

# APEX blind deconvolution of color Hubble space telescope imagery and other astronomical data

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**Abstract.** The APEX method is a noniterative direct blind deconvolution technique that can sharpen certain kinds of high-resolution images in quasi real time. The method is predicated on a restricted class of blurs, in the form of 2-D radially symmetric, bell-shaped, heavy-tailed, probability density functions. Not all images can be usefully enhanced with the APEX method. However, the method is found effective on a broad class of galaxy images, including Hubble space telescope advanced camera for surveys (ACS) color imagery. APEX-detected optical transfer functions that successfully sharpen these images are very far from Gaussian, and of a type seldom found in the imaging literature. Several examples are given where significantly sharper and visually striking reconstructions are obtained, with sharpening confirmed by the tripling or quadrupling of image gradient norms. © 2006 Society of Photo-Optical Instrumentation Engineers. [DOI: 10.1117/1.2362579]

Subject terms: APEX method; blind deconvolution; color imagery; Whirlpool galaxy; Andromeda galaxy; Hubble space telescope; heavy-tailed point spread functions; slow evolution from continuation boundary method; inverse diffusion equations.

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## 1 Introduction

The APEX method is a noniterative, single-frame, direct blind deconvolution technique that can sharpen certain kinds of high resolution images in quasi real time. The method operates in Fourier transform space via fast Fourier transform (FFT) algorithms. The method has been applied successfully in diverse imaging contexts, including airborne reconnaissance, magnetic resonance imaging (MRI) and positron emission tomography (PET) brain scans, and scanning electron microscopy.<sup>1-3</sup> However, not all images can be usefully enhanced with the APEX method. This paper explores the possible application of this technique to astronomical data, including Hubble space telescope imagery. In Fig. 1, a familiar earthbound setting illustrates the type of improvement that is sometimes possible with the APEX method. In that example, zooming on selected parts of the APEX-enhanced image [Fig. 1(b)] reveals buildings in the distance,<sup>2</sup> Holstein cows grazing in the meadow, and numerous other fine-scale details not readily apparent in the original image [Fig. 1(a)].

Recently, much excellent work has been done in the area of blind deconvolution of astronomical data.<sup>4-11</sup> Many of these methods aim primarily at undoing the distorting effects of atmospheric turbulence in short-exposure, ground-based observations. Multiframe algorithms, typically involving several hundred short-exposure images of the same object, appear to be particularly effective. An interesting

example of multiframe blind deconvolution applied to ground-based surveillance of space objects is given in Ref. 9.

APEX processing is generally not useful in such short-exposure applications, and the method would probably be incapable of reproducing the results in Ref. 9. In a similar vein, consider the severely blurred early Hubble space telescope imagery caused by manufacturing flaws in the primary mirror. The much improved imagery following the 1993 implementation of corrective optics is best illustrated with the M100 galaxy images in Fig. 2. Here, if APEX processing were to be applied to the blurred image in Fig. 2(a), the method would fail to identify the flawed optics point spread function (PSF) from the data in Fig. 2(a), and it would be unable to produce a useful approximation to the sharp image in Fig. 2(b).

The APEX method is predicated on an important but circumscribed class of radially symmetric shift-invariant blurs, one that generalizes Gaussian and Lorentzian distributions. This is the class G defined later in Eq. (4). That class does not include the more complex PSFs that characterize the examples mentioned in the preceding paragraph. Rather, the APEX method aims primarily at reconstructing fine-scale information that may have been smoothed out by the combined effects of radially symmetric lens aberrations, long-exposure turbulence if present, and additional radially symmetric blurring, originating from diverse electron optical devices used in the acquisition and recording of the final digitized image. Presumably, the APEX method will be successful on a given image, only to the extent that a significant portion of the unknown image blur can be well-approximated by some member of the class G.



(a)



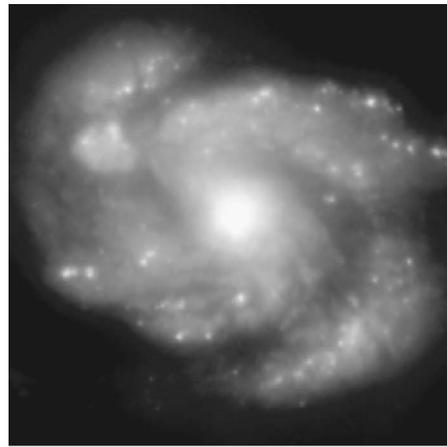
(b)

**Fig. 1** APEX blind deconvolution of English village image; (a) original  $512 \times 512$  8-bit image and (b) APEX-processed image. Zooming on selected parts of sharpened image (b) reveals buildings in the distance,<sup>2</sup> and other significant information not easily detectable in image (a).

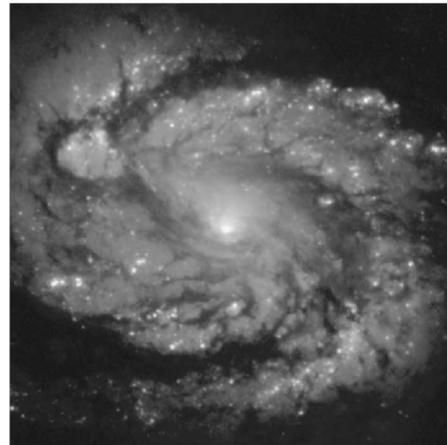
It develops that APEX processing is surprisingly effective on a broad class of galaxy images, including color Hubble space telescope imagery. APEX-detected optical transfer functions that successfully sharpen these images are very far from Gaussian, and of a type not commonly found in the astronomical imaging literature. Visually striking enhancements are exhibited in Secs. 9–11. The degree of sharpening in these images can be quantitatively assessed by comparing image gradient norms before and after APEX processing. Tripling or quadrupling of gradient norms is commonly realized. In the case of Hubble telescope imagery, the APEX method can enhance advanced camera for surveys (ACS) images, in addition to wide-field and planetary camera 2 (WFPC2) images. An interesting new method of assessing image sharpness, based on measuring image Lipschitz exponents,<sup>12</sup> can also be fruitfully applied to the present class of images. However, due to space limitations, a full discussion of that technique must be deferred to a future report.

## 2 Heavy-Tailed Lévy PSFs

Important empirical work has identified the general functional form of the optical transfer functions (OTFs) in a



(a)



(b)

**Fig. 2** Hubble space telescope image of the M100 galaxy before and after implementation of 1993 corrective optics package. APEX method applied to image (a) would fail to detect flawed optics PSF, and would be unable to produce useful approximation to image (b).

very wide variety of electron optical imaging devices,<sup>13,14</sup> including phosphor screens and some types of photographic film. Define the 2-D Fourier transform  $\mathcal{F}(h)$  of a function  $h(x, y)$  by

$$\mathcal{F}(h) = \hat{h}(\xi, \eta) \equiv \int_{R^2} h(x, y) \exp[-2\pi i(\xi x + \eta y)] dx dy. \quad (1)$$

When  $h(x, y)$  is a PSF, it is nonnegative and integrates to unity. Such a function corresponds to a probability density function. The OTF  $\hat{h}(\xi, \eta)$  corresponds to the characteristic function of that density. Apparently, most electron optical imaging devices have OTFs that can be expressed by

$$\hat{h}(\xi, \eta) = \exp[-\alpha(\xi^2 + \eta^2)^\beta], \quad \alpha > 0, \quad 0 < \beta \leq 1, \quad (2)$$

where the constants  $\alpha$  and  $\beta$  depend on the particular device.<sup>13,14</sup> The corresponding densities  $h(x, y)$  are bell-shaped surfaces in physical  $x, y$  space, and belong to the class of radially symmetric Lévy stable laws.<sup>15,16</sup> The con-

stant  $\alpha > 0$  in Eq. (2) controls the width of the density  $h(x, y)$ , and  $h(x, y)$  approaches the Dirac  $\delta$  function as  $\alpha \rightarrow 0$ . The constant  $\beta$  is called the Lévy exponent. The case  $\beta = 1$  in Eq. (2) corresponds to the Gaussian distribution, while the case  $\beta = 1/2$  corresponds to the 2-D Lorentzian density

$$h(x, y) = \frac{\alpha}{2\pi(x^2 + y^2 + \alpha^2)^{3/2}}, \quad (x, y) \in \mathbb{R}^2. \quad (3)$$

For other values of  $\beta$  in Eq. (2), the corresponding density  $h(x, y)$  is not known in closed form in the physical variables  $x$  and  $y$ . In the Gaussian case  $\beta = 1$ ,  $h(x, y)$  has exponentially decaying slim tails and finite variance. However, for  $0 < \beta < 1$ ,  $h(x, y)$  has infinite variance,<sup>15-19</sup> with heavy tails that decay like a power of  $1/r$ , where  $r = (x^2 + y^2)^{1/2}$ .

The expression in Eq. (2) can be used to describe other important types of blurs. The OTF for long-exposure turbulence blurring is given by Eq. (2) with  $\beta = 5/6$  and  $\alpha$  determined by atmospheric conditions.<sup>20</sup> The analytically known diffraction-limited OTF for a perfect lens can be approximated over a wide frequency range by Eq. (2), with  $\beta = 3/4$  and  $\alpha$  a properly chosen function of the cutoff frequency.<sup>21</sup> OTF data for 56 different kinds of photographic film have also been analyzed.<sup>22</sup> Good agreement is found when these data are fitted with Eq. (2), and the pairs  $(\alpha, \beta)$  characterizing each of these 56 OTFs are identified. It is found that 36 types of film have OTFs where  $1/2 \leq \beta \leq 1$ . The remaining 20 types have values of  $\beta$  in the range  $0.265 \leq \beta \leq 0.475$ . The corresponding PSFs are very far from Gaussian.

### 3 Generalized Central Limit Theorem and the APEX Method

The classical central limit theorem considers the limiting probability distribution of normalized sums of large numbers of independent random variables with finite variance, and it asserts that that limit is always a Gaussian distribution.<sup>15</sup> In fact, Gaussians are often used to fit empirically obtained bell-shaped data, and this choice is usually justified on the basis of that theorem. For an example of just such an approach applied to electron optics PSFs, see Ref. 23.

Recently, with the advent of more sophisticated measurement methods, numerous physical situations have been uncovered where Gaussians provide inadequate descriptions of observed bell-shaped data, because legitimate heavy-tailed behavior cannot be accommodated.<sup>17,19,24</sup> A particularly instructive discussion of the necessity to consider non-Gaussian distributions is contained in a recent article on high energy particle physics.<sup>25</sup> It is now generally recognized that such heavy-tailed data reflect underlying random processes with infinite variance and that such processes are pervasive in nature.<sup>26</sup> Evidently, the behavior reported in Refs. 13, 14, and 22, is merely one instance of a recurring pattern.

The generalized central limit theorem considers normalized sums of independent, identically distributed random variables, with variances that need not be finite. According to that theorem, the limit of any such sum, if it exists, must be a Lévy stable law.<sup>15,18</sup> Note that while the class of stable

laws includes more complex asymmetric specimens, this paper restricts attention to the radially symmetric case through Eq. (2).

In some applications, several electron optical devices can be cascaded together and used to image objects through a distorting medium such as the atmosphere. The overall lumped PSF is then the convolution product of the individual component PSFs, so that

$$\hat{h}(\xi, \eta) = \exp \left[ - \sum_{i=1}^J \alpha_i (\xi^2 + \eta^2)^{\beta_i} \right], \quad \alpha_i \geq 0, \quad 0 < \beta_i \leq 1. \quad (4)$$

The general functional form given in Eq. (4) can also be used to best-fit a large class of empirically determined OTFs by suitable choices of the parameters  $\alpha_i$ ,  $\beta_i$ , and  $J$ .

We define the class G of blurring kernels to be the class of all PSFs  $h(x, y)$  whose Fourier transforms satisfy Eq. (4). We shall be interested in image deblurring problems

$$\begin{aligned} Hf &\equiv \int_{\mathbb{R}^2} h(x-u, y-v) f(u, v) du dv \equiv h(x, y) \otimes f(x, y) \\ &= g(x, y), \end{aligned} \quad (5)$$

where  $g(x, y)$  is the recorded blurred image,  $f(x, y)$  is the desired unblurred image, and  $h(x, y)$  is a known PSF in class G. The blurred image  $g(x, y)$  includes noise, which is viewed as a separate additional degradation,

$$g(x, y) = g_e(x, y) + n(x, y). \quad (6)$$

Here,  $g_e(x, y)$  is the blurred image that would have been recorded in the absence of noise, and  $n(x, y)$  represents the cumulative effects of all errors affecting final acquisition of the digitized array  $g(x, y)$ . The unique solution of Eq. (5) when the right-hand side is  $g_e(x, y)$ , is the exact sharp image denoted by  $f_e(x, y)$ . Thus,

$$h(x, y) \otimes f_e(x, y) = g_e(x, y). \quad (7)$$

Class G OTFs are nonnegative. This is not generally the case with characteristic functions. With class G OTFs we can define fractional powers  $H^t$ ,  $0 \leq t \leq 1$ , of the convolution integral operator  $H$  in Eq. (5) as follows:

$$H^t f \equiv \mathcal{F}^{-1}[\hat{h}^t(\xi, \eta) \hat{f}(\xi, \eta)], \quad 0 \leq t \leq 1. \quad (8)$$

Class G PSFs are intimately related to diffusion processes in that  $u(x, y, t) = H^t f$  is the solution at time  $t$  of a generalized diffusion equation [see Eq. (13) later].

These considerations underlie the APEX blind deconvolution approach, which stipulates at the outset that the blurring is isoplanatic, and that the lumped total system optical transfer function can be well approximated by Eq. (4). The APEX method is based on detecting such Lévy stable PSFs by appropriate Fourier analysis of the blurred image data. As discussed more fully in the following, detected representative values for the constants  $\alpha_i$  and  $\beta_i$  in Eq. (4) are used to construct a candidate OTF. This is then used in the slow evolution from the continuation boundary (SECB) deconvolution method,<sup>27</sup> implemented as a time-reversed dif-

fusion equation. By marching backward in time, one can visually monitor the deconvolution process as it unfolds, examine accompanying diagnostic information, and if necessary, choose to terminate that process prior to completion. Early termination is equivalent to interactive readjustment of the initial candidate OTF.

#### 4 Images and Their Fourier Transforms

The Fourier transform is the primary computational tool used in this paper, and the qualitative behavior in Fourier space of a large class of astronomical images is of interest. We deal exclusively with square images  $g(x,y)$  of size  $2N \times 2N$  pixels. To render mathematical formulas more transparent, we use the same notation  $\hat{g}(\xi, \eta)$  for both discrete and continuous Fourier transforms. In the discrete FFT case, the frequencies  $\xi$  and  $\eta$  are understood to be integer-valued and to range from  $-N$  to  $N$ . Likewise,  $g(x,y)$  denotes both discrete and continuous images. In the discrete case, the variables  $x$  and  $y$  are measured in pixels and range from 1 to  $2N$ .

Given the Lévy pairs  $(\alpha_i, \beta_i), i=1, J$ , where  $\alpha_i > 0, 0 < \beta_i \leq 1$ , the corresponding discrete class G OTF is the  $2N \times 2N$  array  $\hat{h}(\xi, \eta)$ , where, with integer  $\xi, \eta$

$$\hat{h}(\xi, \eta) = \exp \left[ - \sum_{i=1}^J \alpha_i (\xi^2 + \eta^2)^{\beta_i} \right], \quad -N < \xi, \eta \leq N. \quad (9)$$

In this paper, typical parameter values might be  $N=512, J=1, \alpha=0.2$ , and  $\beta=0.2$ . Such OTF arrays are used to construct the SECB deblurred image, as shown later in Eq. (14).

Given an image  $g(x,y)$ , the natural logarithm of the absolute value of its Fourier transform  $\ln|\hat{g}(\xi, \eta)|$  plays a crucial role. This logarithm is well defined except where  $\hat{g}(\xi, \eta)=0$ . At any such zero, we simply redefine  $\hat{g}$  to be the machine epsilon. In practice, exact zeroes of  $\hat{g}(\xi, \eta)$  are seldom encountered due to system noise.

Let  $f_e(x,y)$  be an exact sharp image as in Eq. (7). Since  $f_e(x,y) \geq 0$

$$|\hat{f}_e(\xi, \eta)| \leq \int_{R^2} f_e(x,y) dx dy = \hat{f}_e(0,0) = \gamma > 0. \quad (10)$$

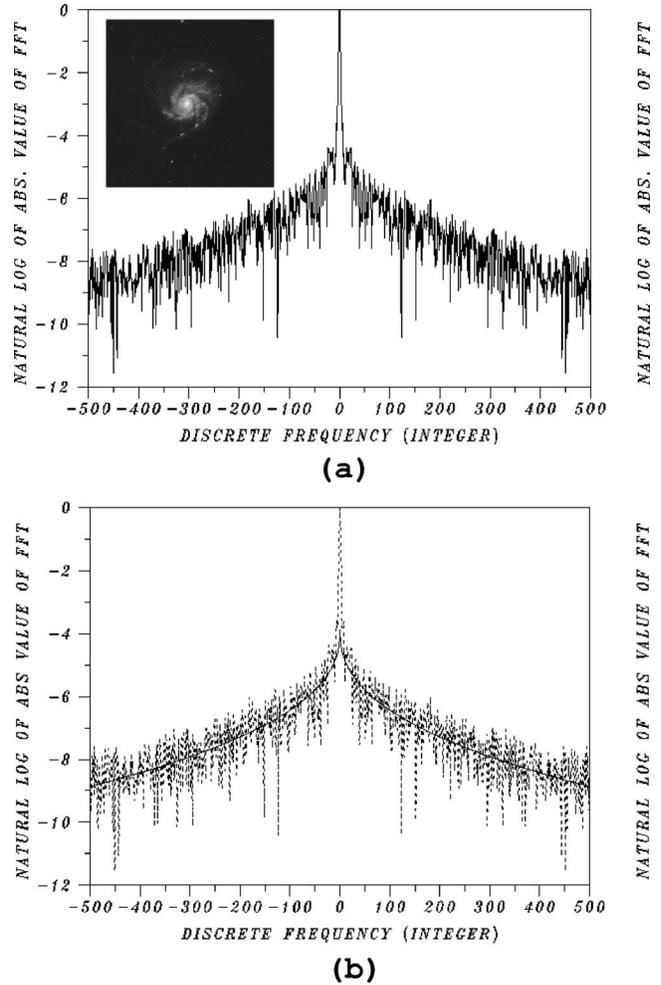
Also, since  $g_e(x,y) = h(x,y) \otimes f_e(x,y)$  and  $h(x,y)$  is a probability density,

$$\begin{aligned} \hat{g}_e(0,0) &= \int_{R^2} g_e(x,y) dx dy = \int_{R^2} f_e(x,y) dx dy = \hat{f}_e(0,0) \\ &= \gamma > 0. \end{aligned} \quad (11)$$

Using  $\gamma$  as a normalizing constant, we can normalize any Fourier transform quantity  $\hat{q}(\xi, \eta)$  by dividing by  $\gamma$ . Let

$$\hat{q}^*(\xi, \eta) = \hat{q}(\xi, \eta) / \gamma, \quad (12)$$

denote the normalized quantity. The function  $|\hat{f}_e^*(\xi, \eta)|$  is highly oscillatory, and  $0 \leq |\hat{f}_e^*| \leq 1$ . Since  $f_e(x,y)$  is real, its Fourier transform is conjugate symmetric. Therefore, the



**Fig. 3** Fourier behavior in  $1024 \times 1024$  image of spiral galaxy M101 is typical of a large class of astronomical images. The upper image was taken by Jacoby, Bohannan, and Hanna, Kitt Peak National Observatory [National Optical Astronomy Observatory/Association of Universities for Research in Astronomy/National Science Foundation (NOAO/AURA/NSF)]: (a)  $\ln|\hat{g}(\xi, 0)|$  on  $|\xi| \leq 500$  for M101 image. While local behavior is highly oscillatory, global behavior is generally monotone decreasing and convex. (b) Least-squares fit of  $\ln|\hat{g}(\xi, 0)|$  with  $u(\xi) = -\alpha|\xi|^{2\beta} - A$ , with  $A=3.85$ . Fit develops cusp at  $\xi=0$  and returns  $\alpha=0.385$  and  $\beta=0.206$ . As explained in Sec. 8, such trial least-squares fits, using different values of  $A > 0$ , are basic to the APEX method.

function  $|\hat{f}_e^*(\xi, \eta)|$  is symmetric about the origin on any line through the origin in the  $(\xi, \eta)$  plane. The same is true for the blurred image data  $|\hat{g}^*(\xi, \eta)|$ .

For any  $2N \times 2N$  image  $g(x,y)$ , the discrete FFT  $\hat{g}(\xi, \eta)$  is a  $2N \times 2N$  array of complex numbers. The frequencies  $\xi$  and  $\eta$  are integers lying between  $-N$  and  $N$ , and the zero frequency is at the center of the transform array. This ordering is achieved by premultiplying  $g(x,y)$  by  $(-1)^{x+y}$ . We are interested in the values of such transforms along single lines through the origin in the discrete  $(\xi, \eta)$  plane. The discrete transforms  $|\hat{g}^*(\xi, 0)|$  and  $|\hat{g}^*(0, \eta)|$  are immediately available. Image rotation can be used to obtain transforms along other directions. All 1-D Fourier plots shown in this paper are taken along the axis  $\eta=0$  in the  $(\xi, \eta)$  plane, as is

the case in Fig. 3. In these plots, the zero frequency is at the center of the horizontal axis, and the graphs are necessarily symmetric about the vertical line  $\xi=0$ .

The class of astronomical images  $g(x,y)$  considered in this paper can be described in terms of the behavior of  $\ln|\hat{g}^*(\xi, \eta)|$  along single lines through the origin in the  $(\xi, \eta)$  plane. While local behavior is highly oscillatory, global behavior is generally monotone decreasing and convex on  $\xi \geq 0$ . This is shown in Fig. 3(a) for a typical galaxy image along the line  $\eta=0$ , and similar behavior is found along other lines through the origin in the  $(\xi, \eta)$  plane. A least-squares fit to the oscillatory trace in Fig. 3(a) with a smooth curve, provides a good representation of this global monotone convexity property on  $\xi \geq 0$ . (A convex function is such that given any two distinct points  $A$  and  $B$  on its graph, the straight line segment joining  $A$  and  $B$  lies above the graph.) Many, but not all, astronomical images exhibit similar globally monotone convex Fourier behavior. Figure 3(b) illustrates the type of least-squares fit that is basic to the APEX method, and that is described more fully in Sec. 8. However, use of the APEX method in the manner to be described later is intended only for images where Fourier behavior is similar to that shown in Fig. 3(a).

### 5 SECB Deblurring and Diffusion Equations

The SECB method is a direct (noniterative) FFT-based image deblurring technique designed for equations in the form of Eq. (5), where  $h(x,y)$  is assumed known and belongs to  $G$ . A complete discussion of that method, together with error bounds and comparisons with other methods, may be found in Ref. 27. Significantly, the SECB method does not impose smoothness requirements, such as prescribed bounds on the Laplacian or other derivatives of the unknown image  $f(x,y)$ . This is an important consideration since many images have sharp edges and other localized nondifferentiable features. In addition, knowledge of the actual statistical character of the data noise  $n(x,y)$  in Eq. (6) is not required, and the noise may be multiplicative. However, an estimate of the  $L^2$  norm of  $n(x,y)$  is required.

Considerable experience has been accumulated with the SECB method. That experience indicates that the SECB method can often recover fine-scale features in cases where this is not feasible with iterative methods such as the Lucy-Richardson, maximum entropy, or Marquina-Osher methods. Documented numerical experiments supporting these claims can be found in Refs. 2, 12, and 27.

Class  $G$  PSFs are the Green's functions for certain linear fractional diffusion equations. As a consequence, the blurred noisy image  $g(x,y)$  on the right of Eq. (5) can be interpreted as the noise corrupted solution, at time  $t=1$ , of the diffusion initial value problem

$$\frac{\partial u}{\partial t} = - \sum_{i=1}^J \lambda_i (-\Delta)^{\beta_i} u, \quad 0 < t \leq 1,$$

$$u(x,y,0) = f_e(x,y), \tag{13}$$

where  $\lambda_i = \alpha_i (4\pi^2)^{-\beta_i}$ , and  $\Delta$  denotes the Laplacian. When the exact initial value  $f_e(x,y)$  is given,  $u(x,y,t) = H^t f_e$  is the solution of Eq. (13) at time  $t$ , and  $u(x,y,1) = g_e(x,y)$ , in

agreement with Eq. (7). Here,  $H^t$  is the convolution integral operator defined in Eq. (8).

Solving the deconvolution problem in Eq. (5) is equivalent to solving the ill-posed backward in time problem in Eq. (13), namely, given the noisy data  $g(x,y)$  at time  $t=1$ , find an approximation  $f(x,y)$  to the initial data  $f_e(x,y)$ . The SECB method is a regularization method for solving that ill-posed diffusion problem, one that takes into account the presence of noise in the blurred image data  $g(x,y)$  at  $t=1$ . The SECB deblurred image  $f^\dagger(x,y)$  is an approximation to  $f_e(x,y)$  that is obtained in closed form in Fourier space. With  $\bar{z}$  denoting the complex conjugate of  $z$ ,

$$\hat{f}^\dagger(\xi, \eta) = \frac{\overline{\hat{h}(\xi, \eta) \hat{g}(\xi, \eta)}}{|\hat{h}(\xi, \eta)|^2 + K^{-2} |1 - \hat{h}^s(\xi, \eta)|^2}, \tag{14}$$

leading to  $f^\dagger(x,y)$  on inverse transformation. Here, the positive constants  $s \ll 1$  and  $K$  are regularization parameters, chosen on the basis of prior information, as discussed in Ref. 2. Typical values used in this paper might be  $s = 0.01$  and  $K = 1000$ . As in Eq. (8), we also form and display

$$u^\dagger(x,y,t) = H^t f^\dagger(x,y), \tag{15}$$

for selected decreasing values of  $t$  lying between 1 and 0. This simulates marching backwards in time in Eq. (13), and enables monitoring the gradual deblurring of the image. As  $t \rightarrow 0$  the partial restorations  $u^\dagger(x,y,t)$  become sharper. Such slow motion deconvolution enables detection of features in the image before they become obscured by noise or ringing artifacts. As seen in the following, such marching backward in time is a vital element in the APEX method. Diagnostic statistical information about  $u^\dagger(x,y,t)$  can also be calculated for selected values of  $t$  as  $t \rightarrow 0$ . Of particular interest are the discrete  $L^1$  norm, defined as follows for  $2N \times 2N$  images:

$$\|u^\dagger(t)\|_{L^1} = (2N)^{-2} \sum_{x,y=1}^{2N} |u^\dagger(x,y,t)|, \tag{16}$$

and the discrete total variation or TV norm, which measures image gradients

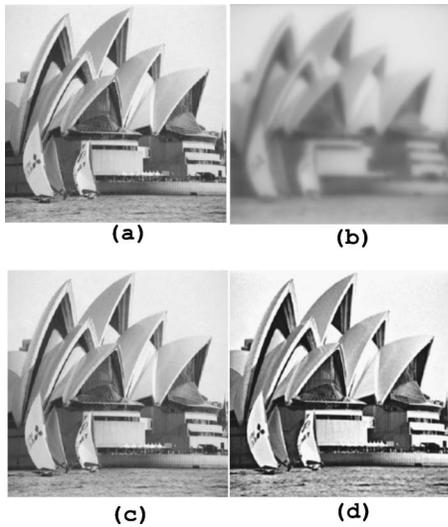
$$\|u^\dagger(t)\|_{TV} = (2N)^{-2} \sum_{x,y=1}^{2N-1} \{ [u_x^\dagger(x,y,t)]^2 + [u_y^\dagger(x,y,t)]^2 \}^{1/2}, \tag{17}$$

where

$$u_x^\dagger(x,y,t) = (2N)^{-1} [u^\dagger(x+1,y,t) - u^\dagger(x,y,t)],$$

$$u_y^\dagger(x,y,t) = (2N)^{-1} [u^\dagger(x,y+1,t) - u^\dagger(x,y,t)]. \tag{18}$$

In blind deconvolution applications of the SECB method, APEX-detected values for  $\alpha_i$  and  $\beta_i$  are used to form the  $2N \times 2N$  array in Eq. (9). This is input into Eq. (14), and inverse FFT algorithms are then used to obtain  $u^\dagger(x,y,t)$  in Eq. (15). This may result in individual pixel values that are negative. Accordingly, all negative values are reset to the value zero. For such nonnegative image data, the discrete  $L^1$  norm  $\|u^\dagger(t)\|_{L^1}$  in Eq. (16) is propor-



**Fig. 4** Nonuniqueness in blind deconvolution. Distinct PSFs exist that produce distinct high-quality reconstructions from the same blurred image; (a) original sharp  $512 \times 512$  Sydney image; (b) synthetically blurred Sydney image created by convolution with Lorentzian density obtained by choosing  $\alpha=0.075$  and  $\beta=0.5$  in Eq. (2), (c) deblurring of image (b) using correct OTF parameters  $\alpha=0.075$  and  $\beta=0.5$ , and (d) deblurring of image (b) using “incorrect” OTF parameters  $\alpha=0.195$  and  $\beta=0.4$ . Deblurred images obtained using SECB procedure in Sec. 5, with  $s=0.001$  and  $K=10,000$ .

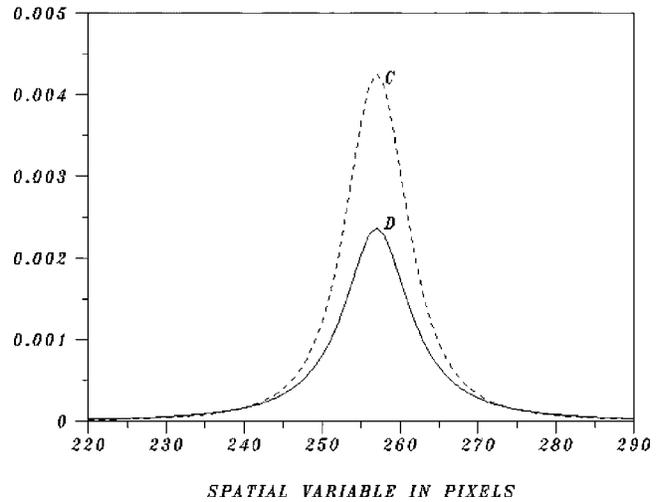
tional to the total flux. In a well-behaved deconvolution process, this total flux should be conserved, and  $\|u^\dagger(t)\|_{L^1}$  should remain constant as  $t \rightarrow 0$ . At the same time, the discrete image gradient norm  $\|u^\dagger(t)\|_{TV}$  in Eq. (17) should increase monotonically as  $t \rightarrow 0$ , reflecting the gradual sharpening of edges and other localized singularities in the restored image.

### 6 A Priori Nonuniqueness in Blind Deconvolution

Blind deconvolution seeks to deblur an image without knowing the cause of the blur. This is a difficult mathematical problem in which severe ill-conditioning is compounded with nonuniqueness of solutions. *A priori* constraints can reduce, but not entirely eliminate, the multiplicity of solutions. While many of these solutions are physically meaningless and can be rejected on physical grounds, there often remain infinitely many visually distinct, physically meaningful solutions. Consider the experiment in Fig. 4.

The sharp  $512 \times 512$  Sydney image  $f_e(x, y)$  in Fig. 4(a) was synthetically blurred by convolution with a Lorentzian density  $h(x, y)$  with  $\alpha_0=0.075$  and  $\beta_0=0.5$ . This produced the blurred image  $g_e(x, y)$  in Fig. 4(b). To avoid distractions caused by noise, the blurred image  $g_e(x, y)$  in this experiment was computed and stored in 64-bit precision. Deblurring Fig. 4(b) with the correct PSF values  $\alpha=0.075$  and  $\beta=0.5$  produces Fig. 4(c). This is in excellent visual agreement with  $f_e(x, y)$  in Fig. 4(a), as expected. However, Fig. 4(d), obtained from Fig. 4(b) using the “incorrect” PSF values  $\alpha=0.195$  and  $\beta=0.4$ , appears even sharper! It is not evident how, or why, one would eliminate the reconstruction in Fig. 4(d). Both deblurred images were obtained us-

TWO DISTINCT PSFS THAT DEBLUR SYDNEY IMAGE



**Fig. 5** Two distinct PSFs that deblur the image in Fig. 4(b). Curves C and D are 1-D cross sections of the  $512 \times 512$  PSFs that respectively produced the images in Figs. 4(c) and 4(d). These PSFs also exhibit distinct heavy-tail behavior.

ing the SECB method with  $s=0.001$  and  $K=10,000$ . One-dimensional cross sections of the two distinct PSFs used in Fig. 4 are displayed in Fig. 5. These PSFs exhibit distinct heavy-tail behavior not shown in Fig. 5. The two restorations also have distinct  $L^1$  and TV norms, as shown in Table 1.

Note that Fig. 4(d) was obtained using a specific pair  $(\alpha, \beta)$ , where  $\alpha > \alpha_0$  and  $\beta < \beta_0$ . In fact, there are infinitely many other specific pairs  $(\alpha, \beta)$  capable of producing distinct, high-quality reconstructions from the same blurred image  $g_e(x, y)$  in Fig. 4(b). These reconstructions may differ markedly from one another at individual pixels, while being correct visual representations of the object that was imaged. This is an inherent, *a priori*, nonuniqueness property of the blind deconvolution problem, independently of any particular algorithm that might be used to solve that problem.

This situation is reminiscent of the multitude of distinct images that often exist for some unique astronomical objects, such as the Whirlpool Galaxy (M51), for example. In that case, these noticeably different photographic representations of the identical object are all physically meaningful and visually correct.

The nonuniqueness of good solutions to the blind deconvolution problem has not been fully explored in the literature. When a blind algorithm produces a unique solution, this may only indicate that that solution is the only one

**Table 1** Behavior in deblurred images in Fig. 4.

Restoration	$\alpha, \beta$	$L^1$ Norm	TV Norm
Image (C)	$\alpha=0.075, \beta=0.500$	173	6419
Image (D)	$\alpha=0.195, \beta=0.400$	171	7500

accessible to that particular algorithm. Conceivably, there may be numerous additional good solutions that remain inaccessible to the algorithm. And some of these reconstructions may exhibit features of great interest.

A basic property of the APEX method is that it generally provides several PSFs that can be used to obtain useful reconstructions of a given blurred image. As in the preceding example, these reconstructions will differ from one another at individual pixels while being visually correct. As is well known, *a priori* knowledge about the desired solution is a necessary ingredient for solving ill-posed inverse problems. Such knowledge is expected to guide the user in the selection of the best solution out of the multiplicity of good solutions.

### 7 Slow Motion Blind Deconvolution and the APEX Method

The following observations underlie the APEX method. In the basic relation

$$g(x,y) = h(x,y) \otimes f_e(x,y) + n(x,y), \tag{19}$$

we can safely assume that the noise  $n(x,y)$  satisfies

$$\int_{R^2} |n(x,y)| \, dx \, dy \ll \int_{R^2} f_e(x,y) \, dx \, dy = \gamma > 0, \tag{20}$$

so that

$$|\hat{n}^*(\xi, \eta)| \ll 1. \tag{21}$$

Consider the case where the OTF is a pure Lévy density  $\hat{h}(\xi, \eta) = \exp[-\alpha(\xi^2 + \eta^2)^\beta]$ . Since  $g = g_e + n$ ,

$$\ln|\hat{g}^*(\xi, \eta)| = \ln\{\exp[-\alpha(\xi^2 + \eta^2)^\beta] \hat{f}_e^*(\xi, \eta) + \hat{n}^*(\xi, \eta)\}. \tag{22}$$

Let  $\Omega = [(\xi, \eta) | \xi^2 + \eta^2 \leq \omega^2]$  be a neighborhood of the origin where

$$\exp[-\alpha(\xi^2 + \eta^2)^\beta] \hat{f}_e^*(\xi, \eta) \gg |\hat{n}^*(\xi, \eta)|. \tag{23}$$

Such an  $\Omega$  exists since Eq. (23) is true for  $\xi = \eta = 0$  in view of Eq. (21). The radius  $\omega > 0$  of  $\Omega$  decreases as  $\alpha, \beta$ , and  $n$  increase. However, in many applications,  $\alpha, \beta$ , and  $n(x,y)$  are sufficiently small that  $\Omega$  extends into the high-frequency range. For  $(\xi, \eta) \in \Omega$ , we have

$$\ln|\hat{g}^*(\xi, \eta)| \approx -\alpha(\xi^2 + \eta^2)^\beta + \ln|\hat{f}_e^*(\xi, \eta)|. \tag{24}$$

Because of the radial symmetry in the PSF, it is sufficient to consider Eq. (24) along a single line through the origin in the  $(\xi, \eta)$  plane. Choosing the line  $\eta = 0$ , we have

$$\ln|\hat{g}^*(\xi, 0)| \approx -\alpha|\xi|^{2\beta} + \ln|\hat{f}_e^*(\xi, 0)|, \quad |\xi| \leq \omega. \tag{25}$$

Some type of *a priori* information about  $f_e(x,y)$  is necessary for blind deconvolution. In Eq. (25), knowledge of  $\ln|\hat{f}_e^*(\xi, 0)|$  on  $|\xi| \leq \omega$  would immediately yield  $\alpha|\xi|^{2\beta}$  on that interval. Moreover, any other line through the origin could have been used in Eq. (24). However,  $\ln|\hat{f}_e^*(\xi, 0)|$  is

highly oscillatory, and such detailed knowledge is unlikely in practice; nor is it actually necessary. Much cruder knowledge, in the form of the smooth curve  $\Gamma$  that best approximates  $\ln|\hat{f}_e^*(\xi, 0)|$  in the least-squares sense, turns out to be sufficient. Indeed, knowledge of the smooth curve  $\Gamma$  is the basis for the BEAK method<sup>1</sup> of determining  $\alpha$  and  $\beta$  from Eq. (25). However, when  $\Gamma$  is not available, the APEX method must identify a useful PSF from Eq. (25), using more elusive information about  $\ln|\hat{f}_e^*(\xi, 0)|$ . To compensate for this handicap, the SECB marching backward in time option in Eq. (15) is used, together with visual monitoring of the unfolding deconvolution. Accompanying diagnostic statistical information as  $t \rightarrow 0$ , such as the discrete norms  $\|u^\dagger(t)\|_{L^1}$  and  $\|u^\dagger(t)\|_{TV}$  in Eqs. (16) and (17) provide the means for readjusting initially detected PSF parameters  $\alpha$  and  $\beta$  and enforcing conservation of total flux. The method assumes that  $f_e(x,y)$  is a recognizable object, and may require several interactive trials prior to locating a suitable PSF. As previously noted, such trial SECB restorations are easily obtained.

### 8 Conservation of Total Flux

In the absence of the smooth least-squares fit  $\Gamma$ , we replace  $\ln|\hat{f}_e^*(\xi, 0)|$  by a negative constant  $-A$  in Eq. (25). For any  $A > 0$ , the approximation

$$\ln|\hat{g}^*(\xi, 0)| \approx -\alpha|\xi|^{2\beta} - A \tag{26}$$

is not valid near  $\xi = 0$ , since the curve  $u(\xi) = -\alpha|\xi|^{2\beta} - A$ , has  $-A$  as its apex. Choosing a value of  $A > 0$ , we best fit  $\ln|\hat{g}^*(\xi, 0)|$  with  $u(\xi) = -\alpha|\xi|^{2\beta} - A$  on the interval  $|\xi| \leq \omega$ , using nonlinear least-squares algorithms. This is illustrated in Fig. 3(b). The resulting fit is close only for  $\xi$  away from the origin. The returned values for  $\alpha$  and  $\beta$  are then used in the SECB deblurring algorithm. Different values of  $A$  return different pairs  $(\alpha, \beta)$ . Experience indicates that useful values of  $A$  generally lie in the interval  $3 \leq A \leq 7$ . Increasing the value of  $A$  decreases the curvature of  $u(\xi)$  at  $\xi = 0$ , resulting in a larger value of  $\beta$  together with a smaller value of  $\alpha$ . A value of  $A > 0$  that returns  $\beta > 1$  is clearly too large, as  $\beta > 1$  is impossible for probability density functions.<sup>15</sup> Decreasing  $A$  has the opposite effect, producing lower values of  $\beta$  and higher values of  $\alpha$ . As a rule, deconvolution is better behaved at lower values of  $\beta$  than it is when  $\beta \approx 1$ . A significant discovery is that an image blurred with a pair  $(\alpha_0, \beta_0)$  can often be successfully deblurred with an appropriate pair  $(\alpha, \beta)$ , where  $\alpha > \alpha_0$  and  $\beta < \beta_0$ . An example of this phenomenon is shown in Fig. 4(d) in connection with the blurred Sydney image. An effective interactive framework for performing the preceding least-squares fitting is the fit command in DATAPLOT (Ref. 28). This is a high-level English-syntax graphics and analysis software package developed at the National Institute of Standards and Technology. This software tool was used throughout this paper.

The following version of the APEX method has been found useful in a variety of image enhancement problems where the image  $g(x,y)$  is such that  $\ln|\hat{g}^*(\xi, 0)|$  is generally globally monotone decreasing and convex, as shown in Fig. 3(a). Choose a value of  $A > 3$  in Eq. (26), so that the

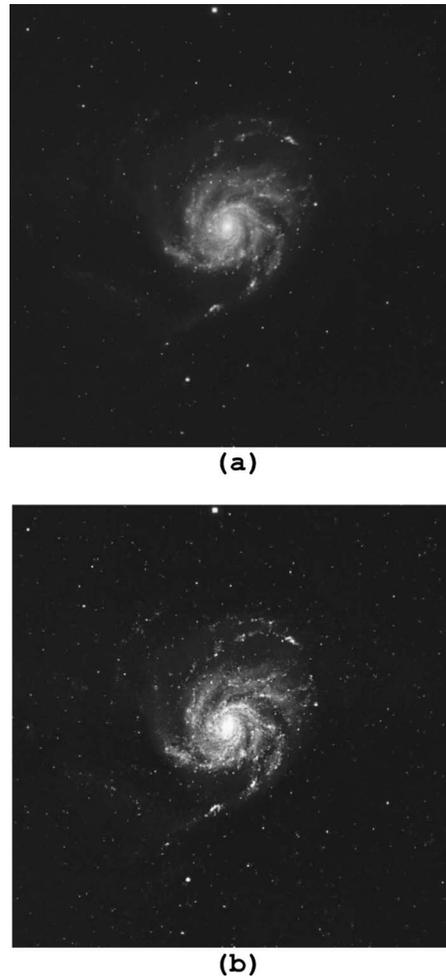
least-squares fit develops a well-formed *cusp* at  $\xi=0$ , as shown in Fig. 3(b). Using the returned pair  $(\alpha, \beta)$  in the SECB method, obtain a sequence  $u^\dagger(x, y, t)$  of partial restorations as in Eq. (15), as  $t$  decreases from  $t=1$ . With a good choice of  $A$ , the total flux norm  $\|u^\dagger(t)\|_{L^1}$  should remain constant or increase very slowly as  $t$  decreases, while the image gradient norm  $\|u^\dagger(t)\|_{TV}$  should increase monotonically as  $t$  decreases from  $t=1$ .

Most often, the initially detected value of  $\alpha$  turns out to be too large. The corresponding PSF is then too wide in physical  $(x, y)$  space, or equivalently, the OTF is too narrow in Fourier  $(\xi, \eta)$  space. Theoretically, use of too wide a PSF all the way to  $t=0$ , implies sharpening features that may have already become infinitely sharp at some  $t_\sigma > 0$ . In practice, this leads to severe ringing and other undesirable artifacts at  $t=0$ , indicating that continuation backwards in time has proceeded too far. An accompanying symptom of this ill-behaved deconvolution, is that the total flux norm  $\|u^\dagger(t)\|_{L^1}$  does not remain constant, but increases appreciably as  $t \rightarrow 0$ . Choosing a new and larger value of  $A$  in Eq. (26), returns a smaller  $\alpha$ , but with a larger  $\beta$ . A useful strategy is to locate a pair  $(\alpha, \beta)$  such that  $\|u^\dagger(t)\|_{L^1}$  increases slowly enough as  $t$  decreases, that its value at  $t = t_\sigma = 0.5$ , say, is only a very few percent more than its initial value at  $t=1$ . In that case, the deconvolution is terminated at  $t=t_\sigma$ . To enforce total flux conservation, the resulting image at  $t_\sigma$  is rescaled by multiplying it by the constant  $C_\sigma = \|u^\dagger(1)\|_{L^1} / \|u^\dagger(t_\sigma)\|_{L^1}$ . Ideally,  $C_\sigma$  should be very close to unity. However, it is occasionally beneficial to allow more aggressive deblurring, with the  $L^1$  norm increasing by as much as 10% prior to rescaling to bring out important fine-scale details.

Marching backward in time allows for simultaneous sampling of numerous values of  $\alpha$  while keeping  $\beta$  fixed. Terminating the deconvolution at  $t=t_\sigma > 0$ , is equivalent to readjusting the original  $\alpha$  while keeping the same value of  $\beta$ . If the pair  $(\alpha, \beta)$  produces a high-quality restoration at  $t=t_\sigma > 0$ , the pair  $(\alpha^*, \beta)$ , where  $\alpha^* = (1-t_\sigma)\alpha$ , will produce the same quality results at  $t=0$ . We therefore distinguish between the originally detected  $\alpha$ , and the effective  $\alpha, \alpha^*$ . In general, there will be many values of  $A$  in Eq. (26) returning pairs  $(\alpha, \beta)$  that produce good reconstructions at some  $t_{\alpha\beta} > 0$ . A large number of distinct pairs  $(\alpha^*, \beta)$  can thus be found that produce useful, but distinct, results at  $t=0$ .

Ideally, successful APEX blind deconvolution should incorporate three elements: clear visual evidence of sharpening, accompanied by a substantial increase in TV norm, and conservation of  $L^1$  norm.

We have been assuming  $\hat{h}(\xi, \eta)$  to be a pure Lévy OTF in Eq. (19). The procedure is very similar for the more general class G OTFs in Eq. (4). Here, given prior starting values for the  $\alpha_i, \beta_i, i=1, J$ , we best-fit  $\ln |\hat{g}^*(\xi, 0)|$  with  $-\sum_{i=1}^J \alpha_i |\xi|^{2\beta_i} - A$ , with suitably preselected  $A > 3$ . This returns  $J$  initially detected pairs  $(\alpha_i, \beta_i)$ . As before, by monitoring the deconvolution process and terminating it at the appropriate time  $t_\sigma > 0$ , we arrive at effective values  $\alpha_i^* = (1-t_\sigma)\alpha_i$ , such that the  $J$  pairs  $(\alpha_i^*, \beta_i)$  produce useful sharpening at  $t=0$ . Note that in most applications of the



**Fig. 6** APEX blind deconvolution of M101 image: (a) original  $1024 \times 1024$  M101 image, obtained by Jacoby, Bohannan, and Hanna, Kitt Peak National Observatory (NOAO/AURA/NSF), and (b) APEX-processed image is noticeably sharper. Both images have identical  $L^1$  “total flux” norms, but the TV “gradient” norm in image (b) is three times larger than in image (a).

APEX method considered to date, including those in this paper, high-quality reconstructions were obtained using the simplest version of that method where  $J=1$ . This indicates that in many applications, a single pure Lévy stable OTF can often be found that sufficiently well approximates the system’s more complex composite OTF.

All PSFs and OTFs depicted in this paper, including those in Fig. 5, are based on effective Lévy parameter values  $(\alpha^*, \beta)$ , producing optimal reconstructions at  $t=0$ .

## 9 Applications to Gray-Scale Galaxy Images

Our first example, in Fig. 6(a), is a  $1024 \times 1024$  8-bit gray-scale image  $g(x, y)$  of the spiral galaxy M101. This is adapted from a similar size color JPEG image obtained by Jacoby, Bohannan, and Hanna, Kitt Peak National Optical Astronomy Observatory (NOAO/AURA/NSF). A plot of  $\ln |\hat{g}^*(\xi, 0)|$  was shown earlier in Fig. 3(a). Using  $A=3.85$ , we best-fit  $\ln |\hat{g}^*(\xi, 0)|$  with  $-\alpha |\xi|^{2\beta} - A$  on  $|\xi| \leq 500$ . The fit develops a well-formed cusp at  $\xi=0$ , as shown in Fig.



(a)

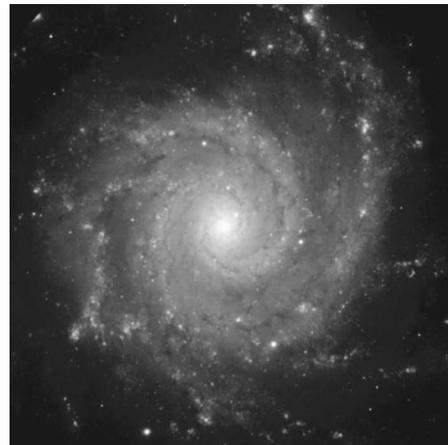


(b)

**Fig. 7** APEX blind deconvolution of Whirlpool galaxy (M51) image: (a) original  $1024 \times 1024$  M51 image obtained by Rector and Ramirez, Kitt Peak National Observatory (NOAO/AURA/NSF) and (b) APEX processing very significantly improves original. "Total flux"  $L^1$  norms of images (a) and (b) are equal, but the "gradient" TV norm in (b) is more than eight times larger than in (a).

3(b), and returns  $\alpha=0.385$  and  $\beta=0.206$ . The patterns illustrated in Fig. 3 are typical of all the images discussed in this paper. Using these Lévy parameters in the SECB method with  $s=0.01$  and  $K=1300$ , we find that at first  $\|u^\dagger(t)\|_{L^1}$  increases slowly as  $t$  decreases, from an initial value of 12.84 at  $t=1$  to a value of 12.96 at  $t=0.65$ . Thereafter,  $\|u^\dagger(t)\|_{L^1}$  increases more rapidly. At the same time,  $\|u^\dagger(t)\|_{TV}$  increases monotonically from 2134 at  $t=1$  to 6747 at  $t=0.65$ , i.e., a threefold increase in gradient norm. Deconvolution was terminated at  $t_\sigma=0.65$ , and the effective value of  $\alpha$  is  $\alpha^*=0.135$ . The APEX-processed image, shown in Fig. 6(b), was rescaled so as to have the same  $L^1$  norm as Fig. 6(a).

Our second example, in Fig. 7(a), is a  $1024 \times 1024$  8-bit gray-scale image of the spiral galaxy M51. This is adapted from a similar size color JPEG image obtained by Rector and Ramirez, Kitt Peak National Optical Astronomy Observatory (NOAO/AURA/NSF). Here, there is very substantial documented APEX sharpening, and the deconvolved image in Fig. 7(b) very visibly improves on the original. With  $A$



(a)

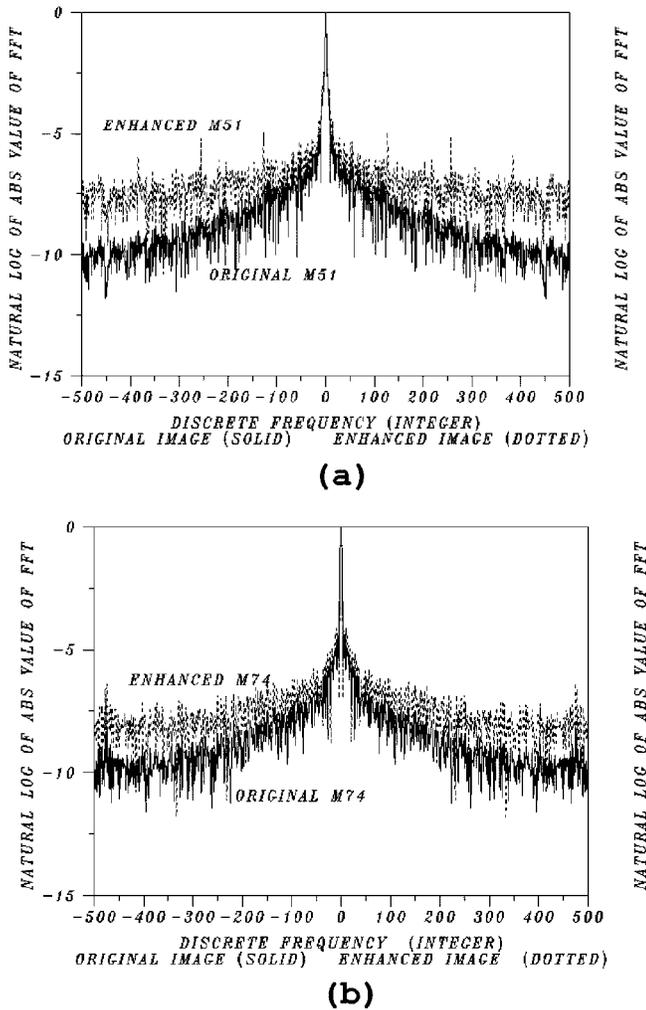


(b)

**Fig. 8** APEX blind deconvolution of M74 image: (a) original  $1024 \times 1024$  M74 image obtained by GMOS Team at Gemini Observatory, Mauna Kea, Hawaii, and (b) APEX processing significantly improves original. "Total flux"  $L^1$  norms in images (a) and (b) are equal, but the "gradient" TV norm in (b) is four times larger than in (a).

$=5.0$ , least-squares fitting on  $|\xi| \leq 500$ , returned  $\alpha=0.364$  and  $\beta=0.218$ . This was input into the SECB method with  $s=0.01$  and  $K=1300$ . Deconvolution was terminated at  $t_\sigma=0.48$ , leading to an effective  $\alpha^*=0.189$ . Total flux  $\|u^\dagger(t)\|_{L^1}$  increased very slightly, from 30.58 at  $t=1$  to 30.82 at  $t_\sigma=0.48$ . However, there was a corresponding eightfold increase in  $\|u^\dagger(t)\|_{TV}$ , from 1948 at  $t=1$  to 16,516 at  $t_\sigma=0.48$ . Both images in Fig. 7 have identical  $L^1$  norms.

Our next example, in Fig. 8(a), is a  $1024 \times 1024$  8-bit gray-scale image of the spiral galaxy M74. This is adapted from a JPEG color image taken in August 2001 by the GMOS Team at the Gemini Observatory, Mauna Kea, Hawaii. With  $A=4.25$ , least-squares fitting of  $\ln |\hat{g}^*(\xi, 0)|$  with  $-\alpha|\xi|^{2\beta}-A$ , on  $|\xi| \leq 500$ , returned  $\alpha=0.857$  and  $\beta=0.157$ . Here, more aggressive deblurring was permitted prior to termination. With  $s=0.01$  and  $K=500$  in the SECB method,  $\|u^\dagger(t)\|_{L^1}$  increased by 7% from 61.22 to 65.68 prior to termination at  $t_\sigma=0.65$ . The effective value of  $\alpha$  is  $\alpha^*=0.3$ , and there was a corresponding fourfold increase in



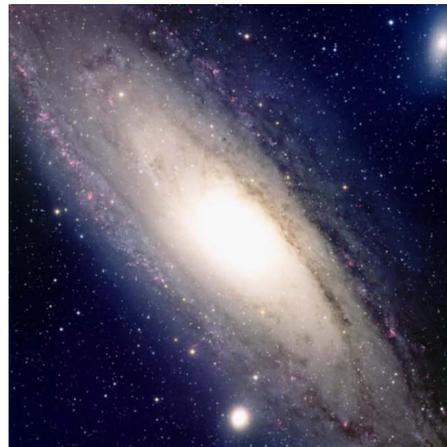
**Fig. 9** APEX processing leads to significant change in high-frequency Fourier behavior before and after for (a) the M51 image and (b) the M74 image.

$\|u^\dagger(t)\|_{TV}$ , from 4670 to 20,173. The APEX-processed image in Fig. 8(b) was rescaled so as to have the same  $L^1$  norm as Fig. 8(a).

In Fig. 9,  $\ln |g^*(\xi, 0)|$  is plotted on  $|\xi| \leq 500$  for the M51 and M74 images before and after APEX processing. Evidently, APEX processing amplifies high-frequency components quite significantly. This amplification is carefully orchestrated; takes place in a stable, coherent fashion; and enables recovery of the delicate fine structures and other features that are evident in Figs. 7(b) and 8(b). These before and after Fourier patterns are typical of all the images shown in this paper.

### 10 APEX Processing of Color Imagery

Blind deconvolution of color imagery is a subject that is still very much in its infancy. Major difficulties arise from the necessity to identify the distinct point spread functions associated with each color component. More serious difficulties arise from the possibility of unbalanced blind sharpening of individual color components. Conceivably, after a long and uncertain iterative process, the reconstituted color image may turn out to exhibit physically false colors, such



(a)

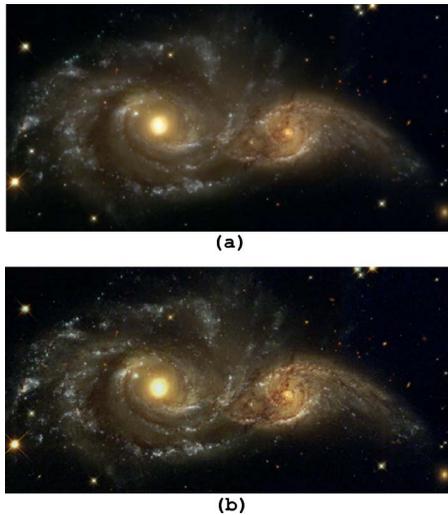


(b)

**Fig. 10** APEX processing significantly sharpens Andromeda galaxy (M31) image. True color  $1024 \times 1024$  original (a) was obtained by Rector and Wolpa, Kitt Peak National Observatory (NOAO/AURA/NSF). Both images have equal  $L^1$  "total flux" norms in each  $RGB$  component, but component TV "gradient" norms in enhanced image (b) are from two to three times larger than in (a). Distinct component OTFs were detected and used.

as a green sky or a purple sea. A fruitful mathematical framework wherein the blind color problem can be effectively tackled has not yet been formulated.

One approach to color image processing traces its origin to high-energy physics and string theory.<sup>29-31</sup> Here, a color image is viewed as a 2-D manifold in 5-D space, namely,  $\{x, y, R(x, y), G(x, y), B(x, y)\}$ , where  $R$ ,  $G$ , and  $B$  are the red, green, and blue components of the color image  $g(x, y)$ . The so-called Polyakov functional is then defined on this manifold, and gradient descent minimization of this functional is implemented. This leads to the Beltrami flow equations, a coupled system of evolutionary nonlinear partial differential equations for the three time-dependent images  $R(x, y, t)$ ,  $G(x, y, t)$ , and  $B(x, y, t)$ . That system is then solved forward in time numerically, until a steady state is reached. This formalism has been applied successfully to color image denoising. With considerable skill, such an approach might possibly be elaborated into a blind deconvolution.



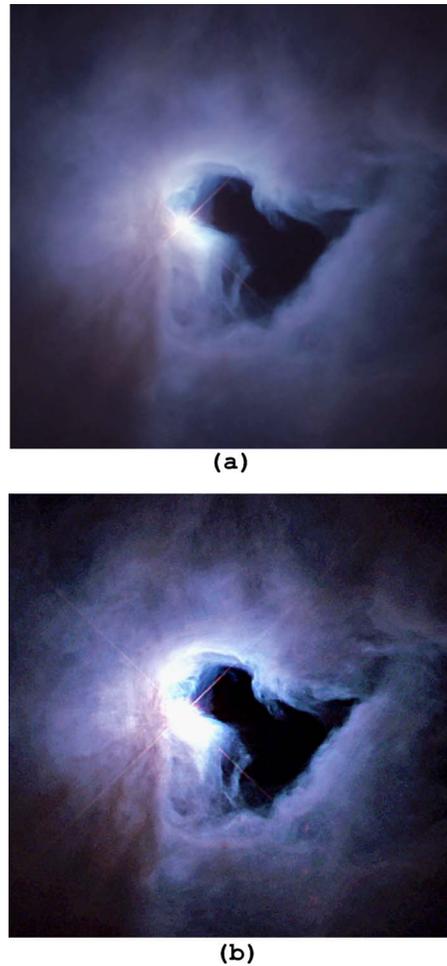
**Fig. 11** APEX processing enhances Hubble space telescope image of NGC2207 involving two merging galaxies. Original (a) was obtained by NASA, ESA, and the Hubble Heritage Team (STSci/AURA). Both images have equal  $L^1$  “total flux” norms in each *RGB* component, but component TV “gradient” norms in enhanced image (b) are almost three times larger than in (a). Distinct component OTFs were detected and used.

lution procedure. However, the computational effort required to process large-size imagery would be challenging.

A remarkable property of the APEX method is the ease with which it can be applied to color imagery, and the plausibility of the ensuing results. Clearly, the ability to try numerous parameter values in quasi real time is of vital significance. Indeed, efficient exploration in parameter space is often the key to the successful solution of ill-posed inverse problems.

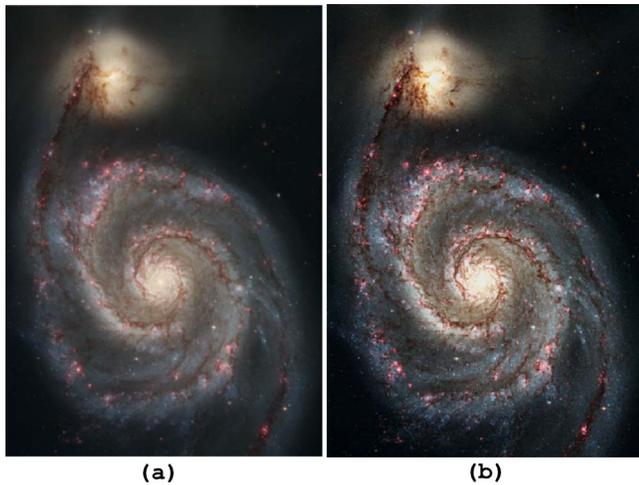
The most natural way to use the APEX method is to first decompose the blurred color image into its three *RGB* components, apply the method to each component in turn, and then reconstitute the deblurred image. For each *RGB* component, visual monitoring of the partial deconvolution  $u^\dagger(x, y, t)$  in Eq. (15) as  $t \rightarrow 0$  is accompanied by the calculated diagnostic quantities  $\|u^\dagger(t)\|_{L^1}$  and  $\|u^\dagger(t)\|_{TV}$ . As in the case of gray-scale imagery already discussed, total flux conservation in each *RGB* component is enforced by terminating deconvolution at some appropriate time  $t_\sigma > 0$  and rescaling the image by multiplication by the constant  $C_\sigma = \|u^\dagger(1)\|_{L^1} / \|u^\dagger(t_\sigma)\|_{L^1}$ . In this way, individual Lévy pairs  $(\alpha^*, \beta)$  are detected for each *RGB* component, often leading to distinct OTFs for each color. This methodology has also been found to maintain the balance of colors in all of the many examples to which it has been applied. We shall now demonstrate this on several color images, including some spectacular Hubble space telescope images.

Our first color image, in Fig. 10(a), is a true-color  $1024 \times 1024$  JPEG image of the Andromeda galaxy M31. That image is part of a slightly larger original taken by Rector and Wolpa at Kitt Peak National Optical Astronomy Observatory (NOAO/AURA/NSF). After decomposition into *RGB* components, APEX least-squares fitting on  $|\xi| \leq 500$ , was applied to each component in turn. The returned values for  $\alpha$  and  $\beta$  were then input into the SECB method



**Fig. 12** APEX blind deconvolution enhances Hubble space telescope image of Orion Reflection Nebula, NGC 1999. Original (a) was obtained by NASA and the Hubble Heritage Team (STSci/AURA). Both images have equal  $L^1$  “total flux” norms in each *RGB* component, but component TV “gradient” norms in enhanced image (b) are 5.6 times larger than in (a). Detected component OTFs coincided.

with  $s=0.01$  and  $K=1300$ . Here, the red and green components behaved very similarly, while the blue component’s behavior was distinctly different. A value of  $A=5.5$  was found useful for the red and green components, while a larger value,  $A=7.0$ , was found necessary to obtain well-behaved deconvolution in the blue component. For the red component,  $\alpha=0.403$ ,  $\beta=0.189$ , and  $\|u^\dagger(t)\|_{L^1}$  increased by about 1.5% from 79.42 to 80.58 prior to termination at  $t_\sigma=0.60$ . The effective  $\alpha$  in this case is  $\alpha^*=0.161$ . There was a corresponding threefold increase in  $\|u^\dagger(t)\|_{TV}$  from 8587 to 25,756. For the green component,  $\alpha=0.410$ ,  $\beta=0.187$ , and the  $L^1$  norm increased by 2% from 76.16 to 77.80 prior to termination at  $t_\sigma=0.60$ . Here,  $\alpha^*=0.164$ . There was again a threefold increase in TV norm from 8552 to 25,862. For the blue image, the larger value of  $A$  resulted in a substantially lower initial  $\alpha=0.0706$ , with corresponding larger  $\beta=0.299$ . Here,  $\|u^\dagger(t)\|_{L^1}$  decreased very slightly, from 97.02 to 96.42, prior to termination at  $t_\sigma=0.60$ . This gives  $\alpha^*=0.0282$ . The corresponding value of  $\|u^\dagger(t)\|_{TV}$  more than doubled, from 8687 to 20,057.



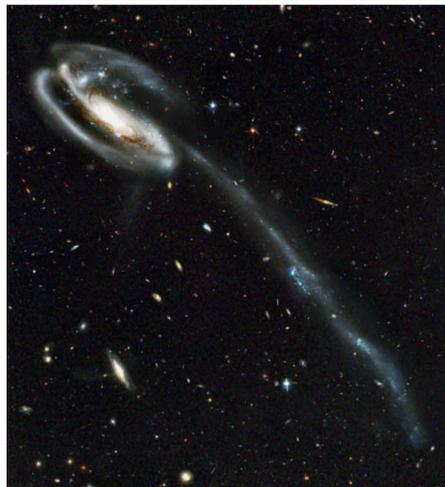
**Fig. 13** APEX processing significantly sharpens 15th anniversary Hubble Space Telescope Whirlpool galaxy image, released on April 25, 2005. Original (a) recorded with ACS camera by NASA, ESA, S. Beckwith (STScI), and Hubble Heritage Team (STScI/AURA). Both images have equal  $L^1$  “total flux” norms in each  $RGB$  component, but component TV “gradient” norms in enhanced image (b) are four times larger than in (a). Detected component OTFs coincided.

In this example, the red image OTF coincides with the green image OTF, and both lie well below the blue image OTF. Thus, APEX methodology perceived the blue component to be much less blurred than the other two components, and it processed the image accordingly. All three components were rescaled to preserve  $L^1$  norms, prior to reconstitution into Fig. 10(b). Clearly, APEX restoration has preserved the original colors, while producing a noticeably sharper image. Many more stars are now visible around the edges of the disk, the dust lanes are more clearly defined, and important structural details near the top left corner of the image are now better resolved in Fig. 10(b). These improvements are very obvious when the full-size APEX image is displayed on a modern high-resolution computer screen, but become more muted at reduced size on the printed page. Moreover, sharpening is significantly more evident in each of the individual APEX-processed gray-scale  $RGB$  components than is visually apparent in the reconstituted color image. These observations apply generally to all of the color imagery displayed in this paper.

The next example is a Hubble space telescope image of NGC2207, involving two merging galaxies. That image forms part of the Hubble Heritage Gallery. The original full resolution  $2907 \times 1486$  tagged image file format (TIFF) image was obtained by the National Aeronautics and Space Administration (NASA), European Space Agency (ESA), and the Hubble Heritage Team (STSci/AURA), using WFPC2. This was stepped down to the  $1024 \times 523$  shown in Fig. 11(a). We used  $A=4.75$  with  $s=0.01$  and  $K=1300$  in the SECB method, and terminated the process at  $t_\sigma=0.65$  in each of the three components. Here, APEX perceived the red component to be more blurred than the other two components. For the red image,  $\alpha^*=0.111$ ,  $\beta=0.203$ , and  $\|u^\dagger(t)\|_{L^1}$  increased by 10.25% from 19.11 to 21.06, while  $\|u^\dagger(t)\|_{TV}$  increased from 3862 to 11,182, a factor of 2.9. For the green image,  $\alpha^*=0.088$ ,  $\beta=0.217$ ,  $\|u^\dagger(t)\|_{L^1}$  increased



(a)

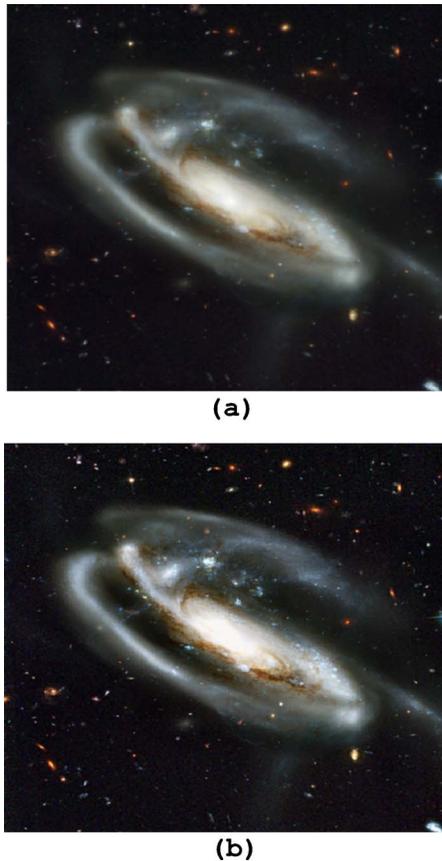


(b)

**Fig. 14** APEX processing enhances Hubble space telescope Tadpole Galaxy image. Original ACS image (a) taken by NASA, STScI, ESA, and the ACS science team. Both images have equal  $L^1$  “total flux” norms in each  $RGB$  component, but component TV “gradient” norms in enhanced image (b) are three times larger than in (a). Detected component OTFs coincided.

from 17.71 to 18.82 (6.8%), while  $\|u^\dagger(t)\|_{TV}$  increased by a factor of 2.8 from 3937 to 11,019. The blue image was perceived to be the least blurred. Here,  $\alpha^*=0.052$ ,  $\beta=0.247$ ,  $\|u^\dagger(t)\|_{L^1}$  increased by 8.9% from 14.67 to 15.97, while  $\|u^\dagger(t)\|_{TV}$  increased by a factor of 2.4 from 7783 to 17,726. All three  $RGB$  components were rescaled to preserve  $L^1$  “total flux” norms, prior to reconstitution into Fig. 11(b).

Our third example is again a Hubble Heritage Gallery image, featuring the reflection nebula in Orion, NGC 1999. The original  $750 \times 750$  TIFF image was obtained by NASA and the Hubble Heritage Team (STSci/AURA), using the WFPC2 camera. Here, this was stepped down to the  $512 \times 512$  image shown in Fig. 12(a). With  $A=5.5$  and  $s=0.01, K=1300$  in SECB, deconvolution was unusually well-behaved and uniform. For each  $RGB$  component,



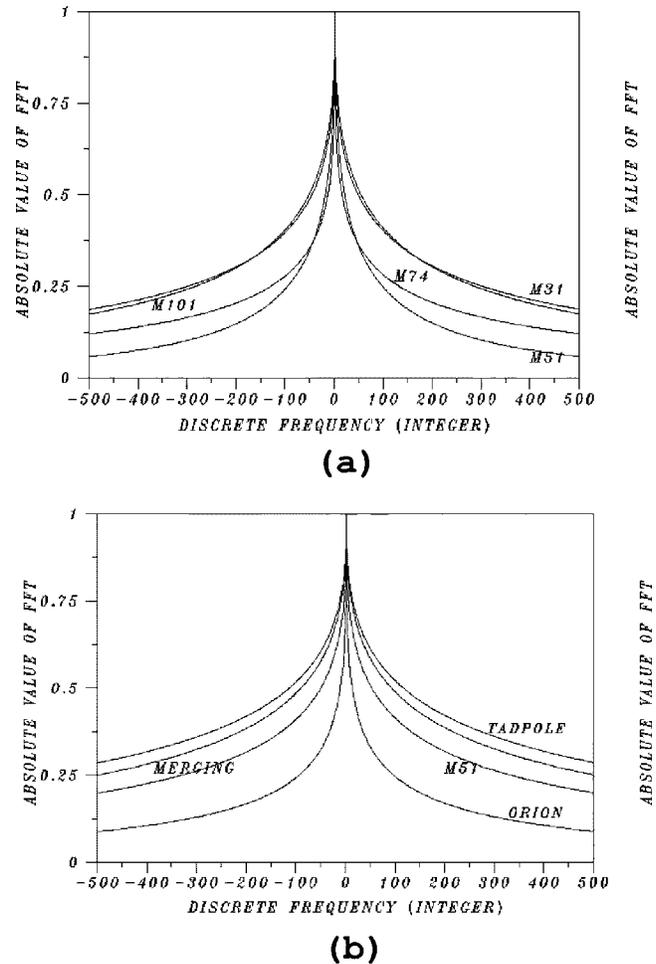
**Fig. 15** Extent of sharpening in APEX processed image becomes more evident when zooming on selected parts of images in Fig. 14. Foreground objects as well as background galaxies in the original (a), are brought into sharper focus in the APEX image (b).

$\|u^\dagger(t)\|_{L^1}$  was very nearly conserved prior to termination at  $t_\sigma=0.6$ . This norm was near 97 for the blue image and near 67 for the red and green images. Moreover, all three OTFs coincided in this case, as detected Lévy pairs for each component were all very nearly equal to  $\alpha^*=0.3$  and  $\beta=0.17$ . Again, for each RGB component,  $\|u^\dagger(t)\|_{TV}$  increased by the same factor of 5.6, from 1498 to 8539 for red, from 1450 to 8166 for green, and from 2291 to 12,890 for blue. The enhanced image is shown in Fig. 12(b).

As was the case with gray-scale galaxy images, the striking improvements in visual quality in Figs. 10(b), 11(b), and 12(b) appear to correlate well with substantial increases in TV norms.

## 11 ACS Imagery

The WFPC2 is Hubble's main camera and workhorse instrument. Our final two examples feature images taken with the ACS. That instrument outperforms all previous cameras aboard the Hubble space telescope. To celebrate Hubble's 15th birthday on April 25, 2005, NASA released the sharpest-ever color image of the Whirlpool Galaxy M51. That image was recorded with the ACS camera by NASA, ESA, S. Beckwith (STScI), and the Hubble Heritage Team (STScI/AURA). The original full resolution 7965  $\times$  11,477 TIFF image was stepped down to the 710  $\times$  1024 TIFF image shown in Fig. 13(a). After decompos-



**Fig. 16** One-dimensional cross sections of optical transfer functions that deblurred images discussed in Secs. 9–11: (a) Non-Hubble OTFs. (b) Hubble OTFs. For color images, the OTF shown is the one corresponding to the most blurred RGB component.

ing that image into RGB components, APEX processing using  $A=5.25$  was applied to each component in turn, with  $s=0.01$  and  $K=1300$  in the SECB method. All three components behaved very similarly, and deconvolution was terminated at  $t_\sigma=0.65$  in all three cases. For the red component,  $\alpha^*=0.175$ ,  $\beta=0.173$ , and  $\|u^\dagger(t)\|_{L^1}$  increased by 5.3% prior to termination from 42.46 to 42.72. However,  $\|u^\dagger(t)\|_{TV}$  increased by a factor of 3.7 from 5170 to 19,247. For the green component  $\alpha^*=0.177$ ,  $\beta=0.171$ , and the  $L^1$  norm increased from 41.63 to 44.05, a 5.8% increase. The TV norm increased from 4361 to 17,801, a fourfold increase. For the blue component,  $\alpha^*=0.160$ ,  $\beta=0.186$ , and the  $L^1$  norm increased from 41.11 to 43.28, a 5.3% increase. There was again a fourfold increase in the TV norm, from 4805 to 19,839. All three component OTFs coincided in this case. Individual RGB components were rescaled so as to preserve  $L^1$  norms, prior to reconstitution as the APEX image shown in Fig. 13(b).

Our last example involves an image of the Tadpole Galaxy UGC10214, set against a backdrop that is said to contain a “Whitman’s Sampler of galaxies stretching back to the beginning of time.” The full resolution 3806  $\times$  4160

**Table 2** Summary of APEX experiments in Secs. 9–11.

Image	Size	A	$t_\sigma$	$\alpha^*$	$\beta$	$\times TV$
M101 (KPNO)	1024×1024	3.85	0.65	0.135	0.206	×3
M51 (KPNO)	1024×1024	5.00	0.48	0.189	0.218	×8
M74 (GMOS)	1024×1024	4.25	0.65	0.300	0.157	×4
M31 (KPNO)	1024×1024	5.50	0.60	0.164	0.187	×3
Merging (HST)	1024×523	4.75	0.65	0.111	0.203	×3
Orion (HST)	512×512	5.50	0.60	0.300	0.168	×6
M51 (HST)	710×1024	5.25	0.65	0.177	0.171	×4
Tadpole (HST)	937×1024	5.25	0.65	0.068	0.234	×3

**Note:** KPNO is Kitt Peak National Observatory, GMOS is Gemini Observatory Photo Gallery, and HST is the Hubble Space Telescope.

TIFF image was taken with the ACS camera by NASA, STScI, ESA, and the ACS science team. This was stepped down to the 937×1024 TIFF image shown in Fig. 14(a). After decomposing that image into RGB components, APEX processing using  $A=5.25$  was applied to each component in turn, with  $s=0.01$  and  $K=1300$  in the SECB method. Deconvolution was uniformly well behaved and was terminated at  $t_\sigma=0.675$  in all three components. For the red component,  $\alpha^*=0.066$ ,  $\beta=0.242$ , and  $\|u^\dagger(t)\|_{L^1}$  increased by 2.2% prior to termination from 23.54 to 24.05. At the same time, there was a threefold increase in  $\|u^\dagger(t)\|_{TV}$  from 6085 to 18,606. For the green component  $\alpha^*=0.068$ ,  $\beta=0.234$ , and  $\|u^\dagger(t)\|_{L^1}$  increased by 3%, from 23.025 to 23.72. Again, there was a near threefold increase in  $\|u^\dagger(t)\|_{TV}$  from 6970 to 19,645. For the blue component,  $\alpha^*=0.103$ ,  $\beta=0.201$ , the  $L^1$  norm increased by 3.2% from 25.25 to 26.07, while the TV norm increased threefold from 7731 to 22,756. Again, all three component OTFs coincided. Individual RGB components were rescaled so as to preserve  $L^1$  norms, prior to reconstitution as the APEX image shown in Fig. 14(b).

Zooming on selected parts of the images in Fig. 14 provides a useful comparison, as shown in Fig. 15. The extent of sharpening in the APEX processed image Fig. 15(b) becomes clearly evident as foreground objects, as well as background galaxies, are brought into sharper focus.

The ability of the APEX method to enhance ACS images is remarkable and unanticipated. Figure 16 shows 1-D cross-sectional plots of the optical transfer functions that were detected and used to process all of the images discussed in Secs. 9–11. Non-Hubble OTFs are shown in Fig. 16(a), and Hubble OTFs in Fig. 16(b). The OTFs shown for color images are the ones associated with the most blurred RGB component. These OTFs plots are based on effective values ( $\alpha^*$ ,  $\beta$ ), that produce high-quality SECB reconstructions at  $t=0$ . These are the values shown in Table 2, which summarizes the results of the APEX experiments described in Secs. 9–11. The last column in Table 2 indicates the resulting multiplying factors for TV norm increases. With

an average value of  $\beta$  less than 0.2, the preceding 8 OTFs are very far from Lorentzian ( $\beta=0.5$ ), let alone Gaussian ( $\beta=1.0$ ).

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