electrons produced concomitantly with and in the vicinity of  $M^*$  ( $\tau_M^* < 10^{-8} \text{ s}$ )



### Electron Beam Experiments



-- Proper Synchronization of laser and electron beams

$$-\tau_{\text{detection}} < \min. \{ \tau_M^* ; \tau_{\text{diffusion}} \}$$

Fig. 12 Principles of novel electron swarm and electron beam techniques for the study of electron attachment to electronically excited molecules (see the text and [3],[21])



The arrangement of Fig. 12b is an improved version of that in Fig. 12a. The production of excited species is decoupled from that of the attaching electrons by using two lasers as shown; thus the time delay between the production of the excited species and the arrival of the attaching electrons in the interaction region can be varied. Furthermore, the use of three electrodes for separating the interaction and the detection regions allows the detection of negatively charged particles unambiguously. In these two arrangements the electrons are brought to the excited molecules  $M^*$  within the lifetime  $\tau_M^*$  of  $M^*$  which must be  $> 10^{-5}$  s. By contrast, in Fig. 12c the principle of another swarm technique is illustrated which has been developed [21 b,c] to measure electron attachment to short-lived excited states ( $\tau_M^* < 10^{-8}$  s) in a high-pressure (1 to 100 kPa) environment. The electrons are produced concomitantly with and in the vicinity of the excited molecules  $M^*$  (via photoionization of the same gas under study or a suitable additive gas) by a single laser pulse (Fig. 12c). Since the excited species and the electrons are produced in close proximity, electron attachment can occur in spite of the short  $\tau_M^*$ .

The second group of techniques (Fig. 12d) deals with long-lived ( $\tau_M^* > 10^{-5}$  s) excited electronic states under single collision conditions (pressures  $< 10^{-4}$  torr) using electron beams and pulsed lasers. They require proper synchronization of the laser and the electron beams. In the only such experimental study to date [21d], the electron beam was continuous and the laser beam was an excimer laser pulse having repetition rates of  $< 150$  Hz. In this experiment it was important to selectively detect negative ions arriving at the detector within a particular gate time  $\Delta t_G$  after a preset delay time  $\tau_D$  from each laser pulse. The gate delay is associated with the time taken by the (laser-initiated) negative ions to arrive at the detector, and the gate time should be  $< \text{minimum}(\tau_M^*, \tau_D)$ , where  $\tau_M^*$  is the lifetime of the excited molecules and  $\tau_D$  is the time taken by the excited molecules to diffuse out of the interaction region. (See details in [3, 21d]).

Examples of the new information obtained by these three types of novel experiments represented by Figs 12a,b, Fig. 12c, and Fig. 12d are shown, respectively, in Figs 13, 14, and 15. In Fig. 13 is shown the first [21a] observation of optically enhanced dissociative electron attachment to electronically excited states. Curve 1 is the coefficient for dissociative electron attachment to the thiophenol molecule ( $C_6H_5SH$ ) in the ground state (laser off) as a function of the density reduced electric field  $E/N$ ; curve 3 is the measured coefficient for electron attachment to the thiophenol molecule in its first excited triplet state, reached indirectly from higher excited (singlet) states, themselves populated by single photon absorption using the 249 nm KrF excimer line. When the laser photon energy is below the first excited singlet state of the molecule (which is the case for the 308 nm laser line) no enhancement is observed (curve 2 in Fig. 13). The enhancement is actually about 100 times larger than indicated in the figure since the ratio of the excited to the unexcited thiophenol molecules was about 0.01 under the experimental conditions of reference 21a.

In Fig. 14 are shown the large negative ion signals observed when  $H_2$  was irradiated with the ArF laser line (192 nm) and its dependence on the laser intensity  $I$ . Virtually no anions are formed from ground state  $H_2$  at room temperature; the rate constant for  $H^-$  production at 3.75 eV is  $< 10^{-14} \text{ cm}^3 \text{ s}^{-1}$  (see p. 455 of [5]). The large negative ion signals under laser irradiation were interpreted as resulting from electron attachment to high-

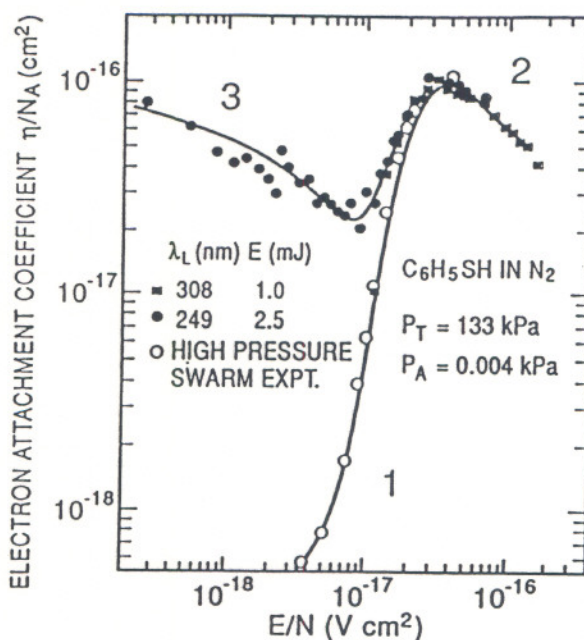


Fig. 13 Electron attachment coefficient  $\eta/N_A$  versus  $E/N$  for  $C_6H_5SH$  in  $N_2$  for the ground state (curves 1 and 2) and the first excited triplet state (curve 3) of the molecule [21a]

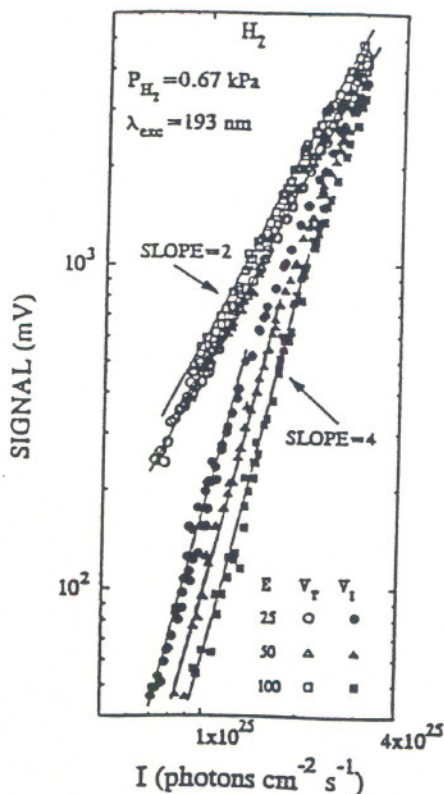
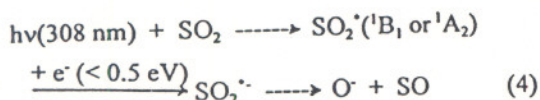


Fig. 14 Laser intensity,  $I$ , dependence of the measured total ( $V_T$ ) and negative ion ( $V_I$ ) signal for  $H_2$  under the experimental conditions shown in the figure (see the text and [21c])



lying excited states of  $H_2$  which are reached by three-photon absorption; they indicated that the rate constants for electron attachment to such states (or to lower-lying excited states to which these decay) are enormous:  $> 10^{-6} \text{ cm}^3 \text{ s}^{-1}$  [21c,22]. Cross sections of such magnitude can have profound implications in many technologies (e.g., negative ion and neutral particle beams [22],  $H_2$  discharges [23], lasing mechanisms [24]). The observation of laser enhanced dissociative electron attachment to  $SiH_4$  [25],  $CH_4$  [26] and other molecules [3] points to their possible significance in plasma deposition and materials processing and to the possible development of ultrasensitive analytical instruments.

In Fig. 15 is compared the cross section for  $O^-$  production from  $SO_2$  in the ground state (Fig. 15a) and under laser irradiation (XeCl line; 308 nm) (Fig. 15b). The  $O^-$  signal with the laser on was obtained at a laser repetition rate of 150 Hz; the rest of the experimental conditions were as for Fig. 15a. It is evident that under laser irradiation in addition to the ground-state processes (Fig. 15a) an intense peak appears at near-zero energy which was attributed [21d] to the reaction



The electron energy required for the transition from  $SO_2^*(^1B_1 \text{ or } ^1A_2)$  to  $SO_2^{*-}$  is small, and thus the photoenhanced signal appears at close to zero energy. The photoenhanced resonance is also narrower, due to the change in the equilibrium distance of  $SO_2^*$  compared to  $SO_2$ . The broken

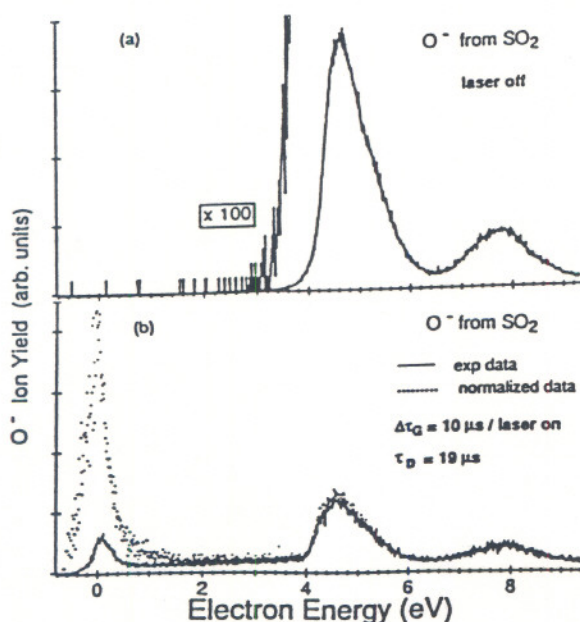


Fig. 15 Relative cross section for the production of  $O^-$  from  $SO_2$  as a function of electron energy for ground state  $SO_2$  molecules (Fig. 15a) and for a mixture of ground and excited  $SO_2$  molecules (Fig. 15b) (See the text and [21d])

curve in Fig. 15b is the experimental data corrected for the variation of the electron current with electron energy and normalized at 8 eV (see [21d]). The intensity of the near-zero energy peak is very much larger than is indicated in the figure because only a small fraction of the  $SO_2$  molecules are excited by each laser pulse. The peak cross section value for the photoenhanced  $O^-$  signal was estimated [21d] to be at least 2 to 3 orders of magnitude larger than the peak cross section value ( $2.46 \times 10^{-18} \text{ cm}^2$ ) for  $O^-$  from the ground state.

These observations may have implications for the behavior of  $SO_2$  and other similar-type pollutants in the atmosphere.

### PHOTON-ANION AND PHOTON-MOLECULE INTERACTIONS AND THE STUDY OF RADICALS

Photodetachment and photodissociation are two photoprocesses of interest to gas discharges. The former creates free electrons and the latter free radicals.

#### Photodetachment

A significant recent accomplishment has been the development of new techniques for the study of photodetachment processes in both the gas [27-31] and the other states of matter (e.g., see [30]). The method described in [30] and [31] is particularly sensitive and can provide accurate measurement of absolute photodetachment cross sections  $\sigma_{pd}(v)$  and photodetachment energetics. In Fig. 16 are presented the results of [31] for the reaction



The photodetachment cross section has a threshold at 3.16 eV which is about three times larger than the electron affinity of the  $SF_6$  molecule (about 1.05 eV). The magnitude of the

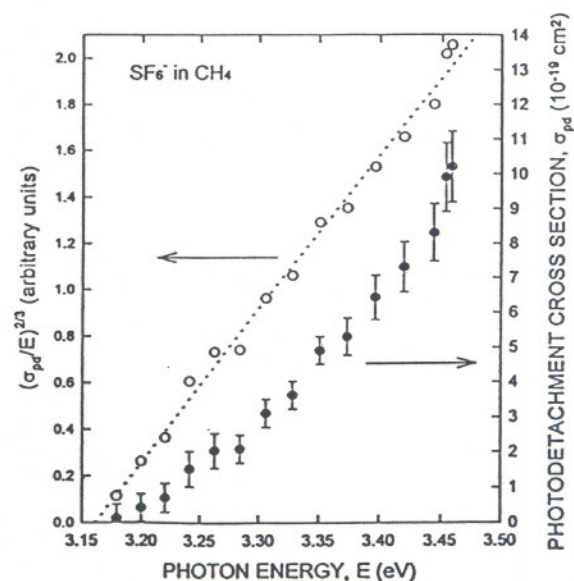


Fig. 16 (.) Photodetachment cross section  $\sigma_{pd}(E)$  for  $SF_6^-$  in a buffer gas ( $CH_4$ ) as a function of photon energy  $E$ . (o) A plot of  $(\sigma_{pd}(E) / E)^{2/3}$  versus  $E$  consistent with a threshold value of 3.16 eV [31]



photodetachment cross section increases from the threshold to  $1.0 \times 10^{-18} \text{ cm}^2$  at a photon energy of 3.46 eV. The small size of the measured photodetachment cross section is attributed to the large relaxation in the equilibrium internuclear positions of  $\text{SF}_6^-$  compared to  $\text{SF}_6$ .

### Photodissociation

A most interesting study of this fundamental process is that on the photodissociation of freons under collisionless conditions using lasers:  $\text{CHFCl}_2$  [32],  $\text{CHCl}_3$  [32],  $\text{CF}_2\text{BrCl}$  [33],  $\text{CFCl}_3$  [34],  $\text{CF}_2\text{Cl}_2$  [35] and the radical  $\text{CHCl}_2$  [32]. These studies provided absolute cross section data and photodissociation quantum yields for specific radicals which can allow the controlled photoproduction of radicals for further study. Such investigations are important in view of the use of these freon compounds in plasma processing of materials. In Table 1 are listed pertinent findings by these workers. The high yields for the reactions given in the Table clearly show that at the laser light wavelength (193 nm) used, the decay of the excited states of these freons is via the C-Cl fission. (See, also, a review of absolute cross sections for photoabsorption, partial photoionization and ionic photofragmentation processes for a number of molecules in [36]).

Table 1: Photodissociation of freons by 193 nm laser light

Photodissociation Reaction	Photodissociation Cross Section ( $10^{-18} \text{ cm}^2$ )	Photodissociation Quantum Yield	Reference
$\text{CF}_2\text{Cl}_2 + h\nu \rightarrow$ $\text{CF}_2\text{Cl} + \text{Cl}$	- 3.5	- 1	33
$\text{CFCl}_3 + h\nu \rightarrow$ $\text{CFCl}_2 + \text{Cl}$	14	- 1	34
$\text{CHFCl}_2 + h\nu \rightarrow$ $\text{CHFCl} + \text{Cl}$	2	- 1	32
$\text{CHCl}_3 + h\nu \rightarrow$ $\text{CHCl}_2 + \text{Cl}$	8	- 1	32

### Radicals

The study of radicals, especially those radicals which are of technological significance, is rather demanding. Very little is known, for example, about their electron attachment, scattering and impact ionization properties. Efforts are under way at the authors' laboratory to study electron attachment to radicals and recently significant results have been reported [37-40] on electron impact ionization of radicals of interest to plasma etching and deposition. For example, the free radicals  $\text{CF}_3$ ,  $\text{CF}_2$ , and  $\text{CF}$  were prepared [37-40] by near-resonant charge transfer reactions of  $\text{CF}_3^+$ ,  $\text{CF}_2^+$  and  $\text{CF}^+$  with various species (e.g., Xe) and the positive ions produced by electron impact on them have been identified and quantified. These radicals and their ions are most abundant and reactive species that result from the dissociation of  $\text{CF}_4$ .

In Fig. 17a are shown [37] the absolute cross sections for dissociative ionization of  $\text{CF}_x$  ( $x = 1-3$ ) free radicals of  $\text{CF}_3$ ; the molecular fragment ionization ( $\text{CF}_2^+$ ,  $\text{CF}^+$  from  $\text{CF}_3$ ) cross section exceeds the parent ionization cross section ( $\text{CF}_3^+$  from  $\text{CF}_3$ ). In Fig. 17b are presented absolute cross sections for the parent ionization of the  $\text{CF}_x$  ( $x = 1-3$ ) radicals by electron impact [38]. These results are important in modeling discharges of the  $\text{CF}_4$  gas.

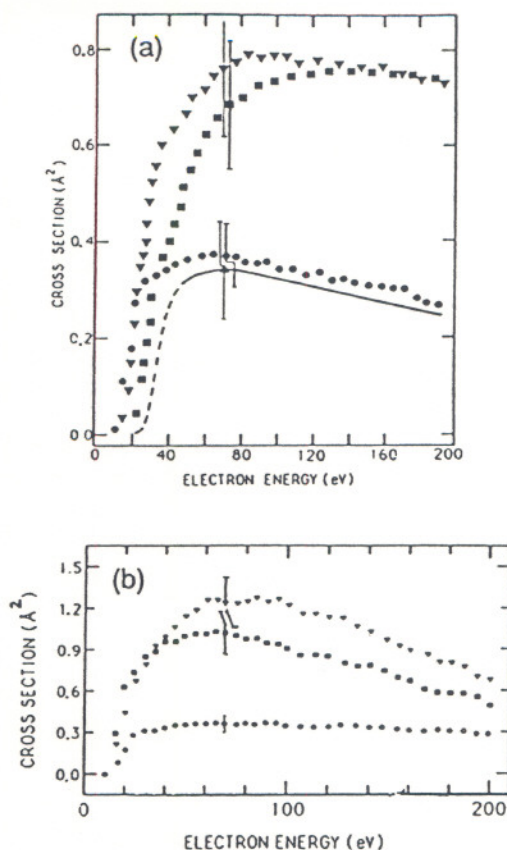


Fig. 17 a. Absolute electron-impact ionization cross section for the formation of  $\text{CF}_3^+$  parent ions (.) and  $\text{CF}_2^+$  (▼) and  $\text{CF}^+$  (■) fragment ions from  $\text{CF}_3$  as a function of electron energy. Also shown (▲) is the absolute cross section for the formation of  $\text{F}^-$  at 70 eV. The energy dependence of the  $\text{F}^-$  cross section is indicated by the solid line above 50 eV and by the broken line below 50 eV [37]. b. Absolute electron-impact ionization cross section for the formation of the  $\text{CF}_x$  ( $x = 1$  to 3) parent ions as a function of electron energy; (.)  $\text{CF}_3^+$ , (■)  $\text{CF}_2^+$  and (▼)  $\text{CF}^+$  (from [38]).

### ANION PROCESSES INVOLVING $\text{SF}_6$ DISCHARGE BYPRODUCTS

When electrical discharges occur in  $\text{SF}_6$  or in mixtures of this gas with  $\text{O}_2$  and  $\text{H}_2\text{O}$ , a host of stable or quasi-stable electronegative byproducts are formed which include such species as  $\text{SOF}_2$ ,  $\text{SO}_2\text{F}_2$ ,  $\text{SOF}_4$ ,  $\text{SO}_2$ ,  $\text{S}_2\text{OF}_{10}$ ,  $\text{S}_2\text{O}_2\text{F}_{10}$ ,  $\text{SF}_4$ , and  $\text{S}_2\text{F}_{10}$  [41,42]. The cross sections for total electron scattering and electron attachment processes have recently been measured for these species [43-45]. Shown in Fig. 18 are the dissociative electron attachment rate coefficients as a function of  $E/N$  for  $\text{SF}_6$ ,  $\text{SOF}_2$ ,  $\text{SO}_2\text{F}_2$ ,  $\text{SF}_4$ , and  $\text{SO}_2$  in  $\text{SF}_6$  which were calculated from directly measured cross sections in an electron beam apparatus [43]. Although the dissociative attachment rates for the byproducts indicated in Fig. 18, as well as the rates for  $\text{SOF}_4$  (not shown in the figure) fall below the values for  $\text{SF}_6$ , the rates are still high enough that the dielectric strength of  $\text{SF}_6$  is not measurably reduced when small amounts of these compounds are present.



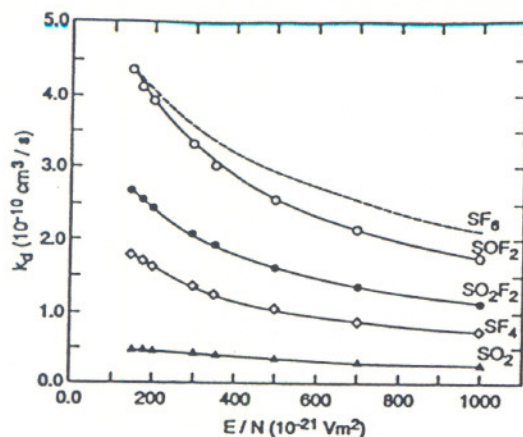


Fig. 18 Calculated total dissociative electron attachment rate coefficients for  $\text{SF}_6$  and its discharge byproducts  $\text{SOF}_2$ ,  $\text{SO}_2\text{F}_2$ ,  $\text{SF}_4$  and  $\text{SO}_2$  in  $\text{SF}_6$  as a function of  $E/N$  [43]

The byproducts  $\text{S}_2\text{F}_{10}$ ,  $\text{S}_2\text{OF}_{10}$ , and  $\text{S}_2\text{O}_2\text{F}_{10}$ , on the other hand, have electron attachment cross sections and corresponding electron attachment rate constants that are significantly higher than those for  $\text{SF}_6$ . Figure 19 shows the measured [45] electron energy dependence of the absolute cross sections for dissociative electron attachment to  $\text{S}_2\text{OF}_{10}$ ,  $\text{S}_2\text{O}_2\text{F}_{10}$ , and  $\text{SF}_6$  compared to the calculated maximum s-wave capture limit ( $\pi\lambda^2$ ) corresponding to the Wigner threshold condition [46]. The cross sections for both  $\text{S}_2\text{OF}_{10}$  and  $\text{S}_2\text{O}_2\text{F}_{10}$  are anomalously high, exceeding the s-wave limit at 0.1 eV by more than an order of magnitude. In the case of these molecules, there is reason to question the applicability of partial-wave analysis and therefore the s-wave limit to electron scattering because the electron-molecule interaction potentials are not likely to satisfy the requirement of spherical symmetry. It should be kept in mind that these are relatively large, asymmetric molecules that likely have multicentered interaction potentials.

The dissociative electron attachment cross section for  $\text{S}_2\text{F}_{10}$  also exhibits somewhat unusual behavior as is illustrated by the results shown in Fig. 20 which indicate a significant cross section for electron impact energies up to 11 eV. For all other  $\text{SF}_6$  oxidation byproducts it is found that electron attachment occurs at electron energies below 8.0 eV [43,45]. The higher energy electron attachment resonances above 4 eV do not contribute significantly to the electron attachment rate for  $E/N$  less than  $10^{-18} \text{ Vm}^{-2}$  corresponding to typical discharge conditions in  $\text{SF}_6$ . This accounts for the relatively low rates for  $\text{SO}_2$  seen in Fig. 18, because its ground-state dissociative electron attachment cross section is peaked near 5 eV (see Fig. 15). It is also interesting to note that  $\text{SF}_6$  formation contributes significantly to the  $\text{S}_2\text{F}_{10}$  dissociative attachment process at low energies below 1 eV. The experimental results [43-45] show that dissociative electron attachment is the predominant electron attachment process that occurs for all  $\text{SF}_6$  byproducts mentioned above, and therefore, the electron attachment process will contribute to the destruction of these species in a discharge.

In assessing the role of anion processes in  $\text{SF}_6$  discharges there are other unusual characteristics of the anion chemistry in this gas that should be pointed out. The first concerns the anomalously high collisional detachment threshold energies that have been observed [47] for the ions  $\text{F}^-$ ,  $\text{SF}_5^-$ , and  $\text{SF}_6^-$  that result from electron attachment to  $\text{SF}_6$ . The measured collisional detachment cross sections for these three ions are shown in Fig. 21 and indicate that  $\text{SF}_6^-$  and  $\text{SF}_5^-$  both have detachment thresholds at about 90 eV and  $\text{F}^-$  at about 8 eV. Because of these high thresholds, the negative ions formed directly from  $\text{SF}_6$  will not detach by collision under

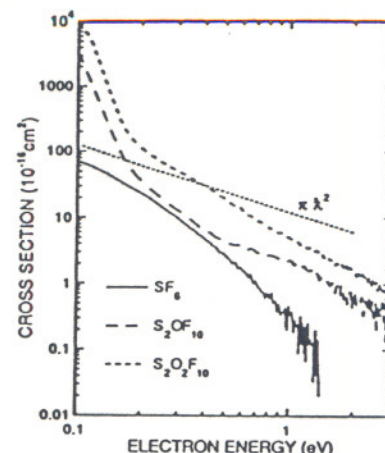


Fig. 19 Electron energy dependence of the total cross section for dissociative electron attachment to  $\text{S}_2\text{OF}_{10}$  (long dashed line),  $\text{S}_2\text{O}_2\text{F}_{10}$  (short dashed line) and  $\text{SF}_6$  (solid line) in comparison with the calculated maximum s-wave capture limit ( $\pi\lambda^2$ ) [45]

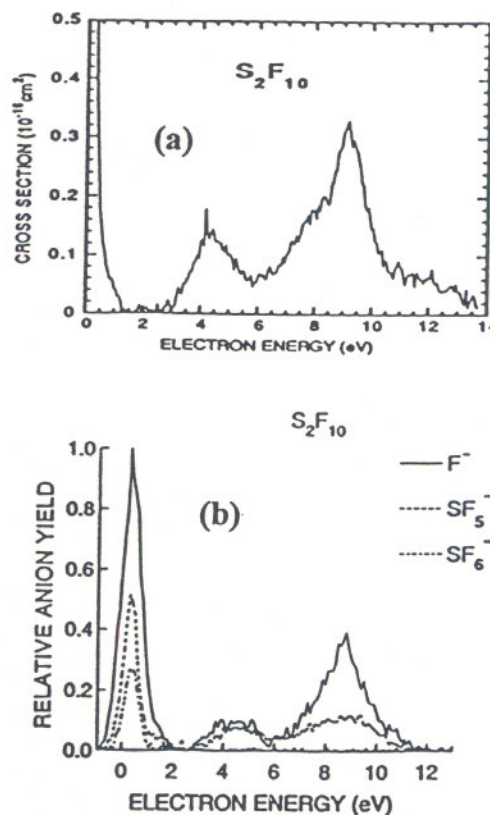


Fig. 20 a. Electron energy dependence of the cross section for dissociative electron attachment to  $\text{S}_2\text{F}_{10}$  [45]  
b. Electron energy dependence of the relative anion yields from dissociative electron attachment to  $\text{S}_2\text{F}_{10}$  [45]



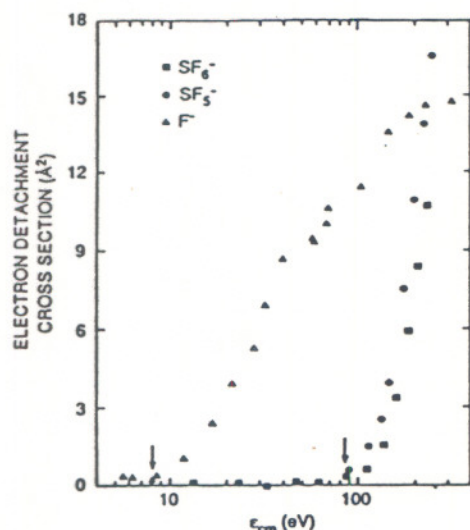
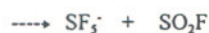


Fig. 21 Collisional electron detachment cross sections for  $F^-$ ,  $SF_5^-$ , and  $SF_6^-$  on  $SF_6$  target gas as a function of the center-of-mass energy [47]

typical discharge conditions. It can, therefore, be concluded that collisional detachment processes in  $SF_6$  that are important for determining discharge initiation probability, will be controlled by anions associated with impurities such as  $OH^-$  from  $H_2O$  [48]. Once discharge byproducts appear in the gas, they can become important in controlling the anion chemistry through such fast reactions as:



which have high rates that approach the theoretical collision limit at low temperatures or at low  $E/N$  [49, 50]. In a decomposed gas in which oxyfluoride byproducts are present, the predominant initial  $SF_6^-$  anion will rapidly convert to other ions such as  $SOF_5^-$ . At the present time, little is known about the collisional detachment rates of the oxyfluoride-type anions.

#### EFFECT OF MEDIUM ON GAS-PHASE REACTIONS; INTERACTIONS ON SURFACES

Many fundamental reactions depend on the density and nature of the medium in which they occur. The behavior, for example, of slow electrons in matter depends on the state of matter. In addition, the surface often acts as a catalyst and the cross sections for and energetics of reactions occurring on the surface differ from — and affect — those in the gas. These processes need detailed investigation as do clustering phenomena involving neutrals and/or charged particles. The topic is of current interest (e.g., see [16] and [51]).

#### ELECTRON SCATTERING CROSS SECTIONS FOR SILANE AND HALOCARBONS

In this section we refer to two recent studies dealing with the determination of electron scattering cross sections for polyatomic molecules of technological interest. The first study is on  $SiH_4$  and is prototypical of the continuous effort to obtain consistent sets of low-energy electron scattering cross sections for the various elastic and inelastic processes for polyatomic molecules using electron swarm transport coefficients and Boltzmann transport equation analysis or Monte Carlo computations. In Fig. 22 is shown the set of cross sections obtained recently [52] for  $SiH_4$  by the use of Monte Carlo calculations and transport data in  $SiH_4$ -He mixtures. The authors emphasized the significance of using electron transport data on  $SiH_4$  in a "non-Ramsauer buffer gas" (He) to achieve consistency in the derived cross sections. Cross section sets of this type are important in identifying and quantifying the precursors and the mechanisms in thin film technology using  $SiH_4$  gas [52].

The second study is on halocarbons  $CF_4$ ,  $CClF_3$ ,  $CCl_2F_2$ ,  $CCl_3F$  and  $CCl_4$  [53] and is prototypical of efforts to measure electron scattering cross sections over wide energy ranges using monoenergetic electron beams. The measurements of this investigation on the total electron scattering cross sections from 10 to 4000 eV are shown in Fig. 23 (see, also, [54] and [55]).

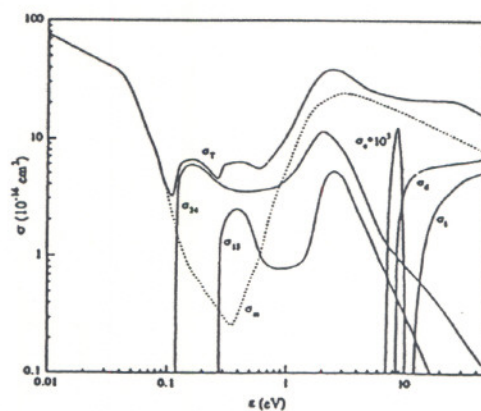


Fig. 22 Electron collision cross sections for  $SiH_4$ ; the subscripts T, m, 24, 13, a, d, i correspond to the total (elastic + inelastic), momentum transfer, first vibrational, second vibrational, attachment, dissociation and ionization cross sections respectively [52].

#### CONCLUSION

New methods and experimental techniques provide basic knowledge which allows a deeper understanding of fundamental gas discharge processes. This knowledge opens up new possibilities for applications.



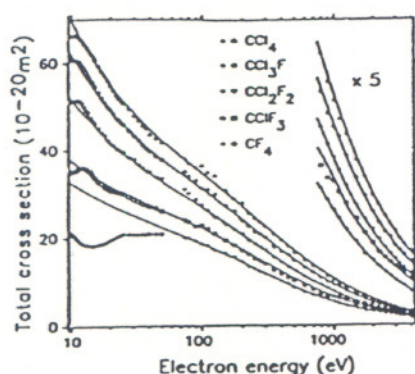


Fig. 23 Total cross sections for electron scattering from chlorofluoromethanes in the energy range 10 to 4000 eV. Points are experimental data and curves are semiempirical fits (from [53])

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